

# The Isabelle/HOL Algebra Library

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September 11, 2023

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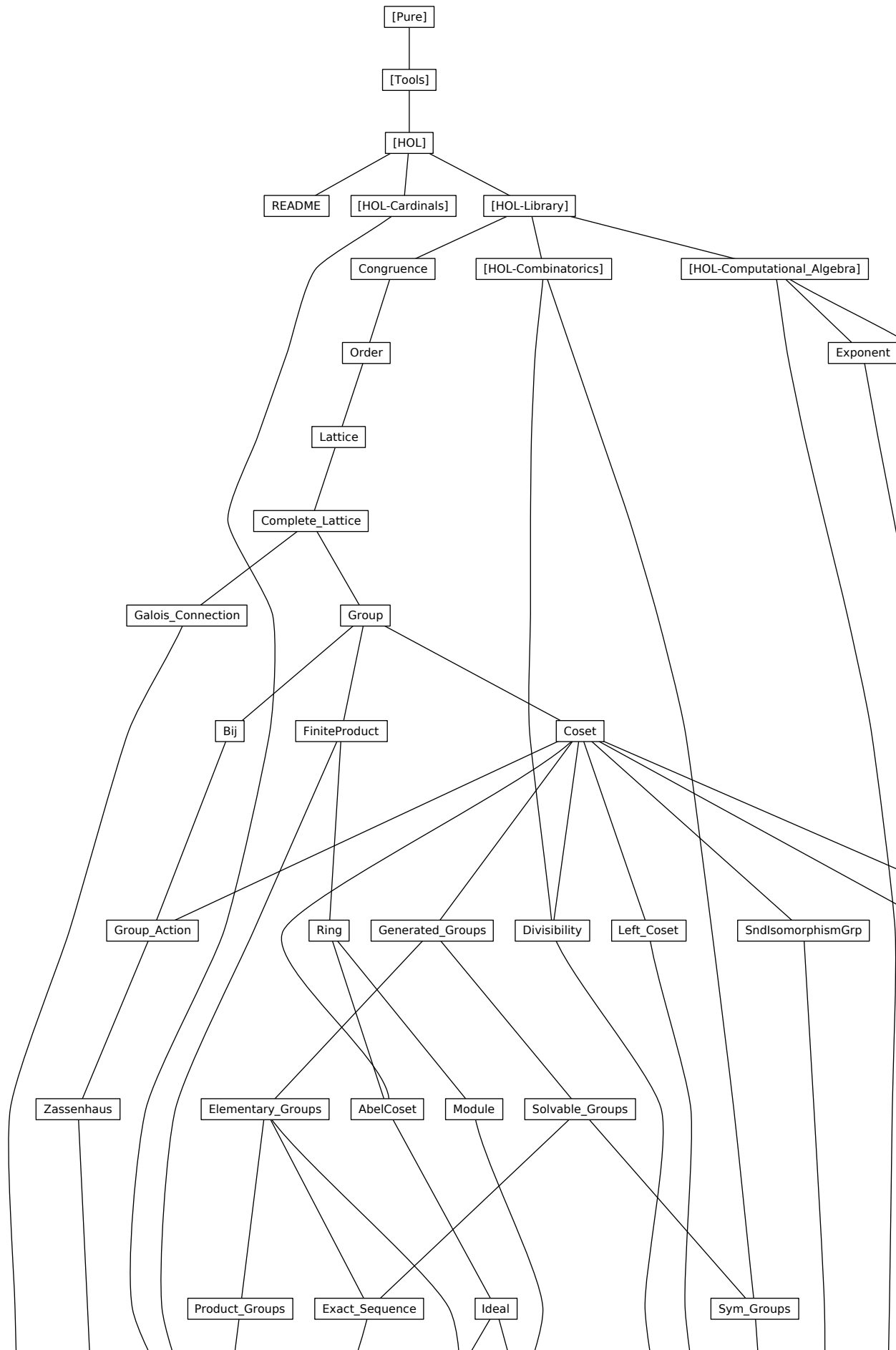
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```

theory Congruence
  imports
    Main
    "HOL-Library.FuncSet"
begin

```

## 1 Objects

### 1.1 Structure with Carrier Set.

```

record 'a partial_object =
  carrier :: "'a set"

```

```

lemma funcset_carrier:
  "[[ f ∈ carrier X → carrier Y; x ∈ carrier X ]] ⇒ f x ∈ carrier Y"
  <proof>

```

```

lemma funcset_carrier':
  "[[ f ∈ carrier A → carrier A; x ∈ carrier A ]] ⇒ f x ∈ carrier A"
  <proof>

```

### 1.2 Structure with Carrier and Equivalence Relation eq

```

record 'a eq_object = "'a partial_object" +
  eq :: "'a ⇒ 'a ⇒ bool" (infixl ".=ι" 50)

```

```

definition
  elem :: "'_ ⇒ 'a ⇒ 'a set ⇒ bool" (infixl ".∈ι" 50)
  where "x .∈S A ↔ (∃y ∈ A. x .=S y)"

```

```

definition
  set_eq :: "'_ ⇒ 'a set ⇒ 'a set ⇒ bool" (infixl "{.=}ι" 50)
  where "A {.=}S B ↔ ((∀x ∈ A. x .∈S B) ∧ (∀x ∈ B. x .∈S A))"

```

```

definition
  eq_class_of :: "'_ ⇒ 'a ⇒ 'a set" ("class'_ofι")
  where "class_ofS x = {y ∈ carrier S. x .=S y}"

```

```

definition
  eq_classes :: "'_ ⇒ ('a set) set" ("classesι")
  where "classesS = {class_ofS x | x. x ∈ carrier S}"

```

```

definition
  eq_closure_of :: "'_ ⇒ 'a set ⇒ 'a set" ("closure'_ofι")
  where "closure_ofS A = {y ∈ carrier S. y .∈S A}"

```

```

definition

```

```

eq_is_closed :: "_ => 'a set => bool" ("is'_closedz")
where "is_closedS A <-> A ⊆ carrier S ∧ closure_ofS A = A"

```

abbreviation

```

not_eq :: "_ => 'a => 'a => bool" (infixl ".≠z" 50)
where "x .≠S y ≡ ¬(x .=S y)"

```

abbreviation

```

not_elem :: "_ => 'a => 'a set => bool" (infixl ".∉z" 50)
where "x .∉S A ≡ ¬(x .∈S A)"

```

abbreviation

```

set_not_eq :: "_ => 'a set => 'a set => bool" (infixl "{.≠}z" 50)
where "A {.S≠} B ≡ ¬(A {.S=} B)"

```

locale equivalence =

```

fixes S (structure)
assumes refl [simp, intro]: "x ∈ carrier S ⇒ x .= x"
and sym [sym]: "[ x .= y; x ∈ carrier S; y ∈ carrier S ] ⇒ y .= x"
and trans [trans]:
  "[ x .= y; y .= z; x ∈ carrier S; y ∈ carrier S; z ∈ carrier S ]
⇒ x .= z"

```

lemma equivalenceI:

```

fixes P :: "'a => 'a => bool" and E :: "'a set"
assumes refl: "∧x. [ x ∈ E ] ⇒ P x x"
and sym: "∧x y. [ x ∈ E; y ∈ E ] ⇒ P x y ⇒ P y x"
and trans: "∧x y z. [ x ∈ E; y ∈ E; z ∈ E ] ⇒ P x y ⇒ P y z
⇒ P x z"
shows "equivalence (| carrier = E, eq = P |)"
⟨proof⟩

```

locale partition =

```

fixes A :: "'a set" and B :: "('a set) set"
assumes unique_class: "∧a. a ∈ A ⇒ ∃!b ∈ B. a ∈ b"
and incl: "∧b. b ∈ B ⇒ b ⊆ A"

```

lemma equivalence\_subset:

```

assumes "equivalence L" "A ⊆ carrier L"
shows "equivalence (L | carrier := A |)"
⟨proof⟩

```

lemma elemI:

```

fixes R (structure)
assumes "a' ∈ A" "a .= a'"

```

shows "a .∈ A"  
*<proof>*

lemma (in equivalence) elem\_exact:  
 assumes "a ∈ carrier S" "a ∈ A"  
 shows "a .∈ A"  
*<proof>*

lemma elemE:  
 fixes S (structure)  
 assumes "a .∈ A"  
 and " $\bigwedge a'. \llbracket a' \in A; a . = a' \rrbracket \implies P$ "  
 shows "P"  
*<proof>*

lemma (in equivalence) elem\_cong\_l [trans]:  
 assumes "a ∈ carrier S" "a' ∈ carrier S" "A ⊆ carrier S"  
 and "a' . = a" "a .∈ A"  
 shows "a' .∈ A"  
*<proof>*

lemma (in equivalence) elem\_subsetD:  
 assumes "A ⊆ B" "a .∈ A"  
 shows "a .∈ B"  
*<proof>*

lemma (in equivalence) mem\_imp\_elem [simp, intro]:  
 assumes "x ∈ carrier S"  
 shows "x ∈ A  $\implies$  x .∈ A"  
*<proof>*

lemma set\_eqI:  
 fixes R (structure)  
 assumes " $\bigwedge a. a \in A \implies a . \in B$ "  
 and " $\bigwedge b. b \in B \implies b . \in A$ "  
 shows "A {.=} B"  
*<proof>*

lemma set\_eqI2:  
 fixes R (structure)  
 assumes " $\bigwedge a. a \in A \implies \exists b \in B. a . = b$ "  
 and " $\bigwedge b. b \in B \implies \exists a \in A. b . = a$ "  
 shows "A {.=} B"  
*<proof>*

lemma set\_eqD1:  
 fixes R (structure)  
 assumes "A {.=} A'" and "a ∈ A"  
 shows " $\exists a' \in A'. a . = a'$ "

*<proof>*

**lemma set\_eqD2:**  
**fixes** R (structure)  
**assumes** "A {.=} A'" **and** "a' ∈ A'"  
**shows** "∃a∈A. a' .= a"  
*<proof>*

**lemma set\_eqE:**  
**fixes** R (structure)  
**assumes** "A {.=} B"  
**and** "[[ ∀a ∈ A. a .∈ B; ∀b ∈ B. b .∈ A ]] ⇒ P"  
**shows** "P"  
*<proof>*

**lemma set\_eqE2:**  
**fixes** R (structure)  
**assumes** "A {.=} B"  
**and** "[[ ∀a ∈ A. ∃b ∈ B. a .= b; ∀b ∈ B. ∃a ∈ A. b .= a ]] ⇒ P"  
**shows** "P"  
*<proof>*

**lemma set\_eqE':**  
**fixes** R (structure)  
**assumes** "A {.=} B" "a ∈ A" "b ∈ B"  
**and** "∧a' b'. [ a' ∈ A; b' ∈ B ] ⇒ b .= a' ⇒ a .= b' ⇒ P"  
**shows** "P"  
*<proof>*

**lemma (in equivalence) eq\_elem\_cong\_r [trans]:**  
**assumes** "A ⊆ carrier S" "A' ⊆ carrier S" "A {.=} A'"  
**shows** "[[ a ∈ carrier S ]] ⇒ a .∈ A ⇒ a .∈ A'"  
*<proof>*

**lemma (in equivalence) set\_eq\_sym [sym]:**  
**assumes** "A ⊆ carrier S" "B ⊆ carrier S"  
**shows** "A {.=} B ⇒ B {.=} A"  
*<proof>*

**lemma (in equivalence) equal\_set\_eq\_trans [trans]:**  
**"[[ A = B; B {.=} C ]] ⇒ A {.=} C"**  
*<proof>*

**lemma (in equivalence) set\_eq\_equal\_trans [trans]:**  
**"[[ A {.=} B; B = C ]] ⇒ A {.=} C"**  
*<proof>*

**lemma (in equivalence) set\_eq\_trans\_aux:**  
**assumes** "A ⊆ carrier S" "B ⊆ carrier S" "C ⊆ carrier S"



```

    and "A {.=} B" "B {.=} C"
  shows " $\bigwedge a. a \in A \implies a \in C$ "
  <proof>

```

```

corollary (in equivalence) set_eq_trans [trans]:
  assumes "A  $\subseteq$  carrier S" "B  $\subseteq$  carrier S" "C  $\subseteq$  carrier S"
    and "A {.=} B" "B {.=} C"
  shows "A {.=} C"
  <proof>

```

```

lemma (in equivalence) is_closedI:
  assumes closed: " $\bigwedge x y. [x \text{ .= } y; x \in A; y \in \text{carrier } S] \implies y \in A$ "
    and S: "A  $\subseteq$  carrier S"
  shows "is_closed A"
  <proof>

```

```

lemma (in equivalence) closure_of_eq:
  assumes "A  $\subseteq$  carrier S" "x  $\in$  closure_of A"
  shows "[ x'  $\in$  carrier S; x \text{ .= } x' ]  $\implies$  x'  $\in$  closure_of A"
  <proof>

```

```

lemma (in equivalence) is_closed_eq [dest]:
  assumes "is_closed A" "x  $\in$  A"
  shows "[ x \text{ .= } x'; x'  $\in$  carrier S ]  $\implies$  x'  $\in$  A"
  <proof>

```

```

corollary (in equivalence) is_closed_eq_rev [dest]:
  assumes "is_closed A" "x'  $\in$  A"
  shows "[ x \text{ .= } x'; x  $\in$  carrier S ]  $\implies$  x  $\in$  A"
  <proof>

```

```

lemma closure_of_closed [simp, intro]:
  fixes S (structure)
  shows "closure_of A  $\subseteq$  carrier S"
  <proof>

```

```

lemma closure_of_memI:
  fixes S (structure)
  assumes "a  $\in$  A" "a  $\in$  carrier S"
  shows "a  $\in$  closure_of A"
  <proof>

```

```

lemma closure_ofI2:
  fixes S (structure)
  assumes "a \text{ .= } a'" and "a'  $\in$  A" and "a  $\in$  carrier S"
  shows "a  $\in$  closure_of A"
  <proof>

```

```

lemma closure_of_memE:

```

```

fixes S (structure)
assumes "a ∈ closure_of A"
  and "[a ∈ carrier S; a .∈ A] ⇒ P"
shows "P"
  <proof>

lemma closure_ofE2:
fixes S (structure)
assumes "a ∈ closure_of A"
  and "∧a'. [a ∈ carrier S; a' ∈ A; a .= a'] ⇒ P"
shows "P"
  <proof>

lemma (in partition) equivalence_from_partition:
  "equivalence (| carrier = A, eq = (λx y. y ∈ (THE b. b ∈ B ∧ x ∈ b)))"
  <proof>

lemma (in partition) partition_coverture: "∪B = A"
  <proof>

lemma (in partition) disjoint_union:
  assumes "b1 ∈ B" "b2 ∈ B"
  and "b1 ∩ b2 ≠ {}"
  shows "b1 = b2"
  <proof>

lemma partitionI:
  fixes A :: "'a set" and B :: "('a set) set"
  assumes "∪B = A"
  and "∧b1 b2. [ b1 ∈ B; b2 ∈ B ] ⇒ b1 ∩ b2 ≠ {} ⇒ b1 = b2"
  shows "partition A B"
  <proof>

lemma (in partition) remove_elem:
  assumes "b ∈ B"
  shows "partition (A - b) (B - {b})"
  <proof>

lemma disjoint_sum:
  "[ finite B; finite A; partition A B ] ⇒ (∑ b∈B. ∑ a∈b. f a) = (∑ a∈A. f a)"
  <proof>

lemma (in partition) disjoint_sum:
  assumes "finite A"
  shows "(∑ b∈B. ∑ a∈b. f a) = (∑ a∈A. f a)"
  <proof>

```

```

lemma (in equivalence) set_eq_insert_aux:
  assumes "A  $\subseteq$  carrier S"
    and "x  $\in$  carrier S" "x'  $\in$  carrier S" "x .= x'"
    and "y  $\in$  insert x A"
  shows "y  $\in$  insert x' A"
  <proof>

corollary (in equivalence) set_eq_insert:
  assumes "A  $\subseteq$  carrier S"
    and "x  $\in$  carrier S" "x'  $\in$  carrier S" "x .= x'"
  shows "insert x A  $\{.\} =$  insert x' A"
  <proof>

lemma (in equivalence) set_eq_pairI:
  assumes xx': "x .= x'"
    and carr: "x  $\in$  carrier S" "x'  $\in$  carrier S" "y  $\in$  carrier S"
  shows "{x, y}  $\{.\} =$  {x', y}"
  <proof>

lemma (in equivalence) closure_inclusion:
  assumes "A  $\subseteq$  B"
  shows "closure_of A  $\subseteq$  closure_of B"
  <proof>

lemma (in equivalence) classes_small:
  assumes "is_closed B"
    and "A  $\subseteq$  B"
  shows "closure_of A  $\subseteq$  B"
  <proof>

lemma (in equivalence) classes_eq:
  assumes "A  $\subseteq$  carrier S"
  shows "A  $\{.\} =$  closure_of A"
  <proof>

lemma (in equivalence) complete_classes:
  assumes "is_closed A"
  shows "A = closure_of A"
  <proof>

lemma (in equivalence) closure_idem_weak:
  shows "closure_of (closure_of A)  $\{.\} =$  closure_of A"
  <proof>

lemma (in equivalence) closure_idem_strong:
  assumes "A  $\subseteq$  carrier S"
  shows "closure_of (closure_of A) = closure_of A"
  <proof>

```

```

lemma (in equivalence) classes_consistent:
  assumes "A  $\subseteq$  carrier S"
  shows "is_closed (closure_of A)"
  <proof>

lemma (in equivalence) classes_coverture:
  " $\bigcup$  classes = carrier S"
  <proof>

lemma (in equivalence) disjoint_union:
  assumes "class1  $\in$  classes" "class2  $\in$  classes"
  and "class1  $\cap$  class2  $\neq$  {}"
  shows "class1 = class2"
  <proof>

lemma (in equivalence) partition_from_equivalence:
  "partition (carrier S) classes"
  <proof>

lemma (in equivalence) disjoint_sum:
  assumes "finite (carrier S)"
  shows " $(\sum c \in \text{classes}. \sum x \in c. f x) = (\sum x \in (\text{carrier S}). f x)$ "
  <proof>

end

theory Order
  imports
    Congruence
begin

```

## 2 Orders

### 2.1 Partial Orders

```

record 'a gorder = "'a eq_object" +
  le :: "[ 'a, 'a ] => bool" (infixl " $\sqsubseteq$ " 50)

abbreviation inv_gorder :: "_  $\Rightarrow$  'a gorder" where
  "inv_gorder L  $\equiv$ 
  (| carrier = carrier L,
    eq = (.=L),
    le = ( $\lambda$  x y. y  $\sqsubseteq_L$  x) |)"

lemma inv_gorder_inv:
  "inv_gorder (inv_gorder L) = L"
  <proof>

```

```

locale weak_partial_order = equivalence L for L (structure) +
  assumes le_refl [intro, simp]:
    "x ∈ carrier L ⇒ x ⊆ x"
  and weak_le_antisym [intro]:
    "[x ⊆ y; y ⊆ x; x ∈ carrier L; y ∈ carrier L] ⇒ x .= y"
  and le_trans [trans]:
    "[x ⊆ y; y ⊆ z; x ∈ carrier L; y ∈ carrier L; z ∈ carrier L] ⇒
x ⊆ z"
  and le_cong:
    "[x .= y; z .= w; x ∈ carrier L; y ∈ carrier L; z ∈ carrier L; w
∈ carrier L] ⇒
  x ⊆ z ↔ y ⊆ w"

```

**definition**

```

lless :: "[_, 'a, 'a] => bool" (infixl "⊆L" 50)
where "x ⊆L y ↔ x ⊆L y ∧ x ≠L y"

```

### 2.1.1 The order relation

```

context weak_partial_order
begin

```

```

lemma le_cong_l [intro, trans]:
  "[x .= y; y ⊆ z; x ∈ carrier L; y ∈ carrier L; z ∈ carrier L] ⇒ x
⊆ z"
  <proof>

```

```

lemma le_cong_r [intro, trans]:
  "[x ⊆ y; y .= z; x ∈ carrier L; y ∈ carrier L; z ∈ carrier L] ⇒ x
⊆ z"
  <proof>

```

```

lemma weak_refl [intro, simp]: "[x .= y; x ∈ carrier L; y ∈ carrier
L] ⇒ x ⊆ y"
  <proof>

```

**end**

```

lemma weak_llessI:
  fixes R (structure)
  assumes "x ⊆ y" and "¬(x .= y)"
  shows "x ⊆L y"
  <proof>

```

```

lemma lless_imp_le:
  fixes R (structure)
  assumes "x ⊆L y"
  shows "x ⊆ y"
  <proof>

```

lemma weak\_lless\_imp\_not\_eq:

```

  fixes R (structure)
  assumes "x  $\sqsubset$  y"
  shows " $\neg$  (x .= y)"
  <proof>

```

lemma weak\_llessE:

```

  fixes R (structure)
  assumes p: "x  $\sqsubset$  y" and e: "[x  $\sqsubseteq$  y;  $\neg$  (x .= y)]  $\implies$  P"
  shows "P"
  <proof>

```

lemma (in weak\_partial\_order) lless\_cong\_l [trans]:

```

  assumes xx': "x .= x'"
  and xy: "x'  $\sqsubset$  y"
  and carr: "x  $\in$  carrier L" "x'  $\in$  carrier L" "y  $\in$  carrier L"
  shows "x  $\sqsubset$  y"
  <proof>

```

lemma (in weak\_partial\_order) lless\_cong\_r [trans]:

```

  assumes xy: "x  $\sqsubset$  y"
  and yy': "y .= y'"
  and carr: "x  $\in$  carrier L" "y  $\in$  carrier L" "y'  $\in$  carrier L"
  shows "x  $\sqsubset$  y'"
  <proof>

```

lemma (in weak\_partial\_order) lless\_antisym:

```

  assumes "a  $\in$  carrier L" "b  $\in$  carrier L"
  and "a  $\sqsubset$  b" "b  $\sqsubset$  a"
  shows "P"
  <proof>

```

lemma (in weak\_partial\_order) lless\_trans [trans]:

```

  assumes "a  $\sqsubset$  b" "b  $\sqsubset$  c"
  and carr[simp]: "a  $\in$  carrier L" "b  $\in$  carrier L" "c  $\in$  carrier L"
  shows "a  $\sqsubset$  c"
  <proof>

```

lemma weak\_partial\_order\_subset:

```

  assumes "weak_partial_order L" "A  $\subseteq$  carrier L"
  shows "weak_partial_order (L(| carrier := A |))"
  <proof>

```

## 2.1.2 Upper and lower bounds of a set

definition

```

Upper :: "[_, 'a set] => 'a set"

```

where "Upper L A = {u. ( $\forall x. x \in A \cap \text{carrier } L \longrightarrow x \sqsubseteq_L u$ )}  $\cap$  carrier L"

**definition**

Lower :: "[\_, 'a set] => 'a set"

where "Lower L A = {l. ( $\forall x. x \in A \cap \text{carrier } L \longrightarrow l \sqsubseteq_L x$ )}  $\cap$  carrier L"

**lemma** Lower\_dual [simp]:

"Lower (inv\_gorder L) A = Upper L A"

*<proof>*

**lemma** Upper\_dual [simp]:

"Upper (inv\_gorder L) A = Lower L A"

*<proof>*

**lemma** (in weak\_partial\_order) equivalence\_dual: "equivalence (inv\_gorder L)"

*<proof>*

**lemma** (in weak\_partial\_order) dual\_weak\_order: "weak\_partial\_order (inv\_gorder L)"

*<proof>*

**lemma** (in weak\_partial\_order) dual\_eq\_iff [simp]: "A {.=}inv\_gorder L A'  $\longleftrightarrow$  A {.=} A'"

*<proof>*

**lemma** dual\_weak\_order\_iff:

"weak\_partial\_order (inv\_gorder A)  $\longleftrightarrow$  weak\_partial\_order A"

*<proof>*

**lemma** Upper\_closed [iff]:

"Upper L A  $\subseteq$  carrier L"

*<proof>*

**lemma** Upper\_memD [dest]:

fixes L (structure)

shows "[u  $\in$  Upper L A; x  $\in$  A; A  $\subseteq$  carrier L]  $\Longrightarrow$  x  $\sqsubseteq$  u  $\wedge$  u  $\in$  carrier L"

*<proof>*

**lemma** (in weak\_partial\_order) Upper\_elemD [dest]:

"[u  $\in$  Upper L A; u  $\in$  carrier L; x  $\in$  A; A  $\subseteq$  carrier L]  $\Longrightarrow$  x  $\sqsubseteq$  u"

*<proof>*

**lemma** Upper\_memI:

fixes L (structure)

shows "[!! y. y  $\in$  A  $\Longrightarrow$  y  $\sqsubseteq$  x; x  $\in$  carrier L]  $\Longrightarrow$  x  $\in$  Upper L A"

*<proof>*

**lemma** (in weak\_partial\_order) Upper\_elemI:  
 "[[!! y. y ∈ A ⇒ y ⊆ x; x ∈ carrier L]] ⇒ x ∈ Upper L A"  
*<proof>*

**lemma** Upper\_antimono:  
 "A ⊆ B ⇒ Upper L B ⊆ Upper L A"  
*<proof>*

**lemma** (in weak\_partial\_order) Upper\_is\_closed [simp]:  
 "A ⊆ carrier L ⇒ is\_closed (Upper L A)"  
*<proof>*

**lemma** (in weak\_partial\_order) Upper\_mem\_cong:  
 assumes "a' ∈ carrier L" "A ⊆ carrier L" "a .= a'" "a ∈ Upper L A"  
 shows "a' ∈ Upper L A"  
*<proof>*

**lemma** (in weak\_partial\_order) Upper\_semi\_cong:  
 assumes "A ⊆ carrier L" "A {.=} A'"  
 shows "Upper L A ⊆ Upper L A'"  
*<proof>*

**lemma** (in weak\_partial\_order) Upper\_cong:  
 assumes "A ⊆ carrier L" "A' ⊆ carrier L" "A {.=} A'"  
 shows "Upper L A = Upper L A'"  
*<proof>*

**lemma** Lower\_closed [intro!, simp]:  
 "Lower L A ⊆ carrier L"  
*<proof>*

**lemma** Lower\_memD [dest]:  
 fixes L (structure)  
 shows "[l ∈ Lower L A; x ∈ A; A ⊆ carrier L] ⇒ l ⊆ x ∧ l ∈ carrier L"  
*<proof>*

**lemma** Lower\_memI:  
 fixes L (structure)  
 shows "[[!! y. y ∈ A ⇒ x ⊆ y; x ∈ carrier L]] ⇒ x ∈ Lower L A"  
*<proof>*

**lemma** Lower\_antimono:  
 "A ⊆ B ⇒ Lower L B ⊆ Lower L A"  
*<proof>*

**lemma** (in weak\_partial\_order) Lower\_is\_closed [simp]:



```
"A ⊆ carrier L ⇒ is_closed (Lower L A)"
⟨proof⟩
```

```
lemma (in weak_partial_order) Lower_mem_cong:
  assumes "a' ∈ carrier L" "A ⊆ carrier L" "a .= a'" "a ∈ Lower L A"
  shows "a' ∈ Lower L A"
⟨proof⟩
```

```
lemma (in weak_partial_order) Lower_cong:
  assumes "A ⊆ carrier L" "A' ⊆ carrier L" "A {.=} A'"
  shows "Lower L A = Lower L A'"
⟨proof⟩
```

Jacobson: Theorem 8.1

```
lemma Lower_empty [simp]:
  "Lower L {} = carrier L"
⟨proof⟩
```

```
lemma Upper_empty [simp]:
  "Upper L {} = carrier L"
⟨proof⟩
```

### 2.1.3 Least and greatest, as predicate

**definition**

```
least :: "[_, 'a, 'a set] => bool"
where "least L l A ↔ A ⊆ carrier L ∧ l ∈ A ∧ (∀x∈A. l ⊆L x)"
```

**definition**

```
greatest :: "[_, 'a, 'a set] => bool"
where "greatest L g A ↔ A ⊆ carrier L ∧ g ∈ A ∧ (∀x∈A. x ⊆L g)"
```

Could weaken these to  $l \in \text{carrier } L \wedge l \in A$  and  $g \in \text{carrier } L \wedge g \in A$ .

```
lemma least_dual [simp]:
  "least (inv_gorder L) x A = greatest L x A"
⟨proof⟩
```

```
lemma greatest_dual [simp]:
  "greatest (inv_gorder L) x A = least L x A"
⟨proof⟩
```

```
lemma least_closed [intro, simp]:
  "least L l A ⇒ l ∈ carrier L"
⟨proof⟩
```

```
lemma least_mem:
  "least L l A ⇒ l ∈ A"
⟨proof⟩
```

```

lemma (in weak_partial_order) weak_least_unique:
  "[[least L x A; least L y A]]  $\implies$  x .= y"
  <proof>

lemma least_le:
  fixes L (structure)
  shows "[[least L x A; a  $\in$  A]]  $\implies$  x  $\sqsubseteq$  a"
  <proof>

lemma (in weak_partial_order) least_cong:
  "[[x .= x'; x  $\in$  carrier L; x'  $\in$  carrier L; is_closed A]]  $\implies$  least L x
A = least L x' A"
  <proof>

abbreviation is_lub :: "[_, 'a, 'a set]  $\implies$  bool"
where "is_lub L x A  $\equiv$  least L x (Upper L A)"

least is not congruent in the second parameter for A  $\{.\} A'$ 

lemma (in weak_partial_order) least_Upper_cong_l:
  assumes "x .= x'"
  and "x  $\in$  carrier L" "x'  $\in$  carrier L"
  and "A  $\subseteq$  carrier L"
  shows "least L x (Upper L A) = least L x' (Upper L A)"
  <proof>

lemma (in weak_partial_order) least_Upper_cong_r:
  assumes "A  $\subseteq$  carrier L" "A'  $\subseteq$  carrier L" "A  $\{.\} A'$ "
  shows "least L x (Upper L A) = least L x (Upper L A)"
  <proof>

lemma least_UpperI:
  fixes L (structure)
  assumes above: "!! x. x  $\in$  A  $\implies$  x  $\sqsubseteq$  s"
  and below: "!! y. y  $\in$  Upper L A  $\implies$  s  $\sqsubseteq$  y"
  and L: "A  $\subseteq$  carrier L" "s  $\in$  carrier L"
  shows "least L s (Upper L A)"
  <proof>

lemma least_Upper_above:
  fixes L (structure)
  shows "[[least L s (Upper L A); x  $\in$  A; A  $\subseteq$  carrier L]]  $\implies$  x  $\sqsubseteq$  s"
  <proof>

lemma greatest_closed [intro, simp]:
  "greatest L 1 A  $\implies$  1  $\in$  carrier L"
  <proof>

lemma greatest_mem:

```

"greatest L l A  $\implies$  l  $\in$  A"  
 $\langle$ proof $\rangle$

lemma (in weak\_partial\_order) weak\_greatest\_unique:  
 "[[greatest L x A; greatest L y A]]  $\implies$  x .= y"  
 $\langle$ proof $\rangle$

lemma greatest\_le:  
 fixes L (structure)  
 shows "[[greatest L x A; a  $\in$  A]]  $\implies$  a  $\sqsubseteq$  x"  
 $\langle$ proof $\rangle$

lemma (in weak\_partial\_order) greatest\_cong:  
 "[[x .= x'; x  $\in$  carrier L; x'  $\in$  carrier L; is\_closed A]]  $\implies$   
 greatest L x A = greatest L x' A"  
 $\langle$ proof $\rangle$

abbreviation is\_glb :: "[\_, 'a, 'a set]  $\implies$  bool"  
 where "is\_glb L x A  $\equiv$  greatest L x (Lower L A)"

greatest is not congruent in the second parameter for A  $\{.\} A'$

lemma (in weak\_partial\_order) greatest\_Lower\_cong\_l:  
 assumes "x .= x'"  
 and "x  $\in$  carrier L" "x'  $\in$  carrier L"  
 shows "greatest L x (Lower L A) = greatest L x' (Lower L A)"  
 $\langle$ proof $\rangle$

lemma (in weak\_partial\_order) greatest\_Lower\_cong\_r:  
 assumes "A  $\subseteq$  carrier L" "A'  $\subseteq$  carrier L" "A  $\{.\} A'$ "  
 shows "greatest L x (Lower L A) = greatest L x (Lower L A)'"  
 $\langle$ proof $\rangle$

lemma greatest\_LowerI:  
 fixes L (structure)  
 assumes below: "!! x. x  $\in$  A  $\implies$  i  $\sqsubseteq$  x"  
 and above: "!! y. y  $\in$  Lower L A  $\implies$  y  $\sqsubseteq$  i"  
 and L: "A  $\subseteq$  carrier L" "i  $\in$  carrier L"  
 shows "greatest L i (Lower L A)"  
 $\langle$ proof $\rangle$

lemma greatest\_Lower\_below:  
 fixes L (structure)  
 shows "[[greatest L i (Lower L A); x  $\in$  A; A  $\subseteq$  carrier L]]  $\implies$  i  $\sqsubseteq$  x"  
 $\langle$ proof $\rangle$

## 2.1.4 Intervals

definition

at\_least\_at\_most :: "('a, 'c) gorder\_scheme  $\implies$  'a  $\implies$  'a  $\implies$  'a set" ("(1{...}i)")

```

where "{l..u}_A = {x ∈ carrier A. l ⊆_A x ∧ x ⊆_A u}"

context weak_partial_order
begin

lemma at_least_at_most_upper [dest]:
  "x ∈ {a..b} ⇒ x ⊆ b"
  <proof>

lemma at_least_at_most_lower [dest]:
  "x ∈ {a..b} ⇒ a ⊆ x"
  <proof>

lemma at_least_at_most_closed: "{a..b} ⊆ carrier L"
  <proof>

lemma at_least_at_most_member [intro]:
  "[x ∈ carrier L; a ⊆ x; x ⊆ b] ⇒ x ∈ {a..b}"
  <proof>

end

2.1.5 Isotone functions

definition isotone :: "('a, 'c) gorder_scheme ⇒ ('b, 'd) gorder_scheme
⇒ ('a ⇒ 'b) ⇒ bool"
where
  "isotone A B f ≡
  weak_partial_order A ∧ weak_partial_order B ∧
  (∀x∈carrier A. ∀y∈carrier A. x ⊆_A y → f x ⊆_B f y)"

lemma isotoneI [intro?]:
  fixes f :: "'a ⇒ 'b"
  assumes "weak_partial_order L1"
    "weak_partial_order L2"
    "(∧x y. [x ∈ carrier L1; y ∈ carrier L1; x ⊆_L1 y]
    ⇒ f x ⊆_L2 f y)"
  shows "isotone L1 L2 f"
  <proof>

abbreviation Monotone :: "('a, 'b) gorder_scheme ⇒ ('a ⇒ 'a) ⇒ bool"
("Monoι")
where "Monotone L f ≡ isotone L L f"

lemma use_iso1:
  "[[isotone A A f; x ∈ carrier A; y ∈ carrier A; x ⊆_A y] ⇒
  f x ⊆_A f y"
  <proof>

```

```

lemma use_iso2:
  "[[isotone A B f; x ∈ carrier A; y ∈ carrier A; x ⊆A y]] ⇒
   f x ⊆B f y"
  <proof>

```

```

lemma iso_compose:
  "[[f ∈ carrier A → carrier B; isotone A B f; g ∈ carrier B → carrier
C; isotone B C g]] ⇒
  isotone A C (g ∘ f)"
  <proof>

```

```

lemma (in weak_partial_order) inv_isotone [simp]:
  "isotone (inv_gorder A) (inv_gorder B) f = isotone A B f"
  <proof>

```

### 2.1.6 Idempotent functions

```

definition idempotent ::
  "('a, 'b) gorder_scheme ⇒ ('a ⇒ 'a) ⇒ bool" ("Idemz") where
  "idempotent L f ≡ ∀x∈carrier L. f (f x) .=L f x"

```

```

lemma (in weak_partial_order) idempotent:
  "[[Idem f; x ∈ carrier L]] ⇒ f (f x) .= f x"
  <proof>

```

### 2.1.7 Order embeddings

```

definition order_emb :: "('a, 'c) gorder_scheme ⇒ ('b, 'd) gorder_scheme
⇒ ('a ⇒ 'b) ⇒ bool"
where
  "order_emb A B f ≡ weak_partial_order A
    ∧ weak_partial_order B
    ∧ (∀x∈carrier A. ∀y∈carrier A. f x ⊆B f y ↔ x ⊆A
y )"

```

```

lemma order_emb_isotone: "order_emb A B f ⇒ isotone A B f"
  <proof>

```

### 2.1.8 Commuting functions

```

definition commuting :: "('a, 'c) gorder_scheme ⇒ ('a ⇒ 'a) ⇒ ('a ⇒
'a) ⇒ bool" where
  "commuting A f g = (∀x∈carrier A. (f ∘ g) x .=A (g ∘ f) x)"

```

## 2.2 Partial orders where eq is the Equality

```

locale partial_order = weak_partial_order +
  assumes eq_is_equal: "(.=) = (=)"
begin

```

```
declare weak_le_antisym [rule del]
```

```
lemma le_antisym [intro]:
```

```
"[[x  $\sqsubseteq$  y; y  $\sqsubseteq$  x; x  $\in$  carrier L; y  $\in$  carrier L]]  $\implies$  x = y"
<proof>
```

```
lemma lless_eq:
```

```
"x  $\sqsubset$  y  $\longleftrightarrow$  x  $\sqsubseteq$  y  $\wedge$  x  $\neq$  y"
<proof>
```

```
lemma set_eq_is_eq: "A {.=} B  $\longleftrightarrow$  A = B"
```

```
<proof>
```

```
end
```

```
lemma (in partial_order) dual_order:
```

```
"partial_order (inv_gorder L)"
<proof>
```

```
lemma dual_order_iff:
```

```
"partial_order (inv_gorder A)  $\longleftrightarrow$  partial_order A"
<proof>
```

Least and greatest, as predicate

```
lemma (in partial_order) least_unique:
```

```
"[[least L x A; least L y A]]  $\implies$  x = y"
<proof>
```

```
lemma (in partial_order) greatest_unique:
```

```
"[[greatest L x A; greatest L y A]]  $\implies$  x = y"
<proof>
```

## 2.3 Bounded Orders

```
definition
```

```
top :: "_ => 'a" ("⊤") where
"⊤L = (SOME x. greatest L x (carrier L))"
```

```
definition
```

```
bottom :: "_ => 'a" ("⊥") where
"⊥L = (SOME x. least L x (carrier L))"
```

```
locale weak_partial_order_bottom = weak_partial_order L for L (structure)
```

```
+
```

```
assumes bottom_exists: " $\exists$  x. least L x (carrier L)"
```

```
begin
```

```
lemma bottom_least: "least L ⊥ (carrier L)"
```

```
<proof>
```

```
lemma bottom_closed [simp, intro]:
  " $\perp \in \text{carrier } L$ "
  <proof>
```

```
lemma bottom_lower [simp, intro]:
  " $x \in \text{carrier } L \implies \perp \sqsubseteq x$ "
  <proof>
```

end

```
locale weak_partial_order_top = weak_partial_order L for L (structure)
+
  assumes top_exists: " $\exists x. \text{greatest } L \ x \ (\text{carrier } L)$ "
begin
```

```
lemma top_greatest: "greatest L  $\top$  (carrier L)"
  <proof>
```

```
lemma top_closed [simp, intro]:
  " $\top \in \text{carrier } L$ "
  <proof>
```

```
lemma top_higher [simp, intro]:
  " $x \in \text{carrier } L \implies x \sqsubseteq \top$ "
  <proof>
```

end

## 2.4 Total Orders

```
locale weak_total_order = weak_partial_order +
  assumes total: " $\llbracket x \in \text{carrier } L; y \in \text{carrier } L \rrbracket \implies x \sqsubseteq y \vee y \sqsubseteq x$ "
```

Introduction rule: the usual definition of total order

```
lemma (in weak_partial_order) weak_total_orderI:
  assumes total: " $\llbracket x \in \text{carrier } L; y \in \text{carrier } L \rrbracket \implies x \sqsubseteq y \vee y \sqsubseteq x$ "
  shows "weak_total_order L"
  <proof>
```

## 2.5 Total orders where eq is the Equality

```
locale total_order = partial_order +
  assumes total_order_total: " $\llbracket x \in \text{carrier } L; y \in \text{carrier } L \rrbracket \implies x \sqsubseteq y \vee y \sqsubseteq x$ "
```

```
sublocale total_order < weak?: weak_total_order
  <proof>
```

Introduction rule: the usual definition of total order

```

lemma (in partial_order) total_orderI:
  assumes total: "!!x y. [x ∈ carrier L; y ∈ carrier L] ⇒ x ⊆ y ∨ y
  ⊆ x"
  shows "total_order L"
  <proof>

end

```

```

theory Lattice
imports Order
begin

```

### 3 Lattices

#### 3.1 Supremum and infimum

```

definition
  sup :: "[_, 'a set] => 'a" ("⊔" [90] 90)
  where "⊔LA = (SOME x. least L x (Upper L A))"

```

```

definition
  inf :: "[_, 'a set] => 'a" ("⊓" [90] 90)
  where "⊓LA = (SOME x. greatest L x (Lower L A))"

```

```

definition supr ::
  "('a, 'b) gorder_scheme ⇒ 'c set ⇒ ('c ⇒ 'a) ⇒ 'a "
  where "supr L A f = ⊔L(f ` A)"

```

```

definition infi ::
  "('a, 'b) gorder_scheme ⇒ 'c set ⇒ ('c ⇒ 'a) ⇒ 'a "
  where "infi L A f = ⊓L(f ` A)"

```

```

syntax
  "_infi1"      :: "('a, 'b) gorder_scheme ⇒ pptrns ⇒ 'a ⇒ 'a" ("(3IINFι
  _./ _)" [0, 10] 10)
  "_inf"       :: "('a, 'b) gorder_scheme ⇒ pptrn ⇒ 'c set ⇒ 'a ⇒ 'a"
  ("(3IINFι _:./ _)" [0, 0, 10] 10)
  "_sup1"      :: "('a, 'b) gorder_scheme ⇒ pptrns ⇒ 'a ⇒ 'a" ("(3SSUPι
  _./ _)" [0, 10] 10)
  "_sup"       :: "('a, 'b) gorder_scheme ⇒ pptrn ⇒ 'c set ⇒ 'a ⇒ 'a"
  ("(3SSUPι _:./ _)" [0, 0, 10] 10)

```

```

translations
  "IINFL x. B"      == "CONST infi L CONST UNIV (%x. B)"
  "IINFL x:A. B"    == "CONST infi L A (%x. B)"
  "SSUPL x. B"     == "CONST supr L CONST UNIV (%x. B)"
  "SSUPL x:A. B"   == "CONST supr L A (%x. B)"

```



**definition**

```
join :: "[_, 'a, 'a] => 'a" (infixl "⊔" 65)
where "x ⊔L y = ⊔L{x, y}"
```

**definition**

```
meet :: "[_, 'a, 'a] => 'a" (infixl "⊓" 70)
where "x ⊓L y = ⊓L{x, y}"
```

**definition**

```
LEAST_FP :: "('a, 'b) gorder_scheme => ('a => 'a) => 'a" ("LFPz") where
"LEAST_FP L f = ⊓L {u ∈ carrier L. f u ⊆L u}" — least fixed point
```

**definition**

```
GREATEST_FP :: "('a, 'b) gorder_scheme => ('a => 'a) => 'a" ("GFPz")
where
"GREATEST_FP L f = ⊔L {u ∈ carrier L. u ⊆L f u}" — greatest fixed
point
```

### 3.2 Dual operators

```
lemma sup_dual [simp]:
"⊔inv_gorder L A = ⊓L A"
<proof>
```

```
lemma inf_dual [simp]:
"⊓inv_gorder L A = ⊔L A"
<proof>
```

```
lemma join_dual [simp]:
"p ⊔inv_gorder L q = p ⊓L q"
<proof>
```

```
lemma meet_dual [simp]:
"p ⊓inv_gorder L q = p ⊔L q"
<proof>
```

```
lemma top_dual [simp]:
"⊔inv_gorder L = ⊥L"
<proof>
```

```
lemma bottom_dual [simp]:
"⊥inv_gorder L = ⊔L"
<proof>
```

```
lemma LFP_dual [simp]:
"LEAST_FP (inv_gorder L) f = GREATEST_FP L f"
<proof>
```

```
lemma GFP_dual [simp]:
  "GREATEST_FP (inv_gorder L) f = LEAST_FP L f"
  <proof>
```

### 3.3 Lattices

```
locale weak_upper_semilattice = weak_partial_order +
  assumes sup_of_two_exists:
    "[| x ∈ carrier L; y ∈ carrier L |] ==> ∃s. least L s (Upper L {x,
y})"
```

```
locale weak_lower_semilattice = weak_partial_order +
  assumes inf_of_two_exists:
    "[| x ∈ carrier L; y ∈ carrier L |] ==> ∃s. greatest L s (Lower L
{x, y})"
```

```
locale weak_lattice = weak_upper_semilattice + weak_lower_semilattice
```

```
lemma (in weak_lattice) dual_weak_lattice:
  "weak_lattice (inv_gorder L)"
  <proof>
```

#### 3.3.1 Supremum

```
lemma (in weak_upper_semilattice) joinI:
  "[| !!l. least L l (Upper L {x, y}) ==> P l; x ∈ carrier L; y ∈ carrier
L |]
  ==> P (x ⊔ y)"
  <proof>
```

```
lemma (in weak_upper_semilattice) join_closed [simp]:
  "[| x ∈ carrier L; y ∈ carrier L |] ==> x ⊔ y ∈ carrier L"
  <proof>
```

```
lemma (in weak_upper_semilattice) join_cong_l:
  assumes carr: "x ∈ carrier L" "x' ∈ carrier L" "y ∈ carrier L"
    and xx': "x .= x'"
  shows "x ⊔ y .= x' ⊔ y"
  <proof>
```

```
lemma (in weak_upper_semilattice) join_cong_r:
  assumes carr: "x ∈ carrier L" "y ∈ carrier L" "y' ∈ carrier L"
    and yy': "y .= y'"
  shows "x ⊔ y .= x ⊔ y'"
  <proof>
```

```
lemma (in weak_partial_order) sup_of_singletonI:
  "x ∈ carrier L ==> least L x (Upper L {x})"
  <proof>
```

```
lemma (in weak_partial_order) weak_sup_of_singleton [simp]:
  "x ∈ carrier L ==> ⋒{x} .= x"
  ⟨proof⟩
```

```
lemma (in weak_partial_order) sup_of_singleton_closed [simp]:
  "x ∈ carrier L ==> ⋒{x} ∈ carrier L"
  ⟨proof⟩
```

Condition on A: supremum exists.

```
lemma (in weak_upper_semilattice) sup_insertI:
  "[!|s. least L s (Upper L (insert x A)) ==> P s;
  least L a (Upper L A); x ∈ carrier L; A ⊆ carrier L |]
  ==> P (⋒(insert x A))"
  ⟨proof⟩
```

```
lemma (in weak_upper_semilattice) finite_sup_least:
  "[| finite A; A ⊆ carrier L; A ≠ {} |] ==> least L (⋒A) (Upper L A)"
  ⟨proof⟩
```

```
lemma (in weak_upper_semilattice) finite_sup_insertI:
  assumes P: "!!l. least L l (Upper L (insert x A)) ==> P l"
  and xA: "finite A" "x ∈ carrier L" "A ⊆ carrier L"
  shows "P (⋒(insert x A))"
  ⟨proof⟩
```

```
lemma (in weak_upper_semilattice) finite_sup_closed [simp]:
  "[| finite A; A ⊆ carrier L; A ≠ {} |] ==> ⋒A ∈ carrier L"
  ⟨proof⟩
```

```
lemma (in weak_upper_semilattice) join_left:
  "[| x ∈ carrier L; y ∈ carrier L |] ==> x ⊑ x ⊔ y"
  ⟨proof⟩
```

```
lemma (in weak_upper_semilattice) join_right:
  "[| x ∈ carrier L; y ∈ carrier L |] ==> y ⊑ x ⊔ y"
  ⟨proof⟩
```

```
lemma (in weak_upper_semilattice) sup_of_two_least:
  "[| x ∈ carrier L; y ∈ carrier L |] ==> least L (⋒{x, y}) (Upper L
{x, y})"
  ⟨proof⟩
```

```
lemma (in weak_upper_semilattice) join_le:
  assumes sub: "x ⊑ z" "y ⊑ z"
  and x: "x ∈ carrier L" and y: "y ∈ carrier L" and z: "z ∈ carrier
L"
  shows "x ⊔ y ⊑ z"
  ⟨proof⟩
```

```
lemma (in weak_lattice) weak_le_iff_meet:
  assumes "x ∈ carrier L" "y ∈ carrier L"
  shows "x ⊑ y ↔ (x ⊔ y) .= y"
  ⟨proof⟩
```

```
lemma (in weak_upper_semilattice) weak_join_assoc_lemma:
  assumes L: "x ∈ carrier L" "y ∈ carrier L" "z ∈ carrier L"
  shows "x ⊔ (y ⊔ z) .= ⊔{x, y, z}"
  ⟨proof⟩
```

Commutativity holds for  $\sqcup$ .

```
lemma join_comm:
  fixes L (structure)
  shows "x ⊔ y = y ⊔ x"
  ⟨proof⟩
```

```
lemma (in weak_upper_semilattice) weak_join_assoc:
  assumes L: "x ∈ carrier L" "y ∈ carrier L" "z ∈ carrier L"
  shows "(x ⊔ y) ⊔ z .= x ⊔ (y ⊔ z)"
  ⟨proof⟩
```

### 3.3.2 Infimum

```
lemma (in weak_lower_semilattice) meetI:
  "[| !!i. greatest L i (Lower L {x, y}) ==> P i;
  x ∈ carrier L; y ∈ carrier L |]
  ==> P (x ⊓ y)"
  ⟨proof⟩
```

```
lemma (in weak_lower_semilattice) meet_closed [simp]:
  "[| x ∈ carrier L; y ∈ carrier L |] ==> x ⊓ y ∈ carrier L"
  ⟨proof⟩
```

```
lemma (in weak_lower_semilattice) meet_cong_l:
  assumes carr: "x ∈ carrier L" "x' ∈ carrier L" "y ∈ carrier L"
  and xx': "x .= x'"
  shows "x ⊓ y .= x' ⊓ y"
  ⟨proof⟩
```

```
lemma (in weak_lower_semilattice) meet_cong_r:
  assumes carr: "x ∈ carrier L" "y ∈ carrier L" "y' ∈ carrier L"
  and yy': "y .= y'"
  shows "x ⊓ y .= x ⊓ y'"
  ⟨proof⟩
```

```
lemma (in weak_partial_order) inf_of_singletonI:
  "x ∈ carrier L ==> greatest L x (Lower L {x})"
  ⟨proof⟩
```

```
lemma (in weak_partial_order) weak_inf_of_singleton [simp]:
  "x ∈ carrier L ==>  $\bigcap$ {x} .= x"
  <proof>
```

```
lemma (in weak_partial_order) inf_of_singleton_closed:
  "x ∈ carrier L ==>  $\bigcap$ {x} ∈ carrier L"
  <proof>
```

Condition on A: infimum exists.

```
lemma (in weak_lower_semilattice) inf_insertI:
  "[| !!i. greatest L i (Lower L (insert x A)) ==> P i;
  greatest L a (Lower L A); x ∈ carrier L; A ⊆ carrier L |]
  ==> P ( $\bigcap$ (insert x A))"
  <proof>
```

```
lemma (in weak_lower_semilattice) finite_inf_greatest:
  "[| finite A; A ⊆ carrier L; A ≠ {} |] ==> greatest L ( $\bigcap$ A) (Lower
  L A)"
  <proof>
```

```
lemma (in weak_lower_semilattice) finite_inf_insertI:
  assumes P: "!!i. greatest L i (Lower L (insert x A)) ==> P i"
  and xA: "finite A" "x ∈ carrier L" "A ⊆ carrier L"
  shows "P ( $\bigcap$  (insert x A))"
  <proof>
```

```
lemma (in weak_lower_semilattice) finite_inf_closed [simp]:
  "[| finite A; A ⊆ carrier L; A ≠ {} |] ==>  $\bigcap$ A ∈ carrier L"
  <proof>
```

```
lemma (in weak_lower_semilattice) meet_left:
  "[| x ∈ carrier L; y ∈ carrier L |] ==> x  $\sqcap$  y ⊆ x"
  <proof>
```

```
lemma (in weak_lower_semilattice) meet_right:
  "[| x ∈ carrier L; y ∈ carrier L |] ==> x  $\sqcap$  y ⊆ y"
  <proof>
```

```
lemma (in weak_lower_semilattice) inf_of_two_greatest:
  "[| x ∈ carrier L; y ∈ carrier L |] ==>
  greatest L ( $\bigcap$ {x, y}) (Lower L {x, y})"
  <proof>
```

```
lemma (in weak_lower_semilattice) meet_le:
  assumes sub: "z ⊆ x" "z ⊆ y"
  and x: "x ∈ carrier L" and y: "y ∈ carrier L" and z: "z ∈ carrier
  L"
  shows "z ⊆ x  $\sqcap$  y"
  <proof>
```

```

lemma (in weak_lattice) weak_le_iff_join:
  assumes "x ∈ carrier L" "y ∈ carrier L"
  shows "x ⊆ y ↔ x .= (x ⊓ y)"
  ⟨proof⟩

```

```

lemma (in weak_lower_semilattice) weak_meet_assoc_lemma:
  assumes L: "x ∈ carrier L" "y ∈ carrier L" "z ∈ carrier L"
  shows "x ⊓ (y ⊓ z) .= ⊓ {x, y, z}"
  ⟨proof⟩

```

```

lemma meet_comm:
  fixes L (structure)
  shows "x ⊓ y = y ⊓ x"
  ⟨proof⟩

```

```

lemma (in weak_lower_semilattice) weak_meet_assoc:
  assumes L: "x ∈ carrier L" "y ∈ carrier L" "z ∈ carrier L"
  shows "(x ⊓ y) ⊓ z .= x ⊓ (y ⊓ z)"
  ⟨proof⟩

```

Total orders are lattices.

```

sublocale weak_total_order ⊆ weak?: weak_lattice
  ⟨proof⟩

```

### 3.4 Weak Bounded Lattices

```

locale weak_bounded_lattice =
  weak_lattice +
  weak_partial_order_bottom +
  weak_partial_order_top
begin

```

```

lemma bottom_meet: "x ∈ carrier L ⇒ ⊥ ⊓ x .= ⊥"
  ⟨proof⟩

```

```

lemma bottom_join: "x ∈ carrier L ⇒ ⊥ ⊔ x .= x"
  ⟨proof⟩

```

```

lemma bottom_weak_eq:
  "⊔ b ∈ carrier L; ⋀ x. x ∈ carrier L ⇒ b ⊆ x ] ⇒ b .= ⊥"
  ⟨proof⟩

```

```

lemma top_join: "x ∈ carrier L ⇒ ⊤ ⊔ x .= ⊤"
  ⟨proof⟩

```

```

lemma top_meet: "x ∈ carrier L ⇒ ⊤ ⊓ x .= x"
  ⟨proof⟩

```

```
lemma top_weak_eq: "[[ t ∈ carrier L; ∧ x. x ∈ carrier L ⇒ x ⊆ t
]] ⇒ t .= ⊤"
  <proof>
```

```
end
```

```
sublocale weak_bounded_lattice ⊆ weak_partial_order <proof>
```

### 3.5 Lattices where eq is the Equality

```
locale upper_semilattice = partial_order +
  assumes sup_of_two_exists:
    "[[ x ∈ carrier L; y ∈ carrier L ]] ⇒ ∃s. least L s (Upper L {x,
y})"
```

```
sublocale upper_semilattice ⊆ weak?: weak_upper_semilattice
  <proof>
```

```
locale lower_semilattice = partial_order +
  assumes inf_of_two_exists:
    "[[ x ∈ carrier L; y ∈ carrier L ]] ⇒ ∃s. greatest L s (Lower L
{x, y})"
```

```
sublocale lower_semilattice ⊆ weak?: weak_lower_semilattice
  <proof>
```

```
locale lattice = upper_semilattice + lower_semilattice
```

```
sublocale lattice ⊆ weak_lattice <proof>
```

```
lemma (in lattice) dual_lattice:
  "lattice (inv_gorder L)"
  <proof>
```

```
lemma (in lattice) le_iff_join:
  assumes "x ∈ carrier L" "y ∈ carrier L"
  shows "x ⊆ y ↔ x = (x ⊔ y)"
  <proof>
```

```
lemma (in lattice) le_iff_meet:
  assumes "x ∈ carrier L" "y ∈ carrier L"
  shows "x ⊆ y ↔ (x ⊓ y) = y"
  <proof>
```

Total orders are lattices.

```
sublocale total_order ⊆ weak?: lattice
  <proof>
```

Functions that preserve joins and meets

```

definition join_pres :: "('a, 'c) gorder_scheme  $\Rightarrow$  ('b, 'd) gorder_scheme
 $\Rightarrow$  ('a  $\Rightarrow$  'b)  $\Rightarrow$  bool" where
"join_pres X Y f  $\equiv$  lattice X  $\wedge$  lattice Y  $\wedge$  ( $\forall$  x  $\in$  carrier X.  $\forall$  y  $\in$  carrier
X. f (x  $\sqcup_X$  y) = f x  $\sqcup_Y$  f y)"

```

```

definition meet_pres :: "('a, 'c) gorder_scheme  $\Rightarrow$  ('b, 'd) gorder_scheme
 $\Rightarrow$  ('a  $\Rightarrow$  'b)  $\Rightarrow$  bool" where
"meet_pres X Y f  $\equiv$  lattice X  $\wedge$  lattice Y  $\wedge$  ( $\forall$  x  $\in$  carrier X.  $\forall$  y  $\in$  carrier
X. f (x  $\sqcap_X$  y) = f x  $\sqcap_Y$  f y)"

```

```

lemma join_pres_isotone:
  assumes "f  $\in$  carrier X  $\rightarrow$  carrier Y" "join_pres X Y f"
  shows "isotone X Y f"
  <proof>

```

```

lemma meet_pres_isotone:
  assumes "f  $\in$  carrier X  $\rightarrow$  carrier Y" "meet_pres X Y f"
  shows "isotone X Y f"
  <proof>

```

### 3.6 Bounded Lattices

```

locale bounded_lattice =
  lattice +
  weak_partial_order_bottom +
  weak_partial_order_top

```

```

sublocale bounded_lattice  $\subseteq$  weak_bounded_lattice <proof>

```

```

context bounded_lattice
begin

```

```

lemma bottom_eq:
  "[[ b  $\in$  carrier L;  $\bigwedge$  x. x  $\in$  carrier L  $\Rightarrow$  b  $\sqsubseteq$  x ]  $\Rightarrow$  b =  $\perp$ ]"
  <proof>

```

```

lemma top_eq: "[[ t  $\in$  carrier L;  $\bigwedge$  x. x  $\in$  carrier L  $\Rightarrow$  x  $\sqsubseteq$  t ]  $\Rightarrow$ 
t =  $\top$ ]"
  <proof>

```

```

end

```

```

hide_const (open) Lattice.inf
hide_const (open) Lattice.sup

```

```

end

```

```

theory Complete_Lattice

```



```
imports Lattice
begin
```

## 4 Complete Lattices

```
locale weak_complete_lattice = weak_partial_order +
  assumes sup_exists:
    "[| A  $\subseteq$  carrier L |] ==>  $\exists$ s. least L s (Upper L A)"
  and inf_exists:
    "[| A  $\subseteq$  carrier L |] ==>  $\exists$ i. greatest L i (Lower L A)"
```

```
sublocale weak_complete_lattice  $\subseteq$  weak_lattice
<proof>
```

Introduction rule: the usual definition of complete lattice

```
lemma (in weak_partial_order) weak_complete_latticeI:
  assumes sup_exists:
    "!!A. [| A  $\subseteq$  carrier L |] ==>  $\exists$ s. least L s (Upper L A)"
  and inf_exists:
    "!!A. [| A  $\subseteq$  carrier L |] ==>  $\exists$ i. greatest L i (Lower L A)"
  shows "weak_complete_lattice L"
<proof>
```

```
lemma (in weak_complete_lattice) dual_weak_complete_lattice:
  "weak_complete_lattice (inv_gorder L)"
<proof>
```

```
lemma (in weak_complete_lattice) supI:
  "[| !!l. least L l (Upper L A) ==> P l; A  $\subseteq$  carrier L |]
  ==> P ( $\bigsqcup$ A)"
<proof>
```

```
lemma (in weak_complete_lattice) sup_closed [simp]:
  "A  $\subseteq$  carrier L ==>  $\bigsqcup$ A  $\in$  carrier L"
<proof>
```

```
lemma (in weak_complete_lattice) sup_cong:
  assumes "A  $\subseteq$  carrier L" "B  $\subseteq$  carrier L" "A  $\{.\} B$ "
  shows " $\bigsqcup$  A  $\{.\} \bigsqcup$  B"
<proof>
```

```
sublocale weak_complete_lattice  $\subseteq$  weak_bounded_lattice
<proof>
```

```
lemma (in weak_complete_lattice) infI:
  "[| !!i. greatest L i (Lower L A) ==> P i; A  $\subseteq$  carrier L |]
  ==> P ( $\bigsqcap$ A)"
<proof>
```

```
lemma (in weak_complete_lattice) inf_closed [simp]:
  "A ⊆ carrier L ==> ⋂ A ∈ carrier L"
  <proof>
```

```
lemma (in weak_complete_lattice) inf_cong:
  assumes "A ⊆ carrier L" "B ⊆ carrier L" "A {.=} B"
  shows "⋂ A .= ⋂ B"
  <proof>
```

```
theorem (in weak_partial_order) weak_complete_lattice_criterion1:
  assumes top_exists: "∃g. greatest L g (carrier L)"
  and inf_exists:
    "⋀A. [| A ⊆ carrier L; A ≠ {} |] ==> ∃i. greatest L i (Lower L
A)"
  shows "weak_complete_lattice L"
  <proof>
```

Supremum

```
declare (in partial_order) weak_sup_of_singleton [simp del]
```

```
lemma (in partial_order) sup_of_singleton [simp]:
  "x ∈ carrier L ==> ⋈ {x} = x"
  <proof>
```

```
lemma (in upper_semilattice) join_assoc_lemma:
  assumes L: "x ∈ carrier L" "y ∈ carrier L" "z ∈ carrier L"
  shows "x ⋈ (y ⋈ z) = ⋈ {x, y, z}"
  <proof>
```

```
lemma (in upper_semilattice) join_assoc:
  assumes L: "x ∈ carrier L" "y ∈ carrier L" "z ∈ carrier L"
  shows "(x ⋈ y) ⋈ z = x ⋈ (y ⋈ z)"
  <proof>
```

Infimum

```
declare (in partial_order) weak_inf_of_singleton [simp del]
```

```
lemma (in partial_order) inf_of_singleton [simp]:
  "x ∈ carrier L ==> ⋂ {x} = x"
  <proof>
```

Condition on A: infimum exists.

```
lemma (in lower_semilattice) meet_assoc_lemma:
  assumes L: "x ∈ carrier L" "y ∈ carrier L" "z ∈ carrier L"
  shows "x ⋓ (y ⋓ z) = ⋓ {x, y, z}"
  <proof>
```

```
lemma (in lower_semilattice) meet_assoc:
  assumes L: "x ∈ carrier L" "y ∈ carrier L" "z ∈ carrier L"
```

```
shows "(x  $\sqcap$  y)  $\sqcap$  z = x  $\sqcap$  (y  $\sqcap$  z)"
<proof>
```

#### 4.1 Infimum Laws

```
context weak_complete_lattice
begin
```

```
lemma inf_glb:
  assumes "A  $\subseteq$  carrier L"
  shows "greatest L ( $\sqcap$ A) (Lower L A)"
<proof>
```

```
lemma inf_lower:
  assumes "A  $\subseteq$  carrier L" "x  $\in$  A"
  shows " $\sqcap$ A  $\sqsubseteq$  x"
<proof>
```

```
lemma inf_greatest:
  assumes "A  $\subseteq$  carrier L" "z  $\in$  carrier L"
  " ( $\bigwedge$ x. x  $\in$  A  $\implies$  z  $\sqsubseteq$  x)"
  shows "z  $\sqsubseteq$   $\sqcap$ A"
<proof>
```

```
lemma weak_inf_empty [simp]: " $\sqcap$ { } . =  $\top$ "
<proof>
```

```
lemma weak_inf_carrier [simp]: " $\sqcap$ carrier L . =  $\perp$ "
<proof>
```

```
lemma weak_inf_insert [simp]:
  assumes "a  $\in$  carrier L" "A  $\subseteq$  carrier L"
  shows " $\sqcap$ insert a A . = a  $\sqcap$   $\sqcap$ A"
<proof>
```

#### 4.2 Supremum Laws

```
lemma sup_lub:
  assumes "A  $\subseteq$  carrier L"
  shows "least L ( $\sqcup$ A) (Upper L A)"
<proof>
```

```
lemma sup_upper:
  assumes "A  $\subseteq$  carrier L" "x  $\in$  A"
  shows "x  $\sqsubseteq$   $\sqcup$ A"
<proof>
```

```
lemma sup_least:
  assumes "A  $\subseteq$  carrier L" "z  $\in$  carrier L"
  " ( $\bigwedge$ x. x  $\in$  A  $\implies$  x  $\sqsubseteq$  z)"
```

```

  shows " $\bigsqcup A \sqsubseteq z$ "
  <proof>

lemma weak_sup_empty [simp]: " $\bigsqcup \{\} = \perp$ "
  <proof>

lemma weak_sup_carrier [simp]: " $\bigsqcup \text{carrier } L = \top$ "
  <proof>

lemma weak_sup_insert [simp]:
  assumes "a ∈ carrier L" "A ⊆ carrier L"
  shows " $\bigsqcup \text{insert } a \ A = a \sqcup \bigsqcup A$ "
  <proof>

end



### 4.3 Fixed points of a lattice



definition "fps L f = {x ∈ carrier L. f x =L x}"

abbreviation "fpl L f ≡ L(carrier := fps L f)"

lemma (in weak_partial_order)
  use_fps: "x ∈ fps L f ⇒ f x = x"
  <proof>

lemma fps_carrier [simp]:
  "fps L f ⊆ carrier L"
  <proof>

lemma (in weak_complete_lattice) fps_sup_image:
  assumes "f ∈ carrier L → carrier L" "A ⊆ fps L f"
  shows " $\bigsqcup (f \ ' \ A) = \bigsqcup A$ "
  <proof>

lemma (in weak_complete_lattice) fps_idem:
  assumes "f ∈ carrier L → carrier L" "Idem f"
  shows "fps L f {.=} f \ ' \ carrier L"
  <proof>

context weak_complete_lattice
begin

lemma weak_sup_pre_fixed_point:
  assumes "f ∈ carrier L → carrier L" "isotone L L f" "A ⊆ fps L f"
  shows " $(\bigsqcup_L A) \sqsubseteq_L f (\bigsqcup_L A)$ "
  <proof>

lemma weak_sup_post_fixed_point:

```

```

  assumes "f ∈ carrier L → carrier L" "isotone L L f" "A ⊆ fps L f"
  shows "f (⋂L A) ⊆L (⋂L A)"
<proof>

```

### 4.3.1 Least fixed points

```

lemma LFP_closed [intro, simp]:
  "LFP f ∈ carrier L"
<proof>

```

```

lemma LFP_lowerbound:
  assumes "x ∈ carrier L" "f x ⊆ x"
  shows "LFP f ⊆ x"
<proof>

```

```

lemma LFP_greatest:
  assumes "x ∈ carrier L"
          "(⋀u. [ u ∈ carrier L; f u ⊆ u ] ⇒ x ⊆ u)"
  shows "x ⊆ LFP f"
<proof>

```

```

lemma LFP_lemma2:
  assumes "Mono f" "f ∈ carrier L → carrier L"
  shows "f (LFP f) ⊆ LFP f"
<proof>

```

```

lemma LFP_lemma3:
  assumes "Mono f" "f ∈ carrier L → carrier L"
  shows "LFP f ⊆ f (LFP f)"
<proof>

```

```

lemma LFP_weak_unfold:
  "[ Mono f; f ∈ carrier L → carrier L ] ⇒ LFP f .= f (LFP f)"
<proof>

```

```

lemma LFP_fixed_point [intro]:
  assumes "Mono f" "f ∈ carrier L → carrier L"
  shows "LFP f ∈ fps L f"
<proof>

```

```

lemma LFP_least_fixed_point:
  assumes "Mono f" "f ∈ carrier L → carrier L" "x ∈ fps L f"
  shows "LFP f ⊆ x"
<proof>

```

```

lemma LFP_idem:
  assumes "f ∈ carrier L → carrier L" "Mono f" "Idem f"
  shows "LFP f .= (f ⊥)"
<proof>

```

### 4.3.2 Greatest fixed points

**lemma** GFP\_closed [intro, simp]:

"GFP f  $\in$  carrier L"

*<proof>*

**lemma** GFP\_upperbound:

assumes "x  $\in$  carrier L" "x  $\sqsubseteq$  f x"

shows "x  $\sqsubseteq$  GFP f"

*<proof>*

**lemma** GFP\_least:

assumes "x  $\in$  carrier L"

"( $\bigwedge$ u.  $\llbracket$  u  $\in$  carrier L; u  $\sqsubseteq$  f u  $\rrbracket \implies$  u  $\sqsubseteq$  x)"

shows "GFP f  $\sqsubseteq$  x"

*<proof>*

**lemma** GFP\_lemma2:

assumes "Mono f" "f  $\in$  carrier L  $\rightarrow$  carrier L"

shows "GFP f  $\sqsubseteq$  f (GFP f)"

*<proof>*

**lemma** GFP\_lemma3:

assumes "Mono f" "f  $\in$  carrier L  $\rightarrow$  carrier L"

shows "f (GFP f)  $\sqsubseteq$  GFP f"

*<proof>*

**lemma** GFP\_weak\_unfold:

" $\llbracket$  Mono f; f  $\in$  carrier L  $\rightarrow$  carrier L  $\rrbracket \implies$  GFP f . = f (GFP f)"

*<proof>*

**lemma** (in weak\_complete\_lattice) GFP\_fixed\_point [intro]:

assumes "Mono f" "f  $\in$  carrier L  $\rightarrow$  carrier L"

shows "GFP f  $\in$  fps L f"

*<proof>*

**lemma** GFP\_greatest\_fixed\_point:

assumes "Mono f" "f  $\in$  carrier L  $\rightarrow$  carrier L" "x  $\in$  fps L f"

shows "x  $\sqsubseteq$  GFP f"

*<proof>*

**lemma** GFP\_idem:

assumes "f  $\in$  carrier L  $\rightarrow$  carrier L" "Mono f" "Idem f"

shows "GFP f . = (f  $\top$ )"

*<proof>*

**end**

#### 4.4 Complete lattices where eq is the Equality

```

locale complete_lattice = partial_order +
  assumes sup_exists:
    "[| A  $\subseteq$  carrier L |] ==>  $\exists$ s. least L s (Upper L A)"
  and inf_exists:
    "[| A  $\subseteq$  carrier L |] ==>  $\exists$ i. greatest L i (Lower L A)"

```

```

sublocale complete_lattice  $\subseteq$  lattice
<proof>

```

```

sublocale complete_lattice  $\subseteq$  weak?: weak_complete_lattice
<proof>

```

```

lemma complete_lattice_lattice [simp]:
  assumes "complete_lattice X"
  shows "lattice X"
<proof>

```

Introduction rule: the usual definition of complete lattice

```

lemma (in partial_order) complete_latticeI:
  assumes sup_exists:
    "!!A. [| A  $\subseteq$  carrier L |] ==>  $\exists$ s. least L s (Upper L A)"
  and inf_exists:
    "!!A. [| A  $\subseteq$  carrier L |] ==>  $\exists$ i. greatest L i (Lower L A)"
  shows "complete_lattice L"
<proof>

```

```

theorem (in partial_order) complete_lattice_criterion1:
  assumes top_exists: " $\exists$ g. greatest L g (carrier L)"
  and inf_exists:
    "!!A. [| A  $\subseteq$  carrier L; A  $\neq$  {} |] ==>  $\exists$ i. greatest L i (Lower L
A)"
  shows "complete_lattice L"
<proof>

```

#### 4.5 Fixed points

```

context complete_lattice
begin

```

```

lemma LFP_unfold:
  "[| Mono f; f  $\in$  carrier L  $\rightarrow$  carrier L |] ==> LFP f = f (LFP f)"
<proof>

```

```

lemma LFP_const:
  "t  $\in$  carrier L ==> LFP ( $\lambda$  x. t) = t"
<proof>

```

```

lemma LFP_id:

```

```

    "LFP id =  $\perp$ "
    <proof>

lemma GFP_unfold:
  "[[ Mono f; f  $\in$  carrier L  $\rightarrow$  carrier L ]]  $\implies$  GFP f = f (GFP f)"
  <proof>

lemma GFP_const:
  "t  $\in$  carrier L  $\implies$  GFP ( $\lambda$  x. t) = t"
  <proof>

lemma GFP_id:
  "GFP id =  $\top$ "
  <proof>

end

4.6 Interval complete lattices

context weak_complete_lattice
begin

  lemma at_least_at_most_Sup: "[[ a  $\in$  carrier L; b  $\in$  carrier L; a  $\sqsubseteq$  b
  ]]  $\implies$   $\sqcup$  {a..b} .= b"
  <proof>

  lemma at_least_at_most_Inf: "[[ a  $\in$  carrier L; b  $\in$  carrier L; a  $\sqsubseteq$  b
  ]]  $\implies$   $\sqcap$  {a..b} .= a"
  <proof>

end

lemma weak_complete_lattice_interval:
  assumes "weak_complete_lattice L" "a  $\in$  carrier L" "b  $\in$  carrier L" "a
   $\sqsubseteq_L$  b"
  shows "weak_complete_lattice (L ( $\downarrow$  carrier := {a..b}_L  $\downarrow$ ))"
  <proof>

4.7 Knaster-Tarski theorem and variants

The set of fixed points of a complete lattice is itself a complete lattice

theorem Knaster_Tarski:
  assumes "weak_complete_lattice L" and f: "f  $\in$  carrier L  $\rightarrow$  carrier
  L" and "isotone L L f"
  shows "weak_complete_lattice (fpl L f)" (is "weak_complete_lattice ?L'")
  <proof>

theorem Knaster_Tarski_top:

```



```

  assumes "weak_complete_lattice L" "isotone L L f" "f ∈ carrier L →
  carrier L"
  shows "⊤fpl L f .=L GFPL f"
<proof>

```

```

theorem Knaster_Tarski_bottom:
  assumes "weak_complete_lattice L" "isotone L L f" "f ∈ carrier L →
  carrier L"
  shows "⊥fpl L f .=L LFPL f"
<proof>

```

If a function is both idempotent and isotone then the image of the function forms a complete lattice

```

theorem Knaster_Tarski_idem:
  assumes "complete_lattice L" "f ∈ carrier L → carrier L" "isotone
  L L f" "idempotent L f"
  shows "complete_lattice (L(carrier := f ' carrier L))"
<proof>

```

```

theorem Knaster_Tarski_idem_extremes:
  assumes "weak_complete_lattice L" "isotone L L f" "idempotent L f"
  "f ∈ carrier L → carrier L"
  shows "⊤fpl L f .=L f (⊤L)" "⊥fpl L f .=L f (⊥L)"
<proof>

```

```

theorem Knaster_Tarski_idem_inf_eq:
  assumes "weak_complete_lattice L" "isotone L L f" "idempotent L f"
  "f ∈ carrier L → carrier L"
  "A ⊆ fps L f"
  shows "⊓fpl L f A .=L f (⊓L A)"
<proof>

```

## 4.8 Examples

### 4.8.1 The Powerset of a Set is a Complete Lattice

```

theorem powerset_is_complete_lattice:
  "complete_lattice (carrier = Pow A, eq = (=), le = (⊆))"
  (is "complete_lattice ?L")
<proof>

```

Another example, that of the lattice of subgroups of a group, can be found in Group theory (Section 6.11).

## 4.9 Limit preserving functions

```

definition weak_sup_pres :: "('a, 'c) gorder_scheme ⇒ ('b, 'd) gorder_scheme
⇒ ('a ⇒ 'b) ⇒ bool" where
"weak_sup_pres X Y f ≡ complete_lattice X ∧ complete_lattice Y ∧ (∀ A
⊆ carrier X. A ≠ {} → f (⊓X A) = (⊓Y (f ' A)))"

```

```

definition sup_pres :: "('a, 'c) gorder_scheme  $\Rightarrow$  ('b, 'd) gorder_scheme
 $\Rightarrow$  ('a  $\Rightarrow$  'b)  $\Rightarrow$  bool" where
"sup_pres X Y f  $\equiv$  complete_lattice X  $\wedge$  complete_lattice Y  $\wedge$  ( $\forall$  A  $\subseteq$  carrier
X. f ( $\bigsqcup_X$  A) = ( $\bigsqcup_Y$  (f ' A)))"

```

```

definition weak_inf_pres :: "('a, 'c) gorder_scheme  $\Rightarrow$  ('b, 'd) gorder_scheme
 $\Rightarrow$  ('a  $\Rightarrow$  'b)  $\Rightarrow$  bool" where
"weak_inf_pres X Y f  $\equiv$  complete_lattice X  $\wedge$  complete_lattice Y  $\wedge$  ( $\forall$  A
 $\subseteq$  carrier X. A  $\neq$  {}  $\longrightarrow$  f ( $\prod_X$  A) = ( $\prod_Y$  (f ' A)))"

```

```

definition inf_pres :: "('a, 'c) gorder_scheme  $\Rightarrow$  ('b, 'd) gorder_scheme
 $\Rightarrow$  ('a  $\Rightarrow$  'b)  $\Rightarrow$  bool" where
"inf_pres X Y f  $\equiv$  complete_lattice X  $\wedge$  complete_lattice Y  $\wedge$  ( $\forall$  A  $\subseteq$  carrier
X. f ( $\prod_X$  A) = ( $\prod_Y$  (f ' A)))"

```

```

lemma weak_sup_pres:
"sup_pres X Y f  $\implies$  weak_sup_pres X Y f"
<proof>

```

```

lemma weak_inf_pres:
"inf_pres X Y f  $\implies$  weak_inf_pres X Y f"
<proof>

```

```

lemma sup_pres_is_join_pres:
assumes "weak_sup_pres X Y f"
shows "join_pres X Y f"
<proof>

```

```

lemma inf_pres_is_meet_pres:
assumes "weak_inf_pres X Y f"
shows "meet_pres X Y f"
<proof>

```

```

end

```

```

theory Galois_Connection
imports Complete_Lattice
begin

```

## 5 Galois connections

### 5.1 Definition and basic properties

```

record ('a, 'b, 'c, 'd) galcon =
  orderA :: "('a, 'c) gorder_scheme" (" $\mathcal{X}$ ")
  orderB :: "('b, 'd) gorder_scheme" (" $\mathcal{Y}$ ")
  lower :: "'a  $\Rightarrow$  'b" (" $\pi^*$ ")

```

```

upper  :: "'b ⇒ 'a" ("π_* z ")

type_synonym ('a, 'b) galois = "('a, 'b, unit, unit) galcon"

abbreviation "inv_galcon G ≡ (| orderA = inv_gorder Y_G, orderB = inv_gorder
X_G, lower = upper G, upper = lower G |)"

definition comp_galcon :: "('b, 'c) galois ⇒ ('a, 'b) galois ⇒ ('a, 'c)
galois" (infixr "◦g" 85)
  where "G ◦g F = (| orderA = orderA F, orderB = orderB G, lower = lower
G ◦ lower F, upper = upper F ◦ upper G |)"

definition id_galcon :: "'a gorder ⇒ ('a, 'a) galois" ("Ig") where
"Ig(A) = (| orderA = A, orderB = A, lower = id, upper = id |)"

```

## 5.2 Well-typed connections

```

locale connection =
  fixes G (structure)
  assumes is_order_A: "partial_order X"
  and is_order_B: "partial_order Y"
  and lower_closure: "π* ∈ carrier X → carrier Y"
  and upper_closure: "π* ∈ carrier Y → carrier X"
begin

  lemma lower_closed: "x ∈ carrier X ⇒ π* x ∈ carrier Y"
    <proof>

  lemma upper_closed: "y ∈ carrier Y ⇒ π* y ∈ carrier X"
    <proof>

end

```

## 5.3 Galois connections

```

locale galois_connection = connection +
  assumes galois_property: "[x ∈ carrier X; y ∈ carrier Y] ⇒ π* x
⊆Y y ↔ x ⊆X π* y"
begin

  lemma is_weak_order_A: "weak_partial_order X"
    <proof>

  lemma is_weak_order_B: "weak_partial_order Y"
    <proof>

  lemma right: "[x ∈ carrier X; y ∈ carrier Y; π* x ⊆Y y] ⇒ x ⊆X
π* y"
    <proof>

```

**lemma left:** " $\llbracket x \in \text{carrier } \mathcal{X}; y \in \text{carrier } \mathcal{Y}; x \sqsubseteq_{\mathcal{X}} \pi_* y \rrbracket \implies \pi^* x \sqsubseteq_{\mathcal{Y}} y$ "  
*<proof>*

**lemma deflation:** " $y \in \text{carrier } \mathcal{Y} \implies \pi^* (\pi_* y) \sqsubseteq_{\mathcal{Y}} y$ "  
*<proof>*

**lemma inflation:** " $x \in \text{carrier } \mathcal{X} \implies x \sqsubseteq_{\mathcal{X}} \pi_* (\pi^* x)$ "  
*<proof>*

**lemma lower\_iso:** "isotone  $\mathcal{X} \mathcal{Y} \pi^*$ "  
*<proof>*

**lemma upper\_iso:** "isotone  $\mathcal{Y} \mathcal{X} \pi_*$ "  
*<proof>*

**lemma lower\_comp:** " $x \in \text{carrier } \mathcal{X} \implies \pi^* (\pi_* (\pi^* x)) = \pi^* x$ "  
*<proof>*

**lemma lower\_comp':** " $x \in \text{carrier } \mathcal{X} \implies (\pi^* \circ \pi_* \circ \pi^*) x = \pi^* x$ "  
*<proof>*

**lemma upper\_comp:** " $y \in \text{carrier } \mathcal{Y} \implies \pi_* (\pi^* (\pi_* y)) = \pi_* y$ "  
*<proof>*

**lemma upper\_comp':** " $y \in \text{carrier } \mathcal{Y} \implies (\pi_* \circ \pi^* \circ \pi_*) y = \pi_* y$ "  
*<proof>*

**lemma adjoint\_idem1:** "idempotent  $\mathcal{Y} (\pi^* \circ \pi_*)$ "  
*<proof>*

**lemma adjoint\_idem2:** "idempotent  $\mathcal{X} (\pi_* \circ \pi^*)$ "  
*<proof>*

**lemma fg\_iso:** "isotone  $\mathcal{Y} \mathcal{Y} (\pi^* \circ \pi_*)$ "  
*<proof>*

**lemma gf\_iso:** "isotone  $\mathcal{X} \mathcal{X} (\pi_* \circ \pi^*)$ "  
*<proof>*

**lemma semi\_inverse1:** " $x \in \text{carrier } \mathcal{X} \implies \pi^* x = \pi^* (\pi_* (\pi^* x))$ "  
*<proof>*

**lemma semi\_inverse2:** " $x \in \text{carrier } \mathcal{Y} \implies \pi_* x = \pi_* (\pi^* (\pi_* x))$ "  
*<proof>*

**theorem lower\_by\_complete\_lattice:**  
 assumes "complete\_lattice  $\mathcal{Y}$ " "x  $\in$  carrier  $\mathcal{X}$ "  
 shows " $\pi^*(x) = \bigsqcap_{\mathcal{Y}} \{ y \in \text{carrier } \mathcal{Y}. x \sqsubseteq_{\mathcal{X}} \pi_*(y) \}$ "

*<proof>*

**theorem** upper\_by\_complete\_lattice:  
 assumes "complete\_lattice  $\mathcal{X}$ " "y  $\in$  carrier  $\mathcal{Y}$ "  
 shows " $\pi_*(y) = \bigsqcup_{\mathcal{X}} \{ x \in \text{carrier } \mathcal{X}. \pi^*(x) \sqsubseteq_{\mathcal{Y}} y \}$ "  
*<proof>*

**end**

**lemma** dual\_galois [simp]: "galois\_connection ( $\mid$  orderA = inv\_gorder B,  
 orderB = inv\_gorder A, lower = f, upper = g  $\mid$ )  
 = galois\_connection ( $\mid$  orderA = A, orderB = B,  
 lower = g, upper = f  $\mid$ )"  
*<proof>*

**definition** lower\_adjoint :: "('a, 'c) gorder\_scheme  $\Rightarrow$  ('b, 'd) gorder\_scheme  
 $\Rightarrow$  ('a  $\Rightarrow$  'b)  $\Rightarrow$  bool" **where**  
 "lower\_adjoint A B f  $\equiv$   $\exists$ g. galois\_connection ( $\mid$  orderA = A, orderB =  
 B, lower = f, upper = g  $\mid$ )"

**definition** upper\_adjoint :: "('a, 'c) gorder\_scheme  $\Rightarrow$  ('b, 'd) gorder\_scheme  
 $\Rightarrow$  ('b  $\Rightarrow$  'a)  $\Rightarrow$  bool" **where**  
 "upper\_adjoint A B g  $\equiv$   $\exists$ f. galois\_connection ( $\mid$  orderA = A, orderB =  
 B, lower = f, upper = g  $\mid$ )"

**lemma** lower\_adjoint\_dual [simp]: "lower\_adjoint (inv\_gorder A) (inv\_gorder  
 B) f = upper\_adjoint B A f"  
*<proof>*

**lemma** upper\_adjoint\_dual [simp]: "upper\_adjoint (inv\_gorder A) (inv\_gorder  
 B) f = lower\_adjoint B A f"  
*<proof>*

**lemma** lower\_type: "lower\_adjoint A B f  $\Longrightarrow$  f  $\in$  carrier A  $\rightarrow$  carrier  
 B"  
*<proof>*

**lemma** upper\_type: "upper\_adjoint A B g  $\Longrightarrow$  g  $\in$  carrier B  $\rightarrow$  carrier  
 A"  
*<proof>*

## 5.4 Composition of Galois connections

**lemma** id\_galois: "partial\_order A  $\Longrightarrow$  galois\_connection ( $I_g(A)$ )"  
*<proof>*

**lemma** comp\_galcon\_closed:  
 assumes "galois\_connection G" "galois\_connection F" " $\mathcal{Y}_F = \mathcal{X}_G$ "  
 shows "galois\_connection (G  $\circ_g$  F)"

*<proof>*

**lemma** comp\_galcon\_right\_unit [simp]: " $F \circ_g I_g(\mathcal{X}_F) = F$ "  
*<proof>*

**lemma** comp\_galcon\_left\_unit [simp]: " $I_g(\mathcal{Y}_F) \circ_g F = F$ "  
*<proof>*

**lemma** galois\_connectionI:

**assumes**

"partial\_order A" "partial\_order B"

"L ∈ carrier A → carrier B" "R ∈ carrier B → carrier A"

"isotone A B L" "isotone B A R"

" $\bigwedge x y. [x \in \text{carrier } A; y \in \text{carrier } B] \implies L x \sqsubseteq_B y \iff x \sqsubseteq_A R$

$y$ "

**shows** "galois\_connection (| orderA = A, orderB = B, lower = L, upper = R |)"

*<proof>*

**lemma** galois\_connectionI':

**assumes**

"partial\_order A" "partial\_order B"

"L ∈ carrier A → carrier B" "R ∈ carrier B → carrier A"

"isotone A B L" "isotone B A R"

" $\bigwedge X. X \in \text{carrier}(B) \implies L(R(X)) \sqsubseteq_B X$ "

" $\bigwedge X. X \in \text{carrier}(A) \implies X \sqsubseteq_A R(L(X))$ "

**shows** "galois\_connection (| orderA = A, orderB = B, lower = L, upper = R |)"

*<proof>*

## 5.5 Retracts

**locale** retract = galois\_connection +

**assumes** retract\_property: " $x \in \text{carrier } \mathcal{X} \implies \pi_* (\pi^* x) \sqsubseteq_{\mathcal{X}} x$ "

**begin**

**lemma** retract\_inverse: " $x \in \text{carrier } \mathcal{X} \implies \pi_* (\pi^* x) = x$ "

*<proof>*

**lemma** retract\_injective: "inj\_on  $\pi^*$  (carrier  $\mathcal{X}$ )"

*<proof>*

**end**

**theorem** comp\_retract\_closed:

**assumes** "retract G" "retract F" " $\mathcal{Y}_F = \mathcal{X}_G$ "

**shows** "retract (G  $\circ_g$  F)"

*<proof>*

## 5.6 Coretracts

**locale** coretract = galois\_connection +

```

    assumes coretract_property: "y ∈ carrier  $\mathcal{Y}$   $\implies$  y  $\sqsubseteq_{\mathcal{Y}}$   $\pi^*$  ( $\pi_*$  y)"
begin
  lemma coretract_inverse: "y ∈ carrier  $\mathcal{Y}$   $\implies$   $\pi^*$  ( $\pi_*$  y) = y"
    <proof>

  lemma retract_injective: "inj_on  $\pi_*$  (carrier  $\mathcal{Y}$ )"
    <proof>
end

theorem comp_coretract_closed:
  assumes "coretract G" "coretract F" " $\mathcal{Y}_F = \mathcal{X}_G$ "
  shows "coretract (G  $\circ_g$  F)"
  <proof>

```

## 5.7 Galois Bijections

```

locale galois_bijection = connection +
  assumes lower_iso: "isotone  $\mathcal{X}$   $\mathcal{Y}$   $\pi^*$ "
  and upper_iso: "isotone  $\mathcal{Y}$   $\mathcal{X}$   $\pi_*$ "
  and lower_inv_eq: "x ∈ carrier  $\mathcal{X}$   $\implies$   $\pi_*$  ( $\pi^*$  x) = x"
  and upper_inv_eq: "y ∈ carrier  $\mathcal{Y}$   $\implies$   $\pi^*$  ( $\pi_*$  y) = y"
begin

  lemma lower_bij: "bij_betw  $\pi^*$  (carrier  $\mathcal{X}$ ) (carrier  $\mathcal{Y}$ )"
    <proof>

  lemma upper_bij: "bij_betw  $\pi_*$  (carrier  $\mathcal{Y}$ ) (carrier  $\mathcal{X}$ )"
    <proof>

  sublocale gal_bij_conn: galois_connection
    <proof>

  sublocale gal_bij_ret: retract
    <proof>

  sublocale gal_bij_coret: coretract
    <proof>

end

theorem comp_galois_bijection_closed:
  assumes "galois_bijection G" "galois_bijection F" " $\mathcal{Y}_F = \mathcal{X}_G$ "
  shows "galois_bijection (G  $\circ_g$  F)"
  <proof>

end

theory Group

```

```
imports Complete_Lattice "HOL-Library.FuncSet"
begin
```

## 6 Monoids and Groups

### 6.1 Definitions

Definitions follow [3].

```
record 'a monoid = "'a partial_object" +
  mult    :: "'a, 'a] => 'a" (infixl "⊗" 70)
  one     :: 'a ("1G")
```

**definition**

```
m_inv :: "('a, 'b) monoid_scheme => 'a => 'a" ("invG _" [81] 80)
where "invG x = (THE y. y ∈ carrier G ∧ x ⊗G y = 1G ∧ y ⊗G x = 1G)"
```

**definition**

```
Units :: "_ => 'a set"
— The set of invertible elements
where "Units G = {y. y ∈ carrier G ∧ (∃x ∈ carrier G. x ⊗G y = 1G
∧ y ⊗G x = 1G)}"
```

**locale monoid =**

```
  fixes G (structure)
  assumes m_closed [intro, simp]:
    "[x ∈ carrier G; y ∈ carrier G] ==> x ⊗ y ∈ carrier G"
  and m_assoc:
    "[x ∈ carrier G; y ∈ carrier G; z ∈ carrier G]
    ==> (x ⊗ y) ⊗ z = x ⊗ (y ⊗ z)"
  and one_closed [intro, simp]: "1 ∈ carrier G"
  and l_one [simp]: "x ∈ carrier G ==> 1 ⊗ x = x"
  and r_one [simp]: "x ∈ carrier G ==> x ⊗ 1 = x"
```

**lemma monoidI:**

```
  fixes G (structure)
  assumes m_closed:
    "!!x y. [| x ∈ carrier G; y ∈ carrier G |] ==> x ⊗ y ∈ carrier
G"
  and one_closed: "1 ∈ carrier G"
  and m_assoc:
    "!!x y z. [| x ∈ carrier G; y ∈ carrier G; z ∈ carrier G |] ==>
(x ⊗ y) ⊗ z = x ⊗ (y ⊗ z)"
  and l_one: "!!x. x ∈ carrier G ==> 1 ⊗ x = x"
  and r_one: "!!x. x ∈ carrier G ==> x ⊗ 1 = x"
  shows "monoid G"
  <proof>
```

**lemma (in monoid) Units\_closed [dest]:**



```

"x ∈ Units G ==> x ∈ carrier G"
⟨proof⟩

lemma (in monoid) one_unique:
  assumes "u ∈ carrier G"
    and "∧x. x ∈ carrier G ==> u ⊗ x = x"
  shows "u = 1"
  ⟨proof⟩

lemma (in monoid) inv_unique:
  assumes eq: "y ⊗ x = 1" "x ⊗ y' = 1"
    and G: "x ∈ carrier G" "y ∈ carrier G" "y' ∈ carrier G"
  shows "y = y'"
  ⟨proof⟩

lemma (in monoid) Units_m_closed [simp, intro]:
  assumes x: "x ∈ Units G" and y: "y ∈ Units G"
  shows "x ⊗ y ∈ Units G"
  ⟨proof⟩

lemma (in monoid) Units_one_closed [intro, simp]:
  "1 ∈ Units G"
  ⟨proof⟩

lemma (in monoid) Units_inv_closed [intro, simp]:
  "x ∈ Units G ==> inv x ∈ carrier G"
  ⟨proof⟩

lemma (in monoid) Units_l_inv_ex:
  "x ∈ Units G ==> ∃y ∈ carrier G. y ⊗ x = 1"
  ⟨proof⟩

lemma (in monoid) Units_r_inv_ex:
  "x ∈ Units G ==> ∃y ∈ carrier G. x ⊗ y = 1"
  ⟨proof⟩

lemma (in monoid) Units_l_inv [simp]:
  "x ∈ Units G ==> inv x ⊗ x = 1"
  ⟨proof⟩

lemma (in monoid) Units_r_inv [simp]:
  "x ∈ Units G ==> x ⊗ inv x = 1"
  ⟨proof⟩

lemma (in monoid) inv_one [simp]:
  "inv 1 = 1"
  ⟨proof⟩

lemma (in monoid) Units_inv_Units [intro, simp]:

```

```

"x ∈ Units G ==> inv x ∈ Units G"
⟨proof⟩

lemma (in monoid) Units_l_cancel [simp]:
  "[| x ∈ Units G; y ∈ carrier G; z ∈ carrier G |] ==>
   (x ⊗ y = x ⊗ z) = (y = z)"
⟨proof⟩

lemma (in monoid) Units_inv_inv [simp]:
  "x ∈ Units G ==> inv (inv x) = x"
⟨proof⟩

lemma (in monoid) inv_inj_on_Units:
  "inj_on (m_inv G) (Units G)"
⟨proof⟩

lemma (in monoid) Units_inv_comm:
  assumes inv: "x ⊗ y = 1"
  and G: "x ∈ Units G" "y ∈ Units G"
  shows "y ⊗ x = 1"
⟨proof⟩

lemma (in monoid) carrier_not_empty: "carrier G ≠ {}"
⟨proof⟩



## 6.2 Groups



A group is a monoid all of whose elements are invertible.

locale group = monoid +
  assumes Units: "carrier G ≤ Units G"

lemma (in group) is_group [iff]: "group G" ⟨proof⟩

lemma (in group) is_monoid [iff]: "monoid G"
⟨proof⟩

theorem groupI:
  fixes G (structure)
  assumes m_closed [simp]:
    "!!x y. [| x ∈ carrier G; y ∈ carrier G |] ==> x ⊗ y ∈ carrier
G"
  and one_closed [simp]: "1 ∈ carrier G"
  and m_assoc:
    "!!x y z. [| x ∈ carrier G; y ∈ carrier G; z ∈ carrier G |] ==>
(x ⊗ y) ⊗ z = x ⊗ (y ⊗ z)"
  and l_one [simp]: "!!x. x ∈ carrier G ==> 1 ⊗ x = x"
  and l_inv_ex: "!!x. x ∈ carrier G ==> ∃y ∈ carrier G. y ⊗ x = 1"
  shows "group G"
⟨proof⟩

```

```

lemma (in monoid) group_l_invI:
  assumes l_inv_ex:
    "!!x. x ∈ carrier G ==> ∃y ∈ carrier G. y ⊗ x = 1"
  shows "group G"
  <proof>

```

```

lemma (in group) Units_eq [simp]:
  "Units G = carrier G"
  <proof>

```

```

lemma (in group) inv_closed [intro, simp]:
  "x ∈ carrier G ==> inv x ∈ carrier G"
  <proof>

```

```

lemma (in group) l_inv_ex [simp]:
  "x ∈ carrier G ==> ∃y ∈ carrier G. y ⊗ x = 1"
  <proof>

```

```

lemma (in group) r_inv_ex [simp]:
  "x ∈ carrier G ==> ∃y ∈ carrier G. x ⊗ y = 1"
  <proof>

```

```

lemma (in group) l_inv [simp]:
  "x ∈ carrier G ==> inv x ⊗ x = 1"
  <proof>

```

### 6.3 Cancellation Laws and Basic Properties

```

lemma (in group) inv_eq_1_iff [simp]:
  assumes "x ∈ carrier G" shows "invG x = 1G ↔ x = 1G"
  <proof>

```

```

lemma (in group) r_inv [simp]:
  "x ∈ carrier G ==> x ⊗ inv x = 1"
  <proof>

```

```

lemma (in group) right_cancel [simp]:
  "[| x ∈ carrier G; y ∈ carrier G; z ∈ carrier G |] ==>
  (y ⊗ x = z ⊗ x) = (y = z)"
  <proof>

```

```

lemma (in group) inv_inv [simp]:
  "x ∈ carrier G ==> inv (inv x) = x"
  <proof>

```

```

lemma (in group) inv_inj:
  "inj_on (m_inv G) (carrier G)"
  <proof>

```

```
lemma (in group) inv_mult_group:
  "[| x ∈ carrier G; y ∈ carrier G |] ==> inv (x ⊗ y) = inv y ⊗ inv x"
  <proof>
```

```
lemma (in group) inv_comm:
  "[| x ⊗ y = 1; x ∈ carrier G; y ∈ carrier G |] ==> y ⊗ x = 1"
  <proof>
```

```
lemma (in group) inv_equality:
  "[|y ⊗ x = 1; x ∈ carrier G; y ∈ carrier G|] ==> inv x = y"
  <proof>
```

```
lemma (in group) inv_solve_left:
  "[| a ∈ carrier G; b ∈ carrier G; c ∈ carrier G |] ==> a = inv b ⊗ c
  <-> c = b ⊗ a"
  <proof>
```

```
lemma (in group) inv_solve_left':
  "[| a ∈ carrier G; b ∈ carrier G; c ∈ carrier G |] ==> inv b ⊗ c = a
  <-> c = b ⊗ a"
  <proof>
```

```
lemma (in group) inv_solve_right:
  "[| a ∈ carrier G; b ∈ carrier G; c ∈ carrier G |] ==> a = b ⊗ inv c
  <-> b = a ⊗ c"
  <proof>
```

```
lemma (in group) inv_solve_right':
  "[|a ∈ carrier G; b ∈ carrier G; c ∈ carrier G|] ==> b ⊗ inv c = a <->
  b = a ⊗ c"
  <proof>
```

## 6.4 Power

consts

```
pow :: "[('a, 'm) monoid_scheme, 'a, 'b::semiring_1] => 'a" (infixr
  "[^]" 75)
```

```
overloading nat_pow == "pow :: [_, 'a, nat] => 'a"
```

begin

```
  definition "nat_pow G a n = rec_nat 1G (%u b. b ⊗G a) n"
```

end

```
lemma (in monoid) nat_pow_closed [intro, simp]:
  "x ∈ carrier G ==> x [^] (n::nat) ∈ carrier G"
  <proof>
```

```
lemma (in monoid) nat_pow_0 [simp]:
```

```

"x [^] (0::nat) = 1"
⟨proof⟩

lemma (in monoid) nat_pow_Suc [simp]:
"x [^] (Suc n) = x [^] n ⊗ x"
⟨proof⟩

lemma (in monoid) nat_pow_one [simp]:
"1 [^] (n::nat) = 1"
⟨proof⟩

lemma (in monoid) nat_pow_mult:
"x ∈ carrier G ==> x [^] (n::nat) ⊗ x [^] m = x [^] (n + m)"
⟨proof⟩

lemma (in monoid) nat_pow_comm:
"x ∈ carrier G ==> (x [^] (n::nat)) ⊗ (x [^] (m :: nat)) = (x [^] m)
⊗ (x [^] n)"
⟨proof⟩

lemma (in monoid) nat_pow_Suc2:
"x ∈ carrier G ==> x [^] (Suc n) = x ⊗ (x [^] n)"
⟨proof⟩

lemma (in monoid) nat_pow_pow:
"x ∈ carrier G ==> (x [^] n) [^] m = x [^] (n * m::nat)"
⟨proof⟩

lemma (in monoid) nat_pow_consistent:
"x [^] (n :: nat) = x [^](G (| carrier := H |)) n"
⟨proof⟩

lemma nat_pow_0 [simp]: "x [^]G (0::nat) = 1G"
⟨proof⟩

lemma nat_pow_Suc [simp]: "x [^]G (Suc n) = (x [^]G n) ⊗G x"
⟨proof⟩

lemma (in group) nat_pow_inv:
assumes "x ∈ carrier G" shows "(inv x) [^] (i :: nat) = inv (x [^]
i)"
⟨proof⟩

overloading int_pow == "pow :: [_ , 'a, int] => 'a"
begin
definition "int_pow G a z =
(let p = rec_nat 1G (%u b. b ⊗G a)
in if z < 0 then invG (p (nat (-z))) else p (nat z))"
end

```

```

lemma int_pow_int: "x [^]G (int n) = x [^]G n"
  <proof>

lemma pow_nat:
  assumes "i ≥ 0"
  shows "x [^]G nat i = x [^]G i"
  <proof>

lemma int_pow_0 [simp]: "x [^]G (0::int) = 1G"
  <proof>

lemma int_pow_def2: "a [^]G z =
  (if z < 0 then invG (a [^]G (nat (-z)))) else a [^]G (nat z))"
  <proof>

lemma (in group) int_pow_one [simp]:
  "1 [^] (z::int) = 1"
  <proof>

lemma (in group) int_pow_closed [intro, simp]:
  "x ∈ carrier G ==> x [^] (i::int) ∈ carrier G"
  <proof>

lemma (in group) int_pow_1 [simp]:
  "x ∈ carrier G ==> x [^] (1::int) = x"
  <proof>

lemma (in group) int_pow_neg:
  "x ∈ carrier G ==> x [^] (-i::int) = inv (x [^] i)"
  <proof>

lemma (in group) int_pow_neg_int: "x ∈ carrier G ==> x [^] -(int n) =
  inv (x [^] n)"
  <proof>

lemma (in group) int_pow_mult:
  assumes "x ∈ carrier G" shows "x [^] (i + j::int) = x [^] i ⊗ x [^]
  j"
  <proof>

lemma (in group) int_pow_inv:
  "x ∈ carrier G ==> (inv x) [^] (i :: int) = inv (x [^] i)"
  <proof>

lemma (in group) int_pow_pow:
  assumes "x ∈ carrier G"
  shows "(x [^] (n :: int)) [^] (m :: int) = x [^] (n * m :: int)"
  <proof>

```

```

lemma (in group) int_pow_diff:
  "x ∈ carrier G ⇒ x [^] (n - m :: int) = x [^] n ⊗ inv (x [^] m)"
  ⟨proof⟩

lemma (in group) inj_on_multc: "c ∈ carrier G ⇒ inj_on (λx. x ⊗ c)
(carrier G)"
  ⟨proof⟩

lemma (in group) inj_on_cmult: "c ∈ carrier G ⇒ inj_on (λx. c ⊗ x)
(carrier G)"
  ⟨proof⟩

lemma (in monoid) group_commutates_pow:
  fixes n :: nat
  shows "[x ⊗ y = y ⊗ x; x ∈ carrier G; y ∈ carrier G] ⇒ x [^] n ⊗
y = y ⊗ x [^] n"
  ⟨proof⟩

lemma (in monoid) pow_mult_distrib:
  assumes eq: "x ⊗ y = y ⊗ x" and xy: "x ∈ carrier G" "y ∈ carrier
G"
  shows "(x ⊗ y) [^] (n :: nat) = x [^] n ⊗ y [^] n"
  ⟨proof⟩

lemma (in group) int_pow_mult_distrib:
  assumes eq: "x ⊗ y = y ⊗ x" and xy: "x ∈ carrier G" "y ∈ carrier
G"
  shows "(x ⊗ y) [^] (i :: int) = x [^] i ⊗ y [^] i"
  ⟨proof⟩

lemma (in group) pow_eq_div2:
  fixes m n :: nat
  assumes x_car: "x ∈ carrier G"
  assumes pow_eq: "x [^] m = x [^] n"
  shows "x [^] (m - n) = 1"
  ⟨proof⟩

```

## 6.5 Submonoids

```

locale submonoid =
  fixes H and G (structure)
  assumes subset: "H ⊆ carrier G"
    and m_closed [intro, simp]: "[x ∈ H; y ∈ H] ⇒ x ⊗ y ∈ H"
    and one_closed [simp]: "1 ∈ H"

lemma (in submonoid) is_submonoid:
  "submonoid H G" ⟨proof⟩

```

```

lemma (in submonoid) mem_carrier [simp]:
  "x ∈ H ⇒ x ∈ carrier G"
  ⟨proof⟩

lemma (in submonoid) submonoid_is_monoid [intro]:
  assumes "monoid G"
  shows "monoid (G⟨carrier := H⟩)"
  ⟨proof⟩

lemma submonoid_nonempty:
  "¬ submonoid {} G"
  ⟨proof⟩

lemma (in submonoid) finite_monoid_imp_card_positive:
  "finite (carrier G) ⇒ 0 < card H"
  ⟨proof⟩

lemma (in monoid) monoid_incl_imp_submonoid :
  assumes "H ⊆ carrier G"
  and "monoid (G⟨carrier := H⟩)"
  shows "submonoid H G"
  ⟨proof⟩

lemma (in monoid) inv_unique':
  assumes "x ∈ carrier G" "y ∈ carrier G"
  shows "[ x ⊗ y = 1; y ⊗ x = 1 ] ⇒ y = inv x"
  ⟨proof⟩

lemma (in monoid) m_inv_monoid_consistent:
  assumes "x ∈ Units (G⟨carrier := H⟩)" and "submonoid H G"
  shows "inv(G⟨carrier := H⟩) x = inv x"
  ⟨proof⟩

6.6 Subgroups

locale subgroup =
  fixes H and G (structure)
  assumes subset: "H ⊆ carrier G"
    and m_closed [intro, simp]: "[x ∈ H; y ∈ H] ⇒ x ⊗ y ∈ H"
    and one_closed [simp]: "1 ∈ H"
    and m_inv_closed [intro, simp]: "x ∈ H ⇒ inv x ∈ H"

lemma (in subgroup) is_subgroup:
  "subgroup H G" ⟨proof⟩

declare (in subgroup) group.intro [intro]

```



```
lemma (in subgroup) mem_carrier [simp]:
  "x ∈ H ⇒ x ∈ carrier G"
  ⟨proof⟩
```

```
lemma (in subgroup) subgroup_is_group [intro]:
  assumes "group G"
  shows "group (G (| carrier := H))"
  ⟨proof⟩
```

```
lemma (in group) triv_subgroup: "subgroup {1} G"
  ⟨proof⟩
```

```
lemma subgroup_is_submonoid:
  assumes "subgroup H G" shows "submonoid H G"
  ⟨proof⟩
```

```
lemma (in group) subgroup_Units:
  assumes "subgroup H G" shows "H ⊆ Units (G (| carrier := H))"
  ⟨proof⟩
```

```
lemma (in group) m_inv_consistent [simp]:
  assumes "subgroup H G" "x ∈ H"
  shows "inv (G (| carrier := H)) x = inv x"
  ⟨proof⟩
```

```
lemma (in group) int_pow_consistent:
  assumes "subgroup H G" "x ∈ H"
  shows "x [^] (n :: int) = x [^] (G (| carrier := H)) n"
  ⟨proof⟩
```

Since  $H$  is nonempty, it contains some element  $x$ . Since it is closed under inverse, it contains  $\text{inv } x$ . Since it is closed under product, it contains  $x \otimes \text{inv } x = \mathbf{1}$ .

```
lemma (in group) one_in_subset:
  "[| H ⊆ carrier G; H ≠ {}; ∀ a ∈ H. inv a ∈ H; ∀ a ∈ H. ∀ b ∈ H. a ⊗ b ∈ H |]
  ==> 1 ∈ H"
  ⟨proof⟩
```

A characterization of subgroups: closed, non-empty subset.

```
lemma (in group) subgroupI:
  assumes subset: "H ⊆ carrier G" and non_empty: "H ≠ {}"
  and inv: "!!a. a ∈ H ⇒ inv a ∈ H"
  and mult: "!!a b. [a ∈ H; b ∈ H] ⇒ a ⊗ b ∈ H"
  shows "subgroup H G"
  ⟨proof⟩
```

```
lemma (in group) subgroupE:
```

```

assumes "subgroup H G"
shows "H  $\subseteq$  carrier G"
  and "H  $\neq$  {}"
  and " $\bigwedge a. a \in H \implies \text{inv } a \in H$ "
  and " $\bigwedge a b. [ a \in H; b \in H ] \implies a \otimes b \in H$ "
<proof>

declare monoid.one_closed [iff] group.inv_closed [simp]
monoid.l_one [simp] monoid.r_one [simp] group.inv_inv [simp]

lemma subgroup_nonempty:
  " $\neg$  subgroup {} G"
<proof>

lemma (in subgroup) finite_imp_card_positive: "finite (carrier G)  $\implies$ 
0 < card H"
<proof>

lemma (in subgroup) subgroup_is_submonoid :
  "submonoid H G"
<proof>

lemma (in group) submonoid_subgroupI :
  assumes "submonoid H G"
  and " $\bigwedge a. a \in H \implies \text{inv } a \in H$ "
  shows "subgroup H G"
<proof>

lemma (in group) group_incl_imp_subgroup:
  assumes "H  $\subseteq$  carrier G"
  and "group (G(carrier := H))"
  shows "subgroup H G"
<proof>

```

## 6.7 Direct Products

### definition

```

DirProd :: "_  $\Rightarrow$  _  $\Rightarrow$  ('a  $\times$  'b) monoid" (infixr " $\times$ " 80) where
"G  $\times$  H =
  (carrier = carrier G  $\times$  carrier H,
   mult = ( $\lambda$ (g, h) (g', h'). (g  $\otimes_G$  g', h  $\otimes_H$  h')),
   one = (1G, 1H))"

```

### lemma DirProd\_monoid:

```

assumes "monoid G" and "monoid H"
shows "monoid (G  $\times$  H)"
<proof>

```

Does not use the previous result because it's easier just to use auto.

```

lemma DirProd_group:
  assumes "group G" and "group H"
  shows "group (G ×× H)"
  <proof>

lemma carrier_DirProd [simp]: "carrier (G ×× H) = carrier G × carrier
H"
  <proof>

lemma one_DirProd [simp]: "1G ×× H = (1G, 1H)"
  <proof>

lemma mult_DirProd [simp]: "(g, h) ⊗(G ×× H) (g', h') = (g ⊗G g', h
⊗H h')"
  <proof>

lemma mult_DirProd': "x ⊗(G ×× H) y = (fst x ⊗G fst y, snd x ⊗H snd
y)"
  <proof>

lemma DirProd_assoc: "(G ×× H ×× I) = (G ×× (H ×× I))"
  <proof>

lemma inv_DirProd [simp]:
  assumes "group G" and "group H"
  assumes g: "g ∈ carrier G"
  and h: "h ∈ carrier H"
  shows "m_inv (G ×× H) (g, h) = (invG g, invH h)"
  <proof>

lemma DirProd_subgroups :
  assumes "group G"
  and "subgroup H G"
  and "group K"
  and "subgroup I K"
  shows "subgroup (H × I) (G ×× K)"
  <proof>

```

## 6.8 Homomorphisms (mono and epi) and Isomorphisms

### definition

```

hom :: "_ => _ => ('a => 'b) set" where
"hom G H =
  {h. h ∈ carrier G → carrier H ∧
  (∀x ∈ carrier G. ∀y ∈ carrier G. h (x ⊗G y) = h x ⊗H h y)}"

```

### lemma homI:

```

"[[∧x. x ∈ carrier G ⇒ h x ∈ carrier H;
  ∧x y. [x ∈ carrier G; y ∈ carrier G] ⇒ h (x ⊗G y) = h x ⊗H h y]]

```

$\implies h \in \text{hom } G \ H$   
*<proof>*

**lemma** hom\_carrier: " $h \in \text{hom } G \ H \implies h \text{ ' carrier } G \subseteq \text{carrier } H$ "  
*<proof>*

**lemma** hom\_in\_carrier: " $[[h \in \text{hom } G \ H; x \in \text{carrier } G]] \implies h \ x \in \text{carrier } H$ "  
*<proof>*

**lemma** hom\_compose:  
" $[[f \in \text{hom } G \ H; g \in \text{hom } H \ I]] \implies g \circ f \in \text{hom } G \ I$ "  
*<proof>*

**lemma** (in group) hom\_restrict:  
assumes " $h \in \text{hom } G \ H$ " and " $\bigwedge g. g \in \text{carrier } G \implies h \ g = t \ g$ " shows  
" $t \in \text{hom } G \ H$ "  
*<proof>*

**lemma** (in group) hom\_compose:  
" $[[h \in \text{hom } G \ H; i \in \text{hom } H \ I]] \implies \text{compose } (\text{carrier } G) \ i \ h \in \text{hom } G \ I$ "  
*<proof>*

**lemma** (in group) restrict\_hom\_iff [simp]:  
" $(\lambda x. \text{if } x \in \text{carrier } G \text{ then } f \ x \text{ else } g \ x) \in \text{hom } G \ H \longleftrightarrow f \in \text{hom } G \ H$ "  
*<proof>*

**definition** iso :: " $\_ \Rightarrow \_ \Rightarrow ('a \Rightarrow 'b) \text{ set}$ "  
where " $\text{iso } G \ H = \{h. h \in \text{hom } G \ H \wedge \text{bij\_betw } h \ (\text{carrier } G) \ (\text{carrier } H)\}$ "

**definition** is\_iso :: " $\_ \Rightarrow \_ \Rightarrow \text{bool}$ " (infixr " $\cong$ " 60)  
where " $G \cong H = (\text{iso } G \ H \neq \{\})$ "

**definition** mon where " $\text{mon } G \ H = \{f \in \text{hom } G \ H. \text{inj\_on } f \ (\text{carrier } G)\}$ "

**definition** epi where " $\text{epi } G \ H = \{f \in \text{hom } G \ H. f \text{ ' } (\text{carrier } G) = \text{carrier } H\}$ "

**lemma** isoI:  
" $[[h \in \text{hom } G \ H; \text{bij\_betw } h \ (\text{carrier } G) \ (\text{carrier } H)]] \implies h \in \text{iso } G \ H$ "  
*<proof>*

**lemma** is\_isoI: " $h \in \text{iso } G \ H \implies G \cong H$ "  
*<proof>*

**lemma** epi\_iff\_subset:  
" $f \in \text{epi } G \ G' \longleftrightarrow f \in \text{hom } G \ G' \wedge \text{carrier } G' \subseteq f \text{ ' carrier } G$ "  
*<proof>*

**lemma iso\_iff\_mon\_epi:** " $f \in \text{iso } G \ H \longleftrightarrow f \in \text{mon } G \ H \wedge f \in \text{epi } G \ H$ "  
*<proof>*

**lemma iso\_set\_refl:** " $(\lambda x. x) \in \text{iso } G \ G$ "  
*<proof>*

**lemma id\_iso:** " $\text{id} \in \text{iso } G \ G$ "  
*<proof>*

**corollary iso\_refl [simp]:** " $G \cong G$ "  
*<proof>*

**lemma iso\_iff:**  
" $h \in \text{iso } G \ H \longleftrightarrow h \in \text{hom } G \ H \wedge h \text{ ' } (\text{carrier } G) = \text{carrier } H \wedge \text{inj\_on } h \ (\text{carrier } G)$ "  
*<proof>*

**lemma iso\_imp\_homomorphism:**  
" $h \in \text{iso } G \ H \implies h \in \text{hom } G \ H$ "  
*<proof>*

**lemma trivial\_hom:**  
" $\text{group } H \implies (\lambda x. \text{one } H) \in \text{hom } G \ H$ "  
*<proof>*

**lemma (in group) hom\_eq:**  
**assumes** " $f \in \text{hom } G \ H$ " " $\bigwedge x. x \in \text{carrier } G \implies f' \ x = f \ x$ "  
**shows** " $f' \in \text{hom } G \ H$ "  
*<proof>*

**lemma (in group) iso\_eq:**  
**assumes** " $f \in \text{iso } G \ H$ " " $\bigwedge x. x \in \text{carrier } G \implies f' \ x = f \ x$ "  
**shows** " $f' \in \text{iso } G \ H$ "  
*<proof>*

**lemma (in group) iso\_set\_sym:**  
**assumes** " $h \in \text{iso } G \ H$ "  
**shows** " $\text{inv\_into } (\text{carrier } G) \ h \in \text{iso } H \ G$ "  
*<proof>*

**corollary (in group) iso\_sym:** " $G \cong H \implies H \cong G$ "  
*<proof>*

**lemma iso\_set\_trans:**  
" $[[h \in \text{Group.iso } G \ H; i \in \text{Group.iso } H \ I]] \implies i \circ h \in \text{Group.iso } G \ I$ "  
*<proof>*

**corollary iso\_trans [trans]:** " $[[G \cong H ; H \cong I]] \implies G \cong I$ "

*<proof>*

**lemma iso\_same\_card:** " $G \cong H \implies \text{card}(\text{carrier } G) = \text{card}(\text{carrier } H)$ "  
*<proof>*

**lemma iso\_finite:** " $G \cong H \implies \text{finite}(\text{carrier } G) \longleftrightarrow \text{finite}(\text{carrier } H)$ "  
*<proof>*

**lemma mon\_compose:**  
 "[ $f \in \text{mon } G \ H; g \in \text{mon } H \ K$ ]  $\implies (g \circ f) \in \text{mon } G \ K$ "  
*<proof>*

**lemma mon\_compose\_rev:**  
 "[ $f \in \text{hom } G \ H; g \in \text{hom } H \ K; (g \circ f) \in \text{mon } G \ K$ ]  $\implies f \in \text{mon } G \ H$ "  
*<proof>*

**lemma epi\_compose:**  
 "[ $f \in \text{epi } G \ H; g \in \text{epi } H \ K$ ]  $\implies (g \circ f) \in \text{epi } G \ K$ "  
*<proof>*

**lemma epi\_compose\_rev:**  
 "[ $f \in \text{hom } G \ H; g \in \text{hom } H \ K; (g \circ f) \in \text{epi } G \ K$ ]  $\implies g \in \text{epi } H \ K$ "  
*<proof>*

**lemma iso\_compose\_rev:**  
 "[ $f \in \text{hom } G \ H; g \in \text{hom } H \ K; (g \circ f) \in \text{iso } G \ K$ ]  $\implies f \in \text{mon } G \ H \wedge g \in \text{epi } H \ K$ "  
*<proof>*

**lemma epi\_iso\_compose\_rev:**  
**assumes** " $f \in \text{epi } G \ H$ " " $g \in \text{hom } H \ K$ " " $(g \circ f) \in \text{iso } G \ K$ "  
**shows** " $f \in \text{iso } G \ H \wedge g \in \text{iso } H \ K$ "  
*<proof>*

**lemma mon\_left\_invertible:**  
 "[ $f \in \text{hom } G \ H; \bigwedge x. x \in \text{carrier } G \implies g(f \ x) = x$ ]  $\implies f \in \text{mon } G \ H$ "  
*<proof>*

**lemma epi\_right\_invertible:**  
 "[ $g \in \text{hom } H \ G; f \in \text{carrier } G \rightarrow \text{carrier } H; \bigwedge x. x \in \text{carrier } G \implies g(f \ x) = x$ ]  $\implies g \in \text{epi } H \ G$ "  
*<proof>*

**lemma (in monoid) hom\_imp\_img\_monoid:**  
**assumes** " $h \in \text{hom } G \ H$ "  
**shows** " $\text{monoid } (H \ (\big \ \text{carrier} := h \ ' \ (\text{carrier } G), \text{one} := h \ 1_G \ ))$ " (is " $\text{monoid ?h\_img}$ ")  
*<proof>*

```

lemma (in group) hom_imp_img_group:
  assumes "h ∈ hom G H"
  shows "group (H (| carrier := h ` (carrier G), one := h 1_G |))" (is "group
?h_img")
  <proof>

```

```

lemma (in group) iso_imp_group:
  assumes "G ≅ H" and "monoid H"
  shows "group H"
  <proof>

```

```

corollary (in group) iso_imp_img_group:
  assumes "h ∈ iso G H"
  shows "group (H (| one := h 1 |))"
  <proof>

```

### 6.8.1 HOL Light's concept of an isomorphism pair

```

definition group_isomorphisms

```

```

  where

```

```

  "group_isomorphisms G H f g ≡
    f ∈ hom G H ∧ g ∈ hom H G ∧
    (∀x ∈ carrier G. g(f x) = x) ∧
    (∀y ∈ carrier H. f(g y) = y)"

```

```

lemma group_isomorphisms_sym: "group_isomorphisms G H f g ⇒ group_isomorphisms
H G g f"
  <proof>

```

```

lemma group_isomorphisms_imp_iso: "group_isomorphisms G H f g ⇒ f ∈
iso G H"
  <proof>

```

```

lemma (in group) iso_iff_group_isomorphisms:
  "f ∈ iso G H ↔ (∃g. group_isomorphisms G H f g)"
  <proof>

```

### 6.8.2 Involving direct products

```

lemma DirProd_commute_iso_set:
  shows "(λ(x,y). (y,x)) ∈ iso (G ×× H) (H ×× G)"
  <proof>

```

```

corollary DirProd_commute_iso :
  "(G ×× H) ≅ (H ×× G)"
  <proof>

```

```

lemma DirProd_assoc_iso_set:
  shows "(λ(x,y,z). (x,(y,z))) ∈ iso (G ×× H ×× I) (G ×× (H ×× I))"
  <proof>

```

```

lemma (in group) DirProd_iso_set_trans:
  assumes "g ∈ iso G G2"
    and "h ∈ iso H I"
  shows "(λ(x,y). (g x, h y)) ∈ iso (G ×× H) (G2 ×× I)"
  <proof>

corollary (in group) DirProd_iso_trans :
  assumes "G ≅ G2" and "H ≅ I"
  shows "G ×× H ≅ G2 ×× I"
  <proof>

lemma hom_pairwise: "f ∈ hom G (DirProd H K) ⟷ (fst ∘ f) ∈ hom G H
  ∧ (snd ∘ f) ∈ hom G K"
  <proof>

lemma hom_paired:
  "(λx. (f x, g x)) ∈ hom G (DirProd H K) ⟷ f ∈ hom G H ∧ g ∈ hom
  G K"
  <proof>

lemma hom_paired2:
  assumes "group G" "group H"
  shows "(λ(x,y). (f x, g y)) ∈ hom (DirProd G H) (DirProd G' H') ⟷
  f ∈ hom G G' ∧ g ∈ hom H H'"
  <proof>

lemma iso_paired2:
  assumes "group G" "group H"
  shows "(λ(x,y). (f x, g y)) ∈ iso (DirProd G H) (DirProd G' H') ⟷
  f ∈ iso G G' ∧ g ∈ iso H H'"
  <proof>

lemma hom_of_fst:
  assumes "group H"
  shows "(f ∘ fst) ∈ hom (DirProd G H) K ⟷ f ∈ hom G K"
  <proof>

lemma hom_of_snd:
  assumes "group G"
  shows "(f ∘ snd) ∈ hom (DirProd G H) K ⟷ f ∈ hom H K"
  <proof>

```

## 6.9 The locale for a homomorphism between two groups

Basis for homomorphism proofs: we assume two groups  $G$  and  $H$ , with a homomorphism  $h$  between them

locale group\_hom = G?: group G + H?: group H for G (structure) and H (structure)  
+



```

fixes h
assumes homh [simp]: "h ∈ hom G H"

declare group_hom.homh [simp]

lemma (in group_hom) hom_mult [simp]:
  "[| x ∈ carrier G; y ∈ carrier G |] ==> h (x ⊗G y) = h x ⊗H h y"
  <proof>

lemma (in group_hom) hom_closed [simp]:
  "x ∈ carrier G ==> h x ∈ carrier H"
  <proof>

lemma (in group_hom) one_closed: "h 1 ∈ carrier H"
  <proof>

lemma (in group_hom) hom_one [simp]: "h 1 = 1H"
  <proof>

lemma hom_one:
  assumes "h ∈ hom G H" "group G" "group H"
  shows "h (one G) = one H"
  <proof>

lemma hom_mult:
  "[| h ∈ hom G H; x ∈ carrier G; y ∈ carrier G |] ==> h (x ⊗G y) = h x ⊗H
h y"
  <proof>

lemma (in group_hom) inv_closed [simp]:
  "x ∈ carrier G ==> h (inv x) ∈ carrier H"
  <proof>

lemma (in group_hom) hom_inv [simp]:
  assumes "x ∈ carrier G" shows "h (inv x) = invH (h x)"
  <proof>

lemma (in group) int_pow_is_hom:
  "x ∈ carrier G ==> (([^]) x) ∈ hom (| carrier = UNIV, mult = (+), one
= 0::int |) G"
  <proof>

lemma (in group_hom) img_is_subgroup: "subgroup (h ` (carrier G)) H"

  <proof>

lemma (in group_hom) subgroup_img_is_subgroup:
  assumes "subgroup I G"
  shows "subgroup (h ` I) H"

```

*<proof>*

```
lemma (in subgroup) iso_subgroup:
  assumes "group G" "group F"
  assumes "φ ∈ iso G F"
  shows "subgroup (φ ` H) F"
<proof>
```

```
lemma (in group_hom) induced_group_hom:
  assumes "subgroup I G"
  shows "group_hom (G (| carrier := I |)) (H (| carrier := h ` I |)) h"
<proof>
```

An isomorphism restricts to an isomorphism of subgroups.

```
lemma iso_restrict:
  assumes "φ ∈ iso G F"
  assumes groups: "group G" "group F"
  assumes HG: "subgroup H G"
  shows "(restrict φ H) ∈ iso (G(|carrier := H|)) (F(|carrier := φ ` H|))"
<proof>
```

```
lemma (in group) canonical_inj_is_hom:
  assumes "subgroup H G"
  shows "group_hom (G (| carrier := H |)) G id"
<proof>
```

```
lemma (in group_hom) hom_nat_pow:
  "x ∈ carrier G ⇒ h (x [^] (n :: nat)) = (h x) [^]_H n"
<proof>
```

```
lemma (in group_hom) hom_int_pow:
  "x ∈ carrier G ⇒ h (x [^] (n :: int)) = (h x) [^]_H n"
<proof>
```

```
lemma hom_nat_pow:
  "[[h ∈ hom G H; x ∈ carrier G; group G; group H]] ⇒ h (x [^]_G (n ::
nat)) = (h x) [^]_H n"
<proof>
```

```
lemma hom_int_pow:
  "[[h ∈ hom G H; x ∈ carrier G; group G; group H]] ⇒ h (x [^]_G (n ::
int)) = (h x) [^]_H n"
<proof>
```

## 6.10 Commutative Structures

Naming convention: multiplicative structures that are commutative are called *commutative*, additive structures are called *Abelian*.

**locale** comm\_monoid = monoid +

```

    assumes m_comm: "[x ∈ carrier G; y ∈ carrier G] ==> x ⊗ y = y ⊗ x"

lemma (in comm_monoid) m_lcomm:
  "[x ∈ carrier G; y ∈ carrier G; z ∈ carrier G] ==>
   x ⊗ (y ⊗ z) = y ⊗ (x ⊗ z)"
  <proof>

lemmas (in comm_monoid) m_ac = m_assoc m_comm m_lcomm

lemma comm_monoidI:
  fixes G (structure)
  assumes m_closed:
    "!!x y. [| x ∈ carrier G; y ∈ carrier G |] ==> x ⊗ y ∈ carrier
G"
    and one_closed: "1 ∈ carrier G"
    and m_assoc:
      "!!x y z. [| x ∈ carrier G; y ∈ carrier G; z ∈ carrier G |] ==>
(x ⊗ y) ⊗ z = x ⊗ (y ⊗ z)"
    and l_one: "!!x. x ∈ carrier G ==> 1 ⊗ x = x"
    and m_comm:
      "!!x y. [| x ∈ carrier G; y ∈ carrier G |] ==> x ⊗ y = y ⊗ x"
  shows "comm_monoid G"
  <proof>

lemma (in monoid) monoid_comm_monoidI:
  assumes m_comm:
    "!!x y. [| x ∈ carrier G; y ∈ carrier G |] ==> x ⊗ y = y ⊗ x"
  shows "comm_monoid G"
  <proof>

lemma (in comm_monoid) submonoid_is_comm_monoid :
  assumes "submonoid H G"
  shows "comm_monoid (G(carrier := H))"
  <proof>

locale comm_group = comm_monoid + group

lemma (in group) group_comm_groupI:
  assumes m_comm: "!!x y. [| x ∈ carrier G; y ∈ carrier G |] ==> x ⊗
y = y ⊗ x"
  shows "comm_group G"
  <proof>

lemma comm_groupI:
  fixes G (structure)
  assumes m_closed:
    "!!x y. [| x ∈ carrier G; y ∈ carrier G |] ==> x ⊗ y ∈ carrier
G"
    and one_closed: "1 ∈ carrier G"

```

```

and m_assoc:
  "!!x y z. [| x ∈ carrier G; y ∈ carrier G; z ∈ carrier G |] ==>
  (x ⊗ y) ⊗ z = x ⊗ (y ⊗ z)"
and m_comm:
  "!!x y. [| x ∈ carrier G; y ∈ carrier G |] ==> x ⊗ y = y ⊗ x"
and l_one: "!!x. x ∈ carrier G ==> 1 ⊗ x = x"
and l_inv_ex: "!!x. x ∈ carrier G ==> ∃y ∈ carrier G. y ⊗ x = 1"
shows "comm_group G"
⟨proof⟩

lemma comm_groupE:
  fixes G (structure)
  assumes "comm_group G"
  shows "∧x y. [| x ∈ carrier G; y ∈ carrier G |] ==> x ⊗ y ∈ carrier
  G"
  and "1 ∈ carrier G"
  and "∧x y z. [| x ∈ carrier G; y ∈ carrier G; z ∈ carrier G |] ==>
  (x ⊗ y) ⊗ z = x ⊗ (y ⊗ z)"
  and "∧x y. [| x ∈ carrier G; y ∈ carrier G |] ==> x ⊗ y = y ⊗ x"
  and "∧x. x ∈ carrier G ==> 1 ⊗ x = x"
  and "∧x. x ∈ carrier G ==> ∃y ∈ carrier G. y ⊗ x = 1"
  ⟨proof⟩

lemma (in comm_group) inv_mult:
  "[| x ∈ carrier G; y ∈ carrier G |] ==> inv (x ⊗ y) = inv x ⊗ inv y"
  ⟨proof⟩

lemma (in comm_monoid) nat_pow_distrib:
  fixes n::nat
  assumes "x ∈ carrier G" "y ∈ carrier G"
  shows "(x ⊗ y) [^] n = x [^] n ⊗ y [^] n"
  ⟨proof⟩

lemma (in comm_group) int_pow_distrib:
  assumes "x ∈ carrier G" "y ∈ carrier G"
  shows "(x ⊗ y) [^] (i::int) = x [^] i ⊗ y [^] i"
  ⟨proof⟩

lemma (in comm_monoid) hom_imp_img_comm_monoid:
  assumes "h ∈ hom G H"
  shows "comm_monoid (H (| carrier := h ` (carrier G), one := h 1_G |))"
  (is "comm_monoid ?h_img")
  ⟨proof⟩

lemma (in comm_group) hom_group_mult:
  assumes "f ∈ hom H G" "g ∈ hom H G"
  shows "(λx. f x ⊗_G g x) ∈ hom H G"
  ⟨proof⟩

```

```

lemma (in comm_group) hom_imp_img_comm_group:
  assumes "h ∈ hom G H"
  shows "comm_group (H (| carrier := h ` (carrier G), one := h 1_G |))"
  <proof>

```

```

lemma (in comm_group) iso_imp_img_comm_group:
  assumes "h ∈ iso G H"
  shows "comm_group (H (| one := h 1_G |))"
  <proof>

```

```

lemma (in comm_group) iso_imp_comm_group:
  assumes "G ≅ H" "monoid H"
  shows "comm_group H"
  <proof>

```

```

lemma (in group) incl_subgroup:
  assumes "subgroup J G"
  and "subgroup I (G(carrier:=J))"
  shows "subgroup I G" <proof>

```

```

lemma (in group) subgroup_incl:
  assumes "subgroup I G" and "subgroup J G" and "I ⊆ J"
  shows "subgroup I (G (| carrier := J |))"
  <proof>

```

## 6.11 The Lattice of Subgroups of a Group

```

theorem (in group) subgroups_partial_order:
  "partial_order (|carrier = {H. subgroup H G}, eq = (=), le = (⊆)|)"
  <proof>

```

```

lemma (in group) subgroup_self:
  "subgroup (carrier G) G"
  <proof>

```

```

lemma (in group) subgroup_imp_group:
  "subgroup H G ==> group (G(carrier := H))"
  <proof>

```

```

lemma (in group) subgroup_mult_equality:
  "[| subgroup H G; h1 ∈ H; h2 ∈ H |] ==> h1 ⊗_G (| carrier := H |) h2 = h1
  ⊗ h2"
  <proof>

```

```

theorem (in group) subgroups_Inter:
  assumes subgr: "(∧H. H ∈ A ==> subgroup H G)"
  and not_empty: "A ≠ {}"

```

**shows** "subgroup  $(\bigcap A)$  G"  
*<proof>*

**lemma** (in group) subgroups\_Inter\_pair :  
**assumes** "subgroup I G" "subgroup J G" **shows** "subgroup  $(I \cap J)$  G"  
*<proof>*

**theorem** (in group) subgroups\_complete\_lattice:  
 "complete\_lattice  $(\text{carrier} = \{H. \text{subgroup } H \text{ } G\}, \text{eq} = (=), \text{le} = (\subseteq))$ "  
 (is "complete\_lattice ?L")  
*<proof>*

## 6.12 The units in any monoid give rise to a group

Thanks to Jeremy Avigad. The file Residues.thy provides some infrastructure to use facts about the unit group within the ring locale.

**definition** units\_of :: "('a, 'b) monoid\_scheme  $\Rightarrow$  'a monoid"  
**where** "units\_of G =  
 $(\text{carrier} = \text{Units } G, \text{Group.monoid.mult} = \text{Group.monoid.mult } G, \text{one} = \text{one } G)$ "

**lemma** (in monoid) units\_group: "group (units\_of G)"  
*<proof>*

**lemma** (in comm\_monoid) units\_comm\_group: "comm\_group (units\_of G)"  
*<proof>*

**lemma** units\_of\_carrier: "carrier (units\_of G) = Units G"  
*<proof>*

**lemma** units\_of\_mult: "mult (units\_of G) = mult G"  
*<proof>*

**lemma** units\_of\_one: "one (units\_of G) = one G"  
*<proof>*

**lemma** (in monoid) units\_of\_inv:  
**assumes** "x  $\in$  Units G"  
**shows** "m\_inv (units\_of G) x = m\_inv G x"  
*<proof>*

**lemma** units\_of\_units [simp] : "Units (units\_of G) = Units G"  
*<proof>*

**lemma** (in group) surj\_const\_mult: "a  $\in$  carrier G  $\implies$   $(\lambda x. a \otimes x) \text{ ' carrier } G = \text{carrier } G$ "  
*<proof>*

**lemma** (in group) l\_cancel\_one [simp]: "x  $\in$  carrier G  $\implies$  a  $\in$  carrier

```

G  $\implies$  x  $\otimes$  a = x  $\iff$  a = one G"
  <proof>

lemma (in group) r_cancel_one [simp]: "x  $\in$  carrier G  $\implies$  a  $\in$  carrier
G  $\implies$  a  $\otimes$  x = x  $\iff$  a = one G"
  <proof>

lemma (in group) l_cancel_one' [simp]: "x  $\in$  carrier G  $\implies$  a  $\in$  carrier
G  $\implies$  x = x  $\otimes$  a  $\iff$  a = one G"
  <proof>

lemma (in group) r_cancel_one' [simp]: "x  $\in$  carrier G  $\implies$  a  $\in$  carrier
G  $\implies$  x = a  $\otimes$  x  $\iff$  a = one G"
  <proof>

declare pow_nat [simp]

end

theory FiniteProduct
imports Group
begin

```

## 6.13 Product Operator for Commutative Monoids

### 6.13.1 Inductive Definition of a Relation for Products over Sets

Instantiation of locale LC of theory `Finite_Set` is not possible, because here we have explicit typing rules like `x  $\in$  carrier G`. We introduce an explicit argument for the domain `D`.

```

inductive_set
  foldSetD :: "[ 'a set, 'b  $\Rightarrow$  'a  $\Rightarrow$  'a, 'a ]  $\Rightarrow$  ( 'b set * 'a ) set"
  for D :: "'a set" and f :: "'b  $\Rightarrow$  'a  $\Rightarrow$  'a" and e :: 'a
  where
    emptyI [intro]: "e  $\in$  D  $\implies$  ({}, e)  $\in$  foldSetD D f e"
    | insertI [intro]: "[x  $\notin$  A; f x y  $\in$  D; (A, y)  $\in$  foldSetD D f e]  $\implies$ 
      (insert x A, f x y)  $\in$  foldSetD D f e"

inductive_cases empty_foldSetDE [elim!]: "{}, x)  $\in$  foldSetD D f e"

definition
  foldD :: "[ 'a set, 'b  $\Rightarrow$  'a  $\Rightarrow$  'a, 'a, 'b set ]  $\Rightarrow$  'a"
  where "foldD D f e A = (THE x. (A, x)  $\in$  foldSetD D f e)"

lemma foldSetD_closed: "(A, z)  $\in$  foldSetD D f e  $\implies$  z  $\in$  D"
  <proof>

lemma Diff1_foldSetD:

```

```

"[(A - {x}, y) ∈ foldSetD D f e; x ∈ A; f x y ∈ D] ⇒
(A, f x y) ∈ foldSetD D f e"
⟨proof⟩

```

```

lemma foldSetD_imp_finite [simp]: "(A, x) ∈ foldSetD D f e ⇒ finite
A"
⟨proof⟩

```

```

lemma finite_imp_foldSetD:
"[[finite A; e ∈ D; ∧x y. [x ∈ A; y ∈ D] ⇒ f x y ∈ D]
⇒ ∃x. (A, x) ∈ foldSetD D f e"
⟨proof⟩

```

```

lemma foldSetD_backwards:
assumes "A ≠ {}" "(A, z) ∈ foldSetD D f e"
shows "∃x y. x ∈ A ∧ (A - { x }, y) ∈ foldSetD D f e ∧ z = f x y"
⟨proof⟩

```

### 6.13.2 Left-Commutative Operations

```

locale LCD =
fixes B :: "'b set"
and D :: "'a set"
and f :: "'b ⇒ 'a ⇒ 'a" (infixl "." 70)
assumes left_commute:
"[[x ∈ B; y ∈ B; z ∈ D] ⇒ x · (y · z) = y · (x · z)"
and f_closed [simp, intro!]: "!!x y. [x ∈ B; y ∈ D] ⇒ f x y ∈ D"

```

```

lemma (in LCD) foldSetD_closed [dest]: "(A, z) ∈ foldSetD D f e ⇒ z
∈ D"
⟨proof⟩

```

```

lemma (in LCD) Diff1_foldSetD:
"[(A - {x}, y) ∈ foldSetD D f e; x ∈ A; A ⊆ B] ⇒
(A, f x y) ∈ foldSetD D f e"
⟨proof⟩

```

```

lemma (in LCD) finite_imp_foldSetD:
"[[finite A; A ⊆ B; e ∈ D] ⇒ ∃x. (A, x) ∈ foldSetD D f e"
⟨proof⟩

```

```

lemma (in LCD) foldSetD_determ_aux:
assumes "e ∈ D" and A: "card A < n" "A ⊆ B" "(A, x) ∈ foldSetD D f
e" "(A, y) ∈ foldSetD D f e"
shows "y = x"
⟨proof⟩

```

```

lemma (in LCD) foldSetD_determ:

```



```

"[(A, x) ∈ foldSetD D f e; (A, y) ∈ foldSetD D f e; e ∈ D; A ⊆ B]
⇒ y = x"
⟨proof⟩

lemma (in LCD) foldD_equality:
"[(A, y) ∈ foldSetD D f e; e ∈ D; A ⊆ B] ⇒ foldD D f e A = y"
⟨proof⟩

lemma foldD_empty [simp]:
"e ∈ D ⇒ foldD D f e {} = e"
⟨proof⟩

lemma (in LCD) foldD_insert_aux:
"[[x ∉ A; x ∈ B; e ∈ D; A ⊆ B]
⇒ ((insert x A, v) ∈ foldSetD D f e) ↔ (∃y. (A, y) ∈ foldSetD
D f e ∧ v = f x y)"
⟨proof⟩

lemma (in LCD) foldD_insert:
assumes "finite A" "x ∉ A" "x ∈ B" "e ∈ D" "A ⊆ B"
shows "foldD D f e (insert x A) = f x (foldD D f e A)"
⟨proof⟩

lemma (in LCD) foldD_closed [simp]:
"[[finite A; e ∈ D; A ⊆ B] ⇒ foldD D f e A ∈ D"
⟨proof⟩

lemma (in LCD) foldD_commute:
"[[finite A; x ∈ B; e ∈ D; A ⊆ B] ⇒
f x (foldD D f e A) = foldD D f (f x e) A"
⟨proof⟩

lemma Int_mono2:
"[[A ⊆ C; B ⊆ C] ⇒ A Int B ⊆ C"
⟨proof⟩

lemma (in LCD) foldD_nest_Un_Int:
"[[finite A; finite C; e ∈ D; A ⊆ B; C ⊆ B] ⇒
foldD D f (foldD D f e C) A = foldD D f (foldD D f e (A Int C)) (A
Un C)"
⟨proof⟩

lemma (in LCD) foldD_nest_Un_disjoint:
"[[finite A; finite B; A Int B = {}]; e ∈ D; A ⊆ B; C ⊆ B]
⇒ foldD D f e (A Un B) = foldD D f (foldD D f e B) A"
⟨proof⟩

declare foldSetD_imp_finite [simp del]
empty_foldSetDE [rule del]

```

```

    foldSetD.intros [rule del]
declare (in LCD)
    foldSetD_closed [rule del]

```

### Commutative Monoids

We enter a more restrictive context, with  $f :: 'a \Rightarrow 'a \Rightarrow 'a$  instead of  $'b \Rightarrow 'a \Rightarrow 'a$ .

```

locale ACeD =
  fixes D :: "'a set"
    and f :: "'a  $\Rightarrow$  'a  $\Rightarrow$  'a"    (infixl "." 70)
    and e :: 'a
  assumes ident [simp]: "x  $\in$  D  $\implies$  x  $\cdot$  e = x"
    and commute: "[x  $\in$  D; y  $\in$  D]  $\implies$  x  $\cdot$  y = y  $\cdot$  x"
    and assoc: "[x  $\in$  D; y  $\in$  D; z  $\in$  D]  $\implies$  (x  $\cdot$  y)  $\cdot$  z = x  $\cdot$  (y  $\cdot$  z)"
    and e_closed [simp]: "e  $\in$  D"
    and f_closed [simp]: "[x  $\in$  D; y  $\in$  D]  $\implies$  x  $\cdot$  y  $\in$  D"

```

```

lemma (in ACeD) left_commute:
  "[x  $\in$  D; y  $\in$  D; z  $\in$  D]  $\implies$  x  $\cdot$  (y  $\cdot$  z) = y  $\cdot$  (x  $\cdot$  z)"
<proof>

```

```

lemmas (in ACeD) AC = assoc commute left_commute

```

```

lemma (in ACeD) left_ident [simp]: "x  $\in$  D  $\implies$  e  $\cdot$  x = x"
<proof>

```

```

lemma (in ACeD) foldD_Un_Int:
  "[finite A; finite B; A  $\subseteq$  D; B  $\subseteq$  D]  $\implies$ 
  foldD D f e A  $\cdot$  foldD D f e B =
  foldD D f e (A Un B)  $\cdot$  foldD D f e (A Int B)"
<proof>

```

```

lemma (in ACeD) foldD_Un_disjoint:
  "[finite A; finite B; A Int B = {}; A  $\subseteq$  D; B  $\subseteq$  D]  $\implies$ 
  foldD D f e (A Un B) = foldD D f e A  $\cdot$  foldD D f e B"
<proof>

```

### 6.13.3 Products over Finite Sets

#### definition

```

finprod :: "[('b, 'm) monoid_scheme, 'a  $\Rightarrow$  'b, 'a set]  $\Rightarrow$  'b"
where "finprod G f A =
  (if finite A
   then foldD (carrier G) (mult G  $\circ$  f) 1G A
   else 1G)"

```

#### syntax

```

"_finprod" :: "index  $\Rightarrow$  idt  $\Rightarrow$  'a set  $\Rightarrow$  'b  $\Rightarrow$  'b"

```

```

      ("(3⊗__∈. _)" [1000, 0, 51, 10] 10)
translations
  "⊗Gi∈A. b" = "CONST finprod G (%i. b) A"
  — Beware of argument permutation!

lemma (in comm_monoid) finprod_empty [simp]:
  "finprod G f {} = 1"
  <proof>

lemma (in comm_monoid) finprod_infinite[simp]:
  "¬ finite A ⇒ finprod G f A = 1"
  <proof>

declare funcsetI [intro]
  funcset_mem [dest]

context comm_monoid begin

lemma finprod_insert [simp]:
  assumes "finite F" "a ∉ F" "f ∈ F → carrier G" "f a ∈ carrier G"
  shows "finprod G f (insert a F) = f a ⊗ finprod G f F"
  <proof>

lemma finprod_one_eqI: "(∧x. x ∈ A ⇒ f x = 1) ⇒ finprod G f A =
1"
  <proof>

lemma finprod_one [simp]: "(⊗i∈A. 1) = 1"
  <proof>

lemma finprod_closed [simp]:
  fixes A
  assumes f: "f ∈ A → carrier G"
  shows "finprod G f A ∈ carrier G"
  <proof>

lemma funcset_Int_left [simp, intro]:
  "[[f ∈ A → C; f ∈ B → C]] ⇒ f ∈ A Int B → C"
  <proof>

lemma funcset_Un_left [iff]:
  "(f ∈ A Un B → C) = (f ∈ A → C ∧ f ∈ B → C)"
  <proof>

lemma finprod_Un_Int:
  "[[finite A; finite B; g ∈ A → carrier G; g ∈ B → carrier G]] ⇒
  finprod G g (A Un B) ⊗ finprod G g (A Int B) =
  finprod G g A ⊗ finprod G g B"
  — The reversed orientation looks more natural, but LOOPS as a simp rule!

```

*<proof>*

**lemma** finprod\_Un\_disjoint:

```
"[[finite A; finite B; A Int B = {};  
  g ∈ A → carrier G; g ∈ B → carrier G]]  
  ⇒ finprod G g (A Un B) = finprod G g A ⊗ finprod G g B"  
<proof>
```

**lemma** finprod\_multf [simp]:

```
"[[f ∈ A → carrier G; g ∈ A → carrier G]] ⇒  
  finprod G (λx. f x ⊗ g x) A = (finprod G f A ⊗ finprod G g A)"  
<proof>
```

**lemma** finprod\_cong':

```
"[[A = B; g ∈ B → carrier G;  
  !!i. i ∈ B ⇒ f i = g i]] ⇒ finprod G f A = finprod G g B"  
<proof>
```

**lemma** finprod\_cong:

```
"[[A = B; f ∈ B → carrier G = True;  
  ∧i. i ∈ B =simp=> f i = g i]] ⇒ finprod G f A = finprod G g B"  
  
<proof>
```

Usually, if this rule causes a failed congruence proof error, the reason is that the premise  $g \in B \rightarrow \text{carrier } G$  cannot be shown. Adding `Pi_def` to the simpset is often useful. For this reason, `finprod_cong` is not added to the simpset by default.

**end**

**declare** funcsetI [rule del]

funcset\_mem [rule del]

**context** comm\_monoid **begin**

**lemma** finprod\_0 [simp]:

```
"f ∈ {0::nat} → carrier G ⇒ finprod G f {...0} = f 0"  
<proof>
```

**lemma** finprod\_0':

```
"f ∈ {...n} → carrier G ⇒ (f 0) ⊗ finprod G f {Suc 0..n} = finprod  
G f {...n}"  
<proof>
```

**lemma** finprod\_Suc [simp]:

```
"f ∈ {...Suc n} → carrier G ⇒  
  finprod G f {...Suc n} = (f (Suc n) ⊗ finprod G f {...n})"  
<proof>
```

```

lemma finprod_Suc2:
  "f ∈ {..Suc n} → carrier G ⇒
   finprod G f {..Suc n} = (finprod G (%i. f (Suc i)) {..n} ⊗ f 0)"
⟨proof⟩

lemma finprod_Suc3:
  assumes "f ∈ {..n :: nat} → carrier G"
  shows "finprod G f {.. n} = (f n) ⊗ finprod G f {..< n}"
⟨proof⟩

lemma finprod_reindex:
  "f ∈ (h ' A) → carrier G ⇒
   inj_on h A ⇒ finprod G f (h ' A) = finprod G (λx. f (h x)) A"
⟨proof⟩

lemma finprod_const:
  assumes a [simp]: "a ∈ carrier G"
  shows "finprod G (λx. a) A = a [^] card A"
⟨proof⟩

lemma finprod_singleton:
  assumes i_in_A: "i ∈ A" and fin_A: "finite A" and f_Pi: "f ∈ A →
  carrier G"
  shows "(⊗j∈A. if i = j then f j else 1) = f i"
⟨proof⟩

lemma finprod_singleton_swap:
  assumes i_in_A: "i ∈ A" and fin_A: "finite A" and f_Pi: "f ∈ A →
  carrier G"
  shows "(⊗j∈A. if j = i then f j else 1) = f i"
⟨proof⟩

lemma finprod_mono_neutral_cong_left:
  assumes "finite B"
  and "A ⊆ B"
  and 1: "∧i. i ∈ B - A ⇒ h i = 1"
  and gh: "∧x. x ∈ A ⇒ g x = h x"
  and h: "h ∈ B → carrier G"
  shows "finprod G g A = finprod G h B"
⟨proof⟩

lemma finprod_mono_neutral_cong_right:
  assumes "finite B"
  and "A ⊆ B" "∧i. i ∈ B - A ⇒ g i = 1" "∧x. x ∈ A ⇒ g x = h
  x" "g ∈ B → carrier G"
  shows "finprod G g B = finprod G h A"
⟨proof⟩

lemma finprod_mono_neutral_cong:

```

```

assumes [simp]: "finite B" "finite A"
  and *: " $\bigwedge i. i \in B - A \implies h\ i = 1$ " " $\bigwedge i. i \in A - B \implies g\ i = 1$ "
  and gh: " $\bigwedge x. x \in A \cap B \implies g\ x = h\ x$ "
  and g: " $g \in A \rightarrow \text{carrier } G$ "
  and h: " $h \in B \rightarrow \text{carrier } G$ "
shows "finprod G g A = finprod G h B"
<proof>

end

```

```

lemma (in comm_group) power_order_eq_one:
  assumes fin [simp]: "finite (carrier G)"
  and a [simp]: "a  $\in$  carrier G"
  shows "a [ $\wedge$ ] card(carrier G) = one G"
<proof>

```

```

lemma (in comm_monoid) finprod_UN_disjoint:
  assumes
    "finite I" " $\bigwedge i. i \in I \implies \text{finite } (A\ i)$ " "pairwise ( $\lambda i\ j. \text{disjnt } (A\ i)\ (A\ j)$ ) I"
    " $\bigwedge i\ x. i \in I \implies x \in A\ i \implies g\ x \in \text{carrier } G$ "
  shows "finprod G g ( $\bigcup (A\ ' I)$ ) = finprod G ( $\lambda i. \text{finprod G g } (A\ i)$ ) I"
<proof>

```

```

lemma (in comm_monoid) finprod_Union_disjoint:
  "[[finite C;  $\bigwedge A. A \in C \implies \text{finite } A \wedge (\forall x \in A. f\ x \in \text{carrier } G)$ ; pairwise
disjnt C]]  $\implies$ 
  finprod G f ( $\bigcup C$ ) = finprod G (finprod G f) C"
<proof>

```

end

```

theory Coset
imports Group
begin

```

## 7 Cosets and Quotient Groups

```

definition
  r_coset    :: "[_, 'a set, 'a]  $\Rightarrow$  'a set"    (infixl "#>₂" 60)
  where "H #>ₑ a = ( $\bigcup_{h \in H. \{h \otimes_G a\}}$ )"

```

```

definition
  l_coset    :: "[_, 'a, 'a set]  $\Rightarrow$  'a set"    (infixl "<#₂" 60)
  where "a <#ₑ H = ( $\bigcup_{h \in H. \{a \otimes_G h\}}$ )"

```

**definition**

```
RCOSETS :: "[_, 'a set] ⇒ ('a set)set" ("rcosetsι _" [81] 80)
where "rcosetsG H = (⋃a∈carrier G. {H #>G a})"
```

**definition**

```
set_mult :: "[_, 'a set, 'a set] ⇒ 'a set" (infixl "<#>ι" 60)
where "H <#>G K = (⋃h∈H. ⋃k∈K. {h ⊗G k})"
```

**definition**

```
SET_INV :: "[_, 'a set] ⇒ 'a set" ("set'_invι _" [81] 80)
where "set_invG H = (⋃h∈H. {invG h})"
```

locale normal = subgroup + group +

```
assumes coset_eq: "(∀x ∈ carrier G. H #> x = x <# H)"
```

**abbreviation**

```
normal_rel :: "[ 'a set, ('a, 'b) monoid_scheme ] ⇒ bool" (infixl "<" 60) where
"H < G ≡ normal H G"
```

```
lemma (in comm_group) subgroup_imp_normal: "subgroup A G ⇒ A < G"
⟨proof⟩
```

```
lemma l_coset_eq_set_mult:
fixes G (structure)
shows "x <# H = {x} <#> H"
⟨proof⟩
```

```
lemma r_coset_eq_set_mult:
fixes G (structure)
shows "H #> x = H <#> {x}"
⟨proof⟩
```

```
lemma (in subgroup) rcosets_non_empty:
assumes "R ∈ rcosets H"
shows "R ≠ {}"
⟨proof⟩
```

```
lemma (in group) diff_neutralizes:
assumes "subgroup H G" "R ∈ rcosets H"
shows "∧r1 r2. [ r1 ∈ R; r2 ∈ R ] ⇒ r1 ⊗ (inv r2) ∈ H"
⟨proof⟩
```

```
lemma mono_set_mult: "[ H ⊆ H'; K ⊆ K' ] ⇒ H <#>G K ⊆ H' <#>G K'"
⟨proof⟩
```

## 7.1 Stable Operations for Subgroups

lemma set\_mult\_consistent [simp]:  
 "N <#>(G (| carrier := H |)) K = N <#><sub>G</sub> K"  
 <proof>

lemma r\_coset\_consistent [simp]:  
 "I #><sub>G</sub> (| carrier := H |) h = I #><sub>G</sub> h"  
 <proof>

lemma l\_coset\_consistent [simp]:  
 "h <#><sub>G</sub> (| carrier := H |) I = h <#><sub>G</sub> I"  
 <proof>

## 7.2 Basic Properties of set multiplication

lemma (in group) setmult\_subset\_G:  
 assumes "H ⊆ carrier G" "K ⊆ carrier G"  
 shows "H <#> K ⊆ carrier G" <proof>

lemma (in monoid) set\_mult\_closed:  
 assumes "H ⊆ carrier G" "K ⊆ carrier G"  
 shows "H <#> K ⊆ carrier G"  
 <proof>

lemma (in group) set\_mult\_assoc:  
 assumes "M ⊆ carrier G" "H ⊆ carrier G" "K ⊆ carrier G"  
 shows "(M <#> H) <#> K = M <#> (H <#> K)"  
 <proof>

## 7.3 Basic Properties of Cosets

lemma (in group) coset\_mult\_assoc:  
 assumes "M ⊆ carrier G" "g ∈ carrier G" "h ∈ carrier G"  
 shows "(M #> g) #> h = M #> (g ⊗ h)"  
 <proof>

lemma (in group) coset\_assoc:  
 assumes "x ∈ carrier G" "y ∈ carrier G" "H ⊆ carrier G"  
 shows "x <#> (H #> y) = (x <#> H) #> y"  
 <proof>

lemma (in group) coset\_mult\_one [simp]: "M ⊆ carrier G ==> M #> 1 = M"  
 <proof>

lemma (in group) coset\_mult\_inv1:  
 assumes "M #> (x ⊗ (inv y)) = M"  
 and "x ∈ carrier G" "y ∈ carrier G" "M ⊆ carrier G"  
 shows "M #> x = M #> y" <proof>



```

lemma (in group) coset_mult_inv2:
  assumes "M #> x = M #> y"
    and "x ∈ carrier G" "y ∈ carrier G" "M ⊆ carrier G"
  shows "M #> (x ⊗ (inv y)) = M " <proof>

lemma (in group) coset_join1:
  assumes "H #> x = H"
    and "x ∈ carrier G" "subgroup H G"
  shows "x ∈ H"
  <proof>

lemma (in group) solve_equation:
  assumes "subgroup H G" "x ∈ H" "y ∈ H"
  shows "∃h ∈ H. y = h ⊗ x"
  <proof>

lemma (in group_hom) inj_on_one_iff:
  "inj_on h (carrier G) ↔ (∀x. x ∈ carrier G → h x = one H → x
= one G)"
  <proof>

lemma inj_on_one_iff':
  "[h ∈ hom G H; group G; group H] ⇒ inj_on h (carrier G) ↔ (∀x.
x ∈ carrier G → h x = one H → x = one G)"
  <proof>

lemma mon_iff_hom_one:
  "[group G; group H] ⇒ f ∈ mon G H ↔ f ∈ hom G H ∧ (∀x. x ∈ carrier
G ∧ f x = 1H → x = 1G)"
  <proof>

lemma (in group_hom) iso_iff: "h ∈ iso G H ↔ carrier H ⊆ h ` carrier
G ∧ (∀x∈carrier G. h x = 1H → x = 1)"
  <proof>

lemma (in group) repr_independence:
  assumes "y ∈ H #> x" "x ∈ carrier G" "subgroup H G"
  shows "H #> x = H #> y" <proof>

lemma (in group) coset_join2:
  assumes "x ∈ carrier G" "subgroup H G" "x ∈ H"
  shows "H #> x = H" <proof>

lemma (in group) coset_join3:
  assumes "x ∈ carrier G" "subgroup H G" "x ∈ H"
  shows "x <# H = H"
  <proof>

```

**lemma** (in monoid) r\_coset\_subset\_G:  
 "[ H  $\subseteq$  carrier G; x  $\in$  carrier G ]  $\implies$  H #> x  $\subseteq$  carrier G"  
 <proof>

**lemma** (in group) rcosI:  
 "[ h  $\in$  H; H  $\subseteq$  carrier G; x  $\in$  carrier G ]  $\implies$  h  $\otimes$  x  $\in$  H #> x"  
 <proof>

**lemma** (in group) rcosetsI:  
 "[H  $\subseteq$  carrier G; x  $\in$  carrier G]  $\implies$  H #> x  $\in$  rcosets H"  
 <proof>

**lemma** (in group) rcos\_self:  
 "[ x  $\in$  carrier G; subgroup H G ]  $\implies$  x  $\in$  H #> x"  
 <proof>

Opposite of "repr\_independence"

**lemma** (in group) repr\_independenceD:  
 assumes "subgroup H G" "y  $\in$  carrier G"  
 and "H #> x = H #> y"  
 shows "y  $\in$  H #> x"  
 <proof>

Elements of a right coset are in the carrier

**lemma** (in subgroup) elemrcos\_carrier:  
 assumes "group G" "a  $\in$  carrier G"  
 and "a'  $\in$  H #> a"  
 shows "a'  $\in$  carrier G"  
 <proof>

**lemma** (in subgroup) rcos\_const:  
 assumes "group G" "h  $\in$  H"  
 shows "H #> h = H"  
 <proof>

**lemma** (in subgroup) rcos\_module\_imp:  
 assumes "group G" "x  $\in$  carrier G"  
 and "x'  $\in$  H #> x"  
 shows "(x'  $\otimes$  inv x)  $\in$  H"  
 <proof>

**lemma** (in subgroup) rcos\_module\_rev:  
 assumes "group G" "x  $\in$  carrier G" "x'  $\in$  carrier G"  
 and "(x'  $\otimes$  inv x)  $\in$  H"  
 shows "x'  $\in$  H #> x"  
 <proof>

Module property of right cosets

**lemma** (in subgroup) rcos\_module:

```

assumes "group G" "x ∈ carrier G" "x' ∈ carrier G"
shows "(x' ∈ H #> x) = (x' ⊗ inv x ∈ H)"
<proof>

```

Right cosets are subsets of the carrier.

```

lemma (in subgroup) rcosets_carrier:
  assumes "group G" "X ∈ rcosets H"
  shows "X ⊆ carrier G"
<proof>

```

Multiplication of general subsets

```

lemma (in comm_group) mult_subgroups:
  assumes HG: "subgroup H G" and KG: "subgroup K G"
  shows "subgroup (H <#> K) G"
<proof>

```

```

lemma (in subgroup) lcos_module_rev:
  assumes "group G" "x ∈ carrier G" "x' ∈ carrier G"
  and "(inv x ⊗ x') ∈ H"
  shows "x' ∈ x <# H"
<proof>

```

## 7.4 Normal subgroups

```

lemma normal_imp_subgroup: "H ◁ G ⇒ subgroup H G"
<proof>

```

```

lemma (in group) normalI:
  "subgroup H G ⇒ (∀x ∈ carrier G. H #> x = x <# H) ⇒ H ◁ G"
<proof>

```

```

lemma (in normal) inv_op_closed1:
  assumes "x ∈ carrier G" and "h ∈ H"
  shows "(inv x) ⊗ h ⊗ x ∈ H"
<proof>

```

```

lemma (in normal) inv_op_closed2:
  assumes "x ∈ carrier G" and "h ∈ H"
  shows "x ⊗ h ⊗ (inv x) ∈ H"
<proof>

```

```

lemma (in comm_group) normal_iff_subgroup:
  "N ◁ G ⇔ subgroup N G"
<proof>

```

Alternative characterization of normal subgroups

```

lemma (in group) normal_inv_iff:
  "(N ◁ G) =
  (subgroup N G ∧ (∀x ∈ carrier G. ∀h ∈ N. x ⊗ h ⊗ (inv x) ∈ N))"

```

(is "\_ = ?rhs")  
 ⟨proof⟩

**corollary** (in group) normal\_invI:  
 assumes "subgroup N G" and " $\bigwedge x h. [x \in \text{carrier } G; h \in N] \implies x \otimes h \otimes \text{inv } x \in N$ "  
 shows "N  $\triangleleft$  G"  
 ⟨proof⟩

**corollary** (in group) normal\_invE:  
 assumes "N  $\triangleleft$  G"  
 shows "subgroup N G" and " $\bigwedge x h. [x \in \text{carrier } G; h \in N] \implies x \otimes h \otimes \text{inv } x \in N$ "  
 ⟨proof⟩

**lemma** (in group) one\_is\_normal: "{1}  $\triangleleft$  G"  
 ⟨proof⟩

The intersection of two normal subgroups is, again, a normal subgroup.

**lemma** (in group) normal\_subgroup\_intersect:  
 assumes "M  $\triangleleft$  G" and "N  $\triangleleft$  G" shows "M  $\cap$  N  $\triangleleft$  G"  
 ⟨proof⟩

Being a normal subgroup is preserved by surjective homomorphisms.

**lemma** (in normal) surj\_hom\_normal\_subgroup:  
 assumes  $\varphi$ : "group\_hom G F  $\varphi$ "  
 assumes  $\varphi$ surj: " $\varphi$  ' (carrier G) = carrier F"  
 shows " $(\varphi$  ' H)  $\triangleleft$  F"  
 ⟨proof⟩

Being a normal subgroup is preserved by group isomorphisms.

**lemma** iso\_normal\_subgroup:  
 assumes  $\varphi$ : " $\varphi \in \text{iso } G F$ " "group G" "group F" "H  $\triangleleft$  G"  
 shows " $(\varphi$  ' H)  $\triangleleft$  F"  
 ⟨proof⟩

The set product of two normal subgroups is a normal subgroup.

**lemma** (in group) setmult\_lcos\_assoc:  
 " $[H \subseteq \text{carrier } G; K \subseteq \text{carrier } G; x \in \text{carrier } G]$   
 $\implies (x \triangleleft\# H) \triangleleft\# K = x \triangleleft\# (H \triangleleft\# K)$ "  
 ⟨proof⟩

## 7.5 More Properties of Left Cosets

**lemma** (in group) l\_repr\_independence:  
 assumes "y  $\in$  x  $\triangleleft\#$  H" "x  $\in$  carrier G" and HG: "subgroup H G"  
 shows "x  $\triangleleft\#$  H = y  $\triangleleft\#$  H"  
 ⟨proof⟩

**lemma** (in group) lcos\_m\_assoc:  
 "[ M  $\subseteq$  carrier G; g  $\in$  carrier G; h  $\in$  carrier G ]  $\implies$  g  $\langle\#$  (h  $\langle\#$  M) =  
 (g  $\otimes$  h)  $\langle\#$  M"  
*<proof>*

**lemma** (in group) lcos\_mult\_one: "M  $\subseteq$  carrier G  $\implies$  1  $\langle\#$  M = M"  
*<proof>*

**lemma** (in group) l\_coset\_subset\_G:  
 "[ H  $\subseteq$  carrier G; x  $\in$  carrier G ]  $\implies$  x  $\langle\#$  H  $\subseteq$  carrier G"  
*<proof>*

**lemma** (in group) l\_coset\_carrier:  
 "[ y  $\in$  x  $\langle\#$  H; x  $\in$  carrier G; subgroup H G ]  $\implies$  y  $\in$  carrier G"  
*<proof>*

**lemma** (in group) l\_coset\_swap:  
 assumes "y  $\in$  x  $\langle\#$  H" "x  $\in$  carrier G" "subgroup H G"  
 shows "x  $\in$  y  $\langle\#$  H"  
*<proof>*

**lemma** (in group) subgroup\_mult\_id:  
 assumes "subgroup H G"  
 shows "H  $\langle\#>$  H = H"  
*<proof>*

### 7.5.1 Set of Inverses of an r\_coset.

**lemma** (in normal) rcos\_inv:  
 assumes x: "x  $\in$  carrier G"  
 shows "set\_inv (H  $\#>$  x) = H  $\#>$  (inv x)"  
*<proof>*

### 7.5.2 Theorems for $\langle\#>$ with $\#>$ or $\langle\#$ .

**lemma** (in group) setmult\_rcos\_assoc:  
 "[H  $\subseteq$  carrier G; K  $\subseteq$  carrier G; x  $\in$  carrier G]  $\implies$   
 H  $\langle\#>$  (K  $\#>$  x) = (H  $\langle\#>$  K)  $\#>$  x"  
*<proof>*

**lemma** (in group) rcos\_assoc\_lcos:  
 "[H  $\subseteq$  carrier G; K  $\subseteq$  carrier G; x  $\in$  carrier G]  $\implies$   
 (H  $\#>$  x)  $\langle\#>$  K = H  $\langle\#>$  (x  $\langle\#$  K)"  
*<proof>*

**lemma** (in normal) rcos\_mult\_step1:  
 "[x  $\in$  carrier G; y  $\in$  carrier G]  $\implies$   
 (H  $\#>$  x)  $\langle\#>$  (H  $\#>$  y) = (H  $\langle\#>$  (x  $\langle\#$  H))  $\#>$  y"  
*<proof>*

```

lemma (in normal) rcos_mult_step2:
  "[x ∈ carrier G; y ∈ carrier G]
   ⇒ (H <#> (x <# H)) #> y = (H <#> (H #> x)) #> y"
<proof>

```

```

lemma (in normal) rcos_mult_step3:
  "[x ∈ carrier G; y ∈ carrier G]
   ⇒ (H <#> (H #> x)) #> y = H #> (x ⊗ y)"
<proof>

```

```

lemma (in normal) rcos_sum:
  "[x ∈ carrier G; y ∈ carrier G]
   ⇒ (H #> x) <#> (H #> y) = H #> (x ⊗ y)"
<proof>

```

```

lemma (in normal) rcosets_mult_eq: "M ∈ rcosets H ⇒ H <#> M = M"
  — generalizes subgroup_mult_id
<proof>

```

### 7.5.3 An Equivalence Relation

**definition**

```

  r_congruent :: "[('a,'b)monoid_scheme, 'a set] ⇒ ('a*'a)set" ("rcongz
  _")
  where "rcongG H = {(x,y). x ∈ carrier G ∧ y ∈ carrier G ∧ invG x ⊗G
  y ∈ H}"

```

```

lemma (in subgroup) equiv_rcong:
  assumes "group G"
  shows "equiv (carrier G) (rcong H)"
<proof>

```

Equivalence classes of rcong correspond to left cosets. Was there a mistake in the definitions? I'd have expected them to correspond to right cosets.

```

lemma (in subgroup) l_coset_eq_rcong:
  assumes "group G"
  assumes a: "a ∈ carrier G"
  shows "a <# H = (rcong H) ‘ ‘ {a}"
<proof>

```

### 7.5.4 Two Distinct Right Cosets are Disjoint

```

lemma (in group) rcos_equation:
  assumes "subgroup H G"
  assumes p: "ha ⊗ a = h ⊗ b" "a ∈ carrier G" "b ∈ carrier G" "h ∈ H"
  "ha ∈ H" "hb ∈ H"
  shows "hb ⊗ a ∈ (⋃ h∈H. {h ⊗ b})"
<proof>

```

```

lemma (in group) rcos_disjoint:
  assumes "subgroup H G"
  shows "pairwise disjoint (rcosets H)"
<proof>

```

## 7.6 Further lemmas for $r\_congruent$

The relation is a congruence

```

lemma (in normal) congruent_rcong:
  shows "congruent2 (rcong H) (rcong H) ( $\lambda a b. a \otimes b <\# H$ )"
<proof>

```

## 7.7 Order of a Group and Lagrange's Theorem

**definition**

```

order :: "('a, 'b) monoid_scheme  $\Rightarrow$  nat"
where "order S = card (carrier S)"

```

```

lemma iso_same_order:
  assumes " $\varphi \in \text{iso } G \ H$ "
  shows "order G = order H"
<proof>

```

```

lemma (in monoid) order_gt_0_iff_finite: " $0 < \text{order } G \iff \text{finite } (\text{carrier } G)$ "
<proof>

```

```

lemma (in group) order_one_triv_iff:
  shows " $(\text{order } G = 1) = (\text{carrier } G = \{1\})$ "
<proof>

```

```

lemma (in group) rcosets_part_G:
  assumes "subgroup H G"
  shows " $\bigcup (\text{rcosets } H) = \text{carrier } G$ "
<proof>

```

```

lemma (in group) cosets_finite:
  " $[\![c \in \text{rcosets } H; H \subseteq \text{carrier } G; \text{finite } (\text{carrier } G)]\!] \implies \text{finite } c$ "
<proof>

```

The next two lemmas support the proof of `card_cosets_equal`.

```

lemma (in group) inj_on_f:
  assumes " $H \subseteq \text{carrier } G$ " and a: " $a \in \text{carrier } G$ "
  shows "inj_on ( $\lambda y. y \otimes \text{inv } a$ ) (H  $\#>$  a)"
<proof>

```

```

lemma (in group) inj_on_g:

```

```
"[H ⊆ carrier G; a ∈ carrier G] ⇒ inj_on (λy. y ⊗ a) H"
⟨proof⟩
```

```
lemma (in group) card_cosets_equal:
  assumes "R ∈ rcosets H" "H ⊆ carrier G"
  shows "∃f. bij_betw f H R"
⟨proof⟩
```

```
corollary (in group) card_rcosets_equal:
  assumes "R ∈ rcosets H" "H ⊆ carrier G"
  shows "card H = card R"
⟨proof⟩
```

```
corollary (in group) rcosets_finite:
  assumes "R ∈ rcosets H" "H ⊆ carrier G" "finite H"
  shows "finite R"
⟨proof⟩
```

```
lemma (in group) rcosets_subset_PowG:
  "subgroup H G ⇒ rcosets H ⊆ Pow(carrier G)"
⟨proof⟩
```

```
proposition (in group) lagrange_finite:
  assumes "finite(carrier G)" and HG: "subgroup H G"
  shows "card(rcosets H) * card(H) = order(G)"
⟨proof⟩
```

```
theorem (in group) lagrange:
  assumes "subgroup H G"
  shows "card (rcosets H) * card H = order G"
⟨proof⟩
```

The cardinality of the right cosets of the trivial subgroup is the cardinality of the group itself:

```
corollary (in group) card_rcosets_triv:
  assumes "finite (carrier G)"
  shows "card (rcosets {1}) = order G"
⟨proof⟩
```

## 7.8 Quotient Groups: Factorization of a Group

### definition

```
FactGroup :: "[('a,'b) monoid_scheme, 'a set] ⇒ ('a set) monoid" (infixl
"Mod" 65)
```

— Actually defined for groups rather than monoids



where "FactGroup G H = ( $\langle$ carrier = rcosets<sub>G</sub> H, mult = set\_mult G, one = H $\rangle$ )"

**lemma** (in normal) setmult\_closed:  
 "[K1 ∈ rcosets H; K2 ∈ rcosets H]  $\implies$  K1 <#> K2 ∈ rcosets H"  
 <proof>

**lemma** (in normal) setinv\_closed:  
 "K ∈ rcosets H  $\implies$  set\_inv K ∈ rcosets H"  
 <proof>

**lemma** (in normal) rcosets\_assoc:  
 "[M1 ∈ rcosets H; M2 ∈ rcosets H; M3 ∈ rcosets H]  
 $\implies$  M1 <#> M2 <#> M3 = M1 <#> (M2 <#> M3)"  
 <proof>

**lemma** (in subgroup) subgroup\_in\_rcosets:  
 assumes "group G"  
 shows "H ∈ rcosets H"  
 <proof>

**lemma** (in normal) rcosets\_inv\_mult\_group\_eq:  
 "M ∈ rcosets H  $\implies$  set\_inv M <#> M = H"  
 <proof>

**theorem** (in normal) factorgroup\_is\_group: "group (G Mod H)"  
 <proof>

**lemma** carrier\_FactGroup: "carrier(G Mod N) = ( $\lambda$ x. r\_coset G N x) ‘ carrier G"  
 <proof>

**lemma** one\_FactGroup [simp]: "one(G Mod N) = N"  
 <proof>

**lemma** mult\_FactGroup [simp]: "monoid.mult (G Mod N) = set\_mult G"  
 <proof>

**lemma** (in normal) inv\_FactGroup:  
 assumes "X ∈ carrier (G Mod H)"  
 shows "inv<sub>G Mod H</sub> X = set\_inv X"  
 <proof>

The coset map is a homomorphism from G to the quotient group G Mod H

**lemma** (in normal) r\_coset\_hom\_Mod:  
 "( $\lambda$ a. H #> a) ∈ hom G (G Mod H)"  
 <proof>

```

lemma (in comm_group) set_mult_commute:
  assumes "N ⊆ carrier G" "x ∈ rcosets N" "y ∈ rcosets N"
  shows "x <#> y = y <#> x"
  <proof>

```

```

lemma (in comm_group) abelian_FactGroup:
  assumes "subgroup N G" shows "comm_group(G Mod N)"
  <proof>

```

```

lemma FactGroup_universal:
  assumes "h ∈ hom G H" "N ◁ G"
  and h: "∧x y. [x ∈ carrier G; y ∈ carrier G; r_coset G N x = r_coset
G N y] ⇒ h x = h y"
  obtains g
  where "g ∈ hom (G Mod N) H" "∧x. x ∈ carrier G ⇒ g(r_coset G N x)
= h x"
  <proof>

```

```

lemma (in normal) FactGroup_pow:
  fixes k::nat
  assumes "a ∈ carrier G"
  shows "pow (FactGroup G H) (r_coset G H a) k = r_coset G H (pow G a
k)"
  <proof>

```

```

lemma (in normal) FactGroup_int_pow:
  fixes k::int
  assumes "a ∈ carrier G"
  shows "pow (FactGroup G H) (r_coset G H a) k = r_coset G H (pow G a
k)"
  <proof>

```

## 7.9 The First Isomorphism Theorem

The quotient by the kernel of a homomorphism is isomorphic to the range of that homomorphism.

### definition

```

kernel :: "('a, 'm) monoid_scheme ⇒ ('b, 'n) monoid_scheme ⇒ ('a
⇒ 'b) ⇒ 'a set"
  — the kernel of a homomorphism
  where "kernel G H h = {x. x ∈ carrier G ∧ h x = 1H}"

```

```

lemma (in group_hom) subgroup_kernel: "subgroup (kernel G H h) G"
  <proof>

```

The kernel of a homomorphism is a normal subgroup

```

lemma (in group_hom) normal_kernel: "(kernel G H h) ◁ G"

```

*<proof>*

**lemma iso\_kernel\_image:**  
 assumes "group G" "group H"  
 shows "f ∈ iso G H  $\longleftrightarrow$  f ∈ hom G H  $\wedge$  kernel G H f = {1<sub>G</sub>}  $\wedge$  f ' carrier G = carrier H"  
 (is "?lhs = ?rhs")  
*<proof>*

**lemma (in group\_hom) FactGroup\_nonempty:**  
 assumes "X ∈ carrier (G Mod kernel G H h)"  
 shows "X  $\neq$  {}"  
*<proof>*

**lemma (in group\_hom) FactGroup\_universal\_kernel:**  
 assumes "N  $\triangleleft$  G" and h: "N  $\subseteq$  kernel G H h"  
 obtains g where "g ∈ hom (G Mod N) H" " $\wedge$ x. x ∈ carrier G  $\implies$  g(r\_coset G N x) = h x"  
*<proof>*

**lemma (in group\_hom) FactGroup\_the\_elem\_mem:**  
 assumes X: "X ∈ carrier (G Mod (kernel G H h))"  
 shows "the\_elem (h'X) ∈ carrier H"  
*<proof>*

**lemma (in group\_hom) FactGroup\_hom:**  
 " $(\lambda X. \text{the\_elem } (h'X)) \in \text{hom } (G \text{ Mod } (\text{kernel } G \text{ H } h)) \text{ H}$ "  
*<proof>*

Lemma for the following injectivity result

**lemma (in group\_hom) FactGroup\_subset:**  
 assumes "g ∈ carrier G" "g' ∈ carrier G" "h g = h g'"  
 shows "kernel G H h  $\#>$  g  $\subseteq$  kernel G H h  $\#>$  g'"  
*<proof>*

**lemma (in group\_hom) FactGroup\_inj\_on:**  
 "inj\_on  $(\lambda X. \text{the\_elem } (h'X))$  (carrier (G Mod kernel G H h))"  
*<proof>*

If the homomorphism h is onto H, then so is the homomorphism from the quotient group

**lemma (in group\_hom) FactGroup\_onto:**  
 assumes h: "h ' carrier G = carrier H"  
 shows " $(\lambda X. \text{the\_elem } (h'X))$  ' carrier (G Mod kernel G H h) = carrier H"  
*<proof>*

If  $h$  is a homomorphism from  $G$  onto  $H$ , then the quotient group  $G \text{ Mod } \text{kernel } G \ H \ h$  is isomorphic to  $H$ .

**theorem** (in group\_hom) FactGroup\_iso\_set:

"h ' carrier G = carrier H

$\implies (\lambda X. \text{the\_elem } (h'X)) \in \text{iso } (G \text{ Mod } (\text{kernel } G \ H \ h)) \ H"$

*<proof>*

**corollary** (in group\_hom) FactGroup\_iso :

"h ' carrier G = carrier H

$\implies (G \text{ Mod } (\text{kernel } G \ H \ h)) \cong H"$

*<proof>*

**lemma** (in group\_hom) trivial\_hom\_iff:

"h ' (carrier G) = { 1<sub>H</sub> }  $\longleftrightarrow$  kernel G H h = carrier G"

*<proof>*

**lemma** (in group\_hom) trivial\_ker\_imp\_inj:

assumes "kernel G H h = { 1 }"

shows "inj\_on h (carrier G)"

*<proof>*

**lemma** (in group\_hom) inj\_iff\_trivial\_ker:

shows "inj\_on h (carrier G)  $\longleftrightarrow$  kernel G H h = { 1 }"

*<proof>*

**lemma** (in group\_hom) induced\_group\_hom':

assumes "subgroup I G" shows "group\_hom (G (| carrier := I |)) H h"

*<proof>*

**lemma** (in group\_hom) inj\_on\_subgroup\_iff\_trivial\_ker:

assumes "subgroup I G"

shows "inj\_on h I  $\longleftrightarrow$  kernel (G (| carrier := I |)) H h = { 1 }"

*<proof>*

**lemma** set\_mult\_hom:

assumes "h  $\in$  hom G H" "I  $\subseteq$  carrier G" and "J  $\subseteq$  carrier G"

shows "h ' (I  $\langle \# \rangle_G$  J) = (h ' I)  $\langle \# \rangle_H$  (h ' J)"

*<proof>*

**corollary** coset\_hom:

assumes "h  $\in$  hom G H" "I  $\subseteq$  carrier G" "a  $\in$  carrier G"

shows "h ' (a  $\langle \# \rangle_G$  I) = h a  $\langle \# \rangle_H$  (h ' I)" and "h ' (I  $\# \rangle_G$  a) = (h ' I)  $\# \rangle_H$  h a"

*<proof>*

**corollary** (in group\_hom) set\_mult\_ker\_hom:

assumes "I  $\subseteq$  carrier G"

shows "h ' (I  $\langle \# \rangle$  (kernel G H h)) = h ' I" and "h ' ((kernel G H h)  $\langle \# \rangle$  I) = h ' I"

$\langle \# \rangle I) = h \text{ ' } I$   
 $\langle proof \rangle$

### 7.9.1 Trivial homomorphisms

**definition** trivial\_homomorphism where

"trivial\_homomorphism G H f  $\equiv$  f  $\in$  hom G H  $\wedge$  ( $\forall x \in$  carrier G. f x = one H)"

**lemma** trivial\_homomorphism\_kernel:

"trivial\_homomorphism G H f  $\longleftrightarrow$  f  $\in$  hom G H  $\wedge$  kernel G H f = carrier G"  
 $\langle proof \rangle$

**lemma** (in group) trivial\_homomorphism\_image:

"trivial\_homomorphism G H f  $\longleftrightarrow$  f  $\in$  hom G H  $\wedge$  f ' carrier G = {one H}"  
 $\langle proof \rangle$

### 7.10 Image kernel theorems

**lemma** group\_Int\_image\_ker:

assumes f: "f  $\in$  hom G H" and g: "g  $\in$  hom H K"  
 and "inj\_on (g  $\circ$  f) (carrier G)" "group G" "group H" "group K"  
 shows "(f ' carrier G)  $\cap$  (kernel H K g) = {1<sub>H</sub>}"  
 $\langle proof \rangle$

**lemma** group\_sum\_image\_ker:

assumes f: "f  $\in$  hom G H" and g: "g  $\in$  hom H K" and eq: "(g  $\circ$  f) ' (carrier G) = carrier K"  
 and "group G" "group H" "group K"  
 shows "set\_mult H (f ' carrier G) (kernel H K g) = carrier H" (is "?lhs = ?rhs")  
 $\langle proof \rangle$

**lemma** group\_sum\_ker\_image:

assumes f: "f  $\in$  hom G H" and g: "g  $\in$  hom H K" and eq: "(g  $\circ$  f) ' (carrier G) = carrier K"  
 and "group G" "group H" "group K"  
 shows "set\_mult H (kernel H K g) (f ' carrier G) = carrier H" (is "?lhs = ?rhs")  
 $\langle proof \rangle$

**lemma** group\_semidirect\_sum\_ker\_image:

assumes "(g  $\circ$  f)  $\in$  iso G K" "f  $\in$  hom G H" "g  $\in$  hom H K" "group G" "group H" "group K"  
 shows "(kernel H K g)  $\cap$  (f ' carrier G) = {1<sub>H</sub>}"  
 "kernel H K g  $\langle \# \rangle_H$  (f ' carrier G) = carrier H"

*<proof>*

```

lemma group_semidirect_sum_image_ker:
  assumes f: "f ∈ hom G H" and g: "g ∈ hom H K" and iso: "(g ∘ f) ∈
iso G K"
    and "group G" "group H" "group K"
  shows "(f ` carrier G) ∩ (kernel H K g) = {1H}"
        "f ` carrier G <#>H (kernel H K g) = carrier H"
<proof>

```

## 7.11 Factor Groups and Direct product

```

lemma (in group) DirProd_normal :
  assumes "group K"
    and "H < G"
    and "N < K"
  shows "H × N < G ×× K"
<proof>

```

```

lemma (in group) FactGroup_DirProd_multiplication_iso_set :
  assumes "group K"
    and "H < G"
    and "N < K"
  shows "(λ (X, Y). X × Y) ∈ iso ((G Mod H) ×× (K Mod N)) (G ×× K
Mod H × N)"
<proof>

```

```

corollary (in group) FactGroup_DirProd_multiplication_iso_1 :
  assumes "group K"
    and "H < G"
    and "N < K"
  shows " ((G Mod H) ×× (K Mod N)) ≅ (G ×× K Mod H × N)"
<proof>

```

```

corollary (in group) FactGroup_DirProd_multiplication_iso_2 :
  assumes "group K"
    and "H < G"
    and "N < K"
  shows "(G ×× K Mod H × N) ≅ ((G Mod H) ×× (K Mod N))"
<proof>

```

### 7.11.1 More Lemmas about set multiplication

A group multiplied by a subgroup stays the same

```

lemma (in group) set_mult_carrier_idem:
  assumes "subgroup H G"
  shows "(carrier G) <#> H = carrier G"
<proof>

```

Same lemma as above, but everything is included in a subgroup

```
lemma (in group) set_mult_subgroup_idem:
  assumes HG: "subgroup H G" and NG: "subgroup N (G (| carrier := H |))"
  shows "H <#> N = H"
  <proof>
```

A normal subgroup is commutative with set multiplication

```
lemma (in group) commut_normal:
  assumes "subgroup H G" and "N < G"
  shows "H <#> N = N <#> H"
  <proof>
```

Same lemma as above, but everything is included in a subgroup

```
lemma (in group) commut_normal_subgroup:
  assumes "subgroup H G" and "N < (G (| carrier := H |))"
  and "subgroup K (G (| carrier := H |))"
  shows "K <#> N = N <#> K"
  <proof>
```

### 7.11.2 Lemmas about intersection and normal subgroups

Mostly by Jakob von Raumer

```
lemma (in group) normal_inter:
  assumes "subgroup H G"
  and "subgroup K G"
  and "H1 < G (| carrier := H |)"
  shows "(H1 ∩ K) < (G (| carrier := (H ∩ K) |))"
  <proof>
```

```
lemma (in group) normal_Int_subgroup:
  assumes "subgroup H G"
  and "N < G"
  shows "(N ∩ H) < (G (| carrier := H |))"
  <proof>
```

```
lemma (in group) normal_restrict_supergroup:
  assumes "subgroup S G" "N < G" "N ⊆ S"
  shows "N < (G (| carrier := S |))"
  <proof>
```

A subgroup relation survives factoring by a normal subgroup.

```
lemma (in group) normal_subgroup_factorize:
  assumes "N < G" and "N ⊆ H" and "subgroup H G"
  shows "subgroup (rcosetsG(| carrier := H |) N) (G Mod N)"
  <proof>
```

A normality relation survives factoring by a normal subgroup.

```

lemma (in group) normality_factorization:
  assumes NG: "N < G" and NH: "N ⊆ H" and HG: "H < G"
  shows "(rcosetsG(carrier := H) N) < (G Mod N)"
<proof>

```

Factorizing by the trivial subgroup is an isomorphism.

```

lemma (in group) trivial_factor_iso:
  shows "the_elem ∈ iso (G Mod {1}) G"
<proof>

```

And the dual theorem to the previous one: Factorizing by the group itself gives the trivial group

```

lemma (in group) self_factor_iso:
  shows "(λX. the_elem ((λx. 1) ' X)) ∈ iso (G Mod (carrier G)) (G( carrier := {1} ))"
<proof>

```

Factoring by a normal subgroups yields the trivial group iff the subgroup is the whole group.

```

lemma (in normal) fact_group_trivial_iff:
  assumes "finite (carrier G)"
  shows "(carrier (G Mod H) = {1G Mod H}) ↔ (H = carrier G)"
<proof>

```

The union of all the cosets contained in a subgroup of a quotient group acts as a representation for that subgroup.

```

lemma (in normal) factgroup_subgroup_union_char:
  assumes "subgroup A (G Mod H)"
  shows "(⋃ A) = {x ∈ carrier G. H #> x ∈ A}"
<proof>

```

```

lemma (in normal) factgroup_subgroup_union_subgroup:
  assumes "subgroup A (G Mod H)"
  shows "subgroup (⋃ A) G"
<proof>

```

```

lemma (in normal) factgroup_subgroup_union_normal:
  assumes "A < (G Mod H)"
  shows "⋃ A < G"
<proof>

```

```

lemma (in normal) factgroup_subgroup_union_factor:
  assumes "subgroup A (G Mod H)"
  shows "A = rcosetsG(carrier := ⋃ A) H"
<proof>

```



## 8 Flattening the type of group carriers

Flattening here means to convert the type of group elements from 'a set to 'a. This is possible whenever the empty set is not an element of the group.

By Jakob von Raumer

**definition** flatten where

```
"flatten (G::('a set, 'b) monoid_scheme) rep = (|carrier=(rep ' (carrier
G)),
      monoid.mult=(λ x y. rep ((the_inv_into (carrier G) rep x) ⊗G (the_inv_into
(carrier G) rep y))),
      one=rep 1G |)"
```

**lemma** flatten\_set\_group\_hom:

```
  assumes group: "group G"
  assumes inj: "inj_on rep (carrier G)"
  shows "rep ∈ hom G (flatten G rep)"
  <proof>
```

**lemma** flatten\_set\_group:

```
  assumes "group G" "inj_on rep (carrier G)"
  shows "group (flatten G rep)"
  <proof>
```

**lemma** (in normal) flatten\_set\_group\_mod\_inj:

```
  shows "inj_on (λU. SOME g. g ∈ U) (carrier (G Mod H))"
  <proof>
```

**lemma** (in normal) flatten\_set\_group\_mod:

```
  shows "group (flatten (G Mod H) (λU. SOME g. g ∈ U))"
  <proof>
```

**lemma** (in normal) flatten\_set\_group\_mod\_iso:

```
  shows "(λU. SOME g. g ∈ U) ∈ iso (G Mod H) (flatten (G Mod H) (λU.
SOME g. g ∈ U))"
  <proof>
```

**end**

**theory** Exponent

**imports** Main "HOL-Computational\_Algebra.Primes"

**begin**

## 9 Sylow's Theorem

The Combinatorial Argument Underlying the First Sylow Theorem

needed in this form to prove Sylow's theorem

```

corollary (in algebraic_semiodom) div_combine:
  "[[prime_elem p; ¬ p ^ Suc r dvd n; p ^ (a + r) dvd n * k]] ==> p ^ a
dvd k"
  <proof>

lemma exponent_p_a_m_k_equation:
  fixes p :: nat
  assumes "0 < m" "0 < k" "p ≠ 0" "k < p^a"
  shows "multiplicity p (p^a * m - k) = multiplicity p (p^a - k)"
  <proof>

lemma p_not_div_choose_lemma:
  fixes p :: nat
  assumes eeq: "∧i. Suc i < K ==> multiplicity p (Suc i) = multiplicity
p (Suc (j + i))"
  and "k < K" and p: "prime p"
  shows "multiplicity p (j + k choose k) = 0"
  <proof>

The lemma above, with two changes of variables

lemma p_not_div_choose:
  assumes "k < K" and "k ≤ n"
  and eeq: "∧j. [0 < j; j < K] ==> multiplicity p (n - k + (K - j)) =
multiplicity p (K - j)" "prime p"
  shows "multiplicity p (n choose k) = 0"
  <proof>

proposition const_p_fac:
  assumes "m > 0" and prime: "prime p"
  shows "multiplicity p (p^a * m choose p^a) = multiplicity p m"
  <proof>

end

theory Sylow
  imports Coset Exponent
begin

See also [4].

The combinatorial argument is in theory Exponent.

lemma le_extend_mult: "[[0 < c; a ≤ b]] ==> a ≤ b * c"
  for c :: nat
  <proof>

locale sylow = group +
  fixes p and a and m and calM and ReIM
  assumes prime_p: "prime p"

```

```

    and order_G: "order G = (p^a) * m"
    and finite_G[iff]: "finite (carrier G)"
    defines "calM  $\equiv$  {s. s  $\subseteq$  carrier G  $\wedge$  card s = p^a}"
    and "RelM  $\equiv$  {(N1, N2). N1  $\in$  calM  $\wedge$  N2  $\in$  calM  $\wedge$  ( $\exists$  g  $\in$  carrier G.
N1 = N2 #> g)}"
begin

lemma RelM_refl_on: "refl_on calM RelM"
  <proof>

lemma RelM_sym: "sym RelM"
  <proof>

lemma RelM_trans: "trans RelM"
  <proof>

lemma RelM_equiv: "equiv calM RelM"
  <proof>

lemma M_subset_calM_prep: "M'  $\in$  calM // RelM  $\implies$  M'  $\subseteq$  calM"
  <proof>

end

```

## 9.1 Main Part of the Proof

```

locale sylow_central = sylow +
  fixes H and M1 and M
  assumes M_in_quot: "M  $\in$  calM // RelM"
    and not_dvd_M: " $\neg$  (p ^ Suc (multiplicity p m) dvd card M)"
    and M1_in_M: "M1  $\in$  M"
  defines "H  $\equiv$  {g. g  $\in$  carrier G  $\wedge$  M1 #> g = M1}"
begin

lemma M_subset_calM: "M  $\subseteq$  calM"
  <proof>

lemma card_M1: "card M1 = p^a"
  <proof>

lemma exists_x_in_M1: " $\exists$ x. x  $\in$  M1"
  <proof>

lemma M1_subset_G [simp]: "M1  $\subseteq$  carrier G"
  <proof>

lemma M1_inj_H: " $\exists$ f  $\in$  H $\rightarrow$ M1. inj_on f H"
  <proof>

```

**end**

## 9.2 Discharging the Assumptions of `syLOW_central`

**context** `syLOW_central`

**begin**

**lemma** `EmptyNotInEquivSet`: " $\{\} \notin \text{calM} // \text{RelM}$ "  
*<proof>*

**lemma** `existsMlinM`: " $M \in \text{calM} // \text{RelM} \implies \exists M1. M1 \in M$ "  
*<proof>*

**lemma** `zero_less_o_G`: " $0 < \text{order } G$ "  
*<proof>*

**lemma** `zero_less_m`: " $m > 0$ "  
*<proof>*

**lemma** `card_calM`: " $\text{card calM} = (p^a) * m \text{ choose } p^a$ "  
*<proof>*

**lemma** `zero_less_card_calM`: " $\text{card calM} > 0$ "  
*<proof>*

**lemma** `max_p_div_calM`: " $\neg (p \wedge \text{Suc } (\text{multiplicity } p \ m) \ \text{dvd } \text{card calM})$ "  
*<proof>*

**lemma** `finite_calM`: " $\text{finite calM}$ "  
*<proof>*

**lemma** `lemma_A1`: " $\exists M \in \text{calM} // \text{RelM}. \neg (p \wedge \text{Suc } (\text{multiplicity } p \ m) \ \text{dvd } \text{card } M)$ "  
*<proof>*

**end**

### 9.2.1 Introduction and Destruct Rules for `H`

**context** `syLOW_central`

**begin**

**lemma** `H_I`: " $\llbracket g \in \text{carrier } G; M1 \#> g = M1 \rrbracket \implies g \in H$ "  
*<proof>*

**lemma** `H_into_carrier_G`: " $x \in H \implies x \in \text{carrier } G$ "  
*<proof>*

**lemma** `in_H_imp_eq`: " $g \in H \implies M1 \#> g = M1$ "  
*<proof>*

```

lemma H_m_closed: "[x ∈ H; y ∈ H] ⇒ x ⊗ y ∈ H"
  ⟨proof⟩

lemma H_not_empty: "H ≠ {}"
  ⟨proof⟩

lemma H_is_subgroup: "subgroup H G"
  ⟨proof⟩

lemma rcosetGM1g_subset_G: "[g ∈ carrier G; x ∈ M1 #> g] ⇒ x ∈ carrier G"
  ⟨proof⟩

lemma finite_M1: "finite M1"
  ⟨proof⟩

lemma finite_rcosetGM1g: "g ∈ carrier G ⇒ finite (M1 #> g)"
  ⟨proof⟩

lemma M1_cardeq_rcosetGM1g: "g ∈ carrier G ⇒ card (M1 #> g) = card M1"
  ⟨proof⟩

lemma M1_RelM_rcosetGM1g:
  assumes "g ∈ carrier G"
  shows "(M1, M1 #> g) ∈ RelM"
  ⟨proof⟩

end

```

### 9.3 Equal Cardinalities of $M$ and the Set of Cosets

Injections between  $M$  and  $\text{rcosets}_G H$  show that their cardinalities are equal.

```

lemma ElemClassEquiv: "[equiv A r; C ∈ A // r] ⇒ ∀x ∈ C. ∀y ∈ C. (x, y) ∈ r"
  ⟨proof⟩

```

```

context sylow_central
begin

```

```

lemma M_elem_map: "M2 ∈ M ⇒ ∃g. g ∈ carrier G ∧ M1 #> g = M2"
  ⟨proof⟩

```

```

lemmas M_elem_map_carrier = M_elem_map [THEN someI_ex, THEN conjunct1]

```

```

lemmas M_elem_map_eq = M_elem_map [THEN someI_ex, THEN conjunct2]

```

```

lemma M_funcset_rcosets_H:

```

" $(\lambda x \in M. H \#> (\text{SOME } g. g \in \text{carrier } G \wedge M1 \#> g = x)) \in M \rightarrow \text{rcosets } H$ "  
 <proof>

**lemma** inj\_M\_GmodH: " $\exists f \in M \rightarrow \text{rcosets } H. \text{inj\_on } f \ M$ "  
 <proof>

**end**

### 9.3.1 The Opposite Injection

**context** sylow\_central  
**begin**

**lemma** H\_elem\_map: " $H1 \in \text{rcosets } H \implies \exists g. g \in \text{carrier } G \wedge H \#> g = H1$ "  
 <proof>

**lemmas** H\_elem\_map\_carrier = H\_elem\_map [THEN someI\_ex, THEN conjunct1]

**lemmas** H\_elem\_map\_eq = H\_elem\_map [THEN someI\_ex, THEN conjunct2]

**lemma** rcosets\_H\_funcset\_M:  
 " $(\lambda C \in \text{rcosets } H. M1 \#> (\text{SOME } g. g \in \text{carrier } G \wedge H \#> g = C)) \in \text{rcosets } H \rightarrow M$ "  
 <proof>

**lemma** inj\_GmodH\_M: " $\exists g \in \text{rcosets } H \rightarrow M. \text{inj\_on } g \ (\text{rcosets } H)$ "  
 <proof>

**lemma** calM\_subset\_PowG: " $\text{calM} \subseteq \text{Pow } (\text{carrier } G)$ "  
 <proof>

**lemma** finite\_M: "finite M"  
 <proof>

**lemma** cardMeqIndexH: " $\text{card } M = \text{card } (\text{rcosets } H)$ "  
 <proof>

**lemma** index\_lem: " $\text{card } M * \text{card } H = \text{order } G$ "  
 <proof>

**lemma** card\_H\_eq: " $\text{card } H = p^a$ "  
 <proof>

**end**

**lemma** (in sylow) sylow\_thm: " $\exists H. \text{subgroup } H \ G \wedge \text{card } H = p^a$ "

*<proof>*

Needed because the locale's automatic definition refers to `semigroup G` and `Group.group_axioms G` rather than simply to `Group.group G`.

**lemma** `syLOW_eq`: "syLOW G p a m  $\longleftrightarrow$  group G  $\wedge$  syLOW\_axioms G p a m"  
*<proof>*

## 9.4 Sylow's Theorem

**theorem** `syLOW_thm`:

"[[prime p; group G; order G = (p<sup>a</sup>) \* m; finite (carrier G)]]  
 $\implies \exists H. \text{subgroup } H \text{ } G \wedge \text{card } H = p^a$ "  
*<proof>*

**end**

**theory** `Bij`  
**imports** `Group`  
**begin**

## 10 Bijections of a Set, Permutation and Automorphism Groups

**definition**

`Bij` :: "'a set  $\implies$  ('a  $\implies$  'a) set"  
 — Only extensional functions, since otherwise we get too many.  
**where** "Bij S = extensional S  $\cap$  {f. bij\_betw f S S}"

**definition**

`BijGroup` :: "'a set  $\implies$  ('a  $\implies$  'a) monoid"  
**where** "BijGroup S =  
 (carrier = Bij S,  
 mult =  $\lambda g \in \text{Bij } S. \lambda f \in \text{Bij } S. \text{compose } S \text{ } g \text{ } f,$   
 one =  $\lambda x \in S. x$ )"

**declare** `Id_compose` [simp] `compose_Id` [simp]

**lemma** `Bij_imp_extensional`: "f  $\in$  Bij S  $\implies$  f  $\in$  extensional S"  
*<proof>*

**lemma** `Bij_imp_funcset`: "f  $\in$  Bij S  $\implies$  f  $\in$  S  $\rightarrow$  S"  
*<proof>*

## 10.1 Bijections Form a Group

**lemma** restrict\_inv\_into\_Bij: " $f \in \text{Bij } S \implies (\lambda x \in S. (\text{inv\_into } S f) x) \in \text{Bij } S$ "  
*<proof>*

**lemma** id\_Bij: " $(\lambda x \in S. x) \in \text{Bij } S$ "  
*<proof>*

**lemma** compose\_Bij: " $[[x \in \text{Bij } S; y \in \text{Bij } S]] \implies \text{compose } S x y \in \text{Bij } S$ "  
*<proof>*

**lemma** Bij\_compose\_restrict\_eq:  
" $f \in \text{Bij } S \implies \text{compose } S (\text{restrict } (\text{inv\_into } S f) S) f = (\lambda x \in S. x)$ "  
*<proof>*

**theorem** group\_BijGroup: "group (BijGroup S)"  
*<proof>*

## 10.2 Automorphisms Form a Group

**lemma** Bij\_inv\_into\_mem: " $[[f \in \text{Bij } S; x \in S]] \implies \text{inv\_into } S f x \in S$ "  
*<proof>*

**lemma** Bij\_inv\_into\_lemma:  
**assumes** eq: " $\bigwedge x y. [[x \in S; y \in S]] \implies h(g x y) = g (h x) (h y)$ "  
**and** hg: " $h \in \text{Bij } S$ " " $g \in S \rightarrow S \rightarrow S$ " **and** "x ∈ S" "y ∈ S"  
**shows** " $\text{inv\_into } S h (g x y) = g (\text{inv\_into } S h x) (\text{inv\_into } S h y)$ "  
*<proof>*

### definition

**auto** :: " $('a, 'b) \text{ monoid\_scheme} \Rightarrow ('a \Rightarrow 'a) \text{ set}$ "  
**where** "auto G = hom G G  $\cap$  Bij (carrier G)"

### definition

**AutoGroup** :: " $('a, 'c) \text{ monoid\_scheme} \Rightarrow ('a \Rightarrow 'a) \text{ monoid}$ "  
**where** "AutoGroup G = BijGroup (carrier G) ( $\text{carrier} := \text{auto } G$ )"

**lemma** (in group) id\_in\_auto: " $(\lambda x \in \text{carrier } G. x) \in \text{auto } G$ "  
*<proof>*

**lemma** (in group) mult\_funcset: " $\text{mult } G \in \text{carrier } G \rightarrow \text{carrier } G \rightarrow \text{carrier } G$ "  
*<proof>*

**lemma** (in group) restrict\_inv\_into\_hom:  
" $[[h \in \text{hom } G G; h \in \text{Bij } (\text{carrier } G)]]$   
 $\implies \text{restrict } (\text{inv\_into } (\text{carrier } G) h) (\text{carrier } G) \in \text{hom } G G$ "



*<proof>*

```
lemma inv_BijGroup:
  "f ∈ Bij S ⇒ m_inv (BijGroup S) f = (λx ∈ S. (inv_into S f) x)"
<proof>
```

```
lemma (in group) subgroup_auto:
  "subgroup (auto G) (BijGroup (carrier G))"
<proof>
```

```
theorem (in group) AutoGroup: "group (AutoGroup G)"
<proof>
```

end

```
theory Ring
imports FiniteProduct
begin
```

## 11 The Algebraic Hierarchy of Rings

### 11.1 Abelian Groups

```
record 'a ring = "'a monoid" +
  zero :: 'a ("0")
  add :: "'a, 'a] ⇒ 'a" (infixl "⊕" 65)
```

abbreviation

```
add_monoid :: "('a, 'm) ring_scheme ⇒ ('a, 'm) monoid_scheme"
where "add_monoid R ≡ (| carrier = carrier R, mult = add R, one = zero
R, ... = (undefined :: 'm) |)"
```

Derived operations.

definition

```
a_inv :: "('a, 'm) ring_scheme, 'a ] ⇒ 'a" ("⊖" [81] 80)
where "a_inv R = m_inv (add_monoid R)"
```

definition

```
a_minus :: "('a, 'm) ring_scheme, 'a, 'a] ⇒ 'a" ("⊖" [65,66]
65)
where "x ⊖R y = x ⊕R (⊖R y)"
```

definition

```
add_pow :: "[_ , ('b :: semiring_1), 'a] ⇒ 'a" ("⊙" [81, 81]
80)
where "add_pow R k a = pow (add_monoid R) a k"
```

```
locale abelian_monoid =
```

```

fixes G (structure)
assumes a_comm_monoid:
  "comm_monoid (add_monoid G)"

```

**definition**

```

finsum :: "[('b, 'm) ring_scheme, 'a  $\Rightarrow$  'b, 'a set]  $\Rightarrow$  'b" where
  "finsum G = finprod (add_monoid G)"

```

**syntax**

```

"_finsum" :: "index  $\Rightarrow$  idt  $\Rightarrow$  'a set  $\Rightarrow$  'b  $\Rightarrow$  'b"
  ("( $\bigoplus_{i \in \_} \_$ )" [1000, 0, 51, 10] 10)

```

**translations**

```

" $\bigoplus_{i \in A} b$ "  $\equiv$  "CONST finsum G ( $\lambda i. b$ ) A"
— Beware of argument permutation!

```

```

locale abelian_group = abelian_monoid +
  assumes a_comm_group:
    "comm_group (add_monoid G)"

```

## 11.2 Basic Properties

**lemma** abelian\_monoidI:

```

fixes R (structure)
assumes " $\bigwedge x y. \llbracket x \in \text{carrier } R; y \in \text{carrier } R \rrbracket \Longrightarrow x \oplus y \in \text{carrier } R$ "
and " $0 \in \text{carrier } R$ "
and " $\bigwedge x y z. \llbracket x \in \text{carrier } R; y \in \text{carrier } R; z \in \text{carrier } R \rrbracket \Longrightarrow$ 
(x  $\oplus$  y)  $\oplus$  z = x  $\oplus$  (y  $\oplus$  z)"
and " $\bigwedge x. x \in \text{carrier } R \Longrightarrow 0 \oplus x = x$ "
and " $\bigwedge x y. \llbracket x \in \text{carrier } R; y \in \text{carrier } R \rrbracket \Longrightarrow x \oplus y = y \oplus x$ "
shows "abelian_monoid R"
  <proof>

```

**lemma** abelian\_monoidE:

```

fixes R (structure)
assumes "abelian_monoid R"
shows " $\bigwedge x y. \llbracket x \in \text{carrier } R; y \in \text{carrier } R \rrbracket \Longrightarrow x \oplus y \in \text{carrier } R$ "
and " $0 \in \text{carrier } R$ "
and " $\bigwedge x y z. \llbracket x \in \text{carrier } R; y \in \text{carrier } R; z \in \text{carrier } R \rrbracket \Longrightarrow$ 
(x  $\oplus$  y)  $\oplus$  z = x  $\oplus$  (y  $\oplus$  z)"
and " $\bigwedge x. x \in \text{carrier } R \Longrightarrow 0 \oplus x = x$ "
and " $\bigwedge x y. \llbracket x \in \text{carrier } R; y \in \text{carrier } R \rrbracket \Longrightarrow x \oplus y = y \oplus x$ "
  <proof>

```

**lemma** abelian\_groupI:

```

fixes R (structure)
assumes " $\bigwedge x y. \llbracket x \in \text{carrier } R; y \in \text{carrier } R \rrbracket \Longrightarrow x \oplus y \in \text{carrier } R$ "

```

```

R"
  and "0 ∈ carrier R"
  and "∧x y z. [ x ∈ carrier R; y ∈ carrier R; z ∈ carrier R ] ⇒
(x ⊕ y) ⊕ z = x ⊕ (y ⊕ z)"
  and "∧x y. [ x ∈ carrier R; y ∈ carrier R ] ⇒ x ⊕ y = y ⊕ x"
  and "∧x. x ∈ carrier R ⇒ 0 ⊕ x = x"
  and "∧x. x ∈ carrier R ⇒ ∃y ∈ carrier R. y ⊕ x = 0"
shows "abelian_group R"
⟨proof⟩

```

```

lemma abelian_groupE:
  fixes R (structure)
  assumes "abelian_group R"
  shows "∧x y. [ x ∈ carrier R; y ∈ carrier R ] ⇒ x ⊕ y ∈ carrier
R"
  and "0 ∈ carrier R"
  and "∧x y z. [ x ∈ carrier R; y ∈ carrier R; z ∈ carrier R ] ⇒
(x ⊕ y) ⊕ z = x ⊕ (y ⊕ z)"
  and "∧x y. [ x ∈ carrier R; y ∈ carrier R ] ⇒ x ⊕ y = y ⊕ x"
  and "∧x. x ∈ carrier R ⇒ 0 ⊕ x = x"
  and "∧x. x ∈ carrier R ⇒ ∃y ∈ carrier R. y ⊕ x = 0"
⟨proof⟩

```

```

lemma (in abelian_monoid) a_monoid:
  "monoid (add_monoid G)"
⟨proof⟩

```

```

lemma (in abelian_group) a_group:
  "group (add_monoid G)"
⟨proof⟩

```

```

lemmas monoid_record_simps = partial_object.simps monoid.simps

```

Transfer facts from multiplicative structures via interpretation.

```

sublocale abelian_monoid <
  add: monoid "(add_monoid G)"
  rewrites "carrier (add_monoid G) = carrier G"
  and "mult (add_monoid G) = add G"
  and "one (add_monoid G) = zero G"
  and "(λa k. pow (add_monoid G) a k) = (λa k. add_pow G k a)"
⟨proof⟩

```

```

context abelian_monoid
begin

```

```

lemmas a_closed = add.m_closed
lemmas zero_closed = add.one_closed
lemmas a_assoc = add.m_assoc
lemmas l_zero = add.l_one

```

```

lemmas r_zero = add.r_one
lemmas minus_unique = add.inv_unique

end

sublocale abelian_monoid <
  add: comm_monoid "(add_monoid G)"
  rewrites "carrier (add_monoid G) = carrier G"
    and "mult      (add_monoid G) = add G"
    and "one       (add_monoid G) = zero G"
    and "finprod   (add_monoid G) = finsum G"
    and "pow       (add_monoid G) = ( $\lambda$  k. add_pow G k a)"
  <proof>

context abelian_monoid begin

lemmas a_comm = add.m_comm
lemmas a_lcomm = add.m_lcomm
lemmas a_ac = a_assoc a_comm a_lcomm

lemmas finsum_empty = add.finprod_empty
lemmas finsum_insert = add.finprod_insert
lemmas finsum_zero = add.finprod_one
lemmas finsum_closed = add.finprod_closed
lemmas finsum_Un_Int = add.finprod_Un_Int
lemmas finsum_Un_disjoint = add.finprod_Un_disjoint
lemmas finsum_addf = add.finprod_multf
lemmas finsum_cong' = add.finprod_cong'
lemmas finsum_0 = add.finprod_0
lemmas finsum_Suc = add.finprod_Suc
lemmas finsum_Suc2 = add.finprod_Suc2
lemmas finsum_infinite = add.finprod_infinite

lemmas finsum_cong = add.finprod_cong

Usually, if this rule causes a failed congruence proof error, the reason is that
the premise  $g \in B \rightarrow \text{carrier } G$  cannot be shown. Adding Pi_def to the
simpset is often useful.

lemmas finsum_reindex = add.finprod_reindex

lemmas finsum_singleton = add.finprod_singleton

end

sublocale abelian_group <
  add: group "(add_monoid G)"
  rewrites "carrier (add_monoid G) = carrier G"

```

```

    and "mult      (add_monoid G) = add G"
    and "one       (add_monoid G) = zero G"
    and "m_inv     (add_monoid G) = a_inv G"
    and "pow       (add_monoid G) = (λa k. add_pow G k a)"
  ⟨proof⟩

context abelian_group
begin

lemmas a_inv_closed = add.inv_closed

lemma minus_closed [intro, simp]:
  "[| x ∈ carrier G; y ∈ carrier G |] ==> x ⊖ y ∈ carrier G"
  ⟨proof⟩

lemmas l_neg = add.l_inv [simp del]
lemmas r_neg = add.r_inv [simp del]
lemmas minus_minus = add.inv_inv
lemmas a_inv_inj = add.inv_inj
lemmas minus_equality = add.inv_equality

end

sublocale abelian_group <
  add: comm_group "(add_monoid G)"
  rewrites "carrier (add_monoid G) = carrier G"
  and "mult      (add_monoid G) = add G"
  and "one       (add_monoid G) = zero G"
  and "m_inv     (add_monoid G) = a_inv G"
  and "finprod  (add_monoid G) = finsum G"
  and "pow       (add_monoid G) = (λa k. add_pow G k a)"
  ⟨proof⟩

lemmas (in abelian_group) minus_add = add.inv_mult

Derive an abelian_group from a comm_group

lemma comm_group_abelian_groupI:
  fixes G (structure)
  assumes cg: "comm_group (add_monoid G)"
  shows "abelian_group G"
  ⟨proof⟩

```

### 11.3 Rings: Basic Definitions

```

locale semiring = abelian_monoid R + monoid R for R (structure) +
  assumes l_distr: "[| x ∈ carrier R; y ∈ carrier R; z ∈ carrier R |] ==>
(x ⊕ y) ⊗ z = x ⊗ z ⊕ y ⊗ z"
  and r_distr: "[| x ∈ carrier R; y ∈ carrier R; z ∈ carrier R |] ==>
z ⊗ (x ⊕ y) = z ⊗ x ⊕ z ⊗ y"

```

```

    and l_null[simp]: "x ∈ carrier R ⇒ 0 ⊗ x = 0"
    and r_null[simp]: "x ∈ carrier R ⇒ x ⊗ 0 = 0"

  locale ring = abelian_group R + monoid R for R (structure) +
    assumes "[[ x ∈ carrier R; y ∈ carrier R; z ∈ carrier R ]] ⇒ (x ⊕ y)
    ⊗ z = x ⊗ z ⊕ y ⊗ z"
    and "[[ x ∈ carrier R; y ∈ carrier R; z ∈ carrier R ]] ⇒ z ⊗ (x
    ⊕ y) = z ⊗ x ⊕ z ⊗ y"

  locale cring = ring + comm_monoid R

  locale "domain" = cring +
    assumes one_not_zero [simp]: "1 ≠ 0"
    and integral: "[[ a ⊗ b = 0; a ∈ carrier R; b ∈ carrier R ]] ⇒
    a = 0 ∨ b = 0"

  locale field = "domain" +
    assumes field_Units: "Units R = carrier R - {0}"

```

## 11.4 Rings

```

lemma ringI:
  fixes R (structure)
  assumes "abelian_group R"
    and "monoid R"
    and "∧x y z. [[ x ∈ carrier R; y ∈ carrier R; z ∈ carrier R ]] ⇒
(x ⊕ y) ⊗ z = x ⊗ z ⊕ y ⊗ z"
    and "∧x y z. [[ x ∈ carrier R; y ∈ carrier R; z ∈ carrier R ]] ⇒
z ⊗ (x ⊕ y) = z ⊗ x ⊕ z ⊗ y"
  shows "ring R"
  <proof>

```

```

lemma ringE:
  fixes R (structure)
  assumes "ring R"
  shows "abelian_group R"
    and "monoid R"
    and "∧x y z. [[ x ∈ carrier R; y ∈ carrier R; z ∈ carrier R ]] ⇒
(x ⊕ y) ⊗ z = x ⊗ z ⊕ y ⊗ z"
    and "∧x y z. [[ x ∈ carrier R; y ∈ carrier R; z ∈ carrier R ]] ⇒
z ⊗ (x ⊕ y) = z ⊗ x ⊕ z ⊗ y"
  <proof>

```

```

context ring begin

```

```

lemma is_abelian_group: "abelian_group R" <proof>

```

```

lemma is_monoid: "monoid R"
  <proof>

```

end

thm monoid\_record\_simps  
 lemmas ring\_record\_simps = monoid\_record\_simps ring\_simps

lemma cringI:  
 fixes R (structure)  
 assumes abelian\_group: "abelian\_group R"  
 and comm\_monoid: "comm\_monoid R"  
 and l\_distr: " $\bigwedge x y z. \llbracket x \in \text{carrier } R; y \in \text{carrier } R; z \in \text{carrier } R \rrbracket \implies$   
 $(x \oplus y) \otimes z = x \otimes z \oplus y \otimes z$ "  
 shows "cring R"  
 <proof>

lemma cringE:  
 fixes R (structure)  
 assumes "cring R"  
 shows "comm\_monoid R"  
 and " $\bigwedge x y z. \llbracket x \in \text{carrier } R; y \in \text{carrier } R; z \in \text{carrier } R \rrbracket \implies$   
 $(x \oplus y) \otimes z = x \otimes z \oplus y \otimes z$ "  
 <proof>

lemma (in cring) is\_cring:  
 "cring R" <proof>

lemma (in ring) minus\_zero [simp]: " $\ominus \mathbf{0} = \mathbf{0}$ "  
 <proof>

#### 11.4.1 Normaliser for Rings

lemma (in abelian\_group) r\_neg1:  
 " $\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies (\ominus x) \oplus (x \oplus y) = y$ "  
 <proof>

lemma (in abelian\_group) r\_neg2:  
 " $\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies x \oplus ((\ominus x) \oplus y) = y$ "  
 <proof>

context ring begin

The following proofs are from Jacobson, Basic Algebra I, pp. 88–89.

sublocale semiring  
 <proof>

lemma l\_minus:  
 " $\llbracket x \in \text{carrier } R; y \in \text{carrier } R \rrbracket \implies (\ominus x) \otimes y = \ominus (x \otimes y)$ "  
 <proof>

```

lemma r_minus:
  "[[ x ∈ carrier R; y ∈ carrier R ]] ⇒ x ⊗ (⊖ y) = ⊖ (x ⊗ y)"
  ⟨proof⟩

end

lemma (in abelian_group) minus_eq: "x ⊖ y = x ⊕ (⊖ y)"
  ⟨proof⟩

Setup algebra method: compute distributive normal form in locale contexts
⟨ML⟩

lemmas (in semiring) semiring_simpsrules
  [algebra ring "zero R" "add R" "a_inv R" "a_minus R" "one R" "mult R"]
  =
  a_closed zero_closed m_closed one_closed
  a_assoc l_zero a_comm m_assoc l_one l_distr r_zero
  a_lcomm r_distr l_null r_null

lemmas (in ring) ring_simpsrules
  [algebra ring "zero R" "add R" "a_inv R" "a_minus R" "one R" "mult R"]
  =
  a_closed zero_closed a_inv_closed minus_closed m_closed one_closed
  a_assoc l_zero l_neg a_comm m_assoc l_one l_distr minus_eq
  r_zero r_neg r_neg2 r_neg1 minus_add minus_minus minus_zero
  a_lcomm r_distr l_null r_null l_minus r_minus

lemmas (in cring)
  [algebra del: ring "zero R" "add R" "a_inv R" "a_minus R" "one R" "mult
R"] =
  -

lemmas (in cring) cring_simpsrules
  [algebra add: cring "zero R" "add R" "a_inv R" "a_minus R" "one R" "mult
R"] =
  a_closed zero_closed a_inv_closed minus_closed m_closed one_closed
  a_assoc l_zero l_neg a_comm m_assoc l_one l_distr m_comm minus_eq
  r_zero r_neg r_neg2 r_neg1 minus_add minus_minus minus_zero
  a_lcomm m_lcomm r_distr l_null r_null l_minus r_minus

lemma (in semiring) nat_pow_zero:
  "(n::nat) ≠ 0 ⇒ 0 [^] n = 0"
  ⟨proof⟩

context semiring begin

lemma one_zeroD:
  assumes onezero: "1 = 0"

```



shows "carrier R = {0}"  
 <proof>

lemma one\_zeroI:  
 assumes carrzero: "carrier R = {0}"  
 shows "1 = 0"  
 <proof>

lemma carrier\_one\_zero: "(carrier R = {0}) = (1 = 0)"  
 <proof>

lemma carrier\_one\_not\_zero: "(carrier R  $\neq$  {0}) = (1  $\neq$  0)"  
 <proof>

end

Two examples for use of method algebra

lemma  
 fixes R (structure) and S (structure)  
 assumes "ring R" "cring S"  
 assumes RS: "a  $\in$  carrier R" "b  $\in$  carrier R" "c  $\in$  carrier S" "d  $\in$  carrier S"  
 shows "a  $\oplus$  ( $\ominus$  (a  $\oplus$  ( $\ominus$  b))) = b  $\wedge$  c  $\otimes_S$  d = d  $\otimes_S$  c"  
 <proof>

lemma  
 fixes R (structure)  
 assumes "ring R"  
 assumes R: "a  $\in$  carrier R" "b  $\in$  carrier R"  
 shows "a  $\ominus$  (a  $\ominus$  b) = b"  
 <proof>

#### 11.4.2 Sums over Finite Sets

lemma (in semiring) finsum\_ldistr:  
 "[[ finite A; a  $\in$  carrier R; f: A  $\rightarrow$  carrier R ]  $\implies$   
 ( $\bigoplus$  i  $\in$  A. (f i))  $\otimes$  a = ( $\bigoplus$  i  $\in$  A. ((f i)  $\otimes$  a))"  
 <proof>

lemma (in semiring) finsum\_rdistr:  
 "[[ finite A; a  $\in$  carrier R; f: A  $\rightarrow$  carrier R ]  $\implies$   
 a  $\otimes$  ( $\bigoplus$  i  $\in$  A. (f i)) = ( $\bigoplus$  i  $\in$  A. (a  $\otimes$  (f i))]"  
 <proof>

A quick detour

lemma add\_pow\_int\_ge: "(k :: int)  $\geq$  0  $\implies$  [ k ]  $\cdot_R$  a = [ nat k ]  $\cdot_R$  a"  
 <proof>

```
lemma add_pow_int_lt: "(k :: int) < 0  $\implies$  [ k ]  $\cdot_R$  a =  $\ominus_R$  ([ nat (- k) ]  $\cdot_R$  a)"
  <proof>
```

```
corollary (in semiring) add_pow_ldistr:
  assumes "a  $\in$  carrier R" "b  $\in$  carrier R"
  shows "([ (k :: nat) ]  $\cdot$  a)  $\otimes$  b = [k]  $\cdot$  (a  $\otimes$  b)"
  <proof>
```

```
corollary (in semiring) add_pow_rdistr:
  assumes "a  $\in$  carrier R" "b  $\in$  carrier R"
  shows "a  $\otimes$  ([ (k :: nat) ]  $\cdot$  b) = [k]  $\cdot$  (a  $\otimes$  b)"
  <proof>
```

```
lemma (in ring) add_pow_ldistr_int:
  assumes "a  $\in$  carrier R" "b  $\in$  carrier R"
  shows "([ (k :: int) ]  $\cdot$  a)  $\otimes$  b = [k]  $\cdot$  (a  $\otimes$  b)"
  <proof>
```

```
lemma (in ring) add_pow_rdistr_int:
  assumes "a  $\in$  carrier R" "b  $\in$  carrier R"
  shows "a  $\otimes$  ([ (k :: int) ]  $\cdot$  b) = [k]  $\cdot$  (a  $\otimes$  b)"
  <proof>
```

## 11.5 Integral Domains

```
context "domain" begin
```

```
lemma zero_not_one [simp]: "0  $\neq$  1"
  <proof>
```

```
lemma integral_iff:
  "[[ a  $\in$  carrier R; b  $\in$  carrier R ]  $\implies$  (a  $\otimes$  b = 0) = (a = 0  $\vee$  b = 0)"
  <proof>
```

```
lemma m_lcancel:
  assumes prem: "a  $\neq$  0"
  and R: "a  $\in$  carrier R" "b  $\in$  carrier R" "c  $\in$  carrier R"
  shows "(a  $\otimes$  b = a  $\otimes$  c) = (b = c)"
  <proof>
```

```
lemma m_rcancel:
  assumes prem: "a  $\neq$  0"
  and R: "a  $\in$  carrier R" "b  $\in$  carrier R" "c  $\in$  carrier R"
  shows conc: "(b  $\otimes$  a = c  $\otimes$  a) = (b = c)"
  <proof>
```

```
end
```

## 11.6 Fields

Field would not need to be derived from domain, the properties for domain follow from the assumptions of field

```
lemma (in field) is_ring: "ring R"
  <proof>
```

```
lemma fieldE :
  fixes R (structure)
  assumes "field R"
  shows "cring R"
    and one_not_zero : "1 ≠ 0"
    and integral: "∧a b. [ a ⊗ b = 0; a ∈ carrier R; b ∈ carrier R ]
  ⇒ a = 0 ∨ b = 0"
  and field_Units: "Units R = carrier R - {0}"
  <proof>
```

```
lemma (in cring) cring_fieldI:
  assumes field_Units: "Units R = carrier R - {0}"
  shows "field R"
  <proof>
```

Another variant to show that something is a field

```
lemma (in cring) cring_fieldI2:
  assumes notzero: "0 ≠ 1"
  and invex: "∧a. [a ∈ carrier R; a ≠ 0] ⇒ ∃b∈carrier R. a ⊗ b
  = 1"
  shows "field R"
  <proof>
```

## 11.7 Morphisms

definition

```
ring_hom :: "[('a, 'm) ring_scheme, ('b, 'n) ring_scheme] => ('a =>
'b) set"
where "ring_hom R S =
  {h. h ∈ carrier R → carrier S ∧
    (∀x y. x ∈ carrier R ∧ y ∈ carrier R →
      h (x ⊗R y) = h x ⊗S h y ∧ h (x ⊕R y) = h x ⊕S h y) ∧
    h 1R = 1S}}

```

```
lemma ring_hom_memI:
  fixes R (structure) and S (structure)
  assumes "∧x. x ∈ carrier R ⇒ h x ∈ carrier S"
  and "∧x y. [ x ∈ carrier R; y ∈ carrier R ] ⇒ h (x ⊗ y) = h
x ⊗S h y"
  and "∧x y. [ x ∈ carrier R; y ∈ carrier R ] ⇒ h (x ⊕ y) = h
x ⊕S h y"
  and "h 1 = 1S"
```

```

shows "h ∈ ring_hom R S"
⟨proof⟩

lemma ring_hom_memE:
  fixes R (structure) and S (structure)
  assumes "h ∈ ring_hom R S"
  shows "∧x. x ∈ carrier R ⇒ h x ∈ carrier S"
    and "∧x y. [ x ∈ carrier R; y ∈ carrier R ] ⇒ h (x ⊗ y) = h x
⊗S h y"
    and "∧x y. [ x ∈ carrier R; y ∈ carrier R ] ⇒ h (x ⊕ y) = h x
⊕S h y"
    and "h 1 = 1S"
  ⟨proof⟩

lemma ring_hom_closed:
  "[ h ∈ ring_hom R S; x ∈ carrier R ] ⇒ h x ∈ carrier S"
  ⟨proof⟩

lemma ring_hom_mult:
  fixes R (structure) and S (structure)
  shows "[ h ∈ ring_hom R S; x ∈ carrier R; y ∈ carrier R ] ⇒ h (x
⊗ y) = h x ⊗S h y"
  ⟨proof⟩

lemma ring_hom_add:
  fixes R (structure) and S (structure)
  shows "[ h ∈ ring_hom R S; x ∈ carrier R; y ∈ carrier R ] ⇒ h (x
⊕ y) = h x ⊕S h y"
  ⟨proof⟩

lemma ring_hom_one:
  fixes R (structure) and S (structure)
  shows "h ∈ ring_hom R S ⇒ h 1 = 1S"
  ⟨proof⟩

lemma ring_hom_zero:
  fixes R (structure) and S (structure)
  assumes "h ∈ ring_hom R S" "ring R" "ring S"
  shows "h 0 = 0S"
  ⟨proof⟩

locale ring_hom_cring =
  R?: cring R + S?: cring S for R (structure) and S (structure) + fixes
  h
  assumes homh [simp, intro]: "h ∈ ring_hom R S"
  notes hom_closed [simp, intro] = ring_hom_closed [OF homh]
    and hom_mult [simp] = ring_hom_mult [OF homh]
    and hom_add [simp] = ring_hom_add [OF homh]
    and hom_one [simp] = ring_hom_one [OF homh]

```

```
lemma (in ring_hom_cring) hom_zero [simp]: "h 0 = 0S"
⟨proof⟩
```

```
lemma (in ring_hom_cring) hom_a_inv [simp]:
  "x ∈ carrier R ⇒ h (⊖ x) = ⊖S h x"
⟨proof⟩
```

```
lemma (in ring_hom_cring) hom_finsum [simp]:
  assumes "f: A → carrier R"
  shows "h (⊕ i ∈ A. f i) = (⊕S i ∈ A. (h o f) i)"
⟨proof⟩
```

```
lemma (in ring_hom_cring) hom_finprod:
  assumes "f: A → carrier R"
  shows "h (⊗ i ∈ A. f i) = (⊗S i ∈ A. (h o f) i)"
⟨proof⟩
```

```
declare ring_hom_cring.hom_finprod [simp]
```

```
lemma id_ring_hom [simp]: "id ∈ ring_hom R R"
⟨proof⟩
```

```
lemma ring_hom_trans:
  "[[ f ∈ ring_hom R S; g ∈ ring_hom S T ] ⇒ g o f ∈ ring_hom R T"
⟨proof⟩
```

## 11.8 Jeremy Avigad's More\_Finite\_Product material

```
lemma (in cring) sum_zero_eq_neg: "x ∈ carrier R ⇒ y ∈ carrier R ⇒
x ⊕ y = 0 ⇒ x = ⊖ y"
⟨proof⟩
```

```
lemma (in domain) square_eq_one:
  fixes x
  assumes [simp]: "x ∈ carrier R"
  and "x ⊗ x = 1"
  shows "x = 1 ∨ x = ⊖1"
⟨proof⟩
```

```
lemma (in domain) inv_eq_self: "x ∈ Units R ⇒ x = inv x ⇒ x = 1
∨ x = ⊖1"
⟨proof⟩
```

The following translates theorems about groups to the facts about the units of a ring. (The list should be expanded as more things are needed.)

```
lemma (in ring) finite_ring_finite_units [intro]: "finite (carrier R)
⇒ finite (Units R)"
⟨proof⟩
```

```

lemma (in monoid) units_of_pow:
  fixes n :: nat
  shows "x ∈ Units G  $\implies$  x  $[\wedge]_{\text{units\_of } G} n = x [\wedge]_G n$ "
  <proof>

```

```

lemma (in cring) units_power_order_eq_one:
  "finite (Units R)  $\implies$  a ∈ Units R  $\implies$  a  $[\wedge] \text{card}(\text{Units } R) = 1$ "
  <proof>

```

## 11.9 Jeremy Avigad's More\_Ring material

```

lemma (in cring) field_intro2:
  assumes "0R ≠ 1R" and un: " $\bigwedge x. x \in \text{carrier } R - \{0_R\} \implies x \in \text{Units } R$ "
  shows "field R"
  <proof>

```

```

lemma (in monoid) inv_char:
  assumes "x ∈ carrier G" "y ∈ carrier G" "x ⊗ y = 1" "y ⊗ x = 1"
  shows "inv x = y"
  <proof>

```

```

lemma (in comm_monoid) comm_inv_char: "x ∈ carrier G  $\implies$  y ∈ carrier G  $\implies$  x ⊗ y = 1  $\implies$  inv x = y"
  <proof>

```

```

lemma (in ring) inv_neg_one [simp]: "inv (⊖ 1) = ⊖ 1"
  <proof>

```

```

lemma (in monoid) inv_eq_imp_eq: "x ∈ Units G  $\implies$  y ∈ Units G  $\implies$  inv x = inv y  $\implies$  x = y"
  <proof>

```

```

lemma (in ring) Units_minus_one_closed [intro]: "⊖ 1 ∈ Units R"
  <proof>

```

```

lemma (in ring) inv_eq_neg_one_eq: "x ∈ Units R  $\implies$  inv x = ⊖ 1  $\iff$  x = ⊖ 1"
  <proof>

```

```

lemma (in monoid) inv_eq_one_eq: "x ∈ Units G  $\implies$  inv x = 1  $\iff$  x = 1"
  <proof>

```

end

theory Module

```
imports Ring
begin
```

## 12 Modules over an Abelian Group

### 12.1 Definitions

```
record ('a, 'b) module = "'b ring" +
  smult :: "[ 'a, 'b ] => 'b" (infixl "⊙" 70)

locale module = R?: cring + M?: abelian_group M for M (structure) +
  assumes smult_closed [simp, intro]:
    "[| a ∈ carrier R; x ∈ carrier M |] ==> a ⊙M x ∈ carrier M"
  and smult_l_distr:
    "[| a ∈ carrier R; b ∈ carrier R; x ∈ carrier M |] ==>
    (a ⊕ b) ⊙M x = a ⊙M x ⊕M b ⊙M x"
  and smult_r_distr:
    "[| a ∈ carrier R; x ∈ carrier M; y ∈ carrier M |] ==>
    a ⊙M (x ⊕M y) = a ⊙M x ⊕M a ⊙M y"
  and smult_assoc1:
    "[| a ∈ carrier R; b ∈ carrier R; x ∈ carrier M |] ==>
    (a ⊗ b) ⊙M x = a ⊙M (b ⊙M x)"
  and smult_one [simp]:
    "x ∈ carrier M ==> 1 ⊙M x = x"

locale algebra = module + cring M +
  assumes smult_assoc2:
    "[| a ∈ carrier R; x ∈ carrier M; y ∈ carrier M |] ==>
    (a ⊙M x) ⊗M y = a ⊙M (x ⊗M y)"

lemma moduleI:
  fixes R (structure) and M (structure)
  assumes cring: "cring R"
    and abelian_group: "abelian_group M"
    and smult_closed:
      "!!a x. [| a ∈ carrier R; x ∈ carrier M |] ==> a ⊙M x ∈ carrier
M"
  and smult_l_distr:
    "!!a b x. [| a ∈ carrier R; b ∈ carrier R; x ∈ carrier M |] ==>
    (a ⊕ b) ⊙M x = (a ⊙M x) ⊕M (b ⊙M x)"
  and smult_r_distr:
    "!!a x y. [| a ∈ carrier R; x ∈ carrier M; y ∈ carrier M |] ==>
    a ⊙M (x ⊕M y) = (a ⊙M x) ⊕M (a ⊙M y)"
  and smult_assoc1:
    "!!a b x. [| a ∈ carrier R; b ∈ carrier R; x ∈ carrier M |] ==>
    (a ⊗ b) ⊙M x = a ⊙M (b ⊙M x)"
  and smult_one:
    "!!x. x ∈ carrier M ==> 1 ⊙M x = x"
  shows "module R M"
```

*<proof>*

```

lemma algebraI:
  fixes R (structure) and M (structure)
  assumes R_cring: "cring R"
    and M_cring: "cring M"
    and smult_closed:
      "!!a x. [| a ∈ carrier R; x ∈ carrier M |] ==> a ⊙M x ∈ carrier
M"
    and smult_l_distr:
      "!!a b x. [| a ∈ carrier R; b ∈ carrier R; x ∈ carrier M |] ==>
(a ⊕ b) ⊙M x = (a ⊙M x) ⊕M (b ⊙M x)"
    and smult_r_distr:
      "!!a x y. [| a ∈ carrier R; x ∈ carrier M; y ∈ carrier M |] ==>
a ⊙M (x ⊕M y) = (a ⊙M x) ⊕M (a ⊙M y)"
    and smult_assoc1:
      "!!a b x. [| a ∈ carrier R; b ∈ carrier R; x ∈ carrier M |] ==>
(a ⊗ b) ⊙M x = a ⊙M (b ⊙M x)"
    and smult_one:
      "!!x. x ∈ carrier M ==> (one R) ⊙M x = x"
    and smult_assoc2:
      "!!a x y. [| a ∈ carrier R; x ∈ carrier M; y ∈ carrier M |] ==>
(a ⊙M x) ⊗M y = a ⊙M (x ⊗M y)"
  shows "algebra R M"
<proof>

```

lemma (in algebra) R\_cring: "cring R" *<proof>*

lemma (in algebra) M\_cring: "cring M" *<proof>*

lemma (in algebra) module: "module R M"  
*<proof>*

## 12.2 Basic Properties of Modules

```

lemma (in module) smult_l_null [simp]:
  "x ∈ carrier M ==> 0 ⊙M x = 0M"
<proof>

```

```

lemma (in module) smult_r_null [simp]:
  "a ∈ carrier R ==> a ⊙M 0M = 0M"
<proof>

```

```

lemma (in module) smult_l_minus:
  "[| a ∈ carrier R; x ∈ carrier M |] ==> (⊖a) ⊙M x = ⊖M (a ⊙M x)"
<proof>

```

```

lemma (in module) smult_r_minus:
  "[| a ∈ carrier R; x ∈ carrier M |] ==> a ⊙M (⊖Mx) = ⊖M (a ⊙M x)"

```



*<proof>*

```
lemma (in module) finsum_smult_ldistr:
  "[[ finite A; a ∈ carrier R; f: A → carrier M ]] ⇒
   a ⊙M (⊕M i ∈ A. (f i)) = (⊕M i ∈ A. (a ⊙M (f i)))"
<proof>
```

### 12.3 Submodules

```
locale submodule = subgroup H "add_monoid M" for H and R :: "('a, 'b)
ring_scheme" and M (structure)
+ assumes smult_closed [simp, intro]:
  "[[a ∈ carrier R; x ∈ H]] ⇒ a ⊙M x ∈ H"
```

```
lemma (in module) submoduleI :
  assumes subset: "H ⊆ carrier M"
  and zero: "0M ∈ H"
  and a_inv: "!!a. a ∈ H ⇒ ⊖M a ∈ H"
  and add : "∧ a b. [[a ∈ H ; b ∈ H]] ⇒ a ⊕M b ∈ H"
  and smult_closed : "∧ a x. [[a ∈ carrier R; x ∈ H]] ⇒ a ⊙M x ∈ H"
  shows "submodule H R M"
<proof>
```

```
lemma (in module) submoduleE :
  assumes "submodule H R M"
  shows "H ⊆ carrier M"
  and "H ≠ {}"
  and "∧ a. a ∈ H ⇒ ⊖M a ∈ H"
  and "∧ a b. [[a ∈ carrier R; b ∈ H]] ⇒ a ⊙M b ∈ H"
  and "∧ a b. [[a ∈ H ; b ∈ H]] ⇒ a ⊕M b ∈ H"
  and "∧ x. x ∈ H ⇒ (a_inv M x) ∈ H"
<proof>
```

```
lemma (in module) carrier_is_submodule :
  "submodule (carrier M) R M"
<proof>
```

```
lemma (in submodule) submodule_is_module :
  assumes "module R M"
  shows "module R (M(carrier := H))"
<proof>
```

```
lemma (in module) module_incl_imp_submodule :
  assumes "H ⊆ carrier M"
  and "module R (M(carrier := H))"
```

```
shows "submodule H R M"
  ⟨proof⟩
```

```
end
```

```
theory AbelCoset
imports Coset Ring
begin
```

## 12.4 More Lifting from Groups to Abelian Groups

### 12.4.1 Definitions

Hiding  $\langle + \rangle$  from `HOL.Sum_Type` until I come up with better syntax here

```
no_notation Sum_Type.Plus (infixr "<+>" 65)
```

**definition**

```
a_r_coset    :: "[_, 'a set, 'a] ⇒ 'a set"    (infixl "+ᵣ" 60)
where "a_r_coset G = r_coset (add_monoid G)"
```

**definition**

```
a_l_coset    :: "[_, 'a, 'a set] ⇒ 'a set"    (infixl "<+ᵣ" 60)
where "a_l_coset G = l_coset (add_monoid G)"
```

**definition**

```
A_RCOSETS    :: "[_, 'a set] ⇒ ('a set)set"    ("a'_rcosetsᵣ_" [81] 80)
where "A_RCOSETS G H = RCOSETS (add_monoid G) H"
```

**definition**

```
set_add      :: "[_, 'a set, 'a set] ⇒ 'a set" (infixl "<+>ᵣ" 60)
where "set_add G = set_mult (add_monoid G)"
```

**definition**

```
A_SET_INV    :: "[_, 'a set] ⇒ 'a set"    ("a'_set'_invᵣ_" [81] 80)
where "A_SET_INV G H = SET_INV (add_monoid G) H"
```

**definition**

```
a_r_congruent :: "[('a,'b)ring_scheme, 'a set] ⇒ ('a*'a)set" ("racongᵣ")
where "a_r_congruent G = r_congruent (add_monoid G)"
```

**definition**

```
A_FactGroup  :: "[('a,'b) ring_scheme, 'a set] ⇒ ('a set) monoid" (infixl
"A'_Mod" 65)
```

— Actually defined for groups rather than monoids

```
where "A_FactGroup G H = FactGroup (add_monoid G) H"
```

**definition**

```

a_kernel :: "('a, 'm) ring_scheme ⇒ ('b, 'n) ring_scheme ⇒ ('a ⇒
'b) ⇒ 'a set"
  — the kernel of a homomorphism (additive)
  where "a_kernel G H h = kernel (add_monoid G) (add_monoid H) h"

locale abelian_group_hom = G?: abelian_group G + H?: abelian_group H
  for G (structure) and H (structure) +
  fixes h
  assumes a_group_hom: "group_hom (add_monoid G) (add_monoid H) h"

lemmas a_r_coset_defs =
  a_r_coset_def r_coset_def

lemma a_r_coset_def':
  fixes G (structure)
  shows "H +> a ≡ ⋃ h∈H. {h ⊕ a}"
  ⟨proof⟩

lemmas a_l_coset_defs =
  a_l_coset_def l_coset_def

lemma a_l_coset_def':
  fixes G (structure)
  shows "a <+ H ≡ ⋃ h∈H. {a ⊕ h}"
  ⟨proof⟩

lemmas A_RCOSETS_defs =
  A_RCOSETS_def RCOSETS_def

lemma A_RCOSETS_def':
  fixes G (structure)
  shows "a_rcosets H ≡ ⋃ a∈carrier G. {H +> a}"
  ⟨proof⟩

lemmas set_add_defs =
  set_add_def set_mult_def

lemma set_add_def':
  fixes G (structure)
  shows "H <+> K ≡ ⋃ h∈H. ⋃ k∈K. {h ⊕ k}"
  ⟨proof⟩

lemmas A_SET_INV_defs =
  A_SET_INV_def SET_INV_def

lemma A_SET_INV_def':
  fixes G (structure)
  shows "a_set_inv H ≡ ⋃ h∈H. {⊖ h}"
  ⟨proof⟩

```

### 12.4.2 Cosets

```

sublocale abelian_group <
  add: group "(add_monoid G)"
  rewrites "carrier (add_monoid G) = carrier G"
  and " mult (add_monoid G) = add G"
  and " one (add_monoid G) = zero G"
  and " m_inv (add_monoid G) = a_inv G"
  and "finprod (add_monoid G) = finsum G"
  and "r_coset (add_monoid G) = a_r_coset G"
  and "l_coset (add_monoid G) = a_l_coset G"
  and "(λa k. pow (add_monoid G) a k) = (λa k. add_pow G k a)"
  <proof>

context abelian_group
begin

thm add.coset_mult_assoc
lemmas a_repr_independence' = add.repr_independence

end

lemma (in abelian_group) a_coset_add_assoc:
  "[| M ⊆ carrier G; g ∈ carrier G; h ∈ carrier G |]
   ==> (M +> g) +> h = M +> (g ⊕ h)"
  <proof>

thm abelian_group.a_coset_add_assoc

lemma (in abelian_group) a_coset_add_zero [simp]:
  "M ⊆ carrier G ==> M +> 0 = M"
  <proof>

lemma (in abelian_group) a_coset_add_inv1:
  "[| M +> (x ⊕ (⊖ y)) = M; x ∈ carrier G ; y ∈ carrier G;
   M ⊆ carrier G |] ==> M +> x = M +> y"
  <proof>

lemma (in abelian_group) a_coset_add_inv2:
  "[| M +> x = M +> y; x ∈ carrier G; y ∈ carrier G; M ⊆ carrier
  G |]
   ==> M +> (x ⊕ (⊖ y)) = M"
  <proof>

lemma (in abelian_group) a_coset_join1:
  "[| H +> x = H; x ∈ carrier G; subgroup H (add_monoid G) |] ==>
  x ∈ H"
  <proof>

```

```

lemma (in abelian_group) a_solve_equation:
  "[[subgroup H (add_monoid G); x ∈ H; y ∈ H]] ==> ∃h∈H. y = h ⊕ x"
  <proof>

lemma (in abelian_group) a_repr_independence:
  "[[ y ∈ H +> x; x ∈ carrier G; subgroup H (add_monoid G) ]] ==>
   H +> x = H +> y"
  <proof>

lemma (in abelian_group) a_coset_join2:
  "[[x ∈ carrier G; subgroup H (add_monoid G); x∈H]] ==> H +> x = H"
  <proof>

lemma (in abelian_monoid) a_r_coset_subset_G:
  "[[ H ⊆ carrier G; x ∈ carrier G ]] ==> H +> x ⊆ carrier G"
  <proof>

lemma (in abelian_group) a_rcosI:
  "[[ h ∈ H; H ⊆ carrier G; x ∈ carrier G ]] ==> h ⊕ x ∈ H +> x"
  <proof>

lemma (in abelian_group) a_rcosetsI:
  "[[H ⊆ carrier G; x ∈ carrier G]] ==> H +> x ∈ a_rcosets H"
  <proof>

Really needed?

lemma (in abelian_group) a_transpose_inv:
  "[[ x ⊕ y = z; x ∈ carrier G; y ∈ carrier G; z ∈ carrier G ]]
   ==> (⊖ x) ⊕ z = y"
  <proof>

12.4.3 Subgroups

locale additive_subgroup =
  fixes H and G (structure)
  assumes a_subgroup: "subgroup H (add_monoid G)"

lemma (in additive_subgroup) is_additive_subgroup:
  shows "additive_subgroup H G"
  <proof>

lemma additive_subgroupI:
  fixes G (structure)
  assumes a_subgroup: "subgroup H (add_monoid G)"
  shows "additive_subgroup H G"
  <proof>

lemma (in additive_subgroup) a_subset:

```

```

    "H  $\subseteq$  carrier G"
  <proof>

```

```

lemma (in additive_subgroup) a_closed [intro, simp]:
  "[x  $\in$  H; y  $\in$  H]  $\implies$  x  $\oplus$  y  $\in$  H"
  <proof>

```

```

lemma (in additive_subgroup) zero_closed [simp]:
  "0  $\in$  H"
  <proof>

```

```

lemma (in additive_subgroup) a_inv_closed [intro, simp]:
  "x  $\in$  H  $\implies$   $\ominus$  x  $\in$  H"
  <proof>

```

#### 12.4.4 Additive subgroups are normal

Every subgroup of an `abelian_group` is normal

```

locale abelian_subgroup = additive_subgroup + abelian_group G +
  assumes a_normal: "normal H (add_monoid G)"

```

```

lemma (in abelian_subgroup) is_abelian_subgroup:
  shows "abelian_subgroup H G"
  <proof>

```

```

lemma abelian_subgroupI:
  assumes a_normal: "normal H (add_monoid G)"
    and a_comm: "!!x y. [| x  $\in$  carrier G; y  $\in$  carrier G |]  $\implies$  x  $\oplus_G$ 
y = y  $\oplus_G$  x"
  shows "abelian_subgroup H G"
  <proof>

```

```

lemma abelian_subgroupI2:
  fixes G (structure)
  assumes a_comm_group: "comm_group (add_monoid G)"
    and a_subgroup: "subgroup H (add_monoid G)"
  shows "abelian_subgroup H G"
  <proof>

```

```

lemma abelian_subgroupI3:
  fixes G (structure)
  assumes "additive_subgroup H G"
    and "abelian_group G"
  shows "abelian_subgroup H G"
  <proof>

```

```

lemma (in abelian_subgroup) a_coset_eq:
  "( $\forall$  x  $\in$  carrier G. H  $\rightarrow$  x = x  $\leftarrow$  H)"
  <proof>

```

```

lemma (in abelian_subgroup) a_inv_op_closed1:
  shows "[x ∈ carrier G; h ∈ H] ⇒ (⊖ x) ⊕ h ⊕ x ∈ H"
  ⟨proof⟩

lemma (in abelian_subgroup) a_inv_op_closed2:
  shows "[x ∈ carrier G; h ∈ H] ⇒ x ⊕ h ⊕ (⊖ x) ∈ H"
  ⟨proof⟩

lemma (in abelian_group) a_lcos_m_assoc:
  "[ M ⊆ carrier G; g ∈ carrier G; h ∈ carrier G ] ⇒ g <+ (h <+ M) =
  (g ⊕ h) <+ M"
  ⟨proof⟩

lemma (in abelian_group) a_lcos_mult_one:
  "M ⊆ carrier G ==> 0 <+ M = M"
  ⟨proof⟩

lemma (in abelian_group) a_lcoset_subset_G:
  "[ H ⊆ carrier G; x ∈ carrier G ] ⇒ x <+ H ⊆ carrier G"
  ⟨proof⟩

lemma (in abelian_group) a_lcoset_swap:
  "[y ∈ x <+ H; x ∈ carrier G; subgroup H (add_monoid G)] ⇒ x ∈
  y <+ H"
  ⟨proof⟩

lemma (in abelian_group) a_lcoset_carrier:
  "[| y ∈ x <+ H; x ∈ carrier G; subgroup H (add_monoid G) |] ==>
  y ∈ carrier G"
  ⟨proof⟩

lemma (in abelian_group) a_lrepr_imp_subset:
  assumes "y ∈ x <+ H" "x ∈ carrier G" "subgroup H (add_monoid G)"
  shows "y <+ H ⊆ x <+ H"
  ⟨proof⟩

lemma (in abelian_group) a_lrepr_independence:
  assumes y: "y ∈ x <+ H" and x: "x ∈ carrier G" and sb: "subgroup H
  (add_monoid G)"
  shows "x <+ H = y <+ H"
  ⟨proof⟩

lemma (in abelian_group) setadd_subset_G:
  "[H ⊆ carrier G; K ⊆ carrier G] ⇒ H <+ K ⊆ carrier G"
  ⟨proof⟩

lemma (in abelian_group) subgroup_add_id: "subgroup H (add_monoid G)
  ⇒ H <+ H = H"

```

*<proof>*

**lemma** (in abelian\_subgroup) a\_rcos\_inv:  
 assumes x: "x ∈ carrier G"  
 shows "a\_set\_inv (H +> x) = H +> (⊖ x)"  
*<proof>*

**lemma** (in abelian\_group) a\_setmult\_rcos\_assoc:  
 "[H ⊆ carrier G; K ⊆ carrier G; x ∈ carrier G]  
 ⇒ H <+> (K +> x) = (H <+> K) +> x"  
*<proof>*

**lemma** (in abelian\_group) a\_rcos\_assoc\_lcos:  
 "[H ⊆ carrier G; K ⊆ carrier G; x ∈ carrier G]  
 ⇒ (H +> x) <+> K = H <+> (x <+ K)"  
*<proof>*

**lemma** (in abelian\_subgroup) a\_rcos\_sum:  
 "[x ∈ carrier G; y ∈ carrier G]  
 ⇒ (H +> x) <+> (H +> y) = H +> (x ⊕ y)"  
*<proof>*

**lemma** (in abelian\_subgroup) rcosets\_add\_eq:  
 "M ∈ a\_rcosets H ⇒ H <+> M = M"  
 — generalizes subgroup\_mult\_id  
*<proof>*

#### 12.4.5 Congruence Relation

**lemma** (in abelian\_subgroup) a\_equiv\_rcong:  
 shows "equiv (carrier G) (racong H)"  
*<proof>*

**lemma** (in abelian\_subgroup) a\_l\_coset\_eq\_rcong:  
 assumes a: "a ∈ carrier G"  
 shows "a <+ H = racong H ‘‘ {a}"  
*<proof>*

**lemma** (in abelian\_subgroup) a\_rcos\_equation:  
 shows  
 "[ha ⊕ a = h ⊕ b; a ∈ carrier G; b ∈ carrier G;  
 h ∈ H; ha ∈ H; hb ∈ H]  
 ⇒ hb ⊕ a ∈ (⋃ h∈H. {h ⊕ b})"  
*<proof>*

**lemma** (in abelian\_subgroup) a\_rcos\_disjoint: "pairwise disjnt (a\_rcosets H)"  
*<proof>*



**lemma** (in abelian\_subgroup) a\_rcos\_self:  
 shows "x ∈ carrier G ⇒ x ∈ H +> x"  
*<proof>*

**lemma** (in abelian\_subgroup) a\_rcosets\_part\_G:  
 shows " $\bigcup$ (a\_rcosets H) = carrier G"  
*<proof>*

**lemma** (in abelian\_subgroup) a\_cosets\_finite:  
 "[c ∈ a\_rcosets H; H ⊆ carrier G; finite (carrier G)] ⇒ finite  
 c"  
*<proof>*

**lemma** (in abelian\_group) a\_card\_cosets\_equal:  
 "[c ∈ a\_rcosets H; H ⊆ carrier G; finite(carrier G)]  
 ⇒ card c = card H"  
*<proof>*

**lemma** (in abelian\_group) rcosets\_subset\_PowG:  
 "additive\_subgroup H G ⇒ a\_rcosets H ⊆ Pow(carrier G)"  
*<proof>*

**theorem** (in abelian\_group) a\_lagrange:  
 "[finite(carrier G); additive\_subgroup H G]  
 ⇒ card(a\_rcosets H) \* card(H) = order(G)"  
*<proof>*

#### 12.4.6 Factorization

lemmas A\_FactGroup\_defs = A\_FactGroup\_def FactGroup\_def

**lemma** A\_FactGroup\_def':  
 fixes G (structure)  
 shows "G A\_Mod H ≡ (carrier = a\_rcosets<sub>G</sub> H, mult = set\_add G, one =  
 H)"  
*<proof>*

**lemma** (in abelian\_subgroup) a\_setmult\_closed:  
 "[K1 ∈ a\_rcosets H; K2 ∈ a\_rcosets H] ⇒ K1 <+> K2 ∈ a\_rcosets H"  
*<proof>*

**lemma** (in abelian\_subgroup) a\_setinv\_closed:  
 "K ∈ a\_rcosets H ⇒ a\_set\_inv K ∈ a\_rcosets H"  
*<proof>*

**lemma** (in abelian\_subgroup) a\_rcosets\_assoc:  
 "[M1 ∈ a\_rcosets H; M2 ∈ a\_rcosets H; M3 ∈ a\_rcosets H]  
 ⇒ M1 <+> M2 <+> M3 = M1 <+> (M2 <+> M3)"

*<proof>*

**lemma** (in abelian\_subgroup) a\_subgroup\_in\_rcosets:  
 "H ∈ a\_rcosets H"  
*<proof>*

**lemma** (in abelian\_subgroup) a\_rcosets\_inv\_mult\_group\_eq:  
 "M ∈ a\_rcosets H ⇒ a\_set\_inv M <+> M = H"  
*<proof>*

**theorem** (in abelian\_subgroup) a\_factorgroup\_is\_group:  
 "group (G A\_Mod H)"  
*<proof>*

Since the Factorization is based on an *abelian* subgroup, it results in a commutative group

**theorem** (in abelian\_subgroup) a\_factorgroup\_is\_comm\_group: "comm\_group (G A\_Mod H)"  
*<proof>*

**lemma** add\_A\_FactGroup [simp]: "X ⊗<sub>(G A\_Mod H)</sub> X' = X <+><sub>G</sub> X'"  
*<proof>*

**lemma** (in abelian\_subgroup) a\_inv\_FactGroup:  
 "X ∈ carrier (G A\_Mod H) ⇒ inv<sub>G A\_Mod H</sub> X = a\_set\_inv X"  
*<proof>*

The coset map is a homomorphism from G to the quotient group G Mod H

**lemma** (in abelian\_subgroup) a\_r\_coset\_hom\_A\_Mod:  
 "(λa. H +> a) ∈ hom (add\_monoid G) (G A\_Mod H)"  
*<proof>*

The isomorphism theorems have been omitted from lifting, at least for now

### 12.4.7 The First Isomorphism Theorem

The quotient by the kernel of a homomorphism is isomorphic to the range of that homomorphism.

**lemmas** a\_kernel\_defs =  
 a\_kernel\_def kernel\_def

**lemma** a\_kernel\_def':  
 "a\_kernel R S h = {x ∈ carrier R. h x = 0<sub>S</sub>}"  
*<proof>*

### 12.4.8 Homomorphisms

**lemma** abelian\_group\_homI:

```

assumes "abelian_group G"
assumes "abelian_group H"
assumes a_group_hom: "group_hom (add_monoid G)
                      (add_monoid H) h"
shows "abelian_group_hom G H h"
<proof>

```

```

lemma (in abelian_group_hom) is_abelian_group_hom:
  "abelian_group_hom G H h"
  <proof>

```

```

lemma (in abelian_group_hom) hom_add [simp]:
  "[| x ∈ carrier G; y ∈ carrier G |]
   ==> h (x ⊕G y) = h x ⊕H h y"
  <proof>

```

```

lemma (in abelian_group_hom) hom_closed [simp]:
  "x ∈ carrier G ==> h x ∈ carrier H"
  <proof>

```

```

lemma (in abelian_group_hom) zero_closed [simp]:
  "h 0 ∈ carrier H"
  <proof>

```

```

lemma (in abelian_group_hom) hom_zero [simp]:
  "h 0 = 0H"
  <proof>

```

```

lemma (in abelian_group_hom) a_inv_closed [simp]:
  "x ∈ carrier G ==> h (⊖x) ∈ carrier H"
  <proof>

```

```

lemma (in abelian_group_hom) hom_a_inv [simp]:
  "x ∈ carrier G ==> h (⊖x) = ⊖H (h x)"
  <proof>

```

```

lemma (in abelian_group_hom) additive_subgroup_a_kernel:
  "additive_subgroup (a_kernel G H h) G"
  <proof>

```

The kernel of a homomorphism is an abelian subgroup

```

lemma (in abelian_group_hom) abelian_subgroup_a_kernel:
  "abelian_subgroup (a_kernel G H h) G"
  <proof>

```

```

lemma (in abelian_group_hom) A_FactGroup_nonempty:
  assumes X: "X ∈ carrier (G A_Mod a_kernel G H h)"
  shows "X ≠ {}"
  <proof>

```

```

lemma (in abelian_group_hom) FactGroup_the_elem_mem:
  assumes X: "X ∈ carrier (G A_Mod (a_kernel G H h))"
  shows "the_elem (h'X) ∈ carrier H"
<proof>

```

```

lemma (in abelian_group_hom) A_FactGroup_hom:
  "(λX. the_elem (h'X)) ∈ hom (G A_Mod (a_kernel G H h))
  (add_monoid H)"
<proof>

```

```

lemma (in abelian_group_hom) A_FactGroup_inj_on:
  "inj_on (λX. the_elem (h ' X)) (carrier (G A_Mod a_kernel G H h))"
<proof>

```

If the homomorphism  $h$  is onto  $H$ , then so is the homomorphism from the quotient group

```

lemma (in abelian_group_hom) A_FactGroup_onto:
  assumes h: "h ' carrier G = carrier H"
  shows "(λX. the_elem (h ' X)) ' carrier (G A_Mod a_kernel G H h) =
  carrier H"
<proof>

```

If  $h$  is a homomorphism from  $G$  onto  $H$ , then the quotient group  $G \text{ Mod } \text{kernel } G \text{ H } h$  is isomorphic to  $H$ .

```

theorem (in abelian_group_hom) A_FactGroup_iso_set:
  "h ' carrier G = carrier H
  ⇒ (λX. the_elem (h'X)) ∈ iso (G A_Mod (a_kernel G H h)) (add_monoid
  H)"
<proof>

```

```

corollary (in abelian_group_hom) A_FactGroup_iso :
  "h ' carrier G = carrier H
  ⇒ (G A_Mod (a_kernel G H h)) ≅ (add_monoid H)"
<proof>

```

### 12.4.9 Cosets

Not everything from `CosetExt.thy` is lifted here.

```

lemma (in additive_subgroup) a_Hcarr [simp]:
  assumes hH: "h ∈ H"
  shows "h ∈ carrier G"
<proof>

```

```

lemma (in abelian_subgroup) a_elemrcos_carrier:
  assumes acarr: "a ∈ carrier G"
  and a': "a' ∈ H +> a"

```

```

    shows "a' ∈ carrier G"
  ⟨proof⟩

lemma (in abelian_subgroup) a_rcos_const:
  assumes hH: "h ∈ H"
  shows "H +> h = H"
  ⟨proof⟩

lemma (in abelian_subgroup) a_rcos_module_imp:
  assumes xcarr: "x ∈ carrier G"
    and x'cos: "x' ∈ H +> x"
  shows "(x' ⊕ ⊖x) ∈ H"
  ⟨proof⟩

lemma (in abelian_subgroup) a_rcos_module_rev:
  assumes "x ∈ carrier G" "x' ∈ carrier G"
    and "(x' ⊕ ⊖x) ∈ H"
  shows "x' ∈ H +> x"
  ⟨proof⟩

lemma (in abelian_subgroup) a_rcos_module:
  assumes "x ∈ carrier G" "x' ∈ carrier G"
  shows "(x' ∈ H +> x) = (x' ⊕ ⊖x ∈ H)"
  ⟨proof⟩

lemma (in abelian_subgroup) a_rcos_module_minus:
  assumes "ring G"
  assumes carr: "x ∈ carrier G" "x' ∈ carrier G"
  shows "(x' ∈ H +> x) = (x' ⊖ x ∈ H)"
  ⟨proof⟩

lemma (in abelian_subgroup) a_repr_independence':
  assumes "y ∈ H +> x" "x ∈ carrier G"
  shows "H +> x = H +> y"
  ⟨proof⟩

lemma (in abelian_subgroup) a_repr_independenceD:
  assumes "y ∈ carrier G" "H +> x = H +> y"
  shows "y ∈ H +> x"
  ⟨proof⟩

lemma (in abelian_subgroup) a_rcosets_carrier:
  "X ∈ a_rcosets H ⇒ X ⊆ carrier G"
  ⟨proof⟩

```

#### 12.4.10 Addition of Subgroups

```

lemma (in abelian_monoid) set_add_closed:
  assumes "A ⊆ carrier G" "B ⊆ carrier G"

```

```
shows "A <+> B  $\subseteq$  carrier G"
<proof>
```

```
lemma (in abelian_group) add_additive_subgroups:
  assumes subH: "additive_subgroup H G"
    and subK: "additive_subgroup K G"
  shows "additive_subgroup (H <+> K) G"
<proof>
```

```
end
```

```
theory Ideal
imports Ring AbelCoset
begin
```

## 13 Ideals

### 13.1 Definitions

#### 13.1.1 General definition

```
locale ideal = additive_subgroup I R + ring R for I and R (structure) +
  assumes I_l_closed: "[a  $\in$  I; x  $\in$  carrier R]  $\implies$  x  $\otimes$  a  $\in$  I"
    and I_r_closed: "[a  $\in$  I; x  $\in$  carrier R]  $\implies$  a  $\otimes$  x  $\in$  I"
```

```
sublocale ideal  $\subseteq$  abelian_subgroup I R
<proof>
```

```
lemma (in ideal) is_ideal: "ideal I R"
<proof>
```

```
lemma idealI:
  fixes R (structure)
  assumes "ring R"
  assumes a_subgroup: "subgroup I (add_monoid R)"
    and I_l_closed: " $\bigwedge$ a x. [a  $\in$  I; x  $\in$  carrier R]  $\implies$  x  $\otimes$  a  $\in$  I"
    and I_r_closed: " $\bigwedge$ a x. [a  $\in$  I; x  $\in$  carrier R]  $\implies$  a  $\otimes$  x  $\in$  I"
  shows "ideal I R"
<proof>
```

#### 13.1.2 Ideals Generated by a Subset of carrier R

```
definition genideal :: "_  $\Rightarrow$  'a set  $\Rightarrow$  'a set" ("Idlz _" [80] 79)
  where "genideal R S =  $\bigcap$ {I. ideal I R  $\wedge$  S  $\subseteq$  I}"
```

#### 13.1.3 Principal Ideals

```
locale principalideal = ideal +
  assumes generate: " $\exists$ i  $\in$  carrier R. I = Idl {i}"
```

```
lemma (in principalideal) is_principalideal: "principalideal I R"
  ⟨proof⟩
```

```
lemma principalidealI:
  fixes R (structure)
  assumes "ideal I R"
    and generate: "∃i ∈ carrier R. I = Idl {i}"
  shows "principalideal I R"
  ⟨proof⟩
```

```
lemma (in ideal) rcos_const_imp_mem:
  assumes "i ∈ carrier R" and "I +> i = I" shows "i ∈ I"
  ⟨proof⟩
```

```
lemma (in ring) a_rcos_zero:
  assumes "ideal I R" "i ∈ I" shows "I +> i = I"
  ⟨proof⟩
```

```
lemma (in ring) ideal_is_normal:
  assumes "ideal I R" shows "I < (add_monoid R)"
  ⟨proof⟩
```

```
lemma (in ideal) a_rcos_sum:
  assumes "a ∈ carrier R" and "b ∈ carrier R" shows "(I +> a) <+> (I
+> b) = I +> (a ⊕ b)"
  ⟨proof⟩
```

```
lemma (in ring) set_add_comm:
  assumes "I ⊆ carrier R" "J ⊆ carrier R" shows "I <+> J = J <+> I"
  ⟨proof⟩
```

#### 13.1.4 Maximal Ideals

```
locale maximalideal = ideal +
  assumes I_notcarr: "carrier R ≠ I"
    and I_maximal: "[ideal J R; I ⊆ J; J ⊆ carrier R] ⇒ (J = I) ∨ (J
= carrier R)"
```

```
lemma (in maximalideal) is_maximalideal: "maximalideal I R"
```

*<proof>*

**lemma** maximalidealI:

fixes R  
 assumes "ideal I R"  
 and I\_notcarr: "carrier R  $\neq$  I"  
 and I\_maximal: " $\bigwedge J. [\text{ideal } J \text{ R}; I \subseteq J; J \subseteq \text{carrier R}] \implies (J = I) \vee (J = \text{carrier R})$ "  
 shows "maximalideal I R"  
*<proof>*

### 13.1.5 Prime Ideals

**locale** primeideal = ideal + cring +  
 assumes I\_notcarr: "carrier R  $\neq$  I"  
 and I\_prime: " $\llbracket a \in \text{carrier R}; b \in \text{carrier R}; a \otimes b \in I \rrbracket \implies a \in I \vee b \in I$ "

**lemma** (in primeideal) primeideal: "primeideal I R"

*<proof>*

**lemma** primeidealI:

fixes R (structure)  
 assumes "ideal I R"  
 and "cring R"  
 and I\_notcarr: "carrier R  $\neq$  I"  
 and I\_prime: " $\bigwedge a \ b. \llbracket a \in \text{carrier R}; b \in \text{carrier R}; a \otimes b \in I \rrbracket \implies a \in I \vee b \in I$ "  
 shows "primeideal I R"  
*<proof>*

**lemma** primeidealI2:

fixes R (structure)  
 assumes "additive\_subgroup I R"  
 and "cring R"  
 and I\_l\_closed: " $\bigwedge a \ x. \llbracket a \in I; x \in \text{carrier R} \rrbracket \implies x \otimes a \in I$ "  
 and I\_r\_closed: " $\bigwedge a \ x. \llbracket a \in I; x \in \text{carrier R} \rrbracket \implies a \otimes x \in I$ "  
 and I\_notcarr: "carrier R  $\neq$  I"  
 and I\_prime: " $\bigwedge a \ b. \llbracket a \in \text{carrier R}; b \in \text{carrier R}; a \otimes b \in I \rrbracket \implies a \in I \vee b \in I$ "  
 shows "primeideal I R"  
*<proof>*

## 13.2 Special Ideals

**lemma** (in ring) zeroideal: "ideal {0} R"

*<proof>*

**lemma** (in ring) oneideal: "ideal (carrier R) R"

*<proof>*



```
lemma (in "domain") zeroprimeideal: "primeideal {0} R"
⟨proof⟩
```

### 13.3 General Ideal Properties

```
lemma (in ideal) one_imp_carrier:
  assumes I_one_closed: "1 ∈ I"
  shows "I = carrier R"
⟨proof⟩
```

```
lemma (in ideal) Icarr:
  assumes iI: "i ∈ I"
  shows "i ∈ carrier R"
⟨proof⟩
```

```
lemma (in ring) quotient_eq_iff_same_a_r_cos:
  assumes "ideal I R" and "a ∈ carrier R" and "b ∈ carrier R"
  shows "a ⊖ b ∈ I ⟷ I +> a = I +> b"
⟨proof⟩
```

### 13.4 Intersection of Ideals

**Intersection of two ideals** The intersection of any two ideals is again an ideal in R

```
lemma (in ring) i_intersect:
  assumes "ideal I R"
  assumes "ideal J R"
  shows "ideal (I ∩ J) R"
⟨proof⟩
```

The intersection of any Number of Ideals is again an Ideal in R

```
lemma (in ring) i_Intersect:
  assumes Sideals: "∧I. I ∈ S ⟹ ideal I R" and notempty: "S ≠ {}"
  shows "ideal (∩S) R"
⟨proof⟩
```

### 13.5 Addition of Ideals

```
lemma (in ring) add_ideals:
  assumes idealI: "ideal I R" and idealJ: "ideal J R"
  shows "ideal (I <+> J) R"
⟨proof⟩
```

### 13.6 Ideals generated by a subset of carrier R

genideal generates an ideal

```
lemma (in ring) genideal_ideal:
```

```

  assumes Scarr: "S ⊆ carrier R"
  shows "ideal (Idl S) R"
<proof>

```

```

lemma (in ring) genideal_self:
  assumes "S ⊆ carrier R"
  shows "S ⊆ Idl S"
<proof>

```

```

lemma (in ring) genideal_self':
  assumes carr: "i ∈ carrier R"
  shows "i ∈ Idl {i}"
<proof>

```

genideal generates the minimal ideal

```

lemma (in ring) genideal_minimal:
  assumes "ideal I R" "S ⊆ I"
  shows "Idl S ⊆ I"
<proof>

```

Generated ideals and subsets

```

lemma (in ring) Idl_subset_ideal:
  assumes Ideal: "ideal I R"
  and Hcarr: "H ⊆ carrier R"
  shows "(Idl H ⊆ I) = (H ⊆ I)"
<proof>

```

```

lemma (in ring) subset_Idl_subset:
  assumes Icarr: "I ⊆ carrier R"
  and HI: "H ⊆ I"
  shows "Idl H ⊆ Idl I"
<proof>

```

```

lemma (in ring) Idl_subset_ideal':
  assumes acarr: "a ∈ carrier R" and bcarr: "b ∈ carrier R"
  shows "Idl {a} ⊆ Idl {b} ↔ a ∈ Idl {b}"
<proof>

```

```

lemma (in ring) genideal_zero: "Idl {0} = {0}"
<proof>

```

```

lemma (in ring) genideal_one: "Idl {1} = carrier R"
<proof>

```

Generation of Principal Ideals in Commutative Rings

```

definition cgenideal :: "_ ⇒ 'a ⇒ 'a set" ("PIdlc _" [80] 79)
  where "cgenideal R a = {x ⊗R a | x. x ∈ carrier R}"

```

genhideal (?) really generates an ideal

```
lemma (in cring) cgenideal_ideal:
  assumes acar: "a ∈ carrier R"
  shows "ideal (PIdl a) R"
  ⟨proof⟩
```

```
lemma (in ring) cgenideal_self:
  assumes icarr: "i ∈ carrier R"
  shows "i ∈ PIdl i"
  ⟨proof⟩
```

cgenideal is minimal

```
lemma (in ring) cgenideal_minimal:
  assumes "ideal J R"
  assumes aJ: "a ∈ J"
  shows "PIdl a ⊆ J"
  ⟨proof⟩
```

```
lemma (in cring) cgenideal_eq_genideal:
  assumes icarr: "i ∈ carrier R"
  shows "PIdl i = Idl {i}"
  ⟨proof⟩
```

```
lemma (in cring) cgenideal_eq_rcos: "PIdl i = carrier R #> i"
  ⟨proof⟩
```

```
lemma (in cring) cgenideal_is_principalideal:
  assumes "i ∈ carrier R"
  shows "principalideal (PIdl i) R"
  ⟨proof⟩
```

### 13.7 Union of Ideals

```
lemma (in ring) union_genideal:
  assumes idealI: "ideal I R" and idealJ: "ideal J R"
  shows "Idl (I ∪ J) = I <+> J"
  ⟨proof⟩
```

### 13.8 Properties of Principal Ideals

The zero ideal is a principal ideal

```
corollary (in ring) zeropideal: "principalideal {0} R"
  ⟨proof⟩
```

The unit ideal is a principal ideal

```
corollary (in ring) onepideal: "principalideal (carrier R) R"
  ⟨proof⟩
```

Every principal ideal is a right coset of the carrier

```

lemma (in principalideal) rcos_generate:
  assumes "cring R"
  shows "∃x∈I. I = carrier R #> x"
<proof>

```

This next lemma would be trivial if placed in a theory that imports QuotRing, but it makes more sense to have it here (easier to find and coherent with the previous developments).

```

lemma (in cring) cgenideal_prod:
  assumes "a ∈ carrier R" "b ∈ carrier R"
  shows "(PIDl a) <#> (PIDl b) = PIDl (a ⊗ b)"
<proof>

```

### 13.9 Prime Ideals

```

lemma (in ideal) primeidealCD:
  assumes "cring R"
  assumes notprime: "¬ primeideal I R"
  shows "carrier R = I ∨ (∃a b. a ∈ carrier R ∧ b ∈ carrier R ∧ a ⊗
b ∈ I ∧ a ∉ I ∧ b ∉ I)"
<proof>

```

```

lemma (in ideal) primeidealCE:
  assumes "cring R"
  assumes notprime: "¬ primeideal I R"
  obtains "carrier R = I"
  | "∃a b. a ∈ carrier R ∧ b ∈ carrier R ∧ a ⊗ b ∈ I ∧ a ∉ I ∧ b
∉ I"
<proof>

```

If  $\{0\}$  is a prime ideal of a commutative ring, the ring is a domain

```

lemma (in cring) zeroprimeideal_domainI:
  assumes pi: "primeideal {0} R"
  shows "domain R"
<proof>

```

```

corollary (in cring) domain_eq_zeroprimeideal: "domain R = primeideal {0}
R"
<proof>

```

### 13.10 Maximal Ideals

```

lemma (in ideal) helper_I_closed:
  assumes carr: "a ∈ carrier R" "x ∈ carrier R" "y ∈ carrier R"
  and axI: "a ⊗ x ∈ I"
  shows "a ⊗ (x ⊗ y) ∈ I"
<proof>

```

```

lemma (in ideal) helper_max_prime:

```

```

    assumes "cring R"
    assumes acarr: "a ∈ carrier R"
    shows "ideal {x∈carrier R. a ⊗ x ∈ I} R"
  <proof>

```

In a cring every maximal ideal is prime

```

lemma (in cring) maximalideal_prime:
  assumes "maximalideal I R"
  shows "primeideal I R"
  <proof>

```

### 13.11 Derived Theorems

A non-zero cring that has only the two trivial ideals is a field

```

lemma (in cring) trivialideals_fieldI:
  assumes carrnzero: "carrier R ≠ {0}"
  and haveideals: "{I. ideal I R} = {{0}, carrier R}"
  shows "field R"
  <proof>

```

```

lemma (in field) all_ideals: "{I. ideal I R} = {{0}, carrier R}"
  <proof>

```

```

lemma (in cring) trivialideals_eq_field:
  assumes carrnzero: "carrier R ≠ {0}"
  shows "({I. ideal I R} = {{0}, carrier R}) = field R"
  <proof>

```

Like zeroprimeideal for domains

```

lemma (in field) zeromaximalideal: "maximalideal {0} R"
  <proof>

```

```

lemma (in cring) zeromaximalideal_fieldI:
  assumes zeromax: "maximalideal {0} R"
  shows "field R"
  <proof>

```

```

lemma (in cring) zeromaximalideal_eq_field: "maximalideal {0} R = field
R"
  <proof>

```

end

```

theory RingHom
imports Ideal
begin

```

## 14 Homomorphisms of Non-Commutative Rings

Lifting existing lemmas in a `ring_hom_ring` locale

```

locale ring_hom_ring = R?: ring R + S?: ring S
  for R (structure) and S (structure) +
  fixes h
  assumes homh: "h ∈ ring_hom R S"
  notes hom_mult [simp] = ring_hom_mult [OF homh]
    and hom_one [simp] = ring_hom_one [OF homh]

```

```

sublocale ring_hom_cring ⊆ ring: ring_hom_ring
  ⟨proof⟩

```

```

sublocale ring_hom_ring ⊆ abelian_group?: abelian_group_hom R S
  ⟨proof⟩

```

```

lemma (in ring_hom_ring) is_ring_hom_ring:
  "ring_hom_ring R S h"
  ⟨proof⟩

```

```

lemma ring_hom_ringI:
  fixes R (structure) and S (structure)
  assumes "ring R" "ring S"
  assumes hom_closed: "!!x. x ∈ carrier R ==> h x ∈ carrier S"
    and compatible_mult: "∧x y. [| x ∈ carrier R; y ∈ carrier R |]
  ==> h (x ⊗ y) = h x ⊗S h y"
    and compatible_add: "∧x y. [| x ∈ carrier R; y ∈ carrier R |]
  ==> h (x ⊕ y) = h x ⊕S h y"
    and compatible_one: "h 1 = 1S"
  shows "ring_hom_ring R S h"
  ⟨proof⟩

```

```

lemma ring_hom_ringI2:
  assumes "ring R" "ring S"
  assumes h: "h ∈ ring_hom R S"
  shows "ring_hom_ring R S h"
  ⟨proof⟩

```

```

lemma ring_hom_ringI3:
  fixes R (structure) and S (structure)
  assumes "abelian_group_hom R S h" "ring R" "ring S"
  assumes compatible_mult: "∧x y. [| x ∈ carrier R; y ∈ carrier R |]
  ==> h (x ⊗ y) = h x ⊗S h y"
    and compatible_one: "h 1 = 1S"
  shows "ring_hom_ring R S h"
  ⟨proof⟩

```

```

lemma ring_hom_cringI:
  assumes "ring_hom_ring R S h" "cring R" "cring S"

```

shows "ring\_hom\_cring R S h"  
*<proof>*

## 14.1 The Kernel of a Ring Homomorphism

lemma (in ring\_hom\_ring) kernel\_is\_ideal: "ideal (a\_kernel R S h) R"  
*<proof>*

Elements of the kernel are mapped to zero

lemma (in abelian\_group\_hom) kernel\_zero [simp]:  
 "i ∈ a\_kernel R S h ⇒ h i = 0<sub>S</sub>"  
*<proof>*

## 14.2 Cosets

Cosets of the kernel correspond to the elements of the image of the homomorphism

lemma (in ring\_hom\_ring) rcos\_imp\_homeq:  
 assumes acarr: "a ∈ carrier R"  
 and xrcos: "x ∈ a\_kernel R S h +> a"  
 shows "h x = h a"  
*<proof>*

lemma (in ring\_hom\_ring) homeq\_imp\_rcos:  
 assumes acarr: "a ∈ carrier R"  
 and xcarr: "x ∈ carrier R"  
 and hx: "h x = h a"  
 shows "x ∈ a\_kernel R S h +> a"  
*<proof>*

corollary (in ring\_hom\_ring) rcos\_eq\_homeq:  
 assumes acarr: "a ∈ carrier R"  
 shows "(a\_kernel R S h) +> a = {x ∈ carrier R. h x = h a}"  
*<proof>*

lemma (in ring\_hom\_ring) hom\_nat\_pow:  
 "x ∈ carrier R ⇒ h (x [^] (n :: nat)) = (h x) [^]<sub>S</sub> n"  
*<proof>*

lemma (in ring\_hom\_ring) inj\_on\_domain:  
 assumes "inj\_on h (carrier R)"  
 shows "domain S ⇒ domain R"  
*<proof>*

end

theory UnivPoly

```
imports Module RingHom
begin
```

## 15 Univariate Polynomials

Polynomials are formalised as modules with additional operations for extracting coefficients from polynomials and for obtaining monomials from coefficients and exponents (record `up_ring`). The carrier set is a set of bounded functions from `Nat` to the coefficient domain. Bounded means that these functions return zero above a certain bound (the degree). There is a chapter on the formalisation of polynomials in the PhD thesis [1], which was implemented with axiomatic type classes. This was later ported to `Locales`.

### 15.1 The Constructor for Univariate Polynomials

Functions with finite support.

```
locale bound =
  fixes z :: 'a
    and n :: nat
    and f :: "nat => 'a"
  assumes bound: "!!m. n < m ==> f m = z"

declare bound.intro [intro!]
  and bound.bound [dest]

lemma bound_below:
  assumes bound: "bound z m f" and nonzero: "f n ≠ z" shows "n ≤ m"
  <proof>

record ('a, 'p) up_ring = "('a, 'p) module" +
  monom :: "[ 'a, nat ] => 'p"
  coeff :: "[ 'p, nat ] => 'a"

definition
  up :: "('a, 'm) ring_scheme => (nat => 'a) set"
  where "up R = {f. f ∈ UNIV → carrier R ∧ (∃n. bound 0R n f)}"

definition UP :: "('a, 'm) ring_scheme => ('a, nat => 'a) up_ring"
  where "UP R = (|
    carrier = up R,
    mult = (λp∈up R. λq∈up R. λn. ⊕Ri ∈ {..n}. p i ⊗R q (n-i)),
    one = (λi. if i=0 then 1R else 0R),
    zero = (λi. 0R),
    add = (λp∈up R. λq∈up R. λi. p i ⊕R q i),
    smult = (λa∈carrier R. λp∈up R. λi. a ⊗R p i),
    monom = (λa∈carrier R. λn i. if i=n then a else 0R),
    coeff = (λp∈up R. λn. p n))"
```



Properties of the set of polynomials up.

```

lemma mem_upI [intro]:
  "[|  $\bigwedge n. f\ n \in \text{carrier } R; \exists n. \text{bound } (\text{zero } R)\ n\ f$  |] ==> f ∈ up R"
  <proof>

lemma mem_upD [dest]:
  "f ∈ up R ==> f n ∈ carrier R"
  <proof>

context ring
begin

lemma bound_upD [dest]: "f ∈ up R ==>  $\exists n. \text{bound } 0\ n\ f$ " <proof>

lemma up_one_closed: " $(\lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } 0) \in \text{up } R$ " <proof>

lemma up_smult_closed: "[| a ∈ carrier R; p ∈ up R |] ==>  $(\lambda i. a \otimes p\ i) \in \text{up } R$ " <proof>

lemma up_add_closed:
  "[| p ∈ up R; q ∈ up R |] ==>  $(\lambda i. p\ i \oplus q\ i) \in \text{up } R$ "
  <proof>

lemma up_a_inv_closed:
  "p ∈ up R ==>  $(\lambda i. \ominus (p\ i)) \in \text{up } R$ "
  <proof>

lemma up_minus_closed:
  "[| p ∈ up R; q ∈ up R |] ==>  $(\lambda i. p\ i \ominus q\ i) \in \text{up } R$ "
  <proof>

lemma up_mult_closed:
  "[| p ∈ up R; q ∈ up R |] ==>
   $(\lambda n. \bigoplus i \in \{..n\}. p\ i \otimes q\ (n-i)) \in \text{up } R$ "
  <proof>

end

```

## 15.2 Effect of Operations on Coefficients

```

locale UP =
  fixes R (structure) and P (structure)
  defines P_def: "P == UP R"

locale UP_ring = UP + R?: ring R

locale UP_cring = UP + R?: cring R

sublocale UP_cring < UP_ring

```

```

    <proof>

locale UP_domain = UP + R?: "domain" R

sublocale UP_domain < UP_cring
    <proof>

context UP
begin

Temporarily declare  $P \equiv UP\ R$  as simp rule.

declare P_def [simp]

lemma up_eqI:
  assumes prem: "!!n. coeff P p n = coeff P q n" and R: "p ∈ carrier
P" "q ∈ carrier P"
  shows "p = q"
  <proof>

lemma coeff_closed [simp]:
  "p ∈ carrier P ==> coeff P p n ∈ carrier R" <proof>

end

context UP_ring
begin

lemma coeff_monom [simp]:
  "a ∈ carrier R ==> coeff P (monom P a m) n = (if m=n then a else 0)"
  <proof>

lemma coeff_zero [simp]: "coeff P 0p n = 0" <proof>

lemma coeff_one [simp]: "coeff P 1p n = (if n=0 then 1 else 0)"
  <proof>

lemma coeff_smult [simp]:
  "[| a ∈ carrier R; p ∈ carrier P |] ==> coeff P (a ⊙p p) n = a ⊗ coeff
P p n"
  <proof>

lemma coeff_add [simp]:
  "[| p ∈ carrier P; q ∈ carrier P |] ==> coeff P (p ⊕p q) n = coeff
P p n ⊕ coeff P q n"
  <proof>

lemma coeff_mult [simp]:

```

```
"[| p ∈ carrier P; q ∈ carrier P |] ==> coeff P (p ⊗P q) n = (⊕ i ∈
{..n}. coeff P p i ⊗ coeff P q (n-i))"
  <proof>
```

```
end
```

### 15.3 Polynomials Form a Ring.

```
context UP_ring
begin
```

Operations are closed over P.

```
lemma UP_mult_closed [simp]:
  "[| p ∈ carrier P; q ∈ carrier P |] ==> p ⊗P q ∈ carrier P" <proof>
```

```
lemma UP_one_closed [simp]:
  "1P ∈ carrier P" <proof>
```

```
lemma UP_zero_closed [intro, simp]:
  "0P ∈ carrier P" <proof>
```

```
lemma UP_a_closed [intro, simp]:
  "[| p ∈ carrier P; q ∈ carrier P |] ==> p ⊕P q ∈ carrier P" <proof>
```

```
lemma monom_closed [simp]:
  "a ∈ carrier R ==> monom P a n ∈ carrier P" <proof>
```

```
lemma UP_smult_closed [simp]:
  "[| a ∈ carrier R; p ∈ carrier P |] ==> a ⊙P p ∈ carrier P" <proof>
```

```
end
```

```
declare (in UP) P_def [simp del]
```

Algebraic ring properties

```
context UP_ring
begin
```

```
lemma UP_a_assoc:
  assumes R: "p ∈ carrier P" "q ∈ carrier P" "r ∈ carrier P"
  shows "(p ⊕P q) ⊕P r = p ⊕P (q ⊕P r)" <proof>
```

```
lemma UP_1_zero [simp]:
  assumes R: "p ∈ carrier P"
  shows "0P ⊕P p = p" <proof>
```

```
lemma UP_1_neg_ex:
  assumes R: "p ∈ carrier P"
  shows "∃ q ∈ carrier P. q ⊕P p = 0P"
```

*<proof>*

**lemma** UP\_a\_comm:

assumes R: "p ∈ carrier P" "q ∈ carrier P"  
shows "p ⊕<sub>P</sub> q = q ⊕<sub>P</sub> p" *<proof>*

**lemma** UP\_m\_assoc:

assumes R: "p ∈ carrier P" "q ∈ carrier P" "r ∈ carrier P"  
shows "(p ⊗<sub>P</sub> q) ⊗<sub>P</sub> r = p ⊗<sub>P</sub> (q ⊗<sub>P</sub> r)"  
*<proof>*

**lemma** UP\_r\_one [simp]:

assumes R: "p ∈ carrier P" shows "p ⊗<sub>P</sub> 1<sub>P</sub> = p"  
*<proof>*

**lemma** UP\_l\_one [simp]:

assumes R: "p ∈ carrier P"  
shows "1<sub>P</sub> ⊗<sub>P</sub> p = p"  
*<proof>*

**lemma** UP\_l\_distr:

assumes R: "p ∈ carrier P" "q ∈ carrier P" "r ∈ carrier P"  
shows "(p ⊕<sub>P</sub> q) ⊗<sub>P</sub> r = (p ⊗<sub>P</sub> r) ⊕<sub>P</sub> (q ⊗<sub>P</sub> r)"  
*<proof>*

**lemma** UP\_r\_distr:

assumes R: "p ∈ carrier P" "q ∈ carrier P" "r ∈ carrier P"  
shows "r ⊗<sub>P</sub> (p ⊕<sub>P</sub> q) = (r ⊗<sub>P</sub> p) ⊕<sub>P</sub> (r ⊗<sub>P</sub> q)"  
*<proof>*

**theorem** UP\_ring: "ring P"

*<proof>*

end

## 15.4 Polynomials Form a Commutative Ring.

**context** UP\_cring

**begin**

**lemma** UP\_m\_comm:

assumes R1: "p ∈ carrier P" and R2: "q ∈ carrier P" shows "p ⊗<sub>P</sub> q  
= q ⊗<sub>P</sub> p"  
*<proof>*

## 15.5 Polynomials over a commutative ring for a commutative ring

**theorem** UP\_cring:

```

"cring P" <proof>

end

context UP_ring
begin

lemma UP_a_inv_closed [intro, simp]:
  "p ∈ carrier P ==> ⊖P p ∈ carrier P"
  <proof>

lemma coeff_a_inv [simp]:
  assumes R: "p ∈ carrier P"
  shows "coeff P (⊖P p) n = ⊖ (coeff P p n)"
  <proof>

end

sublocale UP_ring < P?: ring P <proof>
sublocale UP_cring < P?: cring P <proof>

```

## 15.6 Polynomials Form an Algebra

```

context UP_ring
begin

lemma UP_smult_l_distr:
  "[| a ∈ carrier R; b ∈ carrier R; p ∈ carrier P |] ==>
  (a ⊕ b) ⊙P p = a ⊙P p ⊕P b ⊙P p"
  <proof>

lemma UP_smult_r_distr:
  "[| a ∈ carrier R; p ∈ carrier P; q ∈ carrier P |] ==>
  a ⊙P (p ⊕P q) = a ⊙P p ⊕P a ⊙P q"
  <proof>

lemma UP_smult_assoc1:
  "[| a ∈ carrier R; b ∈ carrier R; p ∈ carrier P |] ==>
  (a ⊗ b) ⊙P p = a ⊙P (b ⊙P p)"
  <proof>

lemma UP_smult_zero [simp]:
  "p ∈ carrier P ==> 0 ⊙P p = 0P"
  <proof>

lemma UP_smult_one [simp]:
  "p ∈ carrier P ==> 1 ⊙P p = p"
  <proof>

```

```

lemma UP_smult_assoc2:
  "[| a ∈ carrier R; p ∈ carrier P; q ∈ carrier P |] ==>
  (a ⊙P p) ⊗P q = a ⊙P (p ⊗P q)"
  <proof>

```

**end**

Interpretation of lemmas from algebra.

```

lemma (in UP_cring) UP_algebra:
  "algebra R P" <proof>

```

```

sublocale UP_cring < algebra R P <proof>

```

## 15.7 Further Lemmas Involving Monomials

```

context UP_ring
begin

```

```

lemma monom_zero [simp]:
  "monom P 0 n = 0P" <proof>

```

```

lemma monom_mult_is_smult:
  assumes R: "a ∈ carrier R" "p ∈ carrier P"
  shows "monom P a 0 ⊗P p = a ⊙P p"
  <proof>

```

```

lemma monom_one [simp]:
  "monom P 1 0 = 1P"
  <proof>

```

```

lemma monom_add [simp]:
  "[| a ∈ carrier R; b ∈ carrier R |] ==>
  monom P (a ⊕ b) n = monom P a n ⊕P monom P b n"
  <proof>

```

```

lemma monom_one_Suc:
  "monom P 1 (Suc n) = monom P 1 n ⊗P monom P 1 1"
  <proof>

```

```

lemma monom_one_Suc2:
  "monom P 1 (Suc n) = monom P 1 1 ⊗P monom P 1 n"
  <proof>

```

The following corollary follows from lemmas  $\text{monom P 1 (Suc ?n) = monom P 1 ?n} \otimes_P \text{monom P 1 1}$  and  $\text{monom P 1 (Suc ?n) = monom P 1 1} \otimes_P \text{monom P 1 ?n}$ , and is trivial in `UP_cring`

```

corollary monom_one_comm: shows "monom P 1 k ⊗P monom P 1 1 = monom P
1 1 ⊗P monom P 1 k"
  <proof>

```

```

lemma monom_mult_smult:
  "[| a ∈ carrier R; b ∈ carrier R |] ==> monom P (a ⊗ b) n = a ⊙P monom
P b n"
  <proof>

```

```

lemma monom_one_mult:
  "monom P 1 (n + m) = monom P 1 n ⊗P monom P 1 m"
  <proof>

```

```

lemma monom_one_mult_comm: "monom P 1 n ⊗P monom P 1 m = monom P 1 m
⊗P monom P 1 n"
  <proof>

```

```

lemma monom_mult [simp]:
  assumes a_in_R: "a ∈ carrier R" and b_in_R: "b ∈ carrier R"
  shows "monom P (a ⊗ b) (n + m) = monom P a n ⊗P monom P b m"
  <proof>

```

```

lemma monom_a_inv [simp]:
  "a ∈ carrier R ==> monom P (⊖ a) n = ⊖P monom P a n"
  <proof>

```

```

lemma monom_inj:
  "inj_on (λa. monom P a n) (carrier R)"
  <proof>

```

end

## 15.8 The Degree Function

```

definition
  deg :: "('a, 'm) ring_scheme, nat => 'a] => nat"
  where "deg R p = (LEAST n. bound 0R n (coeff (UP R) p))"

```

```

context UP_ring
begin

```

```

lemma deg_aboveI:
  "[| (!m. n < m ==> coeff P p m = 0); p ∈ carrier P |] ==> deg R p <=
n"
  <proof>

```

```

lemma deg_aboveD:
  assumes "deg R p < m" and "p ∈ carrier P"
  shows "coeff P p m = 0"
  <proof>

```

**lemma deg\_belowI:**

assumes non\_zero: " $n \neq 0 \implies \text{coeff } P \text{ } p \text{ } n \neq 0$ "  
 and R: " $p \in \text{carrier } P$ "  
 shows " $n \leq \text{deg } R \text{ } p$ "

— Logically, this is a slightly stronger version of `deg_aboveD`  
 $\langle \text{proof} \rangle$

**lemma lcoeff\_nonzero\_deg:**

assumes deg: " $\text{deg } R \text{ } p \neq 0$ " and R: " $p \in \text{carrier } P$ "  
 shows " $\text{coeff } P \text{ } p \text{ } (\text{deg } R \text{ } p) \neq 0$ "

$\langle \text{proof} \rangle$

**lemma lcoeff\_nonzero\_nonzero:**

assumes deg: " $\text{deg } R \text{ } p = 0$ " and nonzero: " $p \neq 0_P$ " and R: " $p \in \text{carrier } P$ "

shows " $\text{coeff } P \text{ } p \text{ } 0 \neq 0$ "

$\langle \text{proof} \rangle$

**lemma lcoeff\_nonzero:**

assumes neq: " $p \neq 0_P$ " and R: " $p \in \text{carrier } P$ "  
 shows " $\text{coeff } P \text{ } p \text{ } (\text{deg } R \text{ } p) \neq 0$ "

$\langle \text{proof} \rangle$

**lemma deg\_eqI:**

" $[ | \bigwedge m. n < m \implies \text{coeff } P \text{ } p \text{ } m = 0;$   
 $\bigwedge n. n \neq 0 \implies \text{coeff } P \text{ } p \text{ } n \neq 0; p \in \text{carrier } P \ ] \implies \text{deg } R \text{ } p =$

$n$ "

$\langle \text{proof} \rangle$

Degree and polynomial operations

**lemma deg\_add [simp]:**

" $p \in \text{carrier } P \implies q \in \text{carrier } P \implies$   
 $\text{deg } R \text{ } (p \oplus_P q) \leq \max (\text{deg } R \text{ } p) (\text{deg } R \text{ } q)$ "

$\langle \text{proof} \rangle$

**lemma deg\_monom\_le:**

" $a \in \text{carrier } R \implies \text{deg } R \text{ } (\text{monom } P \text{ } a \text{ } n) \leq n$ "

$\langle \text{proof} \rangle$

**lemma deg\_monom [simp]:**

" $[ | a \neq 0; a \in \text{carrier } R \ ] \implies \text{deg } R \text{ } (\text{monom } P \text{ } a \text{ } n) = n$ "

$\langle \text{proof} \rangle$

**lemma deg\_const [simp]:**

assumes R: " $a \in \text{carrier } R$ " shows " $\text{deg } R \text{ } (\text{monom } P \text{ } a \text{ } 0) = 0$ "

$\langle \text{proof} \rangle$

**lemma deg\_zero [simp]:**



```
"deg R 0p = 0"
⟨proof⟩
```

```
lemma deg_one [simp]:
  "deg R 1p = 0"
⟨proof⟩
```

```
lemma deg_uminus [simp]:
  assumes R: "p ∈ carrier P" shows "deg R (⊖p) = deg R p"
⟨proof⟩
```

The following lemma is later *overwritten* by the most specific one for domains, `deg_smult`.

```
lemma deg_smult_ring [simp]:
  "[| a ∈ carrier R; p ∈ carrier P |] ==>
  deg R (a ⊙p p) ≤ (if a = 0 then 0 else deg R p)"
⟨proof⟩
```

```
end
```

```
context UP_domain
begin
```

```
lemma deg_smult [simp]:
  assumes R: "a ∈ carrier R" "p ∈ carrier P"
  shows "deg R (a ⊙p p) = (if a = 0 then 0 else deg R p)"
⟨proof⟩
```

```
end
```

```
context UP_ring
begin
```

```
lemma deg_mult_ring:
  assumes R: "p ∈ carrier P" "q ∈ carrier P"
  shows "deg R (p ⊗p q) ≤ deg R p + deg R q"
⟨proof⟩
```

```
end
```

```
context UP_domain
begin
```

```
lemma deg_mult [simp]:
  "[| p ≠ 0p; q ≠ 0p; p ∈ carrier P; q ∈ carrier P |] ==>
  deg R (p ⊗p q) = deg R p + deg R q"
⟨proof⟩
```

```
end
```

The following lemmas also can be lifted to `UP_ring`.

```
context UP_ring
begin
```

```
lemma coeff_finsum:
  assumes fin: "finite A"
  shows "p ∈ A → carrier P ==>
    coeff P (finsum P p A) k = (⊕ i ∈ A. coeff P (p i) k)"
  <proof>
```

```
lemma up_repr:
  assumes R: "p ∈ carrier P"
  shows "(⊕P i ∈ {..deg R p}. monom P (coeff P p i) i) = p"
  <proof>
```

```
lemma up_repr_le:
  "[| deg R p ≤ n; p ∈ carrier P |] ==>
  (⊕P i ∈ {..n}. monom P (coeff P p i) i) = p"
  <proof>
```

```
end
```

## 15.9 Polynomials over Integral Domains

```
lemma domainI:
  assumes cring: "cring R"
  and one_not_zero: "one R ≠ zero R"
  and integral: "∧ a b. [| mult R a b = zero R; a ∈ carrier R;
    b ∈ carrier R |] ==> a = zero R ∨ b = zero R"
  shows "domain R"
  <proof>
```

```
context UP_domain
begin
```

```
lemma UP_one_not_zero:
  "1P ≠ 0P"
  <proof>
```

```
lemma UP_integral:
  "[| p ⊗P q = 0P; p ∈ carrier P; q ∈ carrier P |] ==> p = 0P ∨ q = 0P"
  <proof>
```

```
theorem UP_domain:
  "domain P"
  <proof>
```

```
end
```

Interpretation of theorems from domain.

```
sublocale UP_domain < "domain" P
  <proof>
```

## 15.10 The Evaluation Homomorphism and Universal Property

```
lemma (in abelian_monoid) boundD_carrier:
  "[| bound 0 n f; n < m |] ==> f m ∈ carrier G"
  <proof>
```

```
context ring
begin
```

```
theorem diagonal_sum:
  "[| f ∈ {..n + m::nat} → carrier R; g ∈ {..n + m} → carrier R |] ==>
  (⊕ k ∈ {..n + m}. ⊕ i ∈ {..k}. f i ⊗ g (k - i)) =
  (⊕ k ∈ {..n + m}. ⊕ i ∈ {..n + m - k}. f k ⊗ g i)"
  <proof>
```

```
theorem cauchy_product:
  assumes bf: "bound 0 n f" and bg: "bound 0 m g"
  and Rf: "f ∈ {..n} → carrier R" and Rg: "g ∈ {..m} → carrier R"
  shows "(⊕ k ∈ {..n + m}. ⊕ i ∈ {..k}. f i ⊗ g (k - i)) =
  (⊕ i ∈ {..n}. f i) ⊗ (⊕ i ∈ {..m}. g i)"
  <proof>
```

```
end
```

```
lemma (in UP_ring) const_ring_hom:
  "(λa. monom P a 0) ∈ ring_hom R P"
  <proof>
```

**definition**

```
eval :: "[('a, 'm) ring_scheme, ('b, 'n) ring_scheme,
  'a => 'b, 'b, nat => 'a] => 'b"
where "eval R S phi s = (λp ∈ carrier (UP R).
  ⊕ s i ∈ {..deg R p}. phi (coeff (UP R) p i) ⊗ s s [^]_S i)"
```

```
context UP
begin
```

```
lemma eval_on_carrier:
  fixes S (structure)
  shows "p ∈ carrier P ==>
  eval R S phi s p = (⊕ s i ∈ {..deg R p}. phi (coeff P p i) ⊗ s s [^]_S i)"
  <proof>
```

```

lemma eval_extensional:
  "eval R S phi p ∈ extensional (carrier P)"
  ⟨proof⟩

```

**end**

The universal property of the polynomial ring

```

locale UP_pre_univ_prop = ring_hom_cring + UP_cring

```

```

locale UP_univ_prop = UP_pre_univ_prop +
  fixes s and Eval
  assumes indet_img_carrier [simp, intro]: "s ∈ carrier S"
  defines Eval_def: "Eval == eval R S h s"

```

JE: I have moved the following lemma from Ring.thy and lifted then to the locale ring\_hom\_ring from ring\_hom\_cring.

JE: I was considering using it in eval\_ring\_hom, but that property does not hold for non commutative rings, so maybe it is not that necessary.

```

lemma (in ring_hom_ring) hom_finsum [simp]:
  "f ∈ A → carrier R ⇒
  h (finsum R f A) = finsum S (h ∘ f) A"
  ⟨proof⟩

```

```

context UP_pre_univ_prop
begin

```

```

theorem eval_ring_hom:
  assumes S: "s ∈ carrier S"
  shows "eval R S h s ∈ ring_hom P S"
  ⟨proof⟩

```

The following lemma could be proved in UP\_cring with the additional assumption that  $h$  is closed.

```

lemma (in UP_pre_univ_prop) eval_const:
  "[| s ∈ carrier S; r ∈ carrier R |] ==> eval R S h s (monom P r 0) =
  h r"
  ⟨proof⟩

```

Further properties of the evaluation homomorphism.

The following proof is complicated by the fact that in arbitrary rings one might have  $1 = 0$ .

```

lemma (in UP_pre_univ_prop) eval_monom1:
  assumes S: "s ∈ carrier S"
  shows "eval R S h s (monom P 1 1) = s"
  ⟨proof⟩

```

**end**

Interpretation of ring homomorphism lemmas.

```
sublocale UP_univ_prop < ring_hom_cring P S Eval
  <proof>
```

```
lemma (in UP_cring) monom_pow:
  assumes R: "a ∈ carrier R"
  shows "(monom P a n) [^]_P m = monom P (a [^] m) (n * m)"
  <proof>
```

```
lemma (in ring_hom_cring) hom_pow [simp]:
  "x ∈ carrier R ==> h (x [^] n) = h x [^]_S (n::nat)"
  <proof>
```

```
lemma (in UP_univ_prop) Eval_monom:
  "r ∈ carrier R ==> Eval (monom P r n) = h r ⊗_S s [^]_S n"
  <proof>
```

```
lemma (in UP_pre_univ_prop) eval_monom:
  assumes R: "r ∈ carrier R" and S: "s ∈ carrier S"
  shows "eval R S h s (monom P r n) = h r ⊗_S s [^]_S n"
  <proof>
```

```
lemma (in UP_univ_prop) Eval_smult:
  "[| r ∈ carrier R; p ∈ carrier P |] ==> Eval (r ⊙_P p) = h r ⊗_S Eval
  p"
  <proof>
```

```
lemma ring_hom_cringI:
  assumes "cring R"
  and "cring S"
  and "h ∈ ring_hom R S"
  shows "ring_hom_cring R S h"
  <proof>
```

```
context UP_pre_univ_prop
begin
```

```
lemma UP_hom_unique:
  assumes "ring_hom_cring P S Phi"
  assumes Phi: "Phi (monom P 1 (Suc 0)) = s"
  "!!r. r ∈ carrier R ==> Phi (monom P r 0) = h r"
  assumes "ring_hom_cring P S Psi"
  assumes Psi: "Psi (monom P 1 (Suc 0)) = s"
  "!!r. r ∈ carrier R ==> Psi (monom P r 0) = h r"
  and P: "p ∈ carrier P" and S: "s ∈ carrier S"
  shows "Phi p = Psi p"
  <proof>
```

```

lemma ring_homD:
  assumes Phi: "Phi ∈ ring_hom P S"
  shows "ring_hom_cring P S Phi"
  ⟨proof⟩

theorem UP_universal_property:
  assumes S: "s ∈ carrier S"
  shows "∃!Phi. Phi ∈ ring_hom P S ∩ extensional (carrier P) ∧
    Phi (monom P 1 1) = s ∧
    (∀r ∈ carrier R. Phi (monom P r 0) = h r)"
  ⟨proof⟩

end

JE: The following lemma was added by me; it might be even lifted to a
simpler locale

context monoid
begin

lemma nat_pow_eone[simp]: assumes x_in_G: "x ∈ carrier G" shows "x
[^] (1::nat) = x"
  ⟨proof⟩

end

context UP_ring
begin

abbreviation lcoeff :: "(nat =>'a) => 'a" where "lcoeff p == coeff P
p (deg R p)"

lemma lcoeff_nonzero2: assumes p_in_R: "p ∈ carrier P" and p_not_zero:
"p ≠ 0P" shows "lcoeff p ≠ 0"
  ⟨proof⟩


```

### 15.11 The long division algorithm: some previous facts.

```

lemma coeff_minus [simp]:
  assumes p: "p ∈ carrier P" and q: "q ∈ carrier P"
  shows "coeff P (p ⊖P q) n = coeff P p n ⊖ coeff P q n"
  ⟨proof⟩

lemma lcoeff_closed [simp]: assumes p: "p ∈ carrier P" shows "lcoeff
p ∈ carrier R"
  ⟨proof⟩

lemma deg_smult_decr: assumes a_in_R: "a ∈ carrier R" and f_in_P: "f
∈ carrier P" shows "deg R (a ⊙P f) ≤ deg R f"

```

*<proof>*

**lemma** `coeff_monom_mult`: `assumes R: "c ∈ carrier R" and P: "p ∈ carrier P"`

`shows "coeff P (monom P c n ⊗P p) (m + n) = c ⊗ (coeff P p m)"`

*<proof>*

**lemma** `deg_lcoeff_cancel`:

`assumes p_in_P: "p ∈ carrier P" and q_in_P: "q ∈ carrier P" and r_in_P: "r ∈ carrier P"`

`and deg_r_nonzero: "deg R r ≠ 0"`

`and deg_R_p: "deg R p ≤ deg R r" and deg_R_q: "deg R q ≤ deg R r"`

`and coeff_R_p_eq_q: "coeff P p (deg R r) = ⊖R (coeff P q (deg R r))"`

`shows "deg R (p ⊕P q) < deg R r"`

*<proof>*

**lemma** `monom_deg_mult`:

`assumes f_in_P: "f ∈ carrier P" and g_in_P: "g ∈ carrier P" and deg_le: "deg R g ≤ deg R f"`

`and a_in_R: "a ∈ carrier R"`

`shows "deg R (g ⊗P monom P a (deg R f - deg R g)) ≤ deg R f"`

*<proof>*

**lemma** `deg_zero_impl_monom`:

`assumes f_in_P: "f ∈ carrier P" and deg_f: "deg R f = 0"`

`shows "f = monom P (coeff P f 0) 0"`

*<proof>*

**end**

## 15.12 The long division proof for commutative rings

`context UP_cring`

`begin`

**lemma** `exI3`: `assumes exist: "Pred x y z"`

`shows "∃ x y z. Pred x y z"`

*<proof>*

Jacobson's Theorem 2.14

**lemma** `long_div_theorem`:

`assumes g_in_P [simp]: "g ∈ carrier P" and f_in_P [simp]: "f ∈ carrier P"`

`and g_not_zero: "g ≠ 0P"`

`shows "∃ q r (k::nat). (q ∈ carrier P) ∧ (r ∈ carrier P) ∧ (lcoeff g) [^]Rk ⊖P f = g ⊗P q ⊕P r ∧ (r = 0P ∨ deg R r < deg R g)"`

*<proof>*

**end**

The remainder theorem as corollary of the long division theorem.

**context** UP\_cring

**begin**

**lemma** deg\_minus\_monom:

assumes a: "a ∈ carrier R"

and R\_not\_trivial: "(carrier R ≠ {0})"

shows "deg R (monom P 1<sub>R</sub> 1 ⊖<sub>P</sub> monom P a 0) = 1"

(is "deg R ?g = 1")

⟨proof⟩

**lemma** lcoeff\_monom:

assumes a: "a ∈ carrier R" and R\_not\_trivial: "(carrier R ≠ {0})"

shows "lcoeff (monom P 1<sub>R</sub> 1 ⊖<sub>P</sub> monom P a 0) = 1"

⟨proof⟩

**lemma** deg\_nzero\_nzero:

assumes deg\_p\_nzero: "deg R p ≠ 0"

shows "p ≠ 0<sub>P</sub>"

⟨proof⟩

**lemma** deg\_monom\_minus:

assumes a: "a ∈ carrier R"

and R\_not\_trivial: "carrier R ≠ {0}"

shows "deg R (monom P 1<sub>R</sub> 1 ⊖<sub>P</sub> monom P a 0) = 1"

(is "deg R ?g = 1")

⟨proof⟩

**lemma** eval\_monom\_expr:

assumes a: "a ∈ carrier R"

shows "eval R R id a (monom P 1<sub>R</sub> 1 ⊖<sub>P</sub> monom P a 0) = 0"

(is "eval R R id a ?g = \_")

⟨proof⟩

**lemma** remainder\_theorem\_exist:

assumes f: "f ∈ carrier P" and a: "a ∈ carrier R"

and R\_not\_trivial: "carrier R ≠ {0}"

shows "∃ q r. (q ∈ carrier P) ∧ (r ∈ carrier P) ∧ f = (monom P 1<sub>R</sub> 1 ⊖<sub>P</sub> monom P a 0) ⊗<sub>P</sub> q ⊕<sub>P</sub> r ∧ (deg R r = 0)"

(is "∃ q r. (q ∈ carrier P) ∧ (r ∈ carrier P) ∧ f = ?g ⊗<sub>P</sub> q ⊕<sub>P</sub> r ∧ (deg R r = 0)")

⟨proof⟩

**lemma** remainder\_theorem\_expression:

assumes f [simp]: "f ∈ carrier P" and a [simp]: "a ∈ carrier R"

and q [simp]: "q ∈ carrier P" and r [simp]: "r ∈ carrier P"

and R\_not\_trivial: "carrier R ≠ {0}"

and f\_expr: "f = (monom P 1<sub>R</sub> 1 ⊖<sub>P</sub> monom P a 0) ⊗<sub>P</sub> q ⊕<sub>P</sub> r"

(is "f = ?g ⊗<sub>P</sub> q ⊕<sub>P</sub> r" is "f = ?gq ⊕<sub>P</sub> r")



```

    and deg_r_0: "deg R r = 0"
    shows "r = monom P (eval R R id a f) 0"
  <proof>

corollary remainder_theorem:
  assumes f [simp]: "f ∈ carrier P" and a [simp]: "a ∈ carrier R"
  and R_not_trivial: "carrier R ≠ {0}"
  shows "∃ q r. (q ∈ carrier P) ∧ (r ∈ carrier P) ∧
    f = (monom P 1R 1 ⊖P monom P a 0) ⊗P q ⊕P monom P (eval R R id a
f) 0"
  (is "∃ q r. (q ∈ carrier P) ∧ (r ∈ carrier P) ∧ f = ?g ⊗P q ⊕P monom
P (eval R R id a f) 0")
  <proof>

end

```

### 15.13 Sample Application of Evaluation Homomorphism

```

lemma UP_pre_univ_propI:
  assumes "cring R"
  and "cring S"
  and "h ∈ ring_hom R S"
  shows "UP_pre_univ_prop R S h"
  <proof>

```

#### definition

```

INTEG :: "int ring"
where "INTEG = (|carrier = UNIV, mult = (*), one = 1, zero = 0, add
= (+)|)"

```

```

lemma INTEG_cring: "cring INTEG"
  <proof>

```

```

lemma INTEG_id_eval:
  "UP_pre_univ_prop INTEG INTEG id"
  <proof>

```

Interpretation now enables to import all theorems and lemmas valid in the context of homomorphisms between INTEG and UP INTEG globally.

```

interpretation INTEG: UP_pre_univ_prop INTEG INTEG id "UP INTEG"
  <proof>

```

```

lemma INTEG_closed [intro, simp]:
  "z ∈ carrier INTEG"
  <proof>

```

```

lemma INTEG_mult [simp]:
  "mult INTEG z w = z * w"
  <proof>

```

```
lemma INTEG_pow [simp]:
  "pow INTEG z n = z ^ n"
  <proof>
```

```
lemma "eval INTEG INTEG id 10 (monom (UP INTEG) 5 2) = 500"
  <proof>
```

```
end
```

## 16 Generated Groups

```
theory Generated_Groups
  imports Group Coset
```

```
begin
```

### 16.1 Generated Groups

```
inductive_set generate :: "('a, 'b) monoid_scheme  $\Rightarrow$  'a set  $\Rightarrow$  'a set"
  for G and H where
  one: "1G  $\in$  generate G H"
| incl: "h  $\in$  H  $\Rightarrow$  h  $\in$  generate G H"
| inv: "h  $\in$  H  $\Rightarrow$  invG h  $\in$  generate G H"
| eng: "h1  $\in$  generate G H  $\Rightarrow$  h2  $\in$  generate G H  $\Rightarrow$  h1  $\otimes_G$  h2  $\in$  generate
G H"
```

#### 16.1.1 Basic Properties

```
lemma (in group) generate_consistent:
  assumes "K  $\subseteq$  H" "subgroup H G" shows "generate (G ( $\mid$  carrier := H  $\mid$ ))
K = generate G K"
  <proof>
```

```
lemma (in group) generate_in_carrier:
  assumes "H  $\subseteq$  carrier G" and "h  $\in$  generate G H" shows "h  $\in$  carrier
G"
  <proof>
```

```
lemma (in group) generate_incl:
  assumes "H  $\subseteq$  carrier G" shows "generate G H  $\subseteq$  carrier G"
  <proof>
```

```
lemma (in group) generate_m_inv_closed:
  assumes "H  $\subseteq$  carrier G" and "h  $\in$  generate G H" shows "(inv h)  $\in$  generate
G H"
  <proof>
```

```
lemma (in group) generate_is_subgroup:
```

```

    assumes "H ⊆ carrier G" shows "subgroup (generate G H) G"
    ⟨proof⟩

lemma (in group) mono_generate:
    assumes "K ⊆ H" shows "generate G K ⊆ generate G H"
    ⟨proof⟩

lemma (in group) generate_subgroup_incl:
    assumes "K ⊆ H" "subgroup H G" shows "generate G K ⊆ H"
    ⟨proof⟩

lemma (in group) generate_minimal:
    assumes "H ⊆ carrier G" shows "generate G H = ⋂ { H' . subgroup H'
    G ∧ H ⊆ H' }"
    ⟨proof⟩

lemma (in group) generateI:
    assumes "subgroup E G" "H ⊆ E" and "⋀K. [ subgroup K G; H ⊆ K ] ⇒
    E ⊆ K"
    shows "E = generate G H"
    ⟨proof⟩

lemma (in group) normal_generateI:
    assumes "H ⊆ carrier G" and "⋀h g. [ h ∈ H; g ∈ carrier G ] ⇒ g
    ⊗ h ⊗ (inv g) ∈ H"
    shows "generate G H ◁ G"
    ⟨proof⟩

lemma (in group) subgroup_int_pow_closed:
    assumes "subgroup H G" "h ∈ H" shows "h [^] (k :: int) ∈ H"
    ⟨proof⟩

lemma (in group) generate_pow:
    assumes "a ∈ carrier G" shows "generate G { a } = { a [^] (k :: int)
    | k. k ∈ UNIV }"
    ⟨proof⟩

corollary (in group) generate_one: "generate G { 1 } = { 1 }"
    ⟨proof⟩

corollary (in group) generate_empty: "generate G {} = { 1 }"
    ⟨proof⟩

lemma (in group_hom)
    "subgroup K G ⇒ subgroup (h ` K) H"
    ⟨proof⟩

lemma (in group_hom) generate_img:
    assumes "K ⊆ carrier G" shows "generate H (h ` K) = h ` (generate

```

G K)"  
 <proof>

## 16.2 Derived Subgroup

### 16.2.1 Definitions

abbreviation derived\_set :: "('a, 'b) monoid\_scheme  $\Rightarrow$  'a set  $\Rightarrow$  'a set"  
 where "derived\_set G H  $\equiv$   
 $\bigcup h1 \in H. (\bigcup h2 \in H. \{ h1 \otimes_G h2 \otimes_G (\text{inv}_G h1) \otimes_G (\text{inv}_G h2) \})$ "  
 })"

definition derived :: "('a, 'b) monoid\_scheme  $\Rightarrow$  'a set  $\Rightarrow$  'a set" where  
 "derived G H = generate G (derived\_set G H)"

### 16.2.2 Basic Properties

lemma (in group) derived\_set\_incl:  
 assumes "K  $\subseteq$  H" "subgroup H G" shows "derived\_set G K  $\subseteq$  H"  
 <proof>

lemma (in group) derived\_incl:  
 assumes "K  $\subseteq$  H" "subgroup H G" shows "derived G K  $\subseteq$  H"  
 <proof>

lemma (in group) derived\_set\_in\_carrier:  
 assumes "H  $\subseteq$  carrier G" shows "derived\_set G H  $\subseteq$  carrier G"  
 <proof>

lemma (in group) derived\_in\_carrier:  
 assumes "H  $\subseteq$  carrier G" shows "derived G H  $\subseteq$  carrier G"  
 <proof>

lemma (in group) exp\_of\_derived\_in\_carrier:  
 assumes "H  $\subseteq$  carrier G" shows "(derived G  $\hat{\hat{}}$  n) H  $\subseteq$  carrier G"  
 <proof>

lemma (in group) derived\_is\_subgroup:  
 assumes "H  $\subseteq$  carrier G" shows "subgroup (derived G H) G"  
 <proof>

lemma (in group) exp\_of\_derived\_is\_subgroup:  
 assumes "subgroup H G" shows "subgroup ((derived G  $\hat{\hat{}}$  n) H) G"  
 <proof>

lemma (in group) exp\_of\_derived\_is\_subgroup':  
 assumes "H  $\subseteq$  carrier G" shows "subgroup ((derived G  $\hat{\hat{}}$  (Suc n)) H)  
 G"  
 <proof>

```

lemma (in group) mono_derived_set:
  assumes "K ⊆ H" shows "derived_set G K ⊆ derived_set G H"
  ⟨proof⟩

lemma (in group) mono_derived:
  assumes "K ⊆ H" shows "derived G K ⊆ derived G H"
  ⟨proof⟩

lemma (in group) mono_exp_of_derived:
  assumes "K ⊆ H" shows "(derived G ^^ n) K ⊆ (derived G ^^ n) H"
  ⟨proof⟩

lemma (in group) derived_set_consistent:
  assumes "K ⊆ H" "subgroup H G" shows "derived_set (G (| carrier :=
H |)) K = derived_set G K"
  ⟨proof⟩

lemma (in group) derived_consistent:
  assumes "K ⊆ H" "subgroup H G" shows "derived (G (| carrier := H |))
K = derived G K"
  ⟨proof⟩

lemma (in comm_group) derived_eq_singleton:
  assumes "H ⊆ carrier G" shows "derived G H = { 1 }"
  ⟨proof⟩

lemma (in group) derived_is_normal:
  assumes "H ◁ G" shows "derived G H ◁ G"
  ⟨proof⟩

lemma (in group) normal_self: "carrier G ◁ G"
  ⟨proof⟩

corollary (in group) derived_self_is_normal: "derived G (carrier G) ◁
G"
  ⟨proof⟩

corollary (in group) derived_subgroup_is_normal:
  assumes "subgroup H G" shows "derived G H ◁ G (| carrier := H |)"
  ⟨proof⟩

corollary (in group) derived_quot_is_group: "group (G Mod (derived G (carrier
G)))"
  ⟨proof⟩

lemma (in group) derived_quot_is_comm_group: "comm_group (G Mod (derived
G (carrier G)))"
  ⟨proof⟩

```

**corollary** (in group) derived\_quot\_of\_subgroup\_is\_comm\_group:  
 assumes "subgroup H G" shows "comm\_group ((G (| carrier := H |)) Mod (derived G H))"  
*<proof>*

**proposition** (in group) derived\_minimal:  
 assumes "H  $\triangleleft$  G" and "comm\_group (G Mod H)" shows "derived G (carrier G)  $\subseteq$  H"  
*<proof>*

**proposition** (in group) derived\_of\_subgroup\_minimal:  
 assumes "K  $\triangleleft$  G (| carrier := H |)" "subgroup H G" and "comm\_group ((G (| carrier := H |)) Mod K)"  
 shows "derived G H  $\subseteq$  K"  
*<proof>*

**lemma** (in group\_hom) derived\_img:  
 assumes "K  $\subseteq$  carrier G" shows "derived H (h ' K) = h ' (derived G K)"  
*<proof>*

**lemma** (in group\_hom) exp\_of\_derived\_img:  
 assumes "K  $\subseteq$  carrier G" shows "(derived H  $\wedge\wedge$  n) (h ' K) = h ' ((derived G  $\wedge\wedge$  n) K)"  
*<proof>*

### 16.2.3 Generated subgroup of a group

**definition** subgroup\_generated :: "('a, 'b) monoid\_scheme  $\Rightarrow$  'a set  $\Rightarrow$  ('a, 'b) monoid\_scheme"  
 where "subgroup\_generated G S = G(|carrier := generate G (carrier G  $\cap$  S)|)"

**lemma** carrier\_subgroup\_generated: "carrier (subgroup\_generated G S) = generate G (carrier G  $\cap$  S)"  
*<proof>*

**lemma** (in group) subgroup\_generated\_subset\_carrier\_subset:  
 "S  $\subseteq$  carrier G  $\Longrightarrow$  S  $\subseteq$  carrier(subgroup\_generated G S)"  
*<proof>*

**lemma** (in group) subgroup\_generated\_minimal:  
 "[subgroup H G; S  $\subseteq$  H]  $\Longrightarrow$  carrier(subgroup\_generated G S)  $\subseteq$  H"  
*<proof>*

**lemma** (in group) carrier\_subgroup\_generated\_subset:  
 "carrier (subgroup\_generated G A)  $\subseteq$  carrier G"  
*<proof>*

**lemma** (in group) group\_subgroup\_generated [simp]: "group (subgroup\_generated G S)"  
 <proof>

**lemma** (in group) abelian\_subgroup\_generated:  
 assumes "comm\_group G"  
 shows "comm\_group (subgroup\_generated G S)" (is "comm\_group ?GS")  
 <proof>

**lemma** (in group) subgroup\_of\_subgroup\_generated:  
 assumes "H  $\subseteq$  B" "subgroup H G"  
 shows "subgroup H (subgroup\_generated G B)"  
 <proof>

**lemma** carrier\_subgroup\_generated\_alt:  
 assumes "Group.group G" "S  $\subseteq$  carrier G"  
 shows "carrier (subgroup\_generated G S) =  $\bigcap$ {H. subgroup H G  $\wedge$  carrier G  $\cap$  S  $\subseteq$  H}"  
 <proof>

**lemma** one\_subgroup\_generated [simp]: " $1_{\text{subgroup\_generated G S}} = 1_G$ "  
 <proof>

**lemma** mult\_subgroup\_generated [simp]: "mult (subgroup\_generated G S)  
 = mult G"  
 <proof>

**lemma** (in group) inv\_subgroup\_generated [simp]:  
 assumes "f  $\in$  carrier (subgroup\_generated G S)"  
 shows "inv<sub>subgroup\_generated G S</sub> f = inv f"  
 <proof>

**lemma** subgroup\_generated\_restrict [simp]:  
 "subgroup\_generated G (carrier G  $\cap$  S) = subgroup\_generated G S"  
 <proof>

**lemma** (in subgroup) carrier\_subgroup\_generated\_subgroup [simp]:  
 "carrier (subgroup\_generated G H) = H"  
 <proof>

**lemma** (in group) subgroup\_subgroup\_generated\_iff:  
 "subgroup H (subgroup\_generated G B)  $\longleftrightarrow$  subgroup H G  $\wedge$  H  $\subseteq$  carrier(subgroup\_generated G B)"  
 (is "?lhs = ?rhs")  
 <proof>

**lemma** (in group) subgroup\_subgroup\_generated:  
 "subgroup (carrier(subgroup\_generated G S)) G"  
 <proof>

```

lemma pow_subgroup_generated:
  "pow (subgroup_generated G S) = (pow G :: 'a  $\Rightarrow$  nat  $\Rightarrow$  'a)"
  <proof>

lemma (in group) subgroup_generated2 [simp]: "subgroup_generated (subgroup_generated
G S) S = subgroup_generated G S"
  <proof>

lemma (in group) int_pow_subgroup_generated:
  fixes n::int
  assumes "x  $\in$  carrier (subgroup_generated G S)"
  shows "x [ $\wedge$ ]subgroup_generated G S n = x [ $\wedge$ ]G n"
  <proof>

lemma kernel_from_subgroup_generated [simp]:
  "subgroup S G  $\implies$  kernel (subgroup_generated G S) H f = kernel G H f
 $\cap$  S"
  <proof>

lemma kernel_to_subgroup_generated [simp]:
  "kernel G (subgroup_generated H S) f = kernel G H f"
  <proof>

16.3 And homomorphisms

lemma (in group) hom_from_subgroup_generated:
  "h  $\in$  hom G H  $\implies$  h  $\in$  hom(subgroup_generated G A) H"
  <proof>

lemma hom_into_subgroup:
  "[[h  $\in$  hom G G'; h ' $\wedge$ ' (carrier G)  $\subseteq$  H]]  $\implies$  h  $\in$  hom G (subgroup_generated
G' H)"
  <proof>

lemma hom_into_subgroup_eq_gen:
  "group G  $\implies$ 
  h  $\in$  hom K (subgroup_generated G H)
 $\longleftrightarrow$  h  $\in$  hom K G  $\wedge$  h ' $\wedge$ ' (carrier K)  $\subseteq$  carrier(subgroup_generated G H)"
  <proof>

lemma hom_into_subgroup_eq:
  "[[subgroup H G; group G]]
 $\implies$  (h  $\in$  hom K (subgroup_generated G H)  $\longleftrightarrow$  h  $\in$  hom K G  $\wedge$  h ' $\wedge$ ' (carrier
K)  $\subseteq$  H)"
  <proof>

lemma (in group_hom) hom_between_subgroups:
  assumes "h ' $\wedge$ ' A  $\subseteq$  B"

```



```

  shows "h ∈ hom (subgroup_generated G A) (subgroup_generated H B)"
  ⟨proof⟩

lemma (in group_hom) subgroup_generated_by_image:
  assumes "S ⊆ carrier G"
  shows "carrier (subgroup_generated H (h ` S)) = h ` (carrier(subgroup_generated
G S))"
  ⟨proof⟩

lemma (in group_hom) iso_between_subgroups:
  assumes "h ∈ iso G H" "S ⊆ carrier G" "h ` S = T"
  shows "h ∈ iso (subgroup_generated G S) (subgroup_generated H T)"
  ⟨proof⟩

lemma (in group) subgroup_generated_group_carrier:
  "subgroup_generated G (carrier G) = G"
  ⟨proof⟩

lemma iso_onto_image:
  assumes "group G" "group H"
  shows
    "f ∈ iso G (subgroup_generated H (f ` carrier G)) ↔ f ∈ hom G H
  ∧ inj_on f (carrier G)"
  ⟨proof⟩

lemma (in group) iso_onto_image:
  "group H ⇒ f ∈ iso G (subgroup_generated H (f ` carrier G)) ↔
f ∈ mon G H"
  ⟨proof⟩

end

```

## 17 Elementary Group Constructions

```

theory Elementary_Groups
imports Generated_Groups "HOL-Library.Infinite_Set"
begin

```

### 17.1 Direct sum/product lemmas

```

locale group_disjoint_sum = group G + AG: subgroup A G + BG: subgroup B
G for G (structure) and A B
begin

```

```

lemma subset_one: "A ∩ B ⊆ {1} ↔ A ∩ B = {1}"
  ⟨proof⟩

```

```

lemma sub_id_iff: "A ∩ B ⊆ {1} ↔ (∀x∈A. ∀y∈B. x ⊗ y = 1 → x =
1 ∧ y = 1)"

```

```

      (is "?lhs = ?rhs")
⟨proof⟩

lemma cancel: "A ∩ B ⊆ {1} ↔ (∀x∈A. ∀y∈B. ∀x'∈A. ∀y'∈B. x ⊗ y
= x' ⊗ y' → x = x' ∧ y = y')"
      (is "?lhs = ?rhs")
⟨proof⟩

lemma commuting_imp_normal1:
  assumes sub: "carrier G ⊆ A <#> B"
    and mult: "∧x y. [x ∈ A; y ∈ B] ⇒ x ⊗ y = y ⊗ x"
  shows "A ◁ G"
⟨proof⟩

lemma commuting_imp_normal2:
  assumes "carrier G ⊆ A <#> B" "∧x y. [x ∈ A; y ∈ B] ⇒ x ⊗ y = y
⊗ x"
  shows "B ◁ G"
⟨proof⟩

lemma (in group) normal_imp_commuting:
  assumes "A ◁ G" "B ◁ G" "A ∩ B ⊆ {1}" "x ∈ A" "y ∈ B"
  shows "x ⊗ y = y ⊗ x"
⟨proof⟩

lemma normal_eq_commuting:
  assumes "carrier G ⊆ A <#> B" "A ∩ B ⊆ {1}"
  shows "A ◁ G ∧ B ◁ G ↔ (∀x∈A. ∀y∈B. x ⊗ y = y ⊗ x)"
⟨proof⟩

lemma (in group) hom_group_mul_rev:
  assumes "(λ(x,y). x ⊗ y) ∈ hom (subgroup_generated G A ×× subgroup_generated
G B) G"
      (is "?h ∈ hom ?P G")
    and "x ∈ carrier G" "y ∈ carrier G" "x ∈ A" "y ∈ B"
  shows "x ⊗ y = y ⊗ x"
⟨proof⟩

lemma hom_group_mul_eq:
  "(λ(x,y). x ⊗ y) ∈ hom (subgroup_generated G A ×× subgroup_generated
G B) G
  ↔ (∀x∈A. ∀y∈B. x ⊗ y = y ⊗ x)"
      (is "?lhs = ?rhs")
⟨proof⟩

lemma epi_group_mul_eq:
  "(λ(x,y). x ⊗ y) ∈ epi (subgroup_generated G A ×× subgroup_generated

```

G B) G  
 $\longleftrightarrow A \langle \# \rangle B = \text{carrier } G \wedge (\forall x \in A. \forall y \in B. x \otimes y = y \otimes x)$ "  
*<proof>*

**lemma mon\_group\_mul\_eq:**  
 $"(\lambda(x,y). x \otimes y) \in \text{mon} (\text{subgroup\_generated } G \ A \ \times \times \ \text{subgroup\_generated } G \ B) \ G$   
 $\longleftrightarrow A \cap B = \{1\} \wedge (\forall x \in A. \forall y \in B. x \otimes y = y \otimes x)"$   
*<proof>*

**lemma iso\_group\_mul\_alt:**  
 $"(\lambda(x,y). x \otimes y) \in \text{iso} (\text{subgroup\_generated } G \ A \ \times \times \ \text{subgroup\_generated } G \ B) \ G$   
 $\longleftrightarrow A \cap B = \{1\} \wedge A \langle \# \rangle B = \text{carrier } G \wedge (\forall x \in A. \forall y \in B. x \otimes y = y \otimes x)"$   
*<proof>*

**lemma iso\_group\_mul\_eq:**  
 $"(\lambda(x,y). x \otimes y) \in \text{iso} (\text{subgroup\_generated } G \ A \ \times \times \ \text{subgroup\_generated } G \ B) \ G$   
 $\longleftrightarrow A \cap B = \{1\} \wedge A \langle \# \rangle B = \text{carrier } G \wedge A \triangleleft G \wedge B \triangleleft G"$   
*<proof>*

**lemma (in group) iso\_group\_mul\_gen:**  
**assumes** "A  $\triangleleft$  G" "B  $\triangleleft$  G"  
**shows**  $"(\lambda(x,y). x \otimes y) \in \text{iso} (\text{subgroup\_generated } G \ A \ \times \times \ \text{subgroup\_generated } G \ B) \ G$   
 $\longleftrightarrow A \cap B \subseteq \{1\} \wedge A \langle \# \rangle B = \text{carrier } G"$   
*<proof>*

**lemma iso\_group\_mul:**  
**assumes** "comm\_group G"  
**shows**  $"((\lambda(x,y). x \otimes y) \in \text{iso} (\text{DirProd} (\text{subgroup\_generated } G \ A) (\text{subgroup\_generated } G \ B)) \ G$   
 $\longleftrightarrow A \cap B \subseteq \{1\} \wedge A \langle \# \rangle B = \text{carrier } G)"$   
*<proof>*

**end**

## 17.2 The one-element group on a given object

**definition singleton\_group** :: "'a  $\Rightarrow$  'a monoid"  
**where** "singleton\_group a = (carrier = {a}, monoid.mult = ( $\lambda x y. a$ ), one = a)"

**lemma singleton\_group [simp]:** "group (singleton\_group a)"  
*<proof>*

```
lemma singleton_abelian_group [simp]: "comm_group (singleton_group a)"
  <proof>
```

```
lemma carrier_singleton_group [simp]: "carrier (singleton_group a) =
  {a}"
  <proof>
```

```
lemma (in group) hom_into_singleton_iff [simp]:
  "h ∈ hom G (singleton_group a) ↔ h ∈ carrier G → {a}"
  <proof>
```

```
declare group.hom_into_singleton_iff [simp]
```

```
lemma (in group) id_hom_singleton: "id ∈ hom (singleton_group 1) G"
  <proof>
```

### 17.3 Similarly, trivial groups

```
definition trivial_group :: "('a, 'b) monoid_scheme ⇒ bool"
  where "trivial_group G ≡ group G ∧ carrier G = {one G}"
```

```
lemma trivial_imp_finite_group:
  "trivial_group G ⇒ finite(carrier G)"
  <proof>
```

```
lemma trivial_singleton_group [simp]: "trivial_group(singleton_group
  a)"
  <proof>
```

```
lemma (in group) trivial_group_subset:
  "trivial_group G ↔ carrier G ⊆ {one G}"
  <proof>
```

```
lemma (in group) trivial_group: "trivial_group G ↔ (∃a. carrier G
  = {a})"
  <proof>
```

```
lemma (in group) trivial_group_alt:
  "trivial_group G ↔ (∃a. carrier G ⊆ {a})"
  <proof>
```

```
lemma (in group) trivial_group_subgroup_generated:
  assumes "S ⊆ {one G}"
  shows "trivial_group(subgroup_generated G S)"
  <proof>
```

```
lemma (in group) trivial_group_subgroup_generated_eq:
  "trivial_group(subgroup_generated G s) ↔ carrier G ∩ s ⊆ {one G}"
```

*<proof>*

**lemma** isomorphic\_group\_triviality1:  
 assumes "G  $\cong$  H" "group H" "trivial\_group G"  
 shows "trivial\_group H"  
*<proof>*

**lemma** isomorphic\_group\_triviality:  
 assumes "G  $\cong$  H" "group G" "group H"  
 shows "trivial\_group G  $\longleftrightarrow$  trivial\_group H"  
*<proof>*

**lemma** (in group\_hom) kernel\_from\_trivial\_group:  
 "trivial\_group G  $\implies$  kernel G H h = carrier G"  
*<proof>*

**lemma** (in group\_hom) image\_from\_trivial\_group:  
 "trivial\_group G  $\implies$  h ` carrier G = {one H}"  
*<proof>*

**lemma** (in group\_hom) kernel\_to\_trivial\_group:  
 "trivial\_group H  $\implies$  kernel G H h = carrier G"  
*<proof>*

## 17.4 The additive group of integers

**definition** integer\_group  
 where "integer\_group = (carrier = UNIV, monoid.mult = (+), one = (0::int))"

**lemma** group\_integer\_group [simp]: "group integer\_group"  
*<proof>*

**lemma** carrier\_integer\_group [simp]: "carrier integer\_group = UNIV"  
*<proof>*

**lemma** one\_integer\_group [simp]: "1integer\_group = 0"  
*<proof>*

**lemma** mult\_integer\_group [simp]: "x  $\otimes$ integer\_group y = x + y"  
*<proof>*

**lemma** inv\_integer\_group [simp]: "invinteger\_group x = -x"  
*<proof>*

**lemma** abelian\_integer\_group: "comm\_group integer\_group"  
*<proof>*

**lemma** group\_nat\_pow\_integer\_group [simp]:  
 fixes n::nat and x::int

```
shows "pow integer_group x n = int n * x"
⟨proof⟩
```

```
lemma group_int_pow_integer_group [simp]:
  fixes n::int and x::int
  shows "pow integer_group x n = n * x"
  ⟨proof⟩
```

```
lemma (in group) hom_integer_group_pow:
  "x ∈ carrier G ⇒ pow G x ∈ hom integer_group G"
  ⟨proof⟩
```

## 17.5 Additive group of integers modulo $n$ ( $n = 0$ gives just the integers)

```
definition integer_mod_group :: "nat ⇒ int monoid"
  where
    "integer_mod_group n ≡
      if n = 0 then integer_group
      else (|carrier = {0..<int n}, monoid.mult = (λx y. (x+y) mod int n),
one = 0|)"
```

```
lemma carrier_integer_mod_group:
  "carrier(integer_mod_group n) = (if n=0 then UNIV else {0..<int n})"
  ⟨proof⟩
```

```
lemma one_integer_mod_group[simp]: "one(integer_mod_group n) = 0"
  ⟨proof⟩
```

```
lemma mult_integer_mod_group[simp]: "monoid.mult(integer_mod_group n)
= (λx y. (x + y) mod int n)"
  ⟨proof⟩
```

```
lemma group_integer_mod_group [simp]: "group (integer_mod_group n)"
  ⟨proof⟩
```

```
lemma inv_integer_mod_group[simp]:
  "x ∈ carrier (integer_mod_group n) ⇒ m_inv(integer_mod_group n) x
= (-x) mod int n"
  ⟨proof⟩
```

```
lemma pow_integer_mod_group [simp]:
  fixes m::nat
  shows "pow (integer_mod_group n) x m = (int m * x) mod int n"
  ⟨proof⟩
```

```
lemma int_pow_integer_mod_group:
  "pow (integer_mod_group n) x m = (m * x) mod int n"
```

*<proof>*

**lemma** abelian\_integer\_mod\_group [simp]: "comm\_group(integer\_mod\_group n)"  
*<proof>*

**lemma** integer\_mod\_group\_0 [simp]: "0 ∈ carrier(integer\_mod\_group n)"  
*<proof>*

**lemma** integer\_mod\_group\_1 [simp]: "1 ∈ carrier(integer\_mod\_group n)  
 $\longleftrightarrow (n \neq 1)$ "  
*<proof>*

**lemma** trivial\_integer\_mod\_group: "trivial\_group(integer\_mod\_group n)  
 $\longleftrightarrow n = 1$ "  
(is "?lhs = ?rhs")  
*<proof>*

## 17.6 Cyclic groups

**lemma** (in group) subgroup\_of\_powers:  
"x ∈ carrier G  $\implies$  subgroup (range ( $\lambda n::\text{int}. x [^] n$ )) G"  
*<proof>*

**lemma** (in group) carrier\_subgroup\_generated\_by\_singleton:  
assumes "x ∈ carrier G"  
shows "carrier(subgroup\_generated G {x}) = (range ( $\lambda n::\text{int}. x [^] n$ ))"  
*<proof>*

**definition** cyclic\_group  
where "cyclic\_group G  $\equiv \exists x \in \text{carrier } G. \text{subgroup\_generated } G \{x\} = G$ "

**lemma** (in group) cyclic\_group:  
"cyclic\_group G  $\longleftrightarrow (\exists x \in \text{carrier } G. \text{carrier } G = \text{range } (\lambda n::\text{int}. x [^] n))$ "  
*<proof>*

**lemma** cyclic\_integer\_group [simp]: "cyclic\_group integer\_group"  
*<proof>*

**lemma** nontrivial\_integer\_group [simp]: " $\neg$  trivial\_group integer\_group"  
*<proof>*

**lemma** (in group) cyclic\_imp\_abelian\_group:  
"cyclic\_group G  $\implies$  comm\_group G"  
*<proof>*

```

lemma trivial_imp_cyclic_group:
  "trivial_group G  $\implies$  cyclic_group G"
  <proof>

lemma (in group) cyclic_group_alt:
  "cyclic_group G  $\longleftrightarrow$  ( $\exists x$ . subgroup_generated G {x} = G)"
  <proof>

lemma (in group) cyclic_group_generated:
  "cyclic_group (subgroup_generated G {x})"
  <proof>

lemma (in group) cyclic_group_epimorphic_image:
  assumes "h  $\in$  epi G H" "cyclic_group G" "group H"
  shows "cyclic_group H"
  <proof>

lemma isomorphic_group_cyclicity:
  "[G  $\cong$  H; group G; group H]  $\implies$  cyclic_group G  $\longleftrightarrow$  cyclic_group H"
  <proof>

end

theory Multiplicative_Group
imports
  Complex_Main
  Group
  Coset
  UnivPoly
  Generated_Groups
  Elementary_Groups
begin

```

## 18 Simplification Rules for Polynomials

```

lemma (in ring_hom_cring) hom_sub[simp]:
  assumes "x  $\in$  carrier R" "y  $\in$  carrier R"
  shows "h (x  $\ominus$  y) = h x  $\ominus_S$  h y"
  <proof>

context UP_ring begin

lemma deg_nzero_nzero:
  assumes deg_p_nzero: "deg R p  $\neq$  0"
  shows "p  $\neq$  0p"
  <proof>

```



```

lemma deg_add_eq:
  assumes c: "p ∈ carrier P" "q ∈ carrier P"
  assumes "deg R q ≠ deg R p"
  shows "deg R (p ⊕p q) = max (deg R p) (deg R q)"
  <proof>

lemma deg_minus_eq:
  assumes "p ∈ carrier P" "q ∈ carrier P" "deg R q ≠ deg R p"
  shows "deg R (p ⊖p q) = max (deg R p) (deg R q)"
  <proof>

end

context UP_cring begin

lemma evalRR_add:
  assumes "p ∈ carrier P" "q ∈ carrier P"
  assumes x: "x ∈ carrier R"
  shows "eval R R id x (p ⊕p q) = eval R R id x p ⊕ eval R R id x q"
  <proof>

lemma evalRR_sub:
  assumes "p ∈ carrier P" "q ∈ carrier P"
  assumes x: "x ∈ carrier R"
  shows "eval R R id x (p ⊖p q) = eval R R id x p ⊖ eval R R id x q"
  <proof>

lemma evalRR_mult:
  assumes "p ∈ carrier P" "q ∈ carrier P"
  assumes x: "x ∈ carrier R"
  shows "eval R R id x (p ⊗p q) = eval R R id x p ⊗ eval R R id x q"
  <proof>

lemma evalRR_monom:
  assumes a: "a ∈ carrier R" and x: "x ∈ carrier R"
  shows "eval R R id x (monom P a d) = a ⊗ x [^] d"
  <proof>

lemma evalRR_one:
  assumes x: "x ∈ carrier R"
  shows "eval R R id x 1p = 1"
  <proof>

lemma carrier_evalRR:
  assumes x: "x ∈ carrier R" and "p ∈ carrier P"
  shows "eval R R id x p ∈ carrier R"
  <proof>

```

```
lemmas evalRR_simps = evalRR_add evalRR_sub evalRR_mult evalRR_monom
evalRR_one carrier_evalRR
```

```
end
```

## 19 Properties of the Euler $\varphi$ -function

In this section we prove that for every positive natural number the equation  $\sum_{d|n} \varphi(d) = n$  holds.

```
lemma dvd_div_ge_1:
  fixes a b :: nat
  assumes "a ≥ 1" "b dvd a"
  shows "a div b ≥ 1"
  <proof>
```

```
lemma dvd_nat_bounds:
  fixes n p :: nat
  assumes "p > 0" "n dvd p"
  shows "n > 0 ∧ n ≤ p"
  <proof>
```

```
definition phi' :: "nat => nat"
  where "phi' m = card {x. 1 ≤ x ∧ x ≤ m ∧ coprime x m}"
```

```
notation (latex output)
  phi' ("φ _")
```

```
lemma phi'_nonzero:
  assumes "m > 0"
  shows "phi' m > 0"
  <proof>
```

```
lemma dvd_div_eq_1:
  fixes a b c :: nat
  assumes "c dvd a" "c dvd b" "a div c = b div c"
  shows "a = b" <proof>
```

```
lemma dvd_div_eq_2:
  fixes a b c :: nat
  assumes "c>0" "a dvd c" "b dvd c" "c div a = c div b"
  shows "a = b"
  <proof>
```

```
lemma div_mult_mono:
  fixes a b c :: nat
  assumes "a > 0" "a ≤ d"
  shows "a * b div d ≤ b"
```

*<proof>*

We arrive at the main result of this section: For every positive natural number the equation  $\sum_{d|n} \varphi(d) = n$  holds.

The outline of the proof for this lemma is as follows: We count the  $n$  fractions  $1/n, \dots, (n-1)/n, n/n$ . We analyze the reduced form  $a/d = m/n$  for any of those fractions. We want to know how many fractions  $m/n$  have the reduced form denominator  $d$ . The condition  $1 \leq m \leq n$  is equivalent to the condition  $1 \leq a \leq d$ . Therefore we want to know how many  $a$  with  $1 \leq a \leq d$  exist, s.t.  $\gcd a d = 1$ . This number is exactly  $\varphi d$ .

Finally, by counting the fractions  $m/n$  according to their reduced form denominator, we get:

$$\left(\sum_{d \mid d \text{ dvd } n} \varphi d\right) = n$$

To formalize this proof in Isabelle, we analyze for an arbitrary divisor  $d$  of  $n$

- the set of reduced form numerators  $\{a. 1 \leq a \wedge a \leq d \wedge \text{coprime } a d\}$
- the set of numerators  $m$ , for which  $m/n$  has the reduced form denominator  $d$ , i.e. the set  $\{m \in \{1..n\}. n \text{ div gcd } m n = d\}$

We show that  $\lambda a. a * n \text{ div } d$  with the inverse  $\lambda a. a \text{ div gcd } a n$  is a bijection between these sets, thus yielding the equality

$$\varphi d = \text{card } \{m \in \{1..n\}. n \text{ div gcd } m n = d\}$$

This gives us

$$\left(\sum_{d \mid d \text{ dvd } n} \varphi d\right) = \text{card } \left(\bigcup_{d \in \{d. d \text{ dvd } n\}} \{m \in \{1..n\}. n \text{ div gcd } m n = d\}\right)$$

and by showing  $\{1..n\} \subseteq \left(\bigcup_{d \in \{d. d \text{ dvd } n\}} \{m \in \{1..n\}. n \text{ div gcd } m n = d\}\right)$  (this is our counting argument) the thesis follows.

```
lemma sum_phi'_factors:
  fixes n :: nat
  assumes "n > 0"
  shows "(∑ d | d dvd n. phi' d) = n"
<proof>
```

## 20 Order of an Element of a Group

context group begin

definition (in group) ord :: "'a ⇒ nat" where

```

"ord x ≡ (@d. ∀n::nat. x [^] n = 1 ↔ d dvd n)"

lemma (in group) pow_eq_id:
  assumes "x ∈ carrier G"
  shows "x [^] n = 1 ↔ (ord x) dvd n"
⟨proof⟩

lemma (in group) pow_ord_eq_1 [simp]:
  "x ∈ carrier G ⇒ x [^] ord x = 1"
⟨proof⟩

lemma (in group) int_pow_eq_id:
  assumes "x ∈ carrier G"
  shows "(pow G x i = one G ↔ int (ord x) dvd i)"
⟨proof⟩

lemma (in group) int_pow_eq:
  "x ∈ carrier G ⇒ (x [^] m = x [^] n) ↔ int (ord x) dvd (n - m)"
⟨proof⟩

lemma (in group) ord_eq_0:
  "x ∈ carrier G ⇒ (ord x = 0 ↔ (∀n::nat. n ≠ 0 → x [^] n ≠ 1))"
⟨proof⟩

lemma (in group) ord_unique:
  "x ∈ carrier G ⇒ ord x = d ↔ (∀n. pow G x n = one G ↔ d dvd n)"
⟨proof⟩

lemma (in group) ord_eq_1:
  "x ∈ carrier G ⇒ (ord x = 1 ↔ x = 1)"
⟨proof⟩

lemma (in group) ord_id [simp]:
  "ord (one G) = 1"
⟨proof⟩

lemma (in group) ord_inv [simp]:
  "x ∈ carrier G
   ⇒ ord (m_inv G x) = ord x"
⟨proof⟩

lemma (in group) ord_pow:
  assumes "x ∈ carrier G" "k dvd ord x" "k ≠ 0"
  shows "ord (pow G x k) = ord x div k"
⟨proof⟩

lemma (in group) ord_mul_divides:
  assumes eq: "x ⊗ y = y ⊗ x" and xy: "x ∈ carrier G" "y ∈ carrier

```

```

G"
  shows "ord (x ⊗ y) dvd (ord x * ord y)"
  ⟨proof⟩

lemma (in comm_group) abelian_ord_mul_divides:
  "[x ∈ carrier G; y ∈ carrier G]
  ⇒ ord (x ⊗ y) dvd (ord x * ord y)"
  ⟨proof⟩

lemma ord_inj:
  assumes a: "a ∈ carrier G"
  shows "inj_on (λ x . a [^] x) {0 .. ord a - 1}"
  ⟨proof⟩

lemma ord_inj':
  assumes a: "a ∈ carrier G"
  shows "inj_on (λ x . a [^] x) {1 .. ord a}"
  ⟨proof⟩

lemma (in group) ord_ge_1:
  assumes finite: "finite (carrier G)" and a: "a ∈ carrier G"
  shows "ord a ≥ 1"
  ⟨proof⟩

lemma ord_elems:
  assumes "finite (carrier G)" "a ∈ carrier G"
  shows "{a[n]x | x. x ∈ (UNIV :: nat set)} = {a[n]x | x. x ∈ {0 .. ord
a - 1}}" (is "?L = ?R")
  ⟨proof⟩

lemma (in group)
  assumes "x ∈ carrier G"
  shows finite_cyclic_subgroup:
    "finite(carrier(subgroup_generated G {x})) ⟷ (∃n::nat. n ≠
0 ∧ x [^] n = 1)" (is "?fin ⟷ ?nat1")
  and infinite_cyclic_subgroup:
    "infinite(carrier(subgroup_generated G {x})) ⟷ (∀m n::nat.
x [^] m = x [^] n ⟶ m = n)" (is "¬ ?fin ⟷ ?nateq")
  and finite_cyclic_subgroup_int:
    "finite(carrier(subgroup_generated G {x})) ⟷ (∃i::int. i ≠
0 ∧ x [^] i = 1)" (is "?fin ⟷ ?int1")
  and infinite_cyclic_subgroup_int:
    "infinite(carrier(subgroup_generated G {x})) ⟷ (∀i j::int.
x [^] i = x [^] j ⟶ i = j)" (is "¬ ?fin ⟷ ?inteq")
  ⟨proof⟩

lemma (in group) finite_cyclic_subgroup_order:
  "x ∈ carrier G ⟹ finite(carrier(subgroup_generated G {x})) ⟷ ord
x ≠ 0"

```

*<proof>*

**lemma** (in group) infinite\_cyclic\_subgroup\_order:  
 "x ∈ carrier G ⇒ infinite (carrier(subgroup\_generated G {x})) ↔  
 ord x = 0"  
*<proof>*

**lemma** generate\_pow\_on\_finite\_carrier:  
 assumes "finite (carrier G)" and a: "a ∈ carrier G"  
 shows "generate G { a } = { a [^] k | k. k ∈ (UNIV :: nat set) }"  
*<proof>*

**lemma** ord\_elems\_inf\_carrier:  
 assumes "a ∈ carrier G" "ord a ≠ 0"  
 shows "{a[<sup>x</sup> | x. x ∈ (UNIV :: nat set)} = {a[<sup>x</sup> | x. x ∈ {0 .. ord  
 a - 1}}" (is "?L = ?R")  
*<proof>*

**lemma** generate\_pow\_nat:  
 assumes a: "a ∈ carrier G" and "ord a ≠ 0"  
 shows "generate G { a } = { a [^] k | k. k ∈ (UNIV :: nat set) }"  
*<proof>*

**lemma** generate\_pow\_card:  
 assumes a: "a ∈ carrier G"  
 shows "ord a = card (generate G { a })"  
*<proof>*

**lemma** (in group) cyclic\_order\_is\_ord:  
 assumes "g ∈ carrier G"  
 shows "ord g = order (subgroup\_generated G {g})"  
*<proof>*

**lemma** ord\_dvd\_group\_order:  
 assumes "a ∈ carrier G" shows "(ord a) dvd (order G)"  
*<proof>*

**lemma** (in group) pow\_order\_eq\_1:  
 assumes "a ∈ carrier G" shows "a [^] order G = 1"  
*<proof>*

**lemma** dvd\_gcd:  
 fixes a b :: nat  
 obtains q where "a \* (b div gcd a b) = b\*q"  
*<proof>*

**lemma** (in group) ord\_le\_group\_order:  
 assumes finite: "finite (carrier G)" and a: "a ∈ carrier G"  
 shows "ord a ≤ order G"

*<proof>*

```
lemma (in group) ord_pow_gen:
  assumes "x ∈ carrier G"
  shows "ord (pow G x k) = (if k = 0 then 1 else ord x div gcd (ord x)
k)"
<proof>
```

```
lemma (in group)
  assumes finite': "finite (carrier G)" "a ∈ carrier G"
  shows pow_ord_eq_ord_iff: "group.ord G (a [^] k) = ord a ↔ coprime
k (ord a)" (is "?L ↔ ?R")
<proof>
```

```
lemma element_generates_subgroup:
  assumes finite[simp]: "finite (carrier G)"
  assumes a[simp]: "a ∈ carrier G"
  shows "subgroup {a [^] i | i. i ∈ {0 .. ord a - 1}} G"
<proof>
```

end

## 21 Number of Roots of a Polynomial

```
definition mult_of :: "('a, 'b) ring_scheme ⇒ 'a monoid" where
  "mult_of R ≡ (| carrier = carrier R - {0R}, mult = mult R, one = 1R)"
```

```
lemma carrier_mult_of [simp]: "carrier (mult_of R) = carrier R - {0R}"
<proof>
```

```
lemma mult_mult_of [simp]: "mult (mult_of R) = mult R"
<proof>
```

```
lemma nat_pow_mult_of: "([^]mult_of R) = (([^]R) :: _ ⇒ nat ⇒ _)"
<proof>
```

```
lemma one_mult_of [simp]: "1mult_of R = 1R"
<proof>
```

```
lemmas mult_of_simps = carrier_mult_of mult_mult_of nat_pow_mult_of one_mult_of
```

```
context field
begin
```

```
lemma mult_of_is_Units: "mult_of R = units_of R"
<proof>
```

```
lemma m_inv_mult_of:
  "∧x. x ∈ carrier (mult_of R) ⇒ m_inv (mult_of R) x = m_inv R x"
```

*<proof>*

**lemma** (in field) field\_mult\_group: "group (mult\_of R)"  
*<proof>*

**lemma** finite\_mult\_of: "finite (carrier R)  $\implies$  finite (carrier (mult\_of R))"  
*<proof>*

**lemma** order\_mult\_of: "finite (carrier R)  $\implies$  order (mult\_of R) = order R - 1"  
*<proof>*

**end**

**lemma** (in monoid) Units\_pow\_closed :  
 fixes d :: nat  
 assumes "x  $\in$  Units G"  
 shows "x [ $\wedge$ ] d  $\in$  Units G"  
*<proof>*

**lemma** (in ring) r\_right\_minus\_eq[simp]:  
 assumes "a  $\in$  carrier R" "b  $\in$  carrier R"  
 shows "a  $\ominus$  b = **0**  $\longleftrightarrow$  a = b"  
*<proof>*

**context** UP\_cring begin

**lemma** is\_UP\_cring: "UP\_cring R" *<proof>*  
**lemma** is\_UP\_ring:  
 shows "UP\_ring R" *<proof>*

**end**

**context** UP\_domain begin

**lemma** roots\_bound:  
 assumes f [simp]: "f  $\in$  carrier P"  
 assumes f\_not\_zero: "f  $\neq$  **0**<sub>P</sub>"  
 assumes finite: "finite (carrier R)"  
 shows "finite {a  $\in$  carrier R . eval R R id a f = **0**}  $\wedge$   
 card {a  $\in$  carrier R . eval R R id a f = **0**}  $\leq$  deg R f" *<proof>*

**end**

**lemma** (in domain) num\_roots\_le\_deg :



```

fixes p d :: nat
assumes finite: "finite (carrier R)"
assumes d_neq_zero: "d ≠ 0"
shows "card {x ∈ carrier R. x [^] d = 1} ≤ d"
⟨proof⟩

```

## 22 The Multiplicative Group of a Field

In this section we show that the multiplicative group of a finite field is generated by a single element, i.e. it is cyclic. The proof is inspired by the first proof given in the survey [2].

```
context field begin
```

```

lemma num_elems_of_ord_eq_phi':
  assumes finite: "finite (carrier R)" and dvd: "d dvd order (mult_of
R)"
  and exists: "∃ a ∈ carrier (mult_of R). group.ord (mult_of R) a =
d"
  shows "card {a ∈ carrier (mult_of R). group.ord (mult_of R) a = d}
= phi' d"
⟨proof⟩

```

```
end
```

```

theorem (in field) finite_field_mult_group_has_gen :
  assumes finite: "finite (carrier R)"
  shows "∃ a ∈ carrier (mult_of R) . carrier (mult_of R) = {a [^] i | i :: nat
. i ∈ UNIV}"
⟨proof⟩

```

```
end
```

```

theory Group_Action
imports Bij Coset Congruence
begin

```

## 23 Group Actions

```

locale group_action =
  fixes G (structure) and E and φ
  assumes group_hom: "group_hom G (BijGroup E) φ"

```

```
definition
```

```

orbit :: "[_, 'a ⇒ 'b ⇒ 'b, 'b] ⇒ 'b set"
where "orbit G φ x = {(φ g) x | g. g ∈ carrier G}"

```

**definition**

```
orbits :: "[_, 'b set, 'a ⇒ 'b ⇒ 'b] ⇒ ('b set) set"
where "orbits G E φ = {orbit G φ x | x. x ∈ E}"
```

**definition**

```
stabilizer :: "[_, 'a ⇒ 'b ⇒ 'b, 'b] ⇒ 'a set"
where "stabilizer G φ x = {g ∈ carrier G. (φ g) x = x}"
```

**definition**

```
invariants :: "[ 'b set, 'a ⇒ 'b ⇒ 'b, 'a] ⇒ 'b set"
where "invariants E φ g = {x ∈ E. (φ g) x = x}"
```

**definition**

```
normalizer :: "[_, 'a set] ⇒ 'a set"
where "normalizer G H =
      stabilizer G (λg. λH ∈ {H. H ⊆ carrier G}. g <#G H #>G (invG
g)) H"
```

```
locale faithful_action = group_action +
  assumes faithful: "inj_on φ (carrier G)"
```

```
locale transitive_action = group_action +
  assumes unique_orbit: "[ x ∈ E; y ∈ E ] ⇒ ∃g ∈ carrier G. (φ g)
x = y"
```

### 23.1 Prelimineries

Some simple lemmas to make group action's properties more explicit

```
lemma (in group_action) id_eq_one: "(λx ∈ E. x) = φ 1"
  <proof>
```

```
lemma (in group_action) bij_prop0:
  "∧ g. g ∈ carrier G ⇒ (φ g) ∈ Bij E"
  <proof>
```

```
lemma (in group_action) surj_prop:
  "∧ g. g ∈ carrier G ⇒ (φ g) ' E = E"
  <proof>
```

```
lemma (in group_action) inj_prop:
  "∧ g. g ∈ carrier G ⇒ inj_on (φ g) E"
  <proof>
```

```
lemma (in group_action) bij_prop1:
  "∧ g y. [ g ∈ carrier G; y ∈ E ] ⇒ ∃!x ∈ E. (φ g) x = y"
  <proof>
```

```
lemma (in group_action) composition_rule:
```

```

  assumes "x ∈ E" "g1 ∈ carrier G" "g2 ∈ carrier G"
  shows "φ (g1 ⊗ g2) x = (φ g1) (φ g2 x)"
  <proof>

```

```

lemma (in group_action) element_image:
  assumes "g ∈ carrier G" and "x ∈ E" and "(φ g) x = y"
  shows "y ∈ E"
  <proof>

```

## 23.2 Orbits

We prove here that orbits form an equivalence relation

```

lemma (in group_action) orbit_sym_aux:
  assumes "g ∈ carrier G"
  and "x ∈ E"
  and "(φ g) x = y"
  shows "(φ (inv g)) y = x"
  <proof>

```

```

lemma (in group_action) orbit_refl:
  "x ∈ E ⇒ x ∈ orbit G φ x"
  <proof>

```

```

lemma (in group_action) orbit_sym:
  assumes "x ∈ E" and "y ∈ E" and "y ∈ orbit G φ x"
  shows "x ∈ orbit G φ y"
  <proof>

```

```

lemma (in group_action) orbit_trans:
  assumes "x ∈ E" "y ∈ E" "z ∈ E"
  and "y ∈ orbit G φ x" "z ∈ orbit G φ y"
  shows "z ∈ orbit G φ x"
  <proof>

```

```

lemma (in group_action) orbits_as_classes:
  "classes(| carrier = E, eq = λx. λy. y ∈ orbit G φ x |) = orbits G E φ"
  <proof>

```

```

theorem (in group_action) orbit_partition:
  "partition E (orbits G E φ)"
  <proof>

```

```

corollary (in group_action) orbits_coverture:
  "⋃ (orbits G E φ) = E"
  <proof>

```

```

corollary (in group_action) disjoint_union:
  assumes "orb1 ∈ (orbits G E φ)" "orb2 ∈ (orbits G E φ)"
  shows "(orb1 = orb2) ∨ (orb1 ∩ orb2) = {}"

```

*<proof>*

**corollary** (in group\_action) disjoint\_sum:  
 assumes "finite E"  
 shows " $(\sum_{orb \in (\text{orbits } G \ E \ \varphi)}. \sum_{x \in orb.} f \ x) = (\sum_{x \in E.} f \ x)$ "  
*<proof>*

### 23.2.1 Transitive Actions

Transitive actions have only one orbit

**lemma** (in transitive\_action) all\_equivalent:  
 " $\llbracket x \in E; y \in E \rrbracket \implies x \cdot_{(\text{carrier} = E, \text{eq} = \lambda x \ y. y \in \text{orbit } G \ \varphi \ x)} y$ "  
*<proof>*

**proposition** (in transitive\_action) one\_orbit:  
 assumes "E  $\neq$  {}"  
 shows "card (orbits G E  $\varphi$ ) = 1"  
*<proof>*

### 23.3 Stabilizers

We show that stabilizers are subgroups from the acting group

**lemma** (in group\_action) stabilizer\_subset:  
 "stabilizer G  $\varphi$  x  $\subseteq$  carrier G"  
*<proof>*

**lemma** (in group\_action) stabilizer\_m\_closed:  
 assumes "x  $\in$  E" "g1  $\in$  (stabilizer G  $\varphi$  x)" "g2  $\in$  (stabilizer G  $\varphi$  x)"  
 shows "(g1  $\otimes$  g2)  $\in$  (stabilizer G  $\varphi$  x)"  
*<proof>*

**lemma** (in group\_action) stabilizer\_one\_closed:  
 assumes "x  $\in$  E"  
 shows "1  $\in$  (stabilizer G  $\varphi$  x)"  
*<proof>*

**lemma** (in group\_action) stabilizer\_m\_inv\_closed:  
 assumes "x  $\in$  E" "g  $\in$  (stabilizer G  $\varphi$  x)"  
 shows "(inv g)  $\in$  (stabilizer G  $\varphi$  x)"  
*<proof>*

**theorem** (in group\_action) stabilizer\_subgroup:  
 assumes "x  $\in$  E"  
 shows "subgroup (stabilizer G  $\varphi$  x) G"  
*<proof>*

## 23.4 The Orbit-Stabilizer Theorem

In this subsection, we prove the Orbit-Stabilizer theorem. Our approach is to show the existence of a bijection between "rcosets (stabilizer G phi x)" and "orbit G phi x". Then we use Lagrange's theorem to find the cardinal of the first set.

### 23.4.1 Rcosets - Supporting Lemmas

```
corollary (in group_action) stab_rcosets_not_empty:
  assumes "x ∈ E" "R ∈ rcosets (stabilizer G φ x)"
  shows "R ≠ {}"
  <proof>
```

```
corollary (in group_action) diff_stabilizes:
  assumes "x ∈ E" "R ∈ rcosets (stabilizer G φ x)"
  shows "∧g1 g2. [ g1 ∈ R; g2 ∈ R ] ⇒ g1 ⊗ (inv g2) ∈ stabilizer G
φ x"
  <proof>
```

### 23.4.2 Bijection Between Rcosets and an Orbit - Definition and Supporting Lemmas

```
definition
  orb_stab_fun :: "[_, ('a ⇒ 'b ⇒ 'b), 'a set, 'b] ⇒ 'b"
  where "orb_stab_fun G φ R x = (φ (invG (SOME h. h ∈ R))) x"
```

```
lemma (in group_action) orbit_stab_fun_is_well_defined0:
  assumes "x ∈ E" "R ∈ rcosets (stabilizer G φ x)"
  shows "∧g1 g2. [ g1 ∈ R; g2 ∈ R ] ⇒ (φ (inv g1)) x = (φ (inv g2))
x"
  <proof>
```

```
lemma (in group_action) orbit_stab_fun_is_well_defined1:
  assumes "x ∈ E" "R ∈ rcosets (stabilizer G φ x)"
  shows "∧g. g ∈ R ⇒ (φ (inv (SOME h. h ∈ R))) x = (φ (inv g)) x"
  <proof>
```

```
lemma (in group_action) orbit_stab_fun_is_inj:
  assumes "x ∈ E"
  and "R1 ∈ rcosets (stabilizer G φ x)"
  and "R2 ∈ rcosets (stabilizer G φ x)"
  and "φ (inv (SOME h. h ∈ R1)) x = φ (inv (SOME h. h ∈ R2)) x"
  shows "R1 = R2"
  <proof>
```

```
lemma (in group_action) orbit_stab_fun_is_surj:
  assumes "x ∈ E" "y ∈ orbit G φ x"
```

shows " $\exists R \in \text{rcosets } (\text{stabilizer } G \ \varphi \ x). \ \varphi \ (\text{inv } (\text{SOME } h. \ h \in R)) \ x = y$ "  
*<proof>*

**proposition** (in group\_action) orbit\_stab\_fun\_is\_bij:  
 assumes " $x \in E$ "  
 shows " $\text{bij\_betw } (\lambda R. \ (\varphi \ (\text{inv } (\text{SOME } h. \ h \in R)))) \ x \ (\text{rcosets } (\text{stabilizer } G \ \varphi \ x)) \ (\text{orbit } G \ \varphi \ x)$ "  
*<proof>*

### 23.4.3 The Theorem

**theorem** (in group\_action) orbit\_stabilizer\_theorem:  
 assumes " $x \in E$ "  
 shows " $\text{card } (\text{orbit } G \ \varphi \ x) * \text{card } (\text{stabilizer } G \ \varphi \ x) = \text{order } G$ "  
*<proof>*

## 23.5 The Burnside's Lemma

### 23.5.1 Sums and Cardinals

**lemma** card\_as\_sums:  
 assumes " $A = \{x \in B. \ P \ x\}$ " "finite B"  
 shows " $\text{card } A = (\sum_{x \in B. \ (\text{if } P \ x \ \text{then } 1 \ \text{else } 0))$ "  
*<proof>*

**lemma** sum\_inversion:  
 "[[ finite A; finite B ] ]  $\implies (\sum_{x \in A. \ \sum_{y \in B. \ f \ x \ y}) = (\sum_{y \in B. \ \sum_{x \in A. \ f \ x \ y})$ "  
*<proof>*

**lemma** (in group\_action) card\_stablizer\_sum:  
 assumes "finite (carrier G)" "orb  $\in$  (orbits G E  $\varphi$ )"  
 shows " $(\sum_{x \in \text{orb.} \ \text{card } (\text{stabilizer } G \ \varphi \ x)) = \text{order } G$ "  
*<proof>*

### 23.5.2 The Lemma

**theorem** (in group\_action) burnside:  
 assumes "finite (carrier G)" "finite E"  
 shows " $\text{card } (\text{orbits } G \ E \ \varphi) * \text{order } G = (\sum_{g \in \text{carrier } G. \ \text{card}(\text{invariants } E \ \varphi \ g))$ "  
*<proof>*

## 23.6 Action by Conjugation

### 23.6.1 Action Over Itself

A Group Acts by Conjugation Over Itself

**lemma** (in group) conjugation\_is\_inj:

```

assumes "g ∈ carrier G" "h1 ∈ carrier G" "h2 ∈ carrier G"
  and "g ⊗ h1 ⊗ (inv g) = g ⊗ h2 ⊗ (inv g)"
  shows "h1 = h2"
⟨proof⟩

```

```

lemma (in group) conjugation_is_surj:
  assumes "g ∈ carrier G" "h ∈ carrier G"
  shows "g ⊗ ((inv g) ⊗ h ⊗ g) ⊗ (inv g) = h"
⟨proof⟩

```

```

lemma (in group) conjugation_is_bij:
  assumes "g ∈ carrier G"
  shows "bij_betw (λh ∈ carrier G. g ⊗ h ⊗ (inv g)) (carrier G) (carrier
G)"
      (is "bij_betw ?φ (carrier G) (carrier G)")
⟨proof⟩

```

```

lemma (in group) conjugation_is_hom:
  "(λg. λh ∈ carrier G. g ⊗ h ⊗ inv g) ∈ hom G (BijGroup (carrier G))"
⟨proof⟩

```

```

theorem (in group) action_by_conjugation:
  "group_action G (carrier G) (λg. (λh ∈ carrier G. g ⊗ h ⊗ (inv g)))"
⟨proof⟩

```

### 23.6.2 Action Over The Set of Subgroups

A Group Acts by Conjugation Over The Set of Subgroups

```

lemma (in group) subgroup_conjugation_is_inj_aux:
  assumes "g ∈ carrier G" "H1 ⊆ carrier G" "H2 ⊆ carrier G"
  and "g <# H1 #> (inv g) = g <# H2 #> (inv g)"
  shows "H1 ⊆ H2"
⟨proof⟩

```

```

lemma (in group) subgroup_conjugation_is_inj:
  assumes "g ∈ carrier G" "H1 ⊆ carrier G" "H2 ⊆ carrier G"
  and "g <# H1 #> (inv g) = g <# H2 #> (inv g)"
  shows "H1 = H2"
⟨proof⟩

```

```

lemma (in group) subgroup_conjugation_is_surj0:
  assumes "g ∈ carrier G" "H ⊆ carrier G"
  shows "g <# ((inv g) <# H #> g) #> (inv g) = H"
⟨proof⟩

```

```

lemma (in group) subgroup_conjugation_is_surj1:
  assumes "g ∈ carrier G" "subgroup H G"
  shows "subgroup ((inv g) <# H #> g) G"
⟨proof⟩

```

```

lemma (in group) subgroup_conjugation_is_surj2:
  assumes "g ∈ carrier G" "subgroup H G"
  shows "subgroup (g <# H #> (inv g)) G"
  ⟨proof⟩

lemma (in group) subgroup_conjugation_is_bij:
  assumes "g ∈ carrier G"
  shows "bij_betw (λH ∈ {H. subgroup H G}. g <# H #> (inv g)) {H. subgroup
H G} {H. subgroup H G}"
  (is "bij_betw ?φ {H. subgroup H G} {H. subgroup H G}")
  ⟨proof⟩

lemma (in group) subgroup_conjugation_is_hom:
  "(λg. λH ∈ {H. subgroup H G}. g <# H #> (inv g)) ∈ hom G (BijGroup
{H. subgroup H G})"
  ⟨proof⟩

theorem (in group) action_by_conjugation_on_subgroups_set:
  "group_action G {H. subgroup H G} (λg. λH ∈ {H. subgroup H G}. g <#
H #> (inv g))"
  ⟨proof⟩

```

### 23.6.3 Action Over The Power Set

A Group Acts by Conjugation Over The Power Set

```

lemma (in group) subset_conjugation_is_bij:
  assumes "g ∈ carrier G"
  shows "bij_betw (λH ∈ {H. H ⊆ carrier G}. g <# H #> (inv g)) {H. H
⊆ carrier G} {H. H ⊆ carrier G}"
  (is "bij_betw ?φ {H. H ⊆ carrier G} {H. H ⊆ carrier G}")
  ⟨proof⟩

lemma (in group) subset_conjugation_is_hom:
  "(λg. λH ∈ {H. H ⊆ carrier G}. g <# H #> (inv g)) ∈ hom G (BijGroup
{H. H ⊆ carrier G})"
  ⟨proof⟩

theorem (in group) action_by_conjugation_on_power_set:
  "group_action G {H. H ⊆ carrier G} (λg. λH ∈ {H. H ⊆ carrier G}. g
<# H #> (inv g))"
  ⟨proof⟩

corollary (in group) normalizer_imp_subgroup:
  assumes "H ⊆ carrier G"
  shows "subgroup (normalizer G H) G"
  ⟨proof⟩

```



## 23.7 Subgroup of an Acting Group

A Subgroup of an Acting Group Induces an Action

```
lemma (in group_action) induced_homomorphism:
  assumes "subgroup H G"
  shows " $\varphi \in \text{hom } (G \text{ (carrier := H)}) \text{ (BijGroup E)}$ "
  <proof>
```

```
theorem (in group_action) induced_action:
  assumes "subgroup H G"
  shows "group_action (G (carrier := H)) E  $\varphi$ "
  <proof>
```

end

## 24 The Zassenhaus Lemma

```
theory Zassenhaus
  imports Coset Group_Action
begin
```

Proves the second isomorphism theorem and the Zassenhaus lemma.

### 24.1 Lemmas about normalizer

```
lemma (in group) subgroup_in_normalizer:
  assumes "subgroup H G"
  shows "normal H (G(carrier:= (normalizer G H)))"
  <proof>
```

```
lemma (in group) normal_imp_subgroup_normalizer:
  assumes "subgroup H G"
  and "N < (G(carrier := H))"
  shows "subgroup H (G(carrier := normalizer G N))"
  <proof>
```

### 24.2 Second Isomorphism Theorem

```
lemma (in group) mult_norm_subgroup:
  assumes "normal N G"
  and "subgroup H G"
  shows "subgroup (N<#>H) G" <proof>
```

```
lemma (in group) mult_norm_sub_in_sub:
  assumes "normal N (G(carrier:=K))"
  assumes "subgroup H (G(carrier:=K))"
  assumes "subgroup K G"
```

```

  shows "subgroup (N<#>H) (G(|carrier:=K|))"
  <proof>

```

```

lemma (in group) subgroup_of_normal_set_mult:
  assumes "normal N G"
  and "subgroup H G"
  shows "subgroup H (G(|carrier := N <#> H|))"
  <proof>

```

```

lemma (in group) normal_in_normal_set_mult:
  assumes "normal N G"
  and "subgroup H G"
  shows "normal N (G(|carrier := N <#> H|))"
  <proof>

```

```

proposition (in group) weak_snd_iso_thme:
  assumes "subgroup H G"
  and "N<G"
  shows "(G(|carrier := N<#>H|) Mod N) ≅ (G(|carrier:=H|) Mod (N∩H))"
  <proof>

```

```

theorem (in group) snd_iso_thme:
  assumes "subgroup H G"
  and "subgroup N G"
  and "subgroup H (G(|carrier:= (normalizer G N)|))"
  shows "(G(|carrier:= N<#>H|) Mod N) ≅ (G(|carrier:= H|) Mod (H∩N))"
  <proof>

```

```

corollary (in group) snd_iso_thme_recip :
  assumes "subgroup H G"
  and "subgroup N G"
  and "subgroup H (G(|carrier:= (normalizer G N)|))"
  shows "(G(|carrier:= H<#>N|) Mod N) ≅ (G(|carrier:= H|) Mod (H∩N))"
  <proof>

```

### 24.3 The Zassenhaus Lemma

```

lemma (in group) distinc:
  assumes "subgroup H G"
  and "H1<G(|carrier := H|)"
  and "subgroup K G"
  and "K1<G(|carrier:=K|)"
  shows "subgroup (H∩K) (G(|carrier:=(normalizer G (H1<#>(H∩K1))) |))"
  <proof>

```

```

lemma (in group) preliminary1:
  assumes "subgroup H G"
    and "H1<G(carrier := H)"
    and "subgroup K G"
    and "K1<G(carrier:=K)"
  shows " (H∩K) ∩ (H1<#>(H∩K1)) = (H1∩K)<#>(H∩K1)"
  <proof>

lemma (in group) preliminary2:
  assumes "subgroup H G"
    and "H1<G(carrier := H)"
    and "subgroup K G"
    and "K1<G(carrier:=K)"
  shows "(H1<#>(H∩K1)) < G(carrier:=(H1<#>(H∩K)))"
  <proof>

proposition (in group) Zassenhaus_1:
  assumes "subgroup H G"
    and "H1<G(carrier := H)"
    and "subgroup K G"
    and "K1<G(carrier:=K)"
  shows "(G(carrier:= H1 <#> (H∩K))) Mod (H1<#>H∩K1)) ≅ (G(carrier:= (H∩K)))
  Mod ((H1∩K)<#>(H∩K1)))"
  <proof>

theorem (in group) Zassenhaus:
  assumes "subgroup H G"
    and "H1<G(carrier := H)"
    and "subgroup K G"
    and "K1<G(carrier:=K)"
  shows "(G(carrier:= H1 <#> (H∩K))) Mod (H1<#>(H∩K1))) ≅
  (G(carrier:= K1 <#> (H∩K))) Mod (K1<#>(K∩H1)))"
  <proof>

end

```

## 25 Divisibility in monoids and rings

```

theory Divisibility
  imports "HOL-Combinatorics.List_Permutation" Coset Group
begin

```

## 26 Factorial Monoids

### 26.1 Monoids with Cancellation Law

```

locale monoid_cancel = monoid +
  assumes l_cancel: "[c ⊗ a = c ⊗ b; a ∈ carrier G; b ∈ carrier G; c
  ∈ carrier G] ⇒ a = b"
  and r_cancel: "[a ⊗ c = b ⊗ c; a ∈ carrier G; b ∈ carrier G; c ∈
  carrier G] ⇒ a = b"

lemma (in monoid) monoid_cancelI:
  assumes l_cancel: "∧a b c. [c ⊗ a = c ⊗ b; a ∈ carrier G; b ∈ carrier
  G; c ∈ carrier G] ⇒ a = b"
  and r_cancel: "∧a b c. [a ⊗ c = b ⊗ c; a ∈ carrier G; b ∈ carrier
  G; c ∈ carrier G] ⇒ a = b"
  shows "monoid_cancel G"
  <proof>

lemma (in monoid_cancel) is_monoid_cancel: "monoid_cancel G" <proof>

```

```

sublocale group ⊆ monoid_cancel
  <proof>

```

```

locale comm_monoid_cancel = monoid_cancel + comm_monoid

```

```

lemma comm_monoid_cancelI:
  fixes G (structure)
  assumes "comm_monoid G"
  assumes cancel: "∧a b c. [a ⊗ c = b ⊗ c; a ∈ carrier G; b ∈ carrier
  G; c ∈ carrier G] ⇒ a = b"
  shows "comm_monoid_cancel G"
  <proof>

lemma (in comm_monoid_cancel) is_comm_monoid_cancel: "comm_monoid_cancel
  G"
  <proof>

```

```

sublocale comm_group ⊆ comm_monoid_cancel <proof>

```

### 26.2 Products of Units in Monoids

```

lemma (in monoid) prod_unit_l:
  assumes abunit[simp]: "a ⊗ b ∈ Units G"
  and aunit[simp]: "a ∈ Units G"
  and carr[simp]: "a ∈ carrier G" "b ∈ carrier G"
  shows "b ∈ Units G"
  <proof>

lemma (in monoid) prod_unit_r:

```

```

assumes abunit[simp]: "a  $\otimes$  b  $\in$  Units G"
  and bunit[simp]: "b  $\in$  Units G"
  and carr[simp]: "a  $\in$  carrier G" "b  $\in$  carrier G"
shows "a  $\in$  Units G"
<proof>

```

```

lemma (in comm_monoid) unit_factor:
  assumes abunit: "a  $\otimes$  b  $\in$  Units G"
  and [simp]: "a  $\in$  carrier G" "b  $\in$  carrier G"
shows "a  $\in$  Units G"
<proof>

```

## 26.3 Divisibility and Association

### 26.3.1 Function definitions

```

definition factor :: "[_, 'a, 'a]  $\Rightarrow$  bool" (infix "divides?" 65)
  where "a dividesG b  $\longleftrightarrow$  ( $\exists$  c  $\in$  carrier G. b = a  $\otimes_G$  c)"

```

```

definition associated :: "[_, 'a, 'a]  $\Rightarrow$  bool" (infix " $\sim$ " 55)
  where "a  $\sim_G$  b  $\longleftrightarrow$  a dividesG b  $\wedge$  b dividesG a"

```

```

abbreviation "division_rel G  $\equiv$  ( $\text{carrier} = \text{carrier } G$ , eq = ( $\sim_G$ ), le =
(dividesG))"

```

```

definition properfactor :: "[_, 'a, 'a]  $\Rightarrow$  bool"
  where "properfactor G a b  $\longleftrightarrow$  a dividesG b  $\wedge$   $\neg$ (b dividesG a)"

```

```

definition irreducible :: "[_, 'a]  $\Rightarrow$  bool"
  where "irreducible G a  $\longleftrightarrow$  a  $\notin$  Units G  $\wedge$  ( $\forall$  b  $\in$  carrier G. properfactor
G b a  $\longrightarrow$  b  $\in$  Units G)"

```

```

definition prime :: "[_, 'a]  $\Rightarrow$  bool"
  where "prime G p  $\longleftrightarrow$ 
  p  $\notin$  Units G  $\wedge$ 
  ( $\forall$  a  $\in$  carrier G.  $\forall$  b  $\in$  carrier G. p dividesG (a  $\otimes_G$  b)  $\longrightarrow$  p dividesG
a  $\vee$  p dividesG b)"

```

### 26.3.2 Divisibility

```

lemma dividesI:
  fixes G (structure)
  assumes carr: "c  $\in$  carrier G"
  and p: "b = a  $\otimes$  c"
  shows "a divides b"
<proof>

```

```

lemma dividesI' [intro]:
  fixes G (structure)
  assumes p: "b = a  $\otimes$  c"

```

```

    and carr: "c ∈ carrier G"
  shows "a divides b"
  ⟨proof⟩

lemma dividesD:
  fixes G (structure)
  assumes "a divides b"
  shows "∃c∈carrier G. b = a ⊗ c"
  ⟨proof⟩

lemma dividesE [elim]:
  fixes G (structure)
  assumes d: "a divides b"
    and elim: "∧c. [b = a ⊗ c; c ∈ carrier G] ⇒ P"
  shows "P"
  ⟨proof⟩

lemma (in monoid) divides_refl[simp, intro!]:
  assumes carr: "a ∈ carrier G"
  shows "a divides a"
  ⟨proof⟩

lemma (in monoid) divides_trans [trans]:
  assumes dvds: "a divides b" "b divides c"
    and acarr: "a ∈ carrier G"
  shows "a divides c"
  ⟨proof⟩

lemma (in monoid) divides_mult_lI [intro]:
  assumes "a divides b" "a ∈ carrier G" "c ∈ carrier G"
  shows "(c ⊗ a) divides (c ⊗ b)"
  ⟨proof⟩

lemma (in monoid_cancel) divides_mult_l [simp]:
  assumes carr: "a ∈ carrier G" "b ∈ carrier G" "c ∈ carrier G"
  shows "(c ⊗ a) divides (c ⊗ b) = a divides b"
  ⟨proof⟩

lemma (in comm_monoid) divides_mult_rI [intro]:
  assumes ab: "a divides b"
    and carr: "a ∈ carrier G" "b ∈ carrier G" "c ∈ carrier G"
  shows "(a ⊗ c) divides (b ⊗ c)"
  ⟨proof⟩

lemma (in comm_monoid_cancel) divides_mult_r [simp]:
  assumes carr: "a ∈ carrier G" "b ∈ carrier G" "c ∈ carrier G"
  shows "(a ⊗ c) divides (b ⊗ c) = a divides b"
  ⟨proof⟩

```

```

lemma (in monoid) divides_prod_r:
  assumes ab: "a divides b"
    and carr: "a ∈ carrier G" "c ∈ carrier G"
  shows "a divides (b ⊗ c)"
  ⟨proof⟩

lemma (in comm_monoid) divides_prod_l:
  assumes "a ∈ carrier G" "b ∈ carrier G" "c ∈ carrier G" "a divides
b"
  shows "a divides (c ⊗ b)"
  ⟨proof⟩

lemma (in monoid) unit_divides:
  assumes uunit: "u ∈ Units G"
    and acar: "a ∈ carrier G"
  shows "u divides a"
  ⟨proof⟩

lemma (in comm_monoid) divides_unit:
  assumes udvd: "a divides u"
    and carr: "a ∈ carrier G" "u ∈ Units G"
  shows "a ∈ Units G"
  ⟨proof⟩

lemma (in comm_monoid) Unit_eq_dividesone:
  assumes ucarr: "u ∈ carrier G"
  shows "u ∈ Units G = u divides 1"
  ⟨proof⟩

```

### 26.3.3 Association

```

lemma associatedI:
  fixes G (structure)
  assumes "a divides b" "b divides a"
  shows "a ~ b"
  ⟨proof⟩

lemma (in monoid) associatedI2:
  assumes uunit[simp]: "u ∈ Units G"
    and a: "a = b ⊗ u"
    and bcarr: "b ∈ carrier G"
  shows "a ~ b"
  ⟨proof⟩

lemma (in monoid) associatedI2':
  assumes "a = b ⊗ u"
    and "u ∈ Units G"
    and "b ∈ carrier G"
  shows "a ~ b"

```

*<proof>*

**lemma** associatedD:  
**fixes** G (structure)  
**assumes** "a ~ b"  
**shows** "a divides b"  
*<proof>*

**lemma** (in monoid\_cancel) associatedD2:  
**assumes** assoc: "a ~ b"  
**and** carr: "a ∈ carrier G" "b ∈ carrier G"  
**shows** "∃u∈Units G. a = b ⊗ u"  
*<proof>*

**lemma** associatedE:  
**fixes** G (structure)  
**assumes** assoc: "a ~ b"  
**and** e: "[a divides b; b divides a] ⇒ P"  
**shows** "P"  
*<proof>*

**lemma** (in monoid\_cancel) associatedE2:  
**assumes** assoc: "a ~ b"  
**and** e: "∧u. [a = b ⊗ u; u ∈ Units G] ⇒ P"  
**and** carr: "a ∈ carrier G" "b ∈ carrier G"  
**shows** "P"  
*<proof>*

**lemma** (in monoid) associated\_refl [simp, intro!]:  
**assumes** "a ∈ carrier G"  
**shows** "a ~ a"  
*<proof>*

**lemma** (in monoid) associated\_sym [sym]:  
**assumes** "a ~ b"  
**shows** "b ~ a"  
*<proof>*

**lemma** (in monoid) associated\_trans [trans]:  
**assumes** "a ~ b" "b ~ c"  
**and** "a ∈ carrier G" "c ∈ carrier G"  
**shows** "a ~ c"  
*<proof>*

**lemma** (in monoid) division\_equiv [intro, simp]: "equivalence (division\_rel G)"  
*<proof>*



### 26.3.4 Division and associativity

lemmas divides\_antisym = associatedI

```
lemma (in monoid) divides_cong_l [trans]:
  assumes "x ~ x'" "x' divides y" "x ∈ carrier G"
  shows "x divides y"
  <proof>
```

```
lemma (in monoid) divides_cong_r [trans]:
  assumes "x divides y" "y ~ y'" "x ∈ carrier G"
  shows "x divides y'"
  <proof>
```

```
lemma (in monoid) division_weak_partial_order [simp, intro!]:
  "weak_partial_order (division_rel G)"
  <proof>
```

### 26.3.5 Multiplication and associativity

```
lemma (in monoid) mult_cong_r:
  assumes "b ~ b'" "a ∈ carrier G" "b ∈ carrier G" "b' ∈ carrier G"
  shows "a ⊗ b ~ a ⊗ b'"
  <proof>
```

```
lemma (in comm_monoid) mult_cong_l:
  assumes "a ~ a'" "a ∈ carrier G" "a' ∈ carrier G" "b ∈ carrier G"
  shows "a ⊗ b ~ a' ⊗ b"
  <proof>
```

```
lemma (in monoid_cancel) assoc_l_cancel:
  assumes "a ∈ carrier G" "b ∈ carrier G" "b' ∈ carrier G" "a ⊗ b
  ~ a ⊗ b'"
  shows "b ~ b'"
  <proof>
```

```
lemma (in comm_monoid_cancel) assoc_r_cancel:
  assumes "a ⊗ b ~ a' ⊗ b" "a ∈ carrier G" "a' ∈ carrier G" "b ∈
  carrier G"
  shows "a ~ a'"
  <proof>
```

### 26.3.6 Units

```
lemma (in monoid_cancel) assoc_unit_l [trans]:
  assumes "a ~ b"
  and "b ∈ Units G"
  and "a ∈ carrier G"
  shows "a ∈ Units G"
  <proof>
```

```

lemma (in monoid_cancel) assoc_unit_r [trans]:
  assumes aunit: "a ∈ Units G"
    and asc: "a ~ b"
    and bcarr: "b ∈ carrier G"
  shows "b ∈ Units G"
  <proof>

lemma (in comm_monoid) Units_cong:
  assumes aunit: "a ∈ Units G" and asc: "a ~ b"
    and bcarr: "b ∈ carrier G"
  shows "b ∈ Units G"
  <proof>

lemma (in monoid) Units_assoc:
  assumes units: "a ∈ Units G" "b ∈ Units G"
  shows "a ~ b"
  <proof>

lemma (in monoid) Units_are_ones: "Units G {.=}(division_rel G) {1}"
  <proof>

lemma (in comm_monoid) Units_Lower: "Units G = Lower (division_rel G)
(carrier G)"
  <proof>

lemma (in monoid_cancel) associated_iff:
  assumes "a ∈ carrier G" "b ∈ carrier G"
  shows "a ~ b ↔ (∃ c ∈ Units G. a = b ⊗ c)"
  <proof>

```

### 26.3.7 Proper factors

```

lemma properfactorI:
  fixes G (structure)
  assumes "a divides b"
    and "¬(b divides a)"
  shows "properfactor G a b"
  <proof>

lemma properfactorI2:
  fixes G (structure)
  assumes advdb: "a divides b"
    and neq: "¬(a ~ b)"
  shows "properfactor G a b"
  <proof>

lemma (in comm_monoid_cancel) properfactorI3:
  assumes p: "p = a ⊗ b"

```

```

    and nunit: "b  $\notin$  Units G"
    and carr: "a  $\in$  carrier G" "b  $\in$  carrier G"
  shows "properfactor G a p"
  <proof>

lemma properfactorE:
  fixes G (structure)
  assumes pf: "properfactor G a b"
    and r: "[a divides b;  $\neg$ (b divides a)]  $\implies$  P"
  shows "P"
  <proof>

lemma properfactorE2:
  fixes G (structure)
  assumes pf: "properfactor G a b"
    and elim: "[a divides b;  $\neg$ (a  $\sim$  b)]  $\implies$  P"
  shows "P"
  <proof>

lemma (in monoid) properfactor_unitE:
  assumes uunit: "u  $\in$  Units G"
    and pf: "properfactor G a u"
    and acar: "a  $\in$  carrier G"
  shows "P"
  <proof>

lemma (in monoid) properfactor_divides:
  assumes pf: "properfactor G a b"
  shows "a divides b"
  <proof>

lemma (in monoid) properfactor_trans1 [trans]:
  assumes "a divides b" "properfactor G b c" "a  $\in$  carrier G" "c  $\in$  carrier
G"
  shows "properfactor G a c"
  <proof>

lemma (in monoid) properfactor_trans2 [trans]:
  assumes "properfactor G a b" "b divides c" "a  $\in$  carrier G" "b  $\in$  carrier
G"
  shows "properfactor G a c"
  <proof>

lemma properfactor_lless:
  fixes G (structure)
  shows "properfactor G = lless (division_rel G)"
  <proof>

lemma (in monoid) properfactor_cong_1 [trans]:

```

```

assumes x'x: "x' ~ x"
  and pf: "properfactor G x y"
  and carr: "x ∈ carrier G" "x' ∈ carrier G" "y ∈ carrier G"
shows "properfactor G x' y"
⟨proof⟩

lemma (in monoid) properfactor_cong_r [trans]:
  assumes pf: "properfactor G x y"
  and yy': "y ~ y'"
  and carr: "x ∈ carrier G" "y ∈ carrier G" "y' ∈ carrier G"
shows "properfactor G x y'"
⟨proof⟩

lemma (in monoid_cancel) properfactor_mult_lI [intro]:
  assumes ab: "properfactor G a b"
  and carr: "a ∈ carrier G" "c ∈ carrier G"
shows "properfactor G (c ⊗ a) (c ⊗ b)"
⟨proof⟩

lemma (in monoid_cancel) properfactor_mult_l [simp]:
  assumes carr: "a ∈ carrier G" "b ∈ carrier G" "c ∈ carrier G"
shows "properfactor G (c ⊗ a) (c ⊗ b) = properfactor G a b"
⟨proof⟩

lemma (in comm_monoid_cancel) properfactor_mult_rI [intro]:
  assumes ab: "properfactor G a b"
  and carr: "a ∈ carrier G" "c ∈ carrier G"
shows "properfactor G (a ⊗ c) (b ⊗ c)"
⟨proof⟩

lemma (in comm_monoid_cancel) properfactor_mult_r [simp]:
  assumes carr: "a ∈ carrier G" "b ∈ carrier G" "c ∈ carrier G"
shows "properfactor G (a ⊗ c) (b ⊗ c) = properfactor G a b"
⟨proof⟩

lemma (in monoid) properfactor_prod_r:
  assumes ab: "properfactor G a b"
  and carr[simp]: "a ∈ carrier G" "b ∈ carrier G" "c ∈ carrier G"
shows "properfactor G a (b ⊗ c)"
⟨proof⟩

lemma (in comm_monoid) properfactor_prod_l:
  assumes ab: "properfactor G a b"
  and carr[simp]: "a ∈ carrier G" "b ∈ carrier G" "c ∈ carrier G"
shows "properfactor G a (c ⊗ b)"
⟨proof⟩

```

## 26.4 Irreducible Elements and Primes

### 26.4.1 Irreducible elements

```

lemma irreducibleI:
  fixes G (structure)
  assumes "a ∉ Units G"
    and "∧b. [b ∈ carrier G; properfactor G b a] ⇒ b ∈ Units G"
  shows "irreducible G a"
  ⟨proof⟩

lemma irreducibleE:
  fixes G (structure)
  assumes irr: "irreducible G a"
    and elim: "[a ∉ Units G; ∀b. b ∈ carrier G ∧ properfactor G b a →
b ∈ Units G] ⇒ P"
  shows "P"
  ⟨proof⟩

lemma irreducibleD:
  fixes G (structure)
  assumes irr: "irreducible G a"
    and pf: "properfactor G b a"
    and bcarr: "b ∈ carrier G"
  shows "b ∈ Units G"
  ⟨proof⟩

lemma (in monoid_cancel) irreducible_cong [trans]:
  assumes "irreducible G a" "a ~ a'" "a ∈ carrier G" "a' ∈ carrier
G"
  shows "irreducible G a'"
  ⟨proof⟩

lemma (in monoid) irreducible_prod_rI:
  assumes "irreducible G a" "b ∈ Units G" "a ∈ carrier G" "b ∈ carrier
G"
  shows "irreducible G (a ⊗ b)"
  ⟨proof⟩

lemma (in comm_monoid) irreducible_prod_lI:
  assumes birr: "irreducible G b"
    and aunit: "a ∈ Units G"
    and carr [simp]: "a ∈ carrier G" "b ∈ carrier G"
  shows "irreducible G (a ⊗ b)"
  ⟨proof⟩

lemma (in comm_monoid_cancel) irreducible_prodE [elim]:
  assumes irr: "irreducible G (a ⊗ b)"
    and carr[simp]: "a ∈ carrier G" "b ∈ carrier G"
    and e1: "[irreducible G a; b ∈ Units G] ⇒ P"

```

```

    and e2: "[a ∈ Units G; irreducible G b] ⇒ P"
  shows P
  ⟨proof⟩

```

```

lemma divides_irreducible_condition:
  assumes "irreducible G r" and "a ∈ carrier G"
  shows "a dividesG r ⇒ a ∈ Units G ∨ a ~G r"
  ⟨proof⟩

```

## 26.4.2 Prime elements

```

lemma primeI:
  fixes G (structure)
  assumes "p ∉ Units G"
    and "∧a b. [a ∈ carrier G; b ∈ carrier G; p divides (a ⊗ b)] ⇒
p divides a ∨ p divides b"
  shows "prime G p"
  ⟨proof⟩

```

```

lemma primeE:
  fixes G (structure)
  assumes pprime: "prime G p"
    and e: "[p ∉ Units G; ∀a∈carrier G. ∀b∈carrier G.
p divides a ⊗ b → p divides a ∨ p divides b] ⇒ P"
  shows "P"
  ⟨proof⟩

```

```

lemma (in comm_monoid_cancel) prime_divides:
  assumes carr: "a ∈ carrier G" "b ∈ carrier G"
    and pprime: "prime G p"
    and pdvd: "p divides a ⊗ b"
  shows "p divides a ∨ p divides b"
  ⟨proof⟩

```

```

lemma (in monoid_cancel) prime_cong [trans]:
  assumes "prime G p"
    and pp': "p ~ p'" "p ∈ carrier G" "p' ∈ carrier G"
  shows "prime G p'"
  ⟨proof⟩

```

```

lemma (in comm_monoid_cancel) prime_irreducible:
  assumes "prime G p"
  shows "irreducible G p"
  ⟨proof⟩

```

```

lemma (in comm_monoid_cancel) prime_pow_divides_iff:
  assumes "p ∈ carrier G" "a ∈ carrier G" "b ∈ carrier G" and "prime
G p" and "¬ (p divides a)"
  shows "(p [^] (n :: nat)) divides (a ⊗ b) ↔ (p [^] n) divides b"

```

*<proof>*

## 26.5 Factorization and Factorial Monoids

### 26.5.1 Function definitions

**definition** `factors` :: "('a, \_) monoid\_scheme  $\Rightarrow$  'a list  $\Rightarrow$  'a  $\Rightarrow$  bool"  
 where "factors G fs a  $\longleftrightarrow$  ( $\forall x \in$  (set fs). irreducible G x)  $\wedge$  foldr  
 ( $\otimes$ G) fs 1G = a"

**definition** `wfactors` :: "('a, \_) monoid\_scheme  $\Rightarrow$  'a list  $\Rightarrow$  'a  $\Rightarrow$  bool"  
 where "wfactors G fs a  $\longleftrightarrow$  ( $\forall x \in$  (set fs). irreducible G x)  $\wedge$  foldr  
 ( $\otimes$ G) fs 1G  $\sim$ G a"

**abbreviation** `list_assoc` :: "('a, \_) monoid\_scheme  $\Rightarrow$  'a list  $\Rightarrow$  'a list  
 $\Rightarrow$  bool" (infix "[ $\sim$ ]" 44)  
 where "list\_assoc G  $\equiv$  list\_all2 ( $\sim$ G)"

**definition** `essentially_equal` :: "('a, \_) monoid\_scheme  $\Rightarrow$  'a list  $\Rightarrow$  'a  
 list  $\Rightarrow$  bool"  
 where "essentially\_equal G fs1 fs2  $\longleftrightarrow$  ( $\exists$  fs1'. fs1  $\llsim$  fs1'  $\wedge$  fs1'  
 [ $\sim$ ]<sub>G</sub> fs2)"

**locale** `factorial_monoid` = `comm_monoid_cancel` +  
 assumes `factors_exist`: "[a  $\in$  carrier G; a  $\notin$  Units G]  $\implies$   $\exists$  fs. set fs  
 $\subseteq$  carrier G  $\wedge$  factors G fs a"  
 and `factors_unique`:  
 "[factors G fs a; factors G fs' a; a  $\in$  carrier G; a  $\notin$  Units G;  
 set fs  $\subseteq$  carrier G; set fs'  $\subseteq$  carrier G]  $\implies$  essentially\_equal  
 G fs fs'"

### 26.5.2 Comparing lists of elements

Association on lists

**lemma** (in `monoid`) `listassoc_refl` [simp, intro]:  
 assumes "set as  $\subseteq$  carrier G"  
 shows "as [ $\sim$ ] as"  
*<proof>*

**lemma** (in `monoid`) `listassoc_sym` [sym]:  
 assumes "as [ $\sim$ ] bs"  
 and "set as  $\subseteq$  carrier G"  
 and "set bs  $\subseteq$  carrier G"  
 shows "bs [ $\sim$ ] as"  
*<proof>*

**lemma** (in `monoid`) `listassoc_trans` [trans]:  
 assumes "as [ $\sim$ ] bs" and "bs [ $\sim$ ] cs"

```

    and "set as  $\subseteq$  carrier G" and "set bs  $\subseteq$  carrier G" and "set cs  $\subseteq$ 
carrier G"
    shows "as  $[\sim]$  cs"
    <proof>

```

```

lemma (in monoid_cancel) irrlist_listassoc_cong:
  assumes " $\forall a \in \text{set } as. \text{irreducible } G \ a"$ "
    and "as  $[\sim]$  bs"
    and "set as  $\subseteq$  carrier G" and "set bs  $\subseteq$  carrier G"
  shows " $\forall a \in \text{set } bs. \text{irreducible } G \ a"$ "
  <proof>

```

### Permutations

```

lemma perm_map [intro]:
  assumes p: "a  $\langle \sim \rangle$  b"
  shows "map f a  $\langle \sim \rangle$  map f b"
  <proof>

```

```

lemma perm_map_switch:
  assumes m: "map f a = map f b" and p: "b  $\langle \sim \rangle$  c"
  shows " $\exists d. a \langle \sim \rangle d \wedge \text{map } f \ d = \text{map } f \ c"$ "
  <proof>

```

```

lemma (in monoid) perm_assoc_switch:
  assumes a: "as  $[\sim]$  bs" and p: "bs  $\langle \sim \rangle$  cs"
  shows " $\exists bs'. as \langle \sim \rangle bs' \wedge bs' [\sim] cs"$ "
  <proof>

```

```

lemma (in monoid) perm_assoc_switch_r:
  assumes p: "as  $\langle \sim \rangle$  bs" and a: "bs  $[\sim]$  cs"
  shows " $\exists bs'. as [\sim] bs' \wedge bs' \langle \sim \rangle cs"$ "
  <proof>

```

```

declare perm_sym [sym]

```

```

lemma perm_setP:
  assumes perm: "as  $\langle \sim \rangle$  bs"
    and as: "P (set as)"
  shows "P (set bs)"
  <proof>

```

```

lemmas (in monoid) perm_closed = perm_setP[of _ _ "λas. as  $\subseteq$  carrier
G"]

```

```

lemmas (in monoid) irrlist_perm_cong = perm_setP[of _ _ "λas.  $\forall a \in as.
\text{irreducible } G \ a"$ ]

```

### Essentially equal factorizations

```

lemma (in monoid) essentially_equalI:

```



```

assumes ex: "fs1 <~~> fs1'" "fs1' [~] fs2"
shows "essentially_equal G fs1 fs2"
<proof>

```

```

lemma (in monoid) essentially_equalE:
  assumes ee: "essentially_equal G fs1 fs2"
    and e: "\fs1'. [[fs1 <~~> fs1']; fs1' [~] fs2] ==> P"
  shows "P"
<proof>

```

```

lemma (in monoid) ee_refl [simp,intro]:
  assumes carr: "set as ⊆ carrier G"
  shows "essentially_equal G as as"
<proof>

```

```

lemma (in monoid) ee_sym [sym]:
  assumes ee: "essentially_equal G as bs"
    and carr: "set as ⊆ carrier G" "set bs ⊆ carrier G"
  shows "essentially_equal G bs as"
<proof>

```

```

lemma (in monoid) ee_trans [trans]:
  assumes ab: "essentially_equal G as bs" and bc: "essentially_equal
G bs cs"
    and ascarr: "set as ⊆ carrier G"
    and bscarr: "set bs ⊆ carrier G"
    and cscarr: "set cs ⊆ carrier G"
  shows "essentially_equal G as cs"
<proof>

```

### 26.5.3 Properties of lists of elements

Multiplication of factors in a list

```

lemma (in monoid) multlist_closed [simp, intro]:
  assumes ascarr: "set fs ⊆ carrier G"
  shows "foldr (⊗) fs 1 ∈ carrier G"
<proof>

```

```

lemma (in comm_monoid) multlist_dividesI:
  assumes "f ∈ set fs" and "set fs ⊆ carrier G"
  shows "f divides (foldr (⊗) fs 1)"
<proof>

```

```

lemma (in comm_monoid_cancel) multlist_listassoc_cong:
  assumes "fs [~] fs'"
    and "set fs ⊆ carrier G" and "set fs' ⊆ carrier G"
  shows "foldr (⊗) fs 1 ~ foldr (⊗) fs' 1"
<proof>

```

```

lemma (in comm_monoid) multlist_perm_cong:
  assumes prm: "as <~~> bs"
    and ascarr: "set as  $\subseteq$  carrier G"
  shows "foldr ( $\otimes$ ) as 1 = foldr ( $\otimes$ ) bs 1"
  <proof>

```

```

lemma (in comm_monoid_cancel) multlist_ee_cong:
  assumes "essentially_equal G fs fs'"
    and "set fs  $\subseteq$  carrier G" and "set fs'  $\subseteq$  carrier G"
  shows "foldr ( $\otimes$ ) fs 1  $\sim$  foldr ( $\otimes$ ) fs' 1"
  <proof>

```

#### 26.5.4 Factorization in irreducible elements

```

lemma wfactorsI:
  fixes G (structure)
  assumes " $\forall f \in \text{set fs. irreducible } G \ f$ "
    and "foldr ( $\otimes$ ) fs 1  $\sim$  a"
  shows "wfactors G fs a"
  <proof>

```

```

lemma wfactorsE:
  fixes G (structure)
  assumes wf: "wfactors G fs a"
    and e: " $\llbracket \forall f \in \text{set fs. irreducible } G \ f; \text{foldr } (\otimes) \text{ fs } 1 \sim a \rrbracket \implies P$ "
  shows "P"
  <proof>

```

```

lemma (in monoid) factorsI:
  assumes " $\forall f \in \text{set fs. irreducible } G \ f$ "
    and "foldr ( $\otimes$ ) fs 1 = a"
  shows "factors G fs a"
  <proof>

```

```

lemma factorsE:
  fixes G (structure)
  assumes f: "factors G fs a"
    and e: " $\llbracket \forall f \in \text{set fs. irreducible } G \ f; \text{foldr } (\otimes) \text{ fs } 1 = a \rrbracket \implies P$ "
  shows "P"
  <proof>

```

```

lemma (in monoid) factors_wfactors:
  assumes "factors G as a" and "set as  $\subseteq$  carrier G"
  shows "wfactors G as a"
  <proof>

```

```

lemma (in monoid) wfactors_factors:
  assumes "wfactors G as a" and "set as  $\subseteq$  carrier G"
  shows " $\exists a'. \text{factors } G \text{ as } a' \wedge a' \sim a$ "

```

*<proof>*

```
lemma (in monoid) factors_closed [dest]:
  assumes "factors G fs a" and "set fs  $\subseteq$  carrier G"
  shows "a  $\in$  carrier G"
<proof>
```

```
lemma (in monoid) nunit_factors:
  assumes anunit: "a  $\notin$  Units G"
    and fs: "factors G as a"
  shows "length as > 0"
<proof>
```

```
lemma (in monoid) unit_wfactors [simp]:
  assumes aunit: "a  $\in$  Units G"
  shows "wfactors G [] a"
<proof>
```

```
lemma (in comm_monoid_cancel) unit_wfactors_empty:
  assumes aunit: "a  $\in$  Units G"
    and wf: "wfactors G fs a"
    and carr[simp]: "set fs  $\subseteq$  carrier G"
  shows "fs = []"
<proof>
```

Comparing wfactors

```
lemma (in comm_monoid_cancel) wfactors_listassoc_cong_1:
  assumes fact: "wfactors G fs a"
    and asc: "fs  $[\sim]$  fs'"
    and carr: "a  $\in$  carrier G" "set fs  $\subseteq$  carrier G" "set fs'  $\subseteq$  carrier G"
  shows "wfactors G fs' a"
<proof>
```

```
lemma (in comm_monoid) wfactors_perm_cong_1:
  assumes "wfactors G fs a"
    and "fs  $\langle \sim \rangle$  fs'"
    and "set fs  $\subseteq$  carrier G"
  shows "wfactors G fs' a"
<proof>
```

```
lemma (in comm_monoid_cancel) wfactors_ee_cong_1 [trans]:
  assumes ee: "essentially_equal G as bs"
    and bfs: "wfactors G bs b"
    and carr: "b  $\in$  carrier G" "set as  $\subseteq$  carrier G" "set bs  $\subseteq$  carrier G"
  shows "wfactors G as b"
<proof>
```

```

lemma (in monoid) wfactors_cong_r [trans]:
  assumes fac: "wfactors G fs a" and aa': "a ~ a'"
    and carr[simp]: "a ∈ carrier G" "a' ∈ carrier G" "set fs ⊆ carrier
G"
  shows "wfactors G fs a'"
  ⟨proof⟩

```

### 26.5.5 Essentially equal factorizations

```

lemma (in comm_monoid_cancel) unitfactor_ee:
  assumes uunit: "u ∈ Units G"
    and carr: "set as ⊆ carrier G"
  shows "essentially_equal G (as[0 := (as!0 ⊗ u)]) as"
    (is "essentially_equal G ?as' as")
  ⟨proof⟩

```

```

lemma (in comm_monoid_cancel) factors_cong_unit:
  assumes u: "u ∈ Units G"
    and a: "a ∉ Units G"
    and afs: "factors G as a"
    and ascarr: "set as ⊆ carrier G"
  shows "factors G (as[0 := (as!0 ⊗ u)]) (a ⊗ u)"
    (is "factors G ?as' ?a'")
  ⟨proof⟩

```

```

lemma (in comm_monoid) perm_wfactorsD:
  assumes prm: "as <~~> bs"
    and afs: "wfactors G as a"
    and bfs: "wfactors G bs b"
    and [simp]: "a ∈ carrier G" "b ∈ carrier G"
    and ascarr [simp]: "set as ⊆ carrier G"
  shows "a ~ b"
  ⟨proof⟩

```

```

lemma (in comm_monoid_cancel) listassoc_wfactorsD:
  assumes assoc: "as [~] bs"
    and afs: "wfactors G as a"
    and bfs: "wfactors G bs b"
    and [simp]: "a ∈ carrier G" "b ∈ carrier G"
    and [simp]: "set as ⊆ carrier G" "set bs ⊆ carrier G"
  shows "a ~ b"
  ⟨proof⟩

```

```

lemma (in comm_monoid_cancel) ee_wfactorsD:
  assumes ee: "essentially_equal G as bs"
    and afs: "wfactors G as a" and bfs: "wfactors G bs b"
    and [simp]: "a ∈ carrier G" "b ∈ carrier G"
    and ascarr[simp]: "set as ⊆ carrier G" and bscarr[simp]: "set bs
⊆ carrier G"

```

```

shows "a ~ b"
<proof>

lemma (in comm_monoid_cancel) ee_factorsD:
  assumes ee: "essentially_equal G as bs"
    and afs: "factors G as a" and bfs:"factors G bs b"
    and "set as  $\subseteq$  carrier G" "set bs  $\subseteq$  carrier G"
  shows "a ~ b"
  <proof>

lemma (in factorial_monoid) ee_factorsI:
  assumes ab: "a ~ b"
    and afs: "factors G as a" and anunit: "a  $\notin$  Units G"
    and bfs: "factors G bs b" and bnunit: "b  $\notin$  Units G"
    and ascarr: "set as  $\subseteq$  carrier G" and bscarr: "set bs  $\subseteq$  carrier G"
  shows "essentially_equal G as bs"
  <proof>

lemma (in factorial_monoid) ee_wfactorsI:
  assumes asc: "a ~ b"
    and asf: "wfactors G as a" and bsf: "wfactors G bs b"
    and acarr[simp]: "a  $\in$  carrier G" and bcarr[simp]: "b  $\in$  carrier G"
    and ascarr[simp]: "set as  $\subseteq$  carrier G" and bscarr[simp]: "set bs
 $\subseteq$  carrier G"
  shows "essentially_equal G as bs"
  <proof>

lemma (in factorial_monoid) ee_wfactors:
  assumes asf: "wfactors G as a"
    and bsf: "wfactors G bs b"
    and acarr: "a  $\in$  carrier G" and bcarr: "b  $\in$  carrier G"
    and ascarr: "set as  $\subseteq$  carrier G" and bscarr: "set bs  $\subseteq$  carrier G"
  shows asc: "a ~ b = essentially_equal G as bs"
  <proof>

lemma (in factorial_monoid) wfactors_exist [intro, simp]:
  assumes acarr[simp]: "a  $\in$  carrier G"
  shows " $\exists$  fs. set fs  $\subseteq$  carrier G  $\wedge$  wfactors G fs a"
  <proof>

lemma (in monoid) wfactors_prod_exists [intro, simp]:
  assumes " $\forall$  a  $\in$  set as. irreducible G a" and "set as  $\subseteq$  carrier G"
  shows " $\exists$  a. a  $\in$  carrier G  $\wedge$  wfactors G as a"
  <proof>

lemma (in factorial_monoid) wfactors_unique:
  assumes "wfactors G fs a"
    and "wfactors G fs' a"
    and "a  $\in$  carrier G"

```

```

    and "set fs  $\subseteq$  carrier G"
    and "set fs'  $\subseteq$  carrier G"
  shows "essentially_equal G fs fs'"
  <proof>

```

```

lemma (in monoid) factors_mult_single:
  assumes "irreducible G a" and "factors G fb b" and "a  $\in$  carrier G"
  shows "factors G (a # fb) (a  $\otimes$  b)"
  <proof>

```

```

lemma (in monoid_cancel) wfactors_mult_single:
  assumes f: "irreducible G a" "wfactors G fb b"
    "a  $\in$  carrier G" "b  $\in$  carrier G" "set fb  $\subseteq$  carrier G"
  shows "wfactors G (a # fb) (a  $\otimes$  b)"
  <proof>

```

```

lemma (in monoid) factors_mult:
  assumes factors: "factors G fa a" "factors G fb b"
    and ascarr: "set fa  $\subseteq$  carrier G"
    and bscarr: "set fb  $\subseteq$  carrier G"
  shows "factors G (fa @ fb) (a  $\otimes$  b)"
  <proof>

```

```

lemma (in comm_monoid_cancel) wfactors_mult [intro]:
  assumes asf: "wfactors G as a" and bsf:"wfactors G bs b"
    and acarr: "a  $\in$  carrier G" and bcarr: "b  $\in$  carrier G"
    and ascarr: "set as  $\subseteq$  carrier G" and bscarr:"set bs  $\subseteq$  carrier G"
  shows "wfactors G (as @ bs) (a  $\otimes$  b)"
  <proof>

```

```

lemma (in comm_monoid) factors_dividesI:
  assumes "factors G fs a"
    and "f  $\in$  set fs"
    and "set fs  $\subseteq$  carrier G"
  shows "f divides a"
  <proof>

```

```

lemma (in comm_monoid) wfactors_dividesI:
  assumes p: "wfactors G fs a"
    and fscarr: "set fs  $\subseteq$  carrier G" and acarr: "a  $\in$  carrier G"
    and f: "f  $\in$  set fs"
  shows "f divides a"
  <proof>

```

### 26.5.6 Factorial monoids and wfactors

```

lemma (in comm_monoid_cancel) factorial_monoidI:
  assumes wfactors_exists: " $\bigwedge a. [ a \in \text{carrier } G; a \notin \text{Units } G ] \implies \exists fs. \text{set } fs \subseteq \text{carrier } G \wedge \text{wfactors } G \text{ fs } a$ "

```

```

and wfactors_unique:
  "\a fs fs'. [[a ∈ carrier G; set fs ⊆ carrier G; set fs' ⊆ carrier
G;
  wfactors G fs a; wfactors G fs' a]] ⇒ essentially_equal G fs
fs'"
  shows "factorial_monoid G"
  ⟨proof⟩

```

## 26.6 Factorizations as Multisets

Gives useful operations like intersection

**abbreviation** "assocs G x ≡ eq\_closure\_of (division\_rel G) {x}"

**definition** "fmset G as = mset (map (assocs G) as)"

Helper lemmas

```

lemma (in monoid) assocs_repr_independence:
  assumes "y ∈ assocs G x" "x ∈ carrier G"
  shows "assocs G x = assocs G y"
  ⟨proof⟩

```

```

lemma (in monoid) assocs_self:
  assumes "x ∈ carrier G"
  shows "x ∈ assocs G x"
  ⟨proof⟩

```

```

lemma (in monoid) assocs_repr_independenceD:
  assumes repr: "assocs G x = assocs G y" and ycarr: "y ∈ carrier G"
  shows "y ∈ assocs G x"
  ⟨proof⟩

```

```

lemma (in comm_monoid) assocs_assoc:
  assumes "a ∈ assocs G b" "b ∈ carrier G"
  shows "a ∼ b"
  ⟨proof⟩

```

**lemmas** (in comm\_monoid) assocs\_eqD = assocs\_repr\_independenceD[THEN assocs\_assoc]

### 26.6.1 Comparing multisets

```

lemma (in monoid) fmset_perm_cong:
  assumes prm: "as <~> bs"
  shows "fmset G as = fmset G bs"
  ⟨proof⟩

```

```

lemma (in comm_monoid_cancel) eqc_listassoc_cong:
  assumes "as [~] bs" and "set as ⊆ carrier G" and "set bs ⊆ carrier
G"
  shows "map (assocs G) as = map (assocs G) bs"

```

*<proof>*

```
lemma (in comm_monoid_cancel) fmset_listassoc_cong:
  assumes "as [~] bs"
    and "set as  $\subseteq$  carrier G" and "set bs  $\subseteq$  carrier G"
  shows "fmset G as = fmset G bs"
<proof>
```

```
lemma (in comm_monoid_cancel) ee_fmset:
  assumes ee: "essentially_equal G as bs"
    and ascarr: "set as  $\subseteq$  carrier G" and bscarr: "set bs  $\subseteq$  carrier G"
  shows "fmset G as = fmset G bs"
<proof>
```

```
lemma (in comm_monoid_cancel) fmset_ee:
  assumes mset: "fmset G as = fmset G bs"
    and ascarr: "set as  $\subseteq$  carrier G" and bscarr: "set bs  $\subseteq$  carrier G"
  shows "essentially_equal G as bs"
<proof>
```

```
lemma (in comm_monoid_cancel) ee_is_fmset:
  assumes "set as  $\subseteq$  carrier G" and "set bs  $\subseteq$  carrier G"
  shows "essentially_equal G as bs = (fmset G as = fmset G bs)"
<proof>
```

### 26.6.2 Interpreting multisets as factorizations

```
lemma (in monoid) mset_fmsetEx:
  assumes elems: " $\bigwedge X. X \in \text{set\_mset } Cs \implies \exists x. P x \wedge X = \text{assocs } G x$ "
  shows " $\exists cs. (\forall c \in \text{set } cs. P c) \wedge \text{fmset } G cs = Cs$ "
<proof>
```

```
lemma (in monoid) mset_wfactorsEx:
  assumes elems: " $\bigwedge X. X \in \text{set\_mset } Cs \implies \exists x. (x \in \text{carrier } G \wedge \text{irreducible } G x) \wedge X = \text{assocs } G x$ "
  shows " $\exists c cs. c \in \text{carrier } G \wedge \text{set } cs \subseteq \text{carrier } G \wedge \text{wfactors } G cs c \wedge \text{fmset } G cs = Cs$ "
<proof>
```

### 26.6.3 Multiplication on multisets

```
lemma (in factorial_monoid) mult_wfactors_fmset:
  assumes afs: "wfactors G as a"
    and bfs: "wfactors G bs b"
    and cfs: "wfactors G cs (a  $\otimes$  b)"
    and carr: "a  $\in$  carrier G" "b  $\in$  carrier G"
      "set as  $\subseteq$  carrier G" "set bs  $\subseteq$  carrier G" "set cs  $\subseteq$  carrier G"
  shows "fmset G cs = fmset G as + fmset G bs"
<proof>
```



```

lemma (in factorial_monoid) mult_factors_fmset:
  assumes afs: "factors G as a"
    and bfs: "factors G bs b"
    and cfs: "factors G cs (a  $\otimes$  b)"
    and "set as  $\subseteq$  carrier G" "set bs  $\subseteq$  carrier G" "set cs  $\subseteq$  carrier
G"
  shows "fmset G cs = fmset G as + fmset G bs"
  <proof>

```

```

lemma (in comm_monoid_cancel) fmset_wfactors_mult:
  assumes mset: "fmset G cs = fmset G as + fmset G bs"
    and carr: "a  $\in$  carrier G" "b  $\in$  carrier G" "c  $\in$  carrier G"
    "set as  $\subseteq$  carrier G" "set bs  $\subseteq$  carrier G" "set cs  $\subseteq$  carrier G"
    and fs: "wfactors G as a" "wfactors G bs b" "wfactors G cs c"
  shows "c  $\sim$  a  $\otimes$  b"
  <proof>

```

#### 26.6.4 Divisibility on multisets

```

lemma (in factorial_monoid) divides_fmsubset:
  assumes ab: "a divides b"
    and afs: "wfactors G as a"
    and bfs: "wfactors G bs b"
    and carr: "a  $\in$  carrier G" "b  $\in$  carrier G" "set as  $\subseteq$  carrier G"
"set bs  $\subseteq$  carrier G"
  shows "fmset G as  $\subseteq$ # fmset G bs"
  <proof>

```

```

lemma (in comm_monoid_cancel) fmsubset_divides:
  assumes msubset: "fmset G as  $\subseteq$ # fmset G bs"
    and afs: "wfactors G as a"
    and bfs: "wfactors G bs b"
    and acarr: "a  $\in$  carrier G"
    and bcarr: "b  $\in$  carrier G"
    and ascarr: "set as  $\subseteq$  carrier G"
    and bscarr: "set bs  $\subseteq$  carrier G"
  shows "a divides b"
  <proof>

```

```

lemma (in factorial_monoid) divides_as_fmsubset:
  assumes "wfactors G as a"
    and "wfactors G bs b"
    and "a  $\in$  carrier G"
    and "b  $\in$  carrier G"
    and "set as  $\subseteq$  carrier G"
    and "set bs  $\subseteq$  carrier G"
  shows "a divides b = (fmset G as  $\subseteq$ # fmset G bs)"
  <proof>

```

Proper factors on multisets

```
lemma (in factorial_monoid) fmset_properfactor:
  assumes asubb: "fmset G as  $\subseteq$ # fmset G bs"
    and anb: "fmset G as  $\neq$  fmset G bs"
    and "wfactors G as a"
    and "wfactors G bs b"
    and "a  $\in$  carrier G"
    and "b  $\in$  carrier G"
    and "set as  $\subseteq$  carrier G"
    and "set bs  $\subseteq$  carrier G"
  shows "properfactor G a b"
  <proof>
```

```
lemma (in factorial_monoid) properfactor_fmset:
  assumes "properfactor G a b"
    and "wfactors G as a"
    and "wfactors G bs b"
    and "a  $\in$  carrier G"
    and "b  $\in$  carrier G"
    and "set as  $\subseteq$  carrier G"
    and "set bs  $\subseteq$  carrier G"
  shows "fmset G as  $\subseteq$ # fmset G bs"
  <proof>
```

```
lemma (in factorial_monoid) properfactor_fmset_ne:
  assumes pf: "properfactor G a b"
    and "wfactors G as a"
    and "wfactors G bs b"
    and "a  $\in$  carrier G"
    and "b  $\in$  carrier G"
    and "set as  $\subseteq$  carrier G"
    and "set bs  $\subseteq$  carrier G"
  shows "fmset G as  $\neq$  fmset G bs"
  <proof>
```

## 26.7 Irreducible Elements are Prime

```
lemma (in factorial_monoid) irreducible_prime:
  assumes pirr: "irreducible G p" and pcarr: "p  $\in$  carrier G"
  shows "prime G p"
  <proof>
```

```
lemma (in factorial_monoid) factors_irreducible_prime:
  assumes pirr: "irreducible G p" and pcarr: "p  $\in$  carrier G"
  shows "prime G p"
  <proof>
```

## 26.8 Greatest Common Divisors and Lowest Common Multiples

### 26.8.1 Definitions

**definition** isgcd :: "('a,\_) monoid\_scheme, 'a, 'a, 'a]  $\Rightarrow$  bool" ("(\_gcdof<sub>v</sub> \_ \_)" [81,81,81] 80)

where "x gcdof<sub>G</sub> a b  $\longleftrightarrow$  x divides<sub>G</sub> a  $\wedge$  x divides<sub>G</sub> b  $\wedge$  ( $\forall y \in \text{carrier } G. (y \text{ divides}_G a \wedge y \text{ divides}_G b \longrightarrow y \text{ divides}_G x)$ )"

**definition** islcm :: "[\_, 'a, 'a, 'a]  $\Rightarrow$  bool" ("(\_lcmof<sub>v</sub> \_ \_)" [81,81,81] 80)

where "x lcmof<sub>G</sub> a b  $\longleftrightarrow$  a divides<sub>G</sub> x  $\wedge$  b divides<sub>G</sub> x  $\wedge$  ( $\forall y \in \text{carrier } G. (a \text{ divides}_G y \wedge b \text{ divides}_G y \longrightarrow x \text{ divides}_G y)$ )"

**definition** somegcd :: "('a,\_) monoid\_scheme  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  'a"

where "somegcd G a b = (SOME x. x  $\in$  carrier G  $\wedge$  x gcdof<sub>G</sub> a b)"

**definition** somelcm :: "('a,\_) monoid\_scheme  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  'a"

where "somelcm G a b = (SOME x. x  $\in$  carrier G  $\wedge$  x lcmof<sub>G</sub> a b)"

**definition** "SomeGcd G A = Lattice.inf (division\_rel G) A"

**locale** gcd\_condition\_monoid = comm\_monoid\_cancel +

assumes gcdof\_exists: "[a  $\in$  carrier G; b  $\in$  carrier G]  $\implies \exists c. c \in \text{carrier } G \wedge c \text{ gcdof } a \ b$ "

**locale** primeness\_condition\_monoid = comm\_monoid\_cancel +

assumes irreducible\_prime: "[a  $\in$  carrier G; irreducible G a]  $\implies \text{prime } G \ a$ "

**locale** divisor\_chain\_condition\_monoid = comm\_monoid\_cancel +

assumes division\_wellfounded: "wf {(x, y). x  $\in$  carrier G  $\wedge$  y  $\in$  carrier G  $\wedge$  properfactor G x y}"

### 26.8.2 Connections to Lattice.thy

**lemma** gcdof\_greatestLower:

fixes G (structure)

assumes carr[simp]: "a  $\in$  carrier G" "b  $\in$  carrier G"

shows "(x  $\in$  carrier G  $\wedge$  x gcdof a b) = greatest (division\_rel G) x (Lower (division\_rel G) {a, b})"

*<proof>*

**lemma** lcmof\_leastUpper:

fixes G (structure)

assumes carr[simp]: "a  $\in$  carrier G" "b  $\in$  carrier G"

shows "(x  $\in$  carrier G  $\wedge$  x lcmof a b) = least (division\_rel G) x (Upper (division\_rel G) {a, b})"

*<proof>*

```
lemma somegcd_meet:
  fixes G (structure)
  assumes carr: "a ∈ carrier G" "b ∈ carrier G"
  shows "somegcd G a b = meet (division_rel G) a b"
<proof>
```

```
lemma (in monoid) isgcd_divides_l:
  assumes "a divides b"
  and "a ∈ carrier G" "b ∈ carrier G"
  shows "a gcdof a b"
<proof>
```

```
lemma (in monoid) isgcd_divides_r:
  assumes "b divides a"
  and "a ∈ carrier G" "b ∈ carrier G"
  shows "b gcdof a b"
<proof>
```

### 26.8.3 Existence of gcd and lcm

```
lemma (in factorial_monoid) gcdof_exists:
  assumes acarr: "a ∈ carrier G"
  and bcarr: "b ∈ carrier G"
  shows "∃c. c ∈ carrier G ∧ c gcdof a b"
<proof>
```

```
lemma (in factorial_monoid) lcmof_exists:
  assumes acarr: "a ∈ carrier G"
  and bcarr: "b ∈ carrier G"
  shows "∃c. c ∈ carrier G ∧ c lcmof a b"
<proof>
```

## 26.9 Conditions for Factoriality

### 26.9.1 Gcd condition

```
lemma (in gcd_condition_monoid) division_weak_lower_semilattice [simp]:
  "weak_lower_semilattice (division_rel G)"
<proof>
```

```
lemma (in gcd_condition_monoid) gcdof_cong_l:
  assumes "a' ~ a" "a gcdof b c" "a' ∈ carrier G" and carr': "a ∈ carrier
G" "b ∈ carrier G" "c ∈ carrier G"
  shows "a' gcdof b c"
<proof>
```

```
lemma (in gcd_condition_monoid) gcd_closed [simp]:
  assumes "a ∈ carrier G" "b ∈ carrier G"
```

```

  shows "somegcd G a b ∈ carrier G"
⟨proof⟩

lemma (in gcd_condition_monoid) gcd_isgcd:
  assumes "a ∈ carrier G" "b ∈ carrier G"
  shows "(somegcd G a b) gcdof a b"
⟨proof⟩

lemma (in gcd_condition_monoid) gcd_exists:
  assumes "a ∈ carrier G" "b ∈ carrier G"
  shows "∃x∈carrier G. x = somegcd G a b"
⟨proof⟩

lemma (in gcd_condition_monoid) gcd_divides_l:
  assumes "a ∈ carrier G" "b ∈ carrier G"
  shows "(somegcd G a b) divides a"
⟨proof⟩

lemma (in gcd_condition_monoid) gcd_divides_r:
  assumes "a ∈ carrier G" "b ∈ carrier G"
  shows "(somegcd G a b) divides b"
⟨proof⟩

lemma (in gcd_condition_monoid) gcd_divides:
  assumes "z divides x" "z divides y"
  and L: "x ∈ carrier G" "y ∈ carrier G" "z ∈ carrier G"
  shows "z divides (somegcd G x y)"
⟨proof⟩

lemma (in gcd_condition_monoid) gcd_cong_l:
  assumes "x ~ x'" "x ∈ carrier G" "x' ∈ carrier G" "y ∈ carrier G"
  shows "somegcd G x y ~ somegcd G x' y"
⟨proof⟩

lemma (in gcd_condition_monoid) gcd_cong_r:
  assumes "y ~ y'" "x ∈ carrier G" "y ∈ carrier G" "y' ∈ carrier G"
  shows "somegcd G x y ~ somegcd G x y'"
⟨proof⟩

lemma (in gcd_condition_monoid) gcdI:
  assumes dvd: "a divides b" "a divides c"
  and others: "∧y. [y∈carrier G; y divides b; y divides c] ⇒ y divides
a"
  and acarr: "a ∈ carrier G" and bcarr: "b ∈ carrier G" and ccarr:
"c ∈ carrier G"
  shows "a ~ somegcd G b c"
⟨proof⟩

lemma (in gcd_condition_monoid) gcdI2:

```

assumes "a gcdof b c" and "a ∈ carrier G" and "b ∈ carrier G" and  
"c ∈ carrier G"

shows "a ~ somegcd G b c"

*<proof>*

lemma (in gcd\_condition\_monoid) SomeGcd\_ex:

assumes "finite A" "A ⊆ carrier G" "A ≠ {}"

shows "∃x ∈ carrier G. x = SomeGcd G A"

*<proof>*

lemma (in gcd\_condition\_monoid) gcd\_assoc:

assumes "a ∈ carrier G" "b ∈ carrier G" "c ∈ carrier G"

shows "somegcd G (somegcd G a b) c ~ somegcd G a (somegcd G b c)"

*<proof>*

lemma (in gcd\_condition\_monoid) gcd\_mult:

assumes acarr: "a ∈ carrier G" and bcarr: "b ∈ carrier G" and ccarr:  
"c ∈ carrier G"

shows "c ⊗ somegcd G a b ~ somegcd G (c ⊗ a) (c ⊗ b)"

*<proof>*

lemma (in monoid) assoc\_subst:

assumes ab: "a ~ b"

and cP: "∀a b. a ∈ carrier G ∧ b ∈ carrier G ∧ a ~ b

→ f a ∈ carrier G ∧ f b ∈ carrier G ∧ f a ~ f b"

and carr: "a ∈ carrier G" "b ∈ carrier G"

shows "f a ~ f b"

*<proof>*

lemma (in gcd\_condition\_monoid) relprime\_mult:

assumes abrelprime: "somegcd G a b ~ 1"

and acrelprime: "somegcd G a c ~ 1"

and carr[simp]: "a ∈ carrier G" "b ∈ carrier G" "c ∈ carrier G"

shows "somegcd G a (b ⊗ c) ~ 1"

*<proof>*

lemma (in gcd\_condition\_monoid) primeness\_condition: "primeness\_condition\_monoid  
G"

*<proof>*

sublocale gcd\_condition\_monoid ⊆ primeness\_condition\_monoid

*<proof>*

## 26.9.2 Divisor chain condition

lemma (in divisor\_chain\_condition\_monoid) wfactors\_exist:

assumes acarr: "a ∈ carrier G"

shows "∃as. set as ⊆ carrier G ∧ wfactors G as a"

*<proof>*

### 26.9.3 Primeness condition

**lemma** (in comm\_monoid\_cancel) multlist\_prime\_pos:  
 assumes aprime: "prime G a" and carr: "a ∈ carrier G"  
 and as: "set as ⊆ carrier G" "a divides (foldr (⊗) as 1)"  
 shows "∃i < length as. a divides (as!i)"  
*<proof>*

**proposition** (in primeness\_condition\_monoid) wfactors\_unique:  
 assumes "wfactors G as a" "wfactors G as' a"  
 and "a ∈ carrier G" "set as ⊆ carrier G" "set as' ⊆ carrier G"  
 shows "essentially\_equal G as as'"  
*<proof>*

### 26.9.4 Application to factorial monoids

Number of factors for wellfoundedness

**definition** factorcount :: "\_ ⇒ 'a ⇒ nat"  
 where "factorcount G a =  
 (THE c. ∀as. set as ⊆ carrier G ∧ wfactors G as a → c = length  
 as)"

**lemma** (in monoid) ee\_length:  
 assumes ee: "essentially\_equal G as bs"  
 shows "length as = length bs"  
*<proof>*

**lemma** (in factorial\_monoid) factorcount\_exists:  
 assumes carr[simp]: "a ∈ carrier G"  
 shows "∃c. ∀as. set as ⊆ carrier G ∧ wfactors G as a → c = length  
 as"  
*<proof>*

**lemma** (in factorial\_monoid) factorcount\_unique:  
 assumes afs: "wfactors G as a"  
 and acarr[simp]: "a ∈ carrier G" and ascarr: "set as ⊆ carrier G"  
 shows "factorcount G a = length as"  
*<proof>*

**lemma** (in factorial\_monoid) divides\_fcount:  
 assumes dvd: "a divides b"  
 and acarr: "a ∈ carrier G"  
 and bcarr: "b ∈ carrier G"  
 shows "factorcount G a ≤ factorcount G b"  
*<proof>*

**lemma** (in factorial\_monoid) associated\_fcount:

```

assumes acarr: "a ∈ carrier G"
  and bcarr: "b ∈ carrier G"
  and asc: "a ~ b"
shows "factorcount G a = factorcount G b"
  ⟨proof⟩

lemma (in factorial_monoid) properfactor_fcount:
  assumes acarr: "a ∈ carrier G" and bcarr:"b ∈ carrier G"
  and pf: "properfactor G a b"
shows "factorcount G a < factorcount G b"
  ⟨proof⟩

sublocale factorial_monoid ⊆ divisor_chain_condition_monoid
  ⟨proof⟩

sublocale factorial_monoid ⊆ primeness_condition_monoid
  ⟨proof⟩

lemma (in factorial_monoid) primeness_condition: "primeness_condition_monoid
G" ⟨proof⟩

lemma (in factorial_monoid) gcd_condition [simp]: "gcd_condition_monoid
G"
  ⟨proof⟩

sublocale factorial_monoid ⊆ gcd_condition_monoid
  ⟨proof⟩

lemma (in factorial_monoid) division_weak_lattice [simp]: "weak_lattice
(division_rel G)"
  ⟨proof⟩

26.10 Factoriality Theorems

theorem factorial_condition_one:
  "divisor_chain_condition_monoid G ∧ primeness_condition_monoid G ↔
factorial_monoid G"
  ⟨proof⟩

theorem factorial_condition_two:
  "divisor_chain_condition_monoid G ∧ gcd_condition_monoid G ↔ factorial_monoid
G"
  ⟨proof⟩

end

theory QuotRing

```



```
imports RingHom
begin
```

## 27 Quotient Rings

### 27.1 Multiplication on Cosets

```
definition rcoset_mult :: "[('a, _) ring_scheme, 'a set, 'a set, 'a set]
⇒ 'a set"
  ("[mod _:] _ ⊗v _" [81,81,81] 80)
  where "rcoset_mult R I A B = (⋃ a∈A. ⋃ b∈B. I +>R (a ⊗R b))"
```

rcoset\_mult fulfils the properties required by congruences

```
lemma (in ideal) rcoset_mult_add:
  assumes "x ∈ carrier R" "y ∈ carrier R"
  shows "[mod I:] (I +> x) ⊗ (I +> y) = I +> (x ⊗ y)"
  ⟨proof⟩
```

### 27.2 Quotient Ring Definition

```
definition FactRing :: "[('a,'b) ring_scheme, 'a set] ⇒ ('a set) ring"
  (infixl "Quot" 65)
  where "FactRing R I =
  (carrier = a_rcosetsR I, mult = rcoset_mult R I,
   one = (I +>R 1R), zero = I, add = set_add R)"
```

```
lemmas FactRing_simps = FactRing_def A_RCOSSETS_defs a_r_coset_def[symmetric]
```

### 27.3 Factorization over General Ideals

The quotient is a ring

```
lemma (in ideal) quotient_is_ring: "ring (R Quot I)"
  ⟨proof⟩
```

This is a ring homomorphism

```
lemma (in ideal) rcos_ring_hom: "((+>) I) ∈ ring_hom R (R Quot I)"
  ⟨proof⟩
```

```
lemma (in ideal) rcos_ring_hom_ring: "ring_hom_ring R (R Quot I) ((+>)
I)"
  ⟨proof⟩
```

The quotient of a cring is also commutative

```
lemma (in ideal) quotient_is_cring:
  assumes "cring R"
  shows "cring (R Quot I)"
  ⟨proof⟩
```

Cosets as a ring homomorphism on crings

```
lemma (in ideal) rcos_ring_hom_cring:
  assumes "cring R"
  shows "ring_hom_cring R (R Quot I) ((+>) I)"
<proof>
```

## 27.4 Factorization over Prime Ideals

The quotient ring generated by a prime ideal is a domain

```
lemma (in primeideal) quotient_is_domain: "domain (R Quot I)"
<proof>
```

Generating right cosets of a prime ideal is a homomorphism on commutative rings

```
lemma (in primeideal) rcos_ring_hom_cring: "ring_hom_cring R (R Quot
I) ((+>) I)"
<proof>
```

## 27.5 Factorization over Maximal Ideals

In a commutative ring, the quotient ring over a maximal ideal is a field. The proof follows “W. Adkins, S. Weintraub: Algebra – An Approach via Module Theory”

```
proposition (in maximalideal) quotient_is_field:
  assumes "cring R"
  shows "field (R Quot I)"
<proof>
```

```
lemma (in ring_hom_ring) trivial_hom_iff:
  "(h ‘ (carrier R) = { 0S }) = (a_kernel R S h = carrier R)"
<proof>
```

```
lemma (in ring_hom_ring) trivial_ker_imp_inj:
  assumes "a_kernel R S h = { 0 }"
  shows "inj_on h (carrier R)"
<proof>
```

```
lemma (in ring_hom_ring) inj_iff_trivial_ker:
  shows "inj_on h (carrier R) ↔ a_kernel R S h = { 0 }"
<proof>
```

```
corollary ring_hom_in_hom:
  assumes "h ∈ ring_hom R S" shows "h ∈ hom R S" and "h ∈ hom (add_monoid
R) (add_monoid S)"
```

*<proof>*

**corollary** set\_add\_hom:

assumes "h ∈ ring\_hom R S" "I ⊆ carrier R" and "J ⊆ carrier R"  
 shows "h ' (I <+><sub>R</sub> J) = h ' I <+><sub>S</sub> h ' J"  
*<proof>*

**corollary** a\_coset\_hom:

assumes "h ∈ ring\_hom R S" "I ⊆ carrier R" "a ∈ carrier R"  
 shows "h ' (a <+<sub>R</sub> I) = h a <+<sub>S</sub> (h ' I)" and "h ' (I <+<sub>R</sub> a) = (h ' I)  
 <+<sub>S</sub> h a"  
*<proof>*

**corollary** (in ring\_hom\_ring) set\_add\_ker\_hom:

assumes "I ⊆ carrier R"  
 shows "h ' (I <+> (a\_kernel R S h)) = h ' I" and "h ' ((a\_kernel R  
 S h) <+> I) = h ' I"  
*<proof>*

**lemma** (in ring\_hom\_ring) non\_trivial\_field\_hom\_imp\_inj:

assumes "field R"  
 shows "h ' (carrier R) ≠ { 0<sub>S</sub> } ⇒ inj\_on h (carrier R)"  
*<proof>*

**lemma** "field R ⇒ cring R"

*<proof>*

**lemma** non\_trivial\_field\_hom\_is\_inj:

assumes "h ∈ ring\_hom R S" and "field R" and "field S" shows "inj\_on  
 h (carrier R)"  
*<proof>*

**lemma** (in ring\_hom\_ring) img\_is\_add\_subgroup:

assumes "subgroup H (add\_monoid R)"  
 shows "subgroup (h ' H) (add\_monoid S)"  
*<proof>*

**lemma** (in ring) ring\_ideal\_imp\_quot\_ideal:

assumes "ideal I R"  
 shows "ideal J R ⇒ ideal ((+>) I ' J) (R Quot I)"  
*<proof>*

**lemma** (in ring\_hom\_ring) ideal\_vimage:

assumes "ideal I S"  
 shows "ideal { r ∈ carrier R. h r ∈ I } R"  
*<proof>*

```

lemma (in ring) canonical_proj_vimage_in_carrier:
  assumes "ideal I R"
  shows "J  $\subseteq$  carrier (R Quot I)  $\implies$   $\bigcup$  J  $\subseteq$  carrier R"
<proof>

lemma (in ring) canonical_proj_vimage_mem_iff:
  assumes "ideal I R" "J  $\subseteq$  carrier (R Quot I)"
  shows " $\bigwedge$ a. a  $\in$  carrier R  $\implies$  (a  $\in$  ( $\bigcup$  J)) = (I +> a  $\in$  J)"
<proof>

corollary (in ring) quot_ideal_imp_ring_ideal:
  assumes "ideal I R"
  shows "ideal J (R Quot I)  $\implies$  ideal ( $\bigcup$  J) R"
<proof>

lemma (in ring) ideal_incl_iff:
  assumes "ideal I R" "ideal J R"
  shows "(I  $\subseteq$  J) = (J = ( $\bigcup$  j  $\in$  J. I +> j))"
<proof>

theorem (in ring) quot_ideal_correspondence:
  assumes "ideal I R"
  shows "bij_betw ( $\lambda$ J. (+>) I ' J) { J. ideal J R  $\wedge$  I  $\subseteq$  J } { J . ideal
J (R Quot I) }"
<proof>

lemma (in cring) quot_domain_imp_primeideal:
  assumes "ideal P R"
  shows "domain (R Quot P)  $\implies$  primeideal P R"
<proof>

lemma (in cring) quot_domain_iff_primeideal:
  assumes "ideal P R"
  shows "domain (R Quot P) = primeideal P R"
<proof>

27.6 Isomorphism

definition
ring_iso :: "_  $\Rightarrow$  _  $\Rightarrow$  ('a  $\Rightarrow$  'b) set"
where "ring_iso R S = { h. h  $\in$  ring_hom R S  $\wedge$  bij_betw h (carrier R)
(carrier S) }"

definition
is_ring_iso :: "_  $\Rightarrow$  _  $\Rightarrow$  bool" (infixr " $\simeq$ " 60)
where "R  $\simeq$  S = (ring_iso R S  $\neq$  {})"

definition
morphic_prop :: "_  $\Rightarrow$  ('a  $\Rightarrow$  bool)  $\Rightarrow$  bool"

```

```

where "morphic_prop R P =
      ((P 1R) ∧
       (∀ r ∈ carrier R. P r) ∧
       (∀ r1 ∈ carrier R. ∀ r2 ∈ carrier R. P (r1 ⊗R r2)) ∧
       (∀ r1 ∈ carrier R. ∀ r2 ∈ carrier R. P (r1 ⊕R r2)))"

```

```

lemma ring_iso_memI:
  fixes R (structure) and S (structure)
  assumes "∧x. x ∈ carrier R ⇒ h x ∈ carrier S"
    and "∧x y. [ x ∈ carrier R; y ∈ carrier R ] ⇒ h (x ⊗ y) = h
x ⊗S h y"
    and "∧x y. [ x ∈ carrier R; y ∈ carrier R ] ⇒ h (x ⊕ y) = h
x ⊕S h y"
    and "h 1 = 1S"
    and "bij_betw h (carrier R) (carrier S)"
  shows "h ∈ ring_iso R S"
  <proof>

```

```

lemma ring_iso_memE:
  fixes R (structure) and S (structure)
  assumes "h ∈ ring_iso R S"
  shows "∧x. x ∈ carrier R ⇒ h x ∈ carrier S"
    and "∧x y. [ x ∈ carrier R; y ∈ carrier R ] ⇒ h (x ⊗ y) = h x ⊗S
h y"
    and "∧x y. [ x ∈ carrier R; y ∈ carrier R ] ⇒ h (x ⊕ y) = h x ⊕S
h y"
    and "h 1 = 1S"
    and "bij_betw h (carrier R) (carrier S)"
  <proof>

```

```

lemma morphic_propI:
  fixes R (structure)
  assumes "P 1"
    and "∧r. r ∈ carrier R ⇒ P r"
    and "∧r1 r2. [ r1 ∈ carrier R; r2 ∈ carrier R ] ⇒ P (r1 ⊗ r2)"
    and "∧r1 r2. [ r1 ∈ carrier R; r2 ∈ carrier R ] ⇒ P (r1 ⊕ r2)"
  shows "morphic_prop R P"
  <proof>

```

```

lemma morphic_propE:
  fixes R (structure)
  assumes "morphic_prop R P"
  shows "P 1"
    and "∧r. r ∈ carrier R ⇒ P r"
    and "∧r1 r2. [ r1 ∈ carrier R; r2 ∈ carrier R ] ⇒ P (r1 ⊗ r2)"
    and "∧r1 r2. [ r1 ∈ carrier R; r2 ∈ carrier R ] ⇒ P (r1 ⊕ r2)"
  <proof>

```

```

lemma (in ring) ring_hom_restrict:
  assumes "f ∈ ring_hom R S" and "∧r. r ∈ carrier R ⇒ f r = g r" shows
  "g ∈ ring_hom R S"
  <proof>

```

```

lemma (in ring) ring_iso_restrict:
  assumes "f ∈ ring_iso R S" and "∧r. r ∈ carrier R ⇒ f r = g r" shows
  "g ∈ ring_iso R S"
  <proof>

```

```

lemma ring_iso_morphic_prop:
  assumes "f ∈ ring_iso R S"
  and "morphic_prop R P"
  and "∧r. P r ⇒ f r = g r"
  shows "g ∈ ring_iso R S"
  <proof>

```

```

lemma (in ring) ring_hom_imp_img_ring:
  assumes "h ∈ ring_hom R S"
  shows "ring (S (| carrier := h ` (carrier R), zero := h 0 |))" (is "ring
  ?h_img")
  <proof>

```

```

lemma (in ring) ring_iso_imp_img_ring:
  assumes "h ∈ ring_iso R S"
  shows "ring (S (| zero := h 0 |))"
  <proof>

```

```

lemma (in cring) ring_iso_imp_img_cring:
  assumes "h ∈ ring_iso R S"
  shows "cring (S (| zero := h 0 |))" (is "cring ?h_img")
  <proof>

```

```

lemma (in domain) ring_iso_imp_img_domain:
  assumes "h ∈ ring_iso R S"
  shows "domain (S (| zero := h 0 |))" (is "domain ?h_img")
  <proof>

```

```

lemma (in field) ring_iso_imp_img_field:
  assumes "h ∈ ring_iso R S"
  shows "field (S (| zero := h 0 |))" (is "field ?h_img")
  <proof>

```

```

lemma ring_iso_same_card: "R ≃ S ⇒ card (carrier R) = card (carrier
  S)"
  <proof>

```

**lemma** ring\_iso\_set\_refl: "id ∈ ring\_iso R R"  
 ⟨proof⟩

**corollary** ring\_iso\_refl: "R ≃ R"  
 ⟨proof⟩

**lemma** ring\_iso\_set\_trans:  
 "[[ f ∈ ring\_iso R S; g ∈ ring\_iso S Q ]] ⇒ (g ∘ f) ∈ ring\_iso R Q"  
 ⟨proof⟩

**corollary** ring\_iso\_trans: "[[ R ≃ S; S ≃ Q ]] ⇒ R ≃ Q"  
 ⟨proof⟩

**lemma** ring\_iso\_set\_sym:  
 assumes "ring R" and h: "h ∈ ring\_iso R S"  
 shows "(inv\_into (carrier R) h) ∈ ring\_iso S R"  
 ⟨proof⟩

**corollary** ring\_iso\_sym:  
 assumes "ring R"  
 shows "R ≃ S ⇒ S ≃ R"  
 ⟨proof⟩

**lemma** (in ring\_hom\_ring) the\_elem\_simp [simp]:  
 "∧x. x ∈ carrier R ⇒ the\_elem (h ‘ ((a\_kernel R S h) +> x)) = h x"  
 ⟨proof⟩

**lemma** (in ring\_hom\_ring) the\_elem\_inj:  
 "∧X Y. [[ X ∈ carrier (R Quot (a\_kernel R S h)); Y ∈ carrier (R Quot (a\_kernel R S h)) ]] ⇒  
 the\_elem (h ‘ X) = the\_elem (h ‘ Y) ⇒ X = Y"  
 ⟨proof⟩

**lemma** (in ring\_hom\_ring) quot\_mem:  
 "∧X. X ∈ carrier (R Quot (a\_kernel R S h)) ⇒ ∃x ∈ carrier R. X = (a\_kernel R S h) +> x"  
 ⟨proof⟩

**lemma** (in ring\_hom\_ring) the\_elem\_wf:  
 "∧X. X ∈ carrier (R Quot (a\_kernel R S h)) ⇒ ∃y ∈ carrier S. (h ‘ X) = { y }"  
 ⟨proof⟩

**corollary** (in ring\_hom\_ring) the\_elem\_wf':  
 "∧X. X ∈ carrier (R Quot (a\_kernel R S h)) ⇒ ∃r ∈ carrier R. (h ‘ X) = { h r }"  
 ⟨proof⟩

**lemma** (in ring\_hom\_ring) the\_elem\_hom:

"( $\lambda X. \text{the\_elem } (h \text{ ' } X) \in \text{ring\_hom } (R \text{ Quot } (a\_kernel \ R \ S \ h)) \ S$ )"  
*<proof>*

**lemma** (in ring\_hom\_ring) the\_elem\_surj:  
 "( $\lambda X. (\text{the\_elem } (h \text{ ' } X)) \text{ ' carrier } (R \text{ Quot } (a\_kernel \ R \ S \ h)) = (h \text{ ' } (\text{carrier } R))$ )"  
*<proof>*

**proposition** (in ring\_hom\_ring) FactRing\_iso\_set\_aux:  
 "( $\lambda X. \text{the\_elem } (h \text{ ' } X) \in \text{ring\_iso } (R \text{ Quot } (a\_kernel \ R \ S \ h)) \ (S \text{ (| carrier := } h \text{ ' } (\text{carrier } R) \text{ |}))$ )"  
*<proof>*

**theorem** (in ring\_hom\_ring) FactRing\_iso\_set:  
 assumes "h ' carrier R = carrier S"  
 shows "( $\lambda X. \text{the\_elem } (h \text{ ' } X) \in \text{ring\_iso } (R \text{ Quot } (a\_kernel \ R \ S \ h)) \ S$ )"  
*<proof>*

**corollary** (in ring\_hom\_ring) FactRing\_iso:  
 assumes "h ' carrier R = carrier S"  
 shows " $R \text{ Quot } (a\_kernel \ R \ S \ h) \simeq S$ "  
*<proof>*

**corollary** (in ring) FactRing\_zeroideal:  
 shows " $R \text{ Quot } \{ 0 \} \simeq R$ " and " $R \simeq R \text{ Quot } \{ 0 \}$ "  
*<proof>*

**lemma** (in ring\_hom\_ring) img\_is\_ring: "ring (S (| carrier := h ' (carrier R) |))"  
*<proof>*

**lemma** (in ring\_hom\_ring) img\_is\_cring:  
 assumes "cring S"  
 shows "cring (S (| carrier := h ' (carrier R) |))"  
*<proof>*

**lemma** (in ring\_hom\_ring) img\_is\_domain:  
 assumes "domain S"  
 shows "domain (S (| carrier := h ' (carrier R) |))"  
*<proof>*

**proposition** (in ring\_hom\_ring) primeideal\_vimage:  
 assumes "cring R"  
 shows " $\text{primeideal } P \ S \implies \text{primeideal } \{ r \in \text{carrier } R. h \ r \in P \} \ R$ "  
*<proof>*

**end**



```

theory IntRing
imports "HOL-Computational_Algebra.Primes" QuotRing Lattice
begin

```

## 28 The Ring of Integers

### 28.1 Some properties of int

```

lemma dvds_eq_abseq:
  fixes k :: int
  shows "1 dvd k  $\wedge$  k dvd 1  $\longleftrightarrow$  |1| = |k|"
  <proof>

```

### 28.2 $\mathcal{Z}$ : The Set of Integers as Algebraic Structure

```

abbreviation int_ring :: "int ring" (" $\mathcal{Z}$ ")
  where "int_ring  $\equiv$  ((carrier = UNIV, mult = (*), one = 1, zero = 0, add = (+)))"

```

```

lemma int_Zcarr [intro!, simp]: "k  $\in$  carrier  $\mathcal{Z}$ "
  <proof>

```

```

lemma int_is_cring: "cring  $\mathcal{Z}$ "
  <proof>

```

### 28.3 Interpretations

Since definitions of derived operations are global, their interpretation needs to be done as early as possible — that is, with as few assumptions as possible.

```

interpretation int: monoid  $\mathcal{Z}$ 
  rewrites "carrier  $\mathcal{Z}$  = UNIV"
  and "mult  $\mathcal{Z}$  x y = x * y"
  and "one  $\mathcal{Z}$  = 1"
  and "pow  $\mathcal{Z}$  x n = x^n"
  <proof>

```

```

interpretation int: comm_monoid  $\mathcal{Z}$ 
  rewrites "finprod  $\mathcal{Z}$  f A = prod f A"
  <proof>

```

```

interpretation int: abelian_monoid  $\mathcal{Z}$ 
  rewrites int_carrier_eq: "carrier  $\mathcal{Z}$  = UNIV"
  and int_zero_eq: "zero  $\mathcal{Z}$  = 0"
  and int_add_eq: "add  $\mathcal{Z}$  x y = x + y"
  and int_finsum_eq: "finsum  $\mathcal{Z}$  f A = sum f A"
  <proof>

```

**interpretation int:** abelian\_group  $\mathcal{Z}$

```
rewrites "carrier  $\mathcal{Z}$  = UNIV"
  and "zero  $\mathcal{Z}$  = 0"
  and "add  $\mathcal{Z}$  x y = x + y"
  and "finsum  $\mathcal{Z}$  f A = sum f A"
  and int_a_inv_eq: "a_inv  $\mathcal{Z}$  x = - x"
  and int_a_minus_eq: "a_minus  $\mathcal{Z}$  x y = x - y"
<proof>
```

```
interpretation int: "domain"  $\mathcal{Z}$ 
rewrites "carrier  $\mathcal{Z}$  = UNIV"
  and "zero  $\mathcal{Z}$  = 0"
  and "add  $\mathcal{Z}$  x y = x + y"
  and "finsum  $\mathcal{Z}$  f A = sum f A"
  and "a_inv  $\mathcal{Z}$  x = - x"
  and "a_minus  $\mathcal{Z}$  x y = x - y"
<proof>
```

Removal of occurrences of UNIV in interpretation result — experimental.

**lemma UNIV:**

```
"x ∈ UNIV ↔ True"
"A ⊆ UNIV ↔ True"
"(∀x ∈ UNIV. P x) ↔ (∀x. P x)"
"(∃x ∈ UNIV. P x) ↔ (∃x. P x)"
"(True → Q) ↔ Q"
"(True ⇒ PROP R) ≡ PROP R"
<proof>
```

```
interpretation int :
partial_order "(carrier = UNIV::int set, eq = (=), le = (≤))"
rewrites "carrier ((carrier = UNIV::int set, eq = (=), le = (≤)) = UNIV"
  and "le ((carrier = UNIV::int set, eq = (=), le = (≤)) x y = (x ≤
y)"
  and "lless ((carrier = UNIV::int set, eq = (=), le = (≤)) x y = (x
< y)"
<proof>
```

```
interpretation int :
lattice "(carrier = UNIV::int set, eq = (=), le = (≤))"
rewrites "join ((carrier = UNIV::int set, eq = (=), le = (≤)) x y = max
x y"
  and "meet ((carrier = UNIV::int set, eq = (=), le = (≤)) x y = min
x y"
<proof>
```

```
interpretation int :
total_order "(carrier = UNIV::int set, eq = (=), le = (≤))"
```

*<proof>*

## 28.4 Generated Ideals of $\mathcal{Z}$

**lemma** int\_Idl: "Idl $_{\mathcal{Z}}$  {a} = {x \* a | x. True}"  
*<proof>*

**lemma** multiples\_principalideal: "principalideal {x \* a | x. True }  $\mathcal{Z}$ "  
*<proof>*

**lemma** prime\_primeideal:  
 assumes prime: "Factorial\_Ring.prime p"  
 shows "primeideal (Idl $_{\mathcal{Z}}$  {p})  $\mathcal{Z}$ "  
*<proof>*

## 28.5 Ideals and Divisibility

**lemma** int\_Idl\_subset\_ideal: "Idl $_{\mathcal{Z}}$  {k}  $\subseteq$  Idl $_{\mathcal{Z}}$  {l} = (k  $\in$  Idl $_{\mathcal{Z}}$  {l})"  
*<proof>*

**lemma** Idl\_subset\_eq\_dvd: "Idl $_{\mathcal{Z}}$  {k}  $\subseteq$  Idl $_{\mathcal{Z}}$  {l}  $\longleftrightarrow$  l dvd k"  
*<proof>*

**lemma** dvds\_eq\_Idl: "l dvd k  $\wedge$  k dvd l  $\longleftrightarrow$  Idl $_{\mathcal{Z}}$  {k} = Idl $_{\mathcal{Z}}$  {l}"  
*<proof>*

**lemma** Idl\_eq\_abs: "Idl $_{\mathcal{Z}}$  {k} = Idl $_{\mathcal{Z}}$  {l}  $\longleftrightarrow$  |l| = |k|"  
*<proof>*

## 28.6 Ideals and the Modulus

**definition** ZMod :: "int  $\Rightarrow$  int  $\Rightarrow$  int set"  
 where "ZMod k r = (Idl $_{\mathcal{Z}}$  {k})  $\rightarrow_{\mathcal{Z}}$  r"

**lemmas** ZMod\_defs =  
 ZMod\_def genideal\_def

**lemma** rcos\_zfact:  
 assumes kIl: "k  $\in$  ZMod l r"  
 shows " $\exists$ x. k = x \* l + r"  
*<proof>*

**lemma** ZMod\_imp\_zmod:  
 assumes zmods: "ZMod m a = ZMod m b"  
 shows "a mod m = b mod m"  
*<proof>*

**lemma** ZMod\_mod: "ZMod m a = ZMod m (a mod m)"  
*<proof>*

```

lemma zmod_imp_ZMod:
  assumes modeq: "a mod m = b mod m"
  shows "ZMod m a = ZMod m b"
  ⟨proof⟩

corollary ZMod_eq_mod: "ZMod m a = ZMod m b  $\longleftrightarrow$  a mod m = b mod m"
  ⟨proof⟩

```

## 28.7 Factorization

```

definition ZFact :: "int  $\Rightarrow$  int set ring"
  where "ZFact k =  $\mathcal{Z}$  Quot (Idl $_{\mathcal{Z}}$  {k})"

```

```

lemmas ZFact_defs = ZFact_def FactRing_def

```

```

lemma ZFact_is_cring: "cring (ZFact k)"
  ⟨proof⟩

```

```

lemma ZFact_zero: "carrier (ZFact 0) = ( $\bigcup$  a. {a})"
  ⟨proof⟩

```

```

lemma ZFact_one: "carrier (ZFact 1) = {UNIV}"
  ⟨proof⟩

```

```

lemma ZFact_prime_is_domain:
  assumes pprime: "Factorial_Ring.prime p"
  shows "domain (ZFact p)"
  ⟨proof⟩

```

```

end

```

```

theory Weak_Morphisms
  imports QuotRing

```

```

begin

```

## 29 Weak Morphisms

The definition of ring isomorphism, as well as the definition of group isomorphism, doesn't enforce any algebraic constraint to the structure of the schemes involved. This seems unnatural, but it turns out to be very useful: in order to prove that a scheme B satisfy certain algebraic constraints, it's sufficient to prove those for a scheme A and show the existence of an isomorphism between A and B. In this section, we explore this idea in a different way: given a scheme A and a function f, we build a scheme B with an algebraic structure of same strength as A where f is an homomorphism from A to B.

## 29.1 Definitions

**locale** weak\_group\_morphism = normal H G for f and H and G (structure)  
 +  
 assumes inj\_mod\_subgroup: " $\llbracket a \in \text{carrier } G; b \in \text{carrier } G \rrbracket \implies f a = f b \iff a \otimes (\text{inv } b) \in H$ "

**locale** weak\_ring\_morphism = ideal I R for f and I and R (structure) +  
 assumes inj\_mod\_ideal: " $\llbracket a \in \text{carrier } R; b \in \text{carrier } R \rrbracket \implies f a = f b \iff a \ominus b \in I$ "

**definition** image\_group :: "('a  $\Rightarrow$  'b)  $\Rightarrow$  ('a, 'c) monoid\_scheme  $\Rightarrow$  'b monoid"  
 where "image\_group f G  $\equiv$   
 ( $\mid$  carrier = f ` (carrier G),  
 mult = ( $\lambda$  b. f ((inv\_into (carrier G) f a)  $\otimes_G$  (inv\_into (carrier G) f b))),  
 one = f 1<sub>G</sub>  $\mid$ )"

**definition** image\_ring :: "('a  $\Rightarrow$  'b)  $\Rightarrow$  ('a, 'c) ring\_scheme  $\Rightarrow$  'b ring"  
 where "image\_ring f R  $\equiv$  monoid.extend (image\_group f R)  
 ( $\mid$  zero = f 0<sub>R</sub>,  
 add = ( $\lambda$  b. f ((inv\_into (carrier R) f a)  $\oplus_R$  (inv\_into (carrier R) f b)))  $\mid$ )"

## 29.2 Weak Group Morphisms

**lemma** image\_group\_carrier: "carrier (image\_group f G) = f ` (carrier G)"  
*<proof>*

**lemma** image\_group\_one: "one (image\_group f G) = f 1<sub>G</sub>"  
*<proof>*

**lemma** weak\_group\_morphismsI:  
 assumes "H  $\triangleleft$  G" and " $\bigwedge a b. \llbracket a \in \text{carrier } G; b \in \text{carrier } G \rrbracket \implies f a = f b \iff a \otimes_G (\text{inv}_G b) \in H$ "  
 shows "weak\_group\_morphism f H G"  
*<proof>*

**lemma** image\_group\_truncate:  
 fixes R :: "('a, 'b) monoid\_scheme"  
 shows "monoid.truncate (image\_group f R) = image\_group f (monoid.truncate R)"  
*<proof>*

**lemma** image\_ring\_truncate: "monoid.truncate (image\_ring f R) = image\_group f R"  
*<proof>*

**lemma** (in ring) weak\_add\_group\_morphism:  
 assumes "weak\_ring\_morphism f I R" shows "weak\_group\_morphism f I (add\_monoid R)"  
*<proof>*

**lemma** (in group) weak\_group\_morphism\_range:  
 assumes "weak\_group\_morphism f H G" and "a ∈ carrier G" shows "f ` (H #> a) = { f a }"  
*<proof>*

**lemma** (in group) vimage\_eq\_rcoset:  
 assumes "weak\_group\_morphism f H G" and "a ∈ carrier G"  
 shows "{ b ∈ carrier G. f b = f a } = H #> a" and "{ b ∈ carrier G. f b = f a } = a <# H"  
*<proof>*

**lemma** (in group) weak\_group\_morphism\_ker:  
 assumes "weak\_group\_morphism f H G" shows "kernel G (image\_group f G) f = H"  
*<proof>*

**lemma** (in group) weak\_group\_morphism\_inv\_into:  
 assumes "weak\_group\_morphism f H G" and "a ∈ carrier G"  
 obtains h h' where "h ∈ H" "inv\_into (carrier G) f (f a) = h ⊗ a"  
 and "h' ∈ H" "inv\_into (carrier G) f (f a) = a ⊗ h"  
*<proof>*

**proposition** (in group) weak\_group\_morphism\_is\_iso:  
 assumes "weak\_group\_morphism f H G" shows "(λx. the\_elem (f ` x)) ∈ iso (G Mod H) (image\_group f G)"  
*<proof>*

**corollary** (in group) image\_group\_is\_group:  
 assumes "weak\_group\_morphism f H G" shows "group (image\_group f G)"  
*<proof>*

**corollary** (in group) weak\_group\_morphism\_is\_hom:  
 assumes "weak\_group\_morphism f H G" shows "f ∈ hom G (image\_group f G)"  
*<proof>*

**corollary** (in group) weak\_group\_morphism\_group\_hom:  
 assumes "weak\_group\_morphism f H G" shows "group\_hom G (image\_group f G) f"  
*<proof>*

### 29.3 Weak Ring Morphisms

**lemma** image\_ring\_carrier: "carrier (image\_ring f R) = f ` (carrier R)"

*<proof>*

**lemma** image\_ring\_one: "one (image\_ring f R) = f 1<sub>R</sub>"  
*<proof>*

**lemma** image\_ring\_zero: "zero (image\_ring f R) = f 0<sub>R</sub>"  
*<proof>*

**lemma** weak\_ring\_morphismI:  
 assumes "ideal I R" and " $\bigwedge a b. [ a \in \text{carrier } R; b \in \text{carrier } R ] \implies$   
 $f a = f b \iff a \ominus_R b \in I$ "  
 shows "weak\_ring\_morphism f I R"  
*<proof>*

**lemma** (in ring) weak\_ring\_morphism\_range:  
 assumes "weak\_ring\_morphism f I R" and "a ∈ carrier R" shows "f ‘  
 (I +> a) = { f a }"  
*<proof>*

**lemma** (in ring) vimage\_eq\_a\_rcoset:  
 assumes "weak\_ring\_morphism f I R" and "a ∈ carrier R" shows "{ b  
 ∈ carrier R. f b = f a } = I +> a"  
*<proof>*

**lemma** (in ring) weak\_ring\_morphism\_ker:  
 assumes "weak\_ring\_morphism f I R" shows "a\_kernel R (image\_ring f  
 R) f = I"  
*<proof>*

**lemma** (in ring) weak\_ring\_morphism\_inv\_into:  
 assumes "weak\_ring\_morphism f I R" and "a ∈ carrier R"  
 obtains i where "i ∈ I" "inv\_into (carrier R) f (f a) = i ⊕ a"  
*<proof>*

**proposition** (in ring) weak\_ring\_morphism\_is\_iso:  
 assumes "weak\_ring\_morphism f I R" shows "(λx. the\_elem (f ‘ x)) ∈  
 ring\_iso (R Quot I) (image\_ring f R)"  
*<proof>*

**corollary** (in ring) image\_ring\_zero':  
 assumes "weak\_ring\_morphism f I R" shows "the\_elem (f ‘ 0<sub>R</sub> Quot I)  
 = 0<sub>image\_ring f R</sub>"  
*<proof>*

**corollary** (in ring) image\_ring\_is\_ring:  
 assumes "weak\_ring\_morphism f I R" shows "ring (image\_ring f R)"  
*<proof>*

**corollary** (in ring) image\_ring\_is\_field:

```

  assumes "weak_ring_morphism f I R" and "field (R Quot I)" shows "field
(image_ring f R)"
  <proof>

```

```

corollary (in ring) weak_ring_morphism_is_hom:
  assumes "weak_ring_morphism f I R" shows "f ∈ ring_hom R (image_ring
f R)"
  <proof>

```

```

corollary (in ring) weak_ring_morphism_ring_hom:
  assumes "weak_ring_morphism f I R" shows "ring_hom_ring R (image_ring
f R) f"
  <proof>

```

## 29.4 Injective Functions

If the function is injective, we don't need to impose any algebraic restriction to the input scheme in order to state an isomorphism.

```

lemma inj_imp_image_group_iso:
  assumes "inj_on f (carrier G)" shows "f ∈ iso G (image_group f G)"
  <proof>

```

```

lemma inj_imp_image_group_inv_iso:
  assumes "inj f" shows "Hilbert_Choice.inv f ∈ iso (image_group f G)
G"
  <proof>

```

```

lemma inj_imp_image_ring_iso:
  assumes "inj_on f (carrier R)" shows "f ∈ ring_iso R (image_ring f
R)"
  <proof>

```

```

lemma inj_imp_image_ring_inv_iso:
  assumes "inj f" shows "Hilbert_Choice.inv f ∈ ring_iso (image_ring
f R) R"
  <proof>

```

```

lemma (in group) inj_imp_image_group_is_group:
  assumes "inj_on f (carrier G)" shows "group (image_group f G)"
  <proof>

```

```

lemma (in ring) inj_imp_image_ring_is_ring:
  assumes "inj_on f (carrier R)" shows "ring (image_ring f R)"
  <proof>

```

```

lemma (in domain) inj_imp_image_ring_is_domain:
  assumes "inj_on f (carrier R)" shows "domain (image_ring f R)"
  <proof>

```



```

lemma (in field) inj_imp_image_ring_is_field:
  assumes "inj_on f (carrier R)" shows "field (image_ring f R)"
  <proof>

```

## 30 Examples

In a lot of different contexts, the lack of dependent types make some definitions quite complicated. The tools developed in this theory give us a way to change the type of a scheme and preserve all of its algebraic properties. We show, in this section, how to make use of this feature in order to solve the problem mentioned above.

### 30.1 Direct Product

```

abbreviation nil_monoid :: "('a list) monoid"
  where "nil_monoid  $\equiv$  ( $\mid$  carrier = { [] }, mult = ( $\lambda$ a b. []), one = []
   $\mid$ )"

```

```

definition DirProd_list :: "(( $\prime$ a,  $\prime$ b) monoid_scheme) list  $\Rightarrow$  ( $\prime$ a list)
monoid"
  where "DirProd_list Gs = foldr ( $\lambda$ G H. image_group ( $\lambda$ (x, xs). x # xs)
(G  $\times$   $\times$  H)) Gs nil_monoid"

```

#### 30.1.1 Basic Properties

```

lemma DirProd_list_carrier:
  shows "carrier (DirProd_list (G # Gs)) = ( $\lambda$ (x, xs). x # xs) ' (carrier
G  $\times$  carrier (DirProd_list Gs))"
  <proof>

```

```

lemma DirProd_list_one:
  shows "one (DirProd_list Gs) = foldr ( $\lambda$ G tl. (one G) # tl) Gs []"
  <proof>

```

```

lemma DirProd_list_carrier_mem:
  assumes "gs  $\in$  carrier (DirProd_list Gs)"
  shows "length gs = length Gs" and " $\wedge$ i. i < length Gs  $\Rightarrow$  (gs ! i)
 $\in$  carrier (Gs ! i)"
  <proof>

```

```

lemma DirProd_list_carrier_memI:
  assumes "length gs = length Gs" and " $\wedge$ i. i < length Gs  $\Rightarrow$  (gs ! i)
 $\in$  carrier (Gs ! i)"
  shows "gs  $\in$  carrier (DirProd_list Gs)"
  <proof>

```

```

lemma inj_on_DirProd_carrier:
  shows "inj_on ( $\lambda$ (g, gs). g # gs) (carrier (G  $\times$   $\times$  (DirProd_list Gs)))"

```

*<proof>*

```
lemma DirProd_list_is_group:
  assumes "\i. i < length Gs ==> group (Gs ! i)" shows "group (DirProd_list
Gs)"
  <proof>
```

```
lemma DirProd_list_iso:
  "(λ(g, gs). g # gs) ∈ iso (G ×× (DirProd_list Gs)) (DirProd_list (G
# Gs))"
  <proof>
```

end

```
theory Ideal_Product
  imports Ideal
begin
```

## 31 Product of Ideals

In this section, we study the structure of the set of ideals of a given ring.

```
inductive_set
  ideal_prod :: "[ ('a, 'b) ring_scheme, 'a set, 'a set ] => 'a set" (infixl
".₂" 80)
  for R and I and J where
  prod: "[ i ∈ I; j ∈ J ] ==> i ⊗R j ∈ ideal_prod R I J"
  | sum: "[ s1 ∈ ideal_prod R I J; s2 ∈ ideal_prod R I J ] ==> s1 ⊕R
s2 ∈ ideal_prod R I J"
```

```
definition ideals_set :: "('a, 'b) ring_scheme => ('a set) ring"
  where "ideals_set R = (| carrier = { I. ideal I R },
  mult = ideal_prod R,
  one = carrier R,
  zero = { 0R },
  add = set_add R |)"
```

### 31.1 Basic Properties

```
lemma (in ring) ideal_prod_in_carrier:
  assumes "ideal I R" "ideal J R"
  shows "I · J ⊆ carrier R"
  <proof>
```

```
lemma (in ring) ideal_prod_inter:
  assumes "ideal I R" "ideal J R"
  shows "I · J ⊆ I ∩ J"
  <proof>
```

```
lemma (in ring) ideal_prod_is_ideal:
  assumes "ideal I R" "ideal J R"
  shows "ideal (I · J) R"
<proof>
```

```
lemma (in ring) ideal_prod_eq_genideal:
  assumes "ideal I R" "ideal J R"
  shows "I · J = Id1 (I <#> J)"
<proof>
```

```
lemma (in ring) ideal_prod_simp:
  assumes "ideal I R" "ideal J R"
  shows "I = I <+> (I · J)"
<proof>
```

```
lemma (in ring) ideal_prod_one:
  assumes "ideal I R"
  shows "I · (carrier R) = I"
<proof>
```

```
lemma (in ring) ideal_prod_zero:
  assumes "ideal I R"
  shows "I · { 0 } = { 0 }"
<proof>
```

```
lemma (in ring) ideal_prod_assoc:
  assumes "ideal I R" "ideal J R" "ideal K R"
  shows "(I · J) · K = I · (J · K)"
<proof>
```

```
lemma (in ring) ideal_prod_r_distr:
  assumes "ideal I R" "ideal J R" "ideal K R"
  shows "I · (J <+> K) = (I · J) <+> (I · K)"
<proof>
```

```
lemma (in cring) ideal_prod_commute:
  assumes "ideal I R" "ideal J R"
  shows "I · J = J · I"
<proof>
```

The following result would also be true for locale ring

```
lemma (in cring) ideal_prod_distr:
  assumes "ideal I R" "ideal J R" "ideal K R"
  shows "I · (J <+> K) = (I · J) <+> (I · K)"
  and "(J <+> K) · I = (J · I) <+> (K · I)"
<proof>
```

```

lemma (in cring) ideal_prod_eq_inter:
  assumes "ideal I R" "ideal J R"
    and "I <+> J = carrier R"
  shows "I · J = I ∩ J"
<proof>

```

### 31.2 Structure of the Set of Ideals

We focus on commutative rings for convenience.

```

lemma (in cring) ideals_set_is_semiring: "semiring (ideals_set R)"
<proof>

```

```

lemma (in cring) ideals_set_is_comm_monoid: "comm_monoid (ideals_set R)"
<proof>

```

```

lemma (in cring) ideal_prod_eq_Inter_aux:
  assumes "I: {..(Suc n)} → { J. ideal J R }"
    and "∧i j. [ i ≤ Suc n; j ≤ Suc n ] ⇒
           i ≠ j ⇒ (I i) <+> (I j) = carrier R"
  shows "(⊗ (ideals_set R) k ∈ {..n}. I k) <+> (I (Suc n)) = carrier R"
<proof>

```

```

theorem (in cring) ideal_prod_eq_Inter:
  assumes "I: {..n :: nat} → { J. ideal J R }"
    and "∧i j. [ i ∈ {..n}; j ∈ {..n} ] ⇒ i ≠ j ⇒ (I i) <+> (I j)
= carrier R"
  shows "(⊗ (ideals_set R) k ∈ {..n}. I k) = (∩ k ∈ {..n}. I k)" <proof>

```

```

corollary (in cring) inter_plus_ideal_eq_carrier:
  assumes "∧i. i ≤ Suc n ⇒ ideal (I i) R"
    and "∧i j. [ i ≤ Suc n; j ≤ Suc n; i ≠ j ] ⇒ I i <+> I j = carrier R"
  shows "(∩ i ≤ n. I i) <+> (I (Suc n)) = carrier R"
<proof>

```

```

corollary (in cring) inter_plus_ideal_eq_carrier_arbitrary:
  assumes "∧i. i ≤ Suc n ⇒ ideal (I i) R"
    and "∧i j. [ i ≤ Suc n; j ≤ Suc n; i ≠ j ] ⇒ I i <+> I j = carrier R"
  and "j ≤ Suc n"
  shows "(∩ i ∈ ({..(Suc n)} - { j }). I i) <+> (I j) = carrier R"
<proof>

```

### 31.3 Another Characterization of Prime Ideals

With product of ideals being defined, we can give another definition of a prime ideal

```

lemma (in ring) primeideal_divides_ideal_prod:
  assumes "primeideal P R" "ideal I R" "ideal J R"
    and "I · J ⊆ P"
  shows "I ⊆ P ∨ J ⊆ P"
⟨proof⟩

lemma (in cring) divides_ideal_prod_imp_primeideal:
  assumes "ideal P R"
    and "P ≠ carrier R"
    and "∧ I J. [ ideal I R; ideal J R; I · J ⊆ P ] ⇒ I ⊆ P ∨ J ⊆ P"
  shows "primeideal P R"
⟨proof⟩

end

```

```

theory Chinese_Remainder
  imports Weak_Morphisms Ideal_Product

begin

```

## 32 Direct Product of Rings

### 32.1 Definitions

```

definition RDirProd :: "('a, 'n) ring_scheme ⇒ ('b, 'm) ring_scheme ⇒
('a × 'b) ring"
  where "RDirProd R S = monoid.extend (R ×× S)
    (| zero = one ((add_monoid R) ×× (add_monoid S)),
      add = mult ((add_monoid R) ×× (add_monoid S)) |)"

abbreviation nil_ring :: "('a list) ring"
  where "nil_ring ≡ monoid.extend nil_monoid (| zero = [], add = (λa b.
[]) |)"

definition RDirProd_list :: "((('a, 'n) ring_scheme) list ⇒ ('a list) ring)"
  where "RDirProd_list Rs = foldr (λR S. image_ring (λ(a, as). a # as)
(RDirProd R S)) Rs nil_ring"

```

### 32.2 Basic Properties

```

lemma RDirProd_carrier: "carrier (RDirProd R S) = carrier R × carrier
S"
⟨proof⟩

lemma RDirProd_add_monoid [simp]: "add_monoid (RDirProd R S) = (add_monoid
R) ×× (add_monoid S)"
⟨proof⟩

```

```

lemma RDirProd_ring:
  assumes "ring R" and "ring S" shows "ring (RDirProd R S)"
  <proof>

lemma RDirProd_iso1:
  "(λ(x, y). (y, x)) ∈ ring_iso (RDirProd R S) (RDirProd S R)"
  <proof>

lemma RDirProd_iso2:
  "(λ(x, (y, z)). ((x, y), z)) ∈ ring_iso (RDirProd R (RDirProd S T))
  (RDirProd (RDirProd R S) T)"
  <proof>

lemma RDirProd_iso3:
  "(λ((x, y), z). (x, (y, z))) ∈ ring_iso (RDirProd (RDirProd R S) T)
  (RDirProd R (RDirProd S T))"
  <proof>

lemma RDirProd_iso4:
  assumes "f ∈ ring_iso R S" shows "(λ(r, t). (f r, t)) ∈ ring_iso (RDirProd
  R T) (RDirProd S T)"
  <proof>

lemma RDirProd_iso5:
  assumes "f ∈ ring_iso S T" shows "(λ(r, s). (r, f s)) ∈ ring_iso (RDirProd
  R S) (RDirProd R T)"
  <proof>

lemma RDirProd_iso6:
  assumes "f ∈ ring_iso R R'" and "g ∈ ring_iso S S'"
  shows "(λ(r, s). (f r, g s)) ∈ ring_iso (RDirProd R S) (RDirProd R'
  S)"
  <proof>

lemma RDirProd_iso7:
  shows "(λa. (a, [])) ∈ ring_iso R (RDirProd R nil_ring)"
  <proof>

lemma RDirProd_hom1:
  shows "(λa. (a, a)) ∈ ring_hom R (RDirProd R R)"
  <proof>

lemma RDirProd_hom2:
  assumes "f ∈ ring_hom S T"
  shows "(λ(x, y). (x, f y)) ∈ ring_hom (RDirProd R S) (RDirProd R T)"
  and "(λ(x, y). (f x, y)) ∈ ring_hom (RDirProd S R) (RDirProd T R)"
  <proof>

lemma RDirProd_hom3:

```

```

  assumes "f ∈ ring_hom R R'" and "g ∈ ring_hom S S'"
  shows "(λ(r, s). (f r, g s)) ∈ ring_hom (RDirProd R S) (RDirProd R'
S')"
  <proof>

```

### 32.3 Direct Product of a List of Rings

```

lemma RDirProd_list_nil [simp]: "RDirProd_list [] = nil_ring"
  <proof>

```

```

lemma nil_ring_simps [simp]:
  "carrier nil_ring = { [] }" and "one nil_ring = []" and "zero nil_ring
= []"
  <proof>

```

```

lemma RDirProd_list_truncate:
  shows "monoid.truncate (RDirProd_list Rs) = DirProd_list Rs"
  <proof>

```

```

lemma RDirProd_list_carrier_def':
  shows "carrier (RDirProd_list Rs) = carrier (DirProd_list Rs)"
  <proof>

```

```

lemma RDirProd_list_carrier:
  shows "carrier (RDirProd_list (G # Gs)) = (λ(x, xs). x # xs) ' (carrier
G × carrier (RDirProd_list Gs))"
  <proof>

```

```

lemma RDirProd_list_one:
  shows "one (RDirProd_list Rs) = foldr (λR tl. (one R) # tl) Rs []"
  <proof>

```

```

lemma RDirProd_list_zero:
  shows "zero (RDirProd_list Rs) = foldr (λR tl. (zero R) # tl) Rs []"
  <proof>

```

```

lemma RDirProd_list_zero':
  shows "zero (RDirProd_list (R # Rs)) = (zero R) # (zero (RDirProd_list
Rs))"
  <proof>

```

```

lemma RDirProd_list_carrier_mem:
  assumes "as ∈ carrier (RDirProd_list Rs)"
  shows "length as = length Rs" and "∧i. i < length Rs ⇒ (as ! i)
∈ carrier (Rs ! i)"
  <proof>

```

```

lemma RDirProd_list_carrier_memI:
  assumes "length as = length Rs" and "∧i. i < length Rs ⇒ (as ! i)

```

```

∈ carrier (Rs ! i)"
  shows "as ∈ carrier (RDirProd_list Rs)"
  ⟨proof⟩

lemma inj_on_RDirProd_carrier:
  shows "inj_on (λ(a, as). a # as) (carrier (RDirProd R (RDirProd_list
Rs)))"
  ⟨proof⟩

lemma RDirProd_list_is_ring:
  assumes "∧i. i < length Rs ⇒ ring (Rs ! i)" shows "ring (RDirProd_list
Rs)"
  ⟨proof⟩

lemma RDirProd_list_iso1:
  "(λ(a, as). a # as) ∈ ring_iso (RDirProd R (RDirProd_list Rs)) (RDirProd_list
(R # Rs))"
  ⟨proof⟩

lemma RDirProd_list_iso2:
  "Hilbert_Choice.inv (λ(a, as). a # as) ∈ ring_iso (RDirProd_list (R
# Rs)) (RDirProd R (RDirProd_list Rs))"
  ⟨proof⟩

lemma RDirProd_list_iso3:
  "(λa. [ a ]) ∈ ring_iso R (RDirProd_list [ R ])"
  ⟨proof⟩

lemma RDirProd_list_hom1:
  "(λ(a, as). a # as) ∈ ring_hom (RDirProd R (RDirProd_list Rs)) (RDirProd_list
(R # Rs))"
  ⟨proof⟩

lemma RDirProd_list_hom2:
  assumes "f ∈ ring_hom R S" shows "(λa. [ f a ]) ∈ ring_hom R (RDirProd_list
[ S ])"
  ⟨proof⟩

```

## 33 Chinese Remainder Theorem

### 33.1 Definitions

```

abbreviation (in ring) canonical_proj :: "'a set ⇒ 'a set ⇒ 'a ⇒ 'a
set × 'a set"
  where "canonical_proj I J ≡ (λa. (I +> a, J +> a))"

```

```

definition (in ring) canonical_proj_ext :: "(nat ⇒ 'a set) ⇒ nat ⇒ 'a
⇒ ('a set) list"
  where "canonical_proj_ext I n = (λa. map (λi. (I i) +> a) [0..< Suc

```



n])"

### 33.2 Chinese Remainder Simple

```
lemma (in ring) canonical_proj_is_surj:
  assumes "ideal I R" "ideal J R" and "I <+> J = carrier R"
  shows "(canonical_proj I J) ' carrier R = carrier (RDirProd (R Quot
I) (R Quot J))"
  <proof>
```

```
lemma (in ring) canonical_proj_ker:
  assumes "ideal I R" and "ideal J R"
  shows "a_kernel R (RDirProd (R Quot I) (R Quot J)) (canonical_proj I
J) = I ∩ J"
  <proof>
```

```
lemma (in ring) canonical_proj_is_hom:
  assumes "ideal I R" and "ideal J R"
  shows "(canonical_proj I J) ∈ ring_hom R (RDirProd (R Quot I) (R Quot
J))"
  <proof>
```

```
lemma (in ring) canonical_proj_ring_hom:
  assumes "ideal I R" and "ideal J R"
  shows "ring_hom_ring R (RDirProd (R Quot I) (R Quot J)) (canonical_proj
I J)"
  <proof>
```

```
theorem (in ring) chinese_remainder_simple:
  assumes "ideal I R" "ideal J R" and "I <+> J = carrier R"
  shows "R Quot (I ∩ J) ≅ RDirProd (R Quot I) (R Quot J)"
  <proof>
```

### 33.3 Chinese Remainder Generalized

```
lemma (in ring) canonical_proj_ext_zero [simp]: "(canonical_proj_ext
I 0) = (λa. [ (I 0) +> a ])"
  <proof>
```

```
lemma (in ring) canonical_proj_ext_tl:
  "(λa. canonical_proj_ext I (Suc n) a) = (λa. ((I 0) +> a) # (canonical_proj_ext
(λi. I (Suc i)) n a))"
  <proof>
```

```
lemma (in ring) canonical_proj_ext_is_hom:
  assumes "∧i. i ≤ n ⇒ ideal (I i) R"
  shows "(canonical_proj_ext I n) ∈ ring_hom R (RDirProd_list (map (λi.
R Quot (I i)) [0..< Suc n]))"
  <proof>
```

```
lemma (in ring) RDirProd_Quot_list_is_ring:
  assumes " $\bigwedge i. i \leq n \implies \text{ideal } (I\ i) R$ " shows "ring (RDirProd_list (map
  ( $\lambda i. R \text{ Quot } (I\ i)$ ) [0..< Suc n]))"
  <proof>
```

```
lemma (in ring) canonical_proj_ext_ring_hom:
  assumes " $\bigwedge i. i \leq n \implies \text{ideal } (I\ i) R$ "
  shows "ring_hom_ring R (RDirProd_list (map ( $\lambda i. R \text{ Quot } (I\ i)$ ) [0..< Suc n]))
  (canonical_proj_ext I n)"
  <proof>
```

```
lemma (in ring) canonical_proj_ext_ker:
  assumes " $\bigwedge i. i \leq (n :: \text{nat}) \implies \text{ideal } (I\ i) R$ "
  shows " $\text{a\_kernel } R \text{ (RDirProd_list (map ( $\lambda i. R \text{ Quot } (I\ i)$ ) [0..< Suc
  n])) (canonical_proj_ext I n) = ( $\bigcap i \leq n. I\ i$ )$ "
  <proof>
```

```
lemma (in cring) canonical_proj_ext_is_surj:
  assumes " $\bigwedge i. i \leq n \implies \text{ideal } (I\ i) R$ " and " $\bigwedge i\ j. \llbracket i \leq n; j \leq n
  \rrbracket \implies i \neq j \implies I\ i \langle + \rangle I\ j = \text{carrier } R$ "
  shows " $(\text{canonical\_proj\_ext } I\ n) \text{ ' carrier } R = \text{carrier } (\text{RDirProd\_list}
  (\text{map } (\lambda i. R \text{ Quot } (I\ i)) [0..< Suc n]))$ "
  <proof>
```

```
theorem (in cring) chinese_remainder:
  assumes " $\bigwedge i. i \leq n \implies \text{ideal } (I\ i) R$ " and " $\bigwedge i\ j. \llbracket i \leq n; j \leq n
  \rrbracket \implies i \neq j \implies I\ i \langle + \rangle I\ j = \text{carrier } R$ "
  shows " $R \text{ Quot } (\bigcap i \leq n. I\ i) \simeq \text{RDirProd\_list } (\text{map } (\lambda i. R \text{ Quot } (I\ i))
  [0..< Suc n])$ "
  <proof>
```

end

theory Subrings

```
  imports Ring RingHom QuotRing Multiplicative_Group
begin
```

## 34 Subrings

### 34.1 Definitions

```
locale subring =
  subgroup H "add_monoid R" + submonoid H R for H and R (structure)
```

```
locale subcring = subring +
  assumes sub_m_comm: " $\llbracket h_1 \in H; h_2 \in H \rrbracket \implies h_1 \otimes h_2 = h_2 \otimes h_1$ "
```

```
locale subdomain = subcring +
```

```

    assumes sub_one_not_zero [simp]: "1 ≠ 0"
    assumes subintegral: "[[ h1 ∈ H; h2 ∈ H ] ⇒ h1 ⊗ h2 = 0 ⇒ h1 = 0
    ∨ h2 = 0"

```

```

locale subfield = subdomain K R for K and R (structure) +
  assumes subfield_Units: "Units (R (| carrier := K |)) = K - { 0 }"

```

## 34.2 Basic Properties

### 34.2.1 Subrings

```

lemma (in ring) subringI:
  assumes "H ⊆ carrier R"
    and "1 ∈ H"
    and "∧h. h ∈ H ⇒ ⊖ h ∈ H"
    and "∧h1 h2. [[ h1 ∈ H; h2 ∈ H ] ⇒ h1 ⊗ h2 ∈ H"
    and "∧h1 h2. [[ h1 ∈ H; h2 ∈ H ] ⇒ h1 ⊕ h2 ∈ H"
  shows "subring H R"
  <proof>

```

```

lemma subringE:
  assumes "subring H R"
  shows "H ⊆ carrier R"
    and "0R ∈ H"
    and "1R ∈ H"
    and "H ≠ {}"
    and "∧h. h ∈ H ⇒ ⊖R h ∈ H"
    and "∧h1 h2. [[ h1 ∈ H; h2 ∈ H ] ⇒ h1 ⊗R h2 ∈ H"
    and "∧h1 h2. [[ h1 ∈ H; h2 ∈ H ] ⇒ h1 ⊕R h2 ∈ H"
  <proof>

```

```

lemma (in ring) carrier_is_subring: "subring (carrier R) R"
  <proof>

```

```

lemma (in ring) subring_inter:
  assumes "subring I R" and "subring J R"
  shows "subring (I ∩ J) R"
  <proof>

```

```

lemma (in ring) subring_Inter:
  assumes "∧I. I ∈ S ⇒ subring I R" and "S ≠ {}"
  shows "subring (∩S) R"
  <proof>

```

```

lemma (in ring) subring_is_ring:
  assumes "subring H R" shows "ring (R (| carrier := H |))"
  <proof>

```

```

lemma (in ring) ring_incl_imp_subring:
  assumes "H ⊆ carrier R"

```

```

    and "ring (R (| carrier := H |))"
  shows "subring H R"
  <proof>

```

```

lemma (in ring) subring_iff:
  assumes "H ⊆ carrier R"
  shows "subring H R ↔ ring (R (| carrier := H |))"
  <proof>

```

### 34.2.2 Subcrings

```

lemma (in ring) subcringI:
  assumes "subring H R"
    and "∧h1 h2. [ h1 ∈ H; h2 ∈ H ] ⇒ h1 ⊗ h2 = h2 ⊗ h1"
  shows "subcring H R"
  <proof>

```

```

lemma (in cring) subcringI':
  assumes "subring H R"
  shows "subcring H R"
  <proof>

```

```

lemma subcringE:
  assumes "subcring H R"
  shows "H ⊆ carrier R"
    and "0R ∈ H"
    and "1R ∈ H"
    and "H ≠ {}"
    and "∧h. h ∈ H ⇒ ⊖R h ∈ H"
    and "∧h1 h2. [ h1 ∈ H; h2 ∈ H ] ⇒ h1 ⊗R h2 ∈ H"
    and "∧h1 h2. [ h1 ∈ H; h2 ∈ H ] ⇒ h1 ⊕R h2 ∈ H"
    and "∧h1 h2. [ h1 ∈ H; h2 ∈ H ] ⇒ h1 ⊗R h2 = h2 ⊗R h1"
  <proof>

```

```

lemma (in cring) carrier_is_subcring: "subcring (carrier R) R"
  <proof>

```

```

lemma (in ring) subcring_inter:
  assumes "subcring I R" and "subcring J R"
  shows "subcring (I ∩ J) R"
  <proof>

```

```

lemma (in ring) subcring_Inter:
  assumes "∧I. I ∈ S ⇒ subcring I R" and "S ≠ {}"
  shows "subcring (∩S) R"
  <proof>

```

```

lemma (in ring) subcring_iff:
  assumes "H ⊆ carrier R"

```

shows "subcring H R  $\longleftrightarrow$  cring (R (| carrier := H |))"  
*<proof>*

### 34.2.3 Subdomains

lemma (in ring) subdomainI:  
 assumes "subcring H R"  
 and "1  $\neq$  0"  
 and " $\bigwedge h_1 h_2. \llbracket h_1 \in H; h_2 \in H \rrbracket \implies h_1 \otimes h_2 = 0 \implies h_1 = 0 \vee h_2 = 0$ "  
 shows "subdomain H R"  
*<proof>*

lemma (in domain) subdomainI':  
 assumes "subring H R"  
 shows "subdomain H R"  
*<proof>*

lemma subdomainE:  
 assumes "subdomain H R"  
 shows "H  $\subseteq$  carrier R"  
 and " $0_R \in H$ "  
 and " $1_R \in H$ "  
 and "H  $\neq$  {}"  
 and " $\bigwedge h. h \in H \implies \ominus_R h \in H$ "  
 and " $\bigwedge h_1 h_2. \llbracket h_1 \in H; h_2 \in H \rrbracket \implies h_1 \otimes_R h_2 \in H$ "  
 and " $\bigwedge h_1 h_2. \llbracket h_1 \in H; h_2 \in H \rrbracket \implies h_1 \oplus_R h_2 \in H$ "  
 and " $\bigwedge h_1 h_2. \llbracket h_1 \in H; h_2 \in H \rrbracket \implies h_1 \otimes_R h_2 = h_2 \otimes_R h_1$ "  
 and " $\bigwedge h_1 h_2. \llbracket h_1 \in H; h_2 \in H \rrbracket \implies h_1 \otimes_R h_2 = 0_R \implies h_1 = 0_R \vee h_2 = 0_R$ "  
 and " $1_R \neq 0_R$ "  
*<proof>*

lemma (in ring) subdomain\_iff:  
 assumes "H  $\subseteq$  carrier R"  
 shows "subdomain H R  $\longleftrightarrow$  domain (R (| carrier := H |))"  
*<proof>*

lemma (in domain) subring\_is\_domain:  
 assumes "subring H R" shows "domain (R (| carrier := H |))"  
*<proof>*

lemma (in ring) subdomain\_is\_domain:  
 assumes "subdomain H R" shows "domain (R (| carrier := H |))"  
*<proof>*

### 34.2.4 Subfields

lemma (in ring) subfieldI:

```

    assumes "subcring K R" and "Units (R (| carrier := K )) = K - { 0 }"
    shows "subfield K R"
  <proof>

```

```

lemma (in field) subfieldI':
  assumes "subring K R" and " $\bigwedge k. k \in K - \{ 0 \} \implies \text{inv } k \in K$ "
  shows "subfield K R"
  <proof>

```

```

lemma (in field) carrier_is_subfield: "subfield (carrier R) R"
  <proof>

```

```

lemma subfieldE:
  assumes "subfield K R"
  shows "subring K R" and "subcring K R"
    and " $K \subseteq \text{carrier } R$ "
    and " $\bigwedge k_1 k_2. [k_1 \in K; k_2 \in K] \implies k_1 \otimes_R k_2 = k_2 \otimes_R k_1$ "
    and " $\bigwedge k_1 k_2. [k_1 \in K; k_2 \in K] \implies k_1 \otimes_R k_2 = \mathbf{0}_R \implies k_1 = \mathbf{0}_R \vee$ 
   $k_2 = \mathbf{0}_R$ "
    and " $1_R \neq \mathbf{0}_R$ "
  <proof>

```

```

lemma (in ring) subfield_m_inv:
  assumes "subfield K R" and " $k \in K - \{ 0 \}$ "
  shows " $\text{inv } k \in K - \{ 0 \}$ " and " $k \otimes \text{inv } k = 1$ " and " $\text{inv } k \otimes k = 1$ "
  <proof>

```

```

lemma (in ring) subfield_m_inv_simprule:
  assumes "subfield K R"
  shows " $[k \in K - \{ 0 \}; a \in \text{carrier } R] \implies k \otimes a \in K \implies a \in K$ "
  <proof>

```

```

lemma (in ring) subfield_iff:
  shows " $[ \text{field } (R (| \text{carrier} := K )); K \subseteq \text{carrier } R ] \implies \text{subfield } K$ 
   $R$ "
    and " $\text{subfield } K R \implies \text{field } (R (| \text{carrier} := K ))$ "
  <proof>

```

```

lemma (in field) subgroup_mult_of :
  assumes "subfield K R"
  shows "subgroup (K - {0}) (mult_of R)"
  <proof>

```

### 34.3 Subring Homomorphisms

```

lemma (in ring) hom_imp_img_subring:
  assumes "h ∈ ring_hom R S" and "subring K R"
  shows "ring (S (| carrier := h ` K, one := h 1, zero := h 0 ))"
  <proof>

```

```

lemma (in ring_hom_ring) img_is_subring:
  assumes "subring K R" shows "subring (h ` K) S"
  <proof>

lemma (in ring_hom_ring) img_is_subfield:
  assumes "subfield K R" and "1S ≠ 0S"
  shows "inj_on h K" and "subfield (h ` K) S"
  <proof>

lemma (in ring_hom_ring) induced_ring_hom:
  assumes "subring K R" shows "ring_hom_ring (R (| carrier := K |)) S h"
  <proof>

lemma (in ring_hom_ring) inj_on_subgroup_iff_trivial_ker:
  assumes "subring K R"
  shows "inj_on h K ↔ a_kernel (R (| carrier := K |)) S h = { 0 }"
  <proof>

lemma (in ring_hom_ring) inv_ring_hom:
  assumes "inj_on h K" and "subring K R"
  shows "ring_hom_ring (S (| carrier := h ` K |)) R (inv_into K h)"
  <proof>

end

```

```

theory Generated_Rings
  imports Subrings
begin

```

## 35 Generated Rings

```

inductive_set
  generate_ring :: "('a, 'b) ring_scheme ⇒ 'a set ⇒ 'a set"
  for R and H where
    one: "1R ∈ generate_ring R H"
  | incl: "h ∈ H ⇒ h ∈ generate_ring R H"
  | a_inv: "h ∈ generate_ring R H ⇒ ⊖R h ∈ generate_ring R H"
  | eng_add : "[| h1 ∈ generate_ring R H; h2 ∈ generate_ring R H |] ⇒
h1 ⊕R h2 ∈ generate_ring R H"
  | eng_mult: "[| h1 ∈ generate_ring R H; h2 ∈ generate_ring R H |] ⇒
h1 ⊗R h2 ∈ generate_ring R H"

```

### 35.1 Basic Properties of Generated Rings - First Part

```

lemma (in ring) generate_ring_in_carrier:
  assumes "H ⊆ carrier R"
  shows "h ∈ generate_ring R H ⇒ h ∈ carrier R"
  ⟨proof⟩

lemma (in ring) generate_ring_incl:
  assumes "H ⊆ carrier R"
  shows "generate_ring R H ⊆ carrier R"
  ⟨proof⟩

lemma (in ring) zero_in_generate: "0R ∈ generate_ring R H"
  ⟨proof⟩

lemma (in ring) generate_ring_is_subring:
  assumes "H ⊆ carrier R"
  shows "subring (generate_ring R H) R"
  ⟨proof⟩

lemma (in ring) generate_ring_is_ring:
  assumes "H ⊆ carrier R"
  shows "ring (R (| carrier := generate_ring R H |))"
  ⟨proof⟩

lemma (in ring) generate_ring_min_subring1:
  assumes "H ⊆ carrier R" and "subring E R" "H ⊆ E"
  shows "generate_ring R H ⊆ E"
  ⟨proof⟩

lemma (in ring) generate_ringI:
  assumes "H ⊆ carrier R"
    and "subring E R" "H ⊆ E"
    and "∧K. [ subring K R; H ⊆ K ] ⇒ E ⊆ K"
  shows "E = generate_ring R H"
  ⟨proof⟩

lemma (in ring) generate_ringE:
  assumes "H ⊆ carrier R" and "E = generate_ring R H"
  shows "subring E R" and "H ⊆ E" and "∧K. [ subring K R; H ⊆ K ] ⇒
E ⊆ K"
  ⟨proof⟩

lemma (in ring) generate_ring_min_subring2:
  assumes "H ⊆ carrier R"
  shows "generate_ring R H = ⋂{K. subring K R ∧ H ⊆ K}"
  ⟨proof⟩

lemma (in ring) mono_generate_ring:
  assumes "I ⊆ J" and "J ⊆ carrier R"

```



```

  shows "generate_ring R I  $\subseteq$  generate_ring R J"
  <proof>

```

```

lemma (in ring) subring_gen_incl :
  assumes "subring H R"
    and "subring K R"
    and "I  $\subseteq$  H"
    and "I  $\subseteq$  K"
  shows "generate_ring (R(|carrier := K|)) I  $\subseteq$  generate_ring (R(|carrier
:= H|)) I"
  <proof>

```

```

lemma (in ring) subring_gen_equality:
  assumes "subring H R" "K  $\subseteq$  H"
  shows "generate_ring R K = generate_ring (R (| carrier := H |)) K"
  <proof>

```

```

end

```

```

theory Generated_Fields
imports Generated_Rings Subrings Multiplicative_Group
begin

```

```

inductive_set
  generate_field :: "('a, 'b) ring_scheme  $\Rightarrow$  'a set  $\Rightarrow$  'a set"
  for R and H where
    one : "1R  $\in$  generate_field R H"
  | incl : "h  $\in$  H  $\implies$  h  $\in$  generate_field R H"
  | a_inv: "h  $\in$  generate_field R H  $\implies$   $\ominus_R$  h  $\in$  generate_field R H"
  | m_inv: "[| h  $\in$  generate_field R H; h  $\neq$  0R |]  $\implies$  invR h  $\in$  generate_field
R H"
  | eng_add : "[| h1  $\in$  generate_field R H; h2  $\in$  generate_field R H |]  $\implies$ 
h1  $\oplus_R$  h2  $\in$  generate_field R H"
  | eng_mult: "[| h1  $\in$  generate_field R H; h2  $\in$  generate_field R H |]  $\implies$ 
h1  $\otimes_R$  h2  $\in$  generate_field R H"

```

## 35.2 Basic Properties of Generated Rings - First Part

```

lemma (in field) generate_field_in_carrier:
  assumes "H  $\subseteq$  carrier R"
  shows "h  $\in$  generate_field R H  $\implies$  h  $\in$  carrier R"
  <proof>

```

```

lemma (in field) generate_field_incl:
  assumes "H  $\subseteq$  carrier R"
  shows "generate_field R H  $\subseteq$  carrier R"
  <proof>

```

```

lemma (in field) zero_in_generate: "0R ∈ generate_field R H"
  ⟨proof⟩

lemma (in field) generate_field_is_subfield:
  assumes "H ⊆ carrier R"
  shows "subfield (generate_field R H) R"
  ⟨proof⟩

lemma (in field) generate_field_is_add_subgroup:
  assumes "H ⊆ carrier R"
  shows "subgroup (generate_field R H) (add_monoid R)"
  ⟨proof⟩

lemma (in field) generate_field_is_field :
  assumes "H ⊆ carrier R"
  shows "field (R (| carrier := generate_field R H |))"
  ⟨proof⟩

lemma (in field) generate_field_min_subfield1:
  assumes "H ⊆ carrier R"
  and "subfield E R" "H ⊆ E"
  shows "generate_field R H ⊆ E"
  ⟨proof⟩

lemma (in field) generate_fieldI:
  assumes "H ⊆ carrier R"
  and "subfield E R" "H ⊆ E"
  and "∧K. [ subfield K R; H ⊆ K ] ⇒ E ⊆ K"
  shows "E = generate_field R H"
  ⟨proof⟩

lemma (in field) generate_fieldE:
  assumes "H ⊆ carrier R" and "E = generate_field R H"
  shows "subfield E R" and "H ⊆ E" and "∧K. [ subfield K R; H ⊆ K ]
  ⇒ E ⊆ K"
  ⟨proof⟩

lemma (in field) generate_field_min_subfield2:
  assumes "H ⊆ carrier R"
  shows "generate_field R H = ⋂{K. subfield K R ∧ H ⊆ K}"
  ⟨proof⟩

lemma (in field) mono_generate_field:
  assumes "I ⊆ J" and "J ⊆ carrier R"
  shows "generate_field R I ⊆ generate_field R J"
  ⟨proof⟩

lemma (in field) subfield_gen_incl :

```

```

assumes "subfield H R"
  and "subfield K R"
  and "I  $\subseteq$  H"
  and "I  $\subseteq$  K"
shows "generate_field (R(|carrier := K|)) I  $\subseteq$  generate_field (R(|carrier := H|)) I"
<proof>

```

```

lemma (in field) subfield_gen_equality:
  assumes "subfield H R" "K  $\subseteq$  H"
  shows "generate_field R K = generate_field (R (| carrier := H |)) K"
<proof>

```

**end**

## 36 Product and Sum Groups

```

theory Product_Groups
  imports Elementary_Groups "HOL-Library.Equipollence"

```

**begin**

### 36.1 Product of a Family of Groups

```

definition product_group:: "'a set  $\Rightarrow$  ('a  $\Rightarrow$  ('b, 'c) monoid_scheme)  $\Rightarrow$  ('a  $\Rightarrow$  'b) monoid"
  where "product_group I G  $\equiv$  (|carrier = ( $\prod_{E\ i \in I.$  carrier (G i)),
                                     monoid.mult = ( $\lambda x\ y.$  ( $\lambda i \in I.$  x i  $\otimes_{G\ i}$  y i)),
                                     one = ( $\lambda i \in I.$  1G i))|)"

```

```

lemma carrier_product_group [simp]: "carrier(product_group I G) = ( $\prod_{E\ i \in I.$  carrier (G i))"
<proof>

```

```

lemma one_product_group [simp]: "one(product_group I G) = ( $\lambda i \in I.$  one (G i))"
<proof>

```

```

lemma mult_product_group [simp]: "( $\otimes_{\text{product\_group } I\ G}$ ) = ( $\lambda x\ y.$   $\lambda i \in I.$  x i  $\otimes_{G\ i}$  y i)"
<proof>

```

```

lemma product_group [simp]:
  assumes " $\wedge i. i \in I \Rightarrow$  group (G i)" shows "group (product_group I G)"
<proof>

```

```

lemma inv_product_group [simp]:

```

```

assumes "f ∈ (ΠE i ∈ I. carrier (G i))" "∧i. i ∈ I ⇒ group (G i)"
shows "invproduct_group I G f = (λi ∈ I. invG i f i)"
<proof>

```

```

lemma trivial_product_group: "trivial_group(product_group I G) ↔ (∀i
∈ I. trivial_group(G i))"
  (is "?lhs = ?rhs")
<proof>

```

```

lemma PiE_subgroup_product_group:
  assumes "∧i. i ∈ I ⇒ group (G i)"
  shows "subgroup (PiE I H) (product_group I G) ↔ (∀i ∈ I. subgroup
(H i) (G i))"
  (is "?lhs = ?rhs")
<proof>

```

```

lemma product_group_subgroup_generated:
  assumes "∧i. i ∈ I ⇒ subgroup (H i) (G i)" and gp: "∧i. i ∈ I ⇒
group (G i)"
  shows "product_group I (λi. subgroup_generated (G i) (H i))
= subgroup_generated (product_group I G) (PiE I H)"
<proof>

```

```

lemma finite_product_group:
  assumes "∧i. i ∈ I ⇒ group (G i)"
  shows
  "finite (carrier (product_group I G)) ↔
  finite {i. i ∈ I ∧ ~ trivial_group(G i)} ∧ (∀i ∈ I. finite(carrier(G
i)))"
<proof>

```

## 36.2 Sum of a Family of Groups

```

definition sum_group :: "'a set ⇒ ('a ⇒ ('b, 'c) monoid_scheme) ⇒ ('a
⇒ 'b) monoid"
  where "sum_group I G ≡
  subgroup_generated
  (product_group I G)
  {x ∈ ΠE i ∈ I. carrier (G i). finite {i ∈ I. x i ≠ 1G i}}"

```

```

lemma subgroup_sum_group:
  assumes "∧i. i ∈ I ⇒ group (G i)"
  shows "subgroup {x ∈ ΠE i ∈ I. carrier (G i). finite {i ∈ I. x i ≠ 1G i}}
(product_group I G)"
<proof>

```

```

lemma carrier_sum_group:

```

**assumes** " $\bigwedge i. i \in I \implies \text{group } (G \ i)$ "  
**shows** " $\text{carrier}(\text{sum\_group } I \ G) = \{x \in \prod_{E} i \in I. \text{carrier } (G \ i). \text{finite } \{i \in I. x \ i \neq 1_{G \ i}\}\}$ "  
*<proof>*

**lemma one\_sum\_group [simp]:** " $1_{\text{sum\_group } I \ G} = (\lambda i \in I. 1_{G \ i})$ "  
*<proof>*

**lemma mult\_sum\_group [simp]:** " $(\otimes_{\text{sum\_group } I \ G}) = (\lambda x \ y. (\lambda i \in I. x \ i \otimes_{G \ i} y \ i))$ "  
*<proof>*

**lemma sum\_group [simp]:**  
**assumes** " $\bigwedge i. i \in I \implies \text{group } (G \ i)$ " **shows** " $\text{group } (\text{sum\_group } I \ G)$ "  
*<proof>*

**lemma inv\_sum\_group [simp]:**  
**assumes** " $\bigwedge i. i \in I \implies \text{group } (G \ i)$ " **and**  $x: "x \in \text{carrier } (\text{sum\_group } I \ G)"$   
**shows** " $m\_inv (\text{sum\_group } I \ G) \ x = (\lambda i \in I. m\_inv (G \ i) (x \ i))$ "  
*<proof>*

**thm group.subgroups\_Inter**  
**theorem subgroup\_Inter:**  
**assumes**  $\text{subgr}: "( \bigwedge H. H \in A \implies \text{subgroup } H \ G)"$   
**and not\_empty:** " $A \neq \{\}$ "  
**shows** " $\text{subgroup } (\bigcap A) \ G$ "  
*<proof>*

**thm group.subgroups\_Inter\_pair**  
**lemma subgroup\_Int:**  
**assumes** " $\text{subgroup } I \ G$ " " $\text{subgroup } J \ G$ "  
**shows** " $\text{subgroup } (I \cap J) \ G$ " *<proof>*

**lemma sum\_group\_subgroup\_generated:**  
**assumes** " $\bigwedge i. i \in I \implies \text{group } (G \ i)$ " **and**  $\text{sg}: "\bigwedge i. i \in I \implies \text{subgroup } (H \ i) (G \ i)"$   
**shows** " $\text{sum\_group } I \ (\lambda i. \text{subgroup\_generated } (G \ i) (H \ i)) = \text{subgroup\_generated } (\text{sum\_group } I \ G) (\prod_{E} I \ H)$ "  
*<proof>*

**lemma iso\_product\_groupI:**  
**assumes**  $\text{iso}: "\bigwedge i. i \in I \implies G \ i \cong H \ i"$   
**and**  $G: "\bigwedge i. i \in I \implies \text{group } (G \ i)"$  **and**  $H: "\bigwedge i. i \in I \implies \text{group } (H \ i)"$   
**shows** " $\text{product\_group } I \ G \cong \text{product\_group } I \ H$ " (is " $?IG \cong ?IH$ ")

*<proof>*

```
lemma iso_sum_groupI:
  assumes iso: " $\bigwedge i. i \in I \implies G\ i \cong H\ i$ "
    and G: " $\bigwedge i. i \in I \implies \text{group } (G\ i)$ " and H: " $\bigwedge i. i \in I \implies \text{group } (H\ i)$ "
  shows "sum_group I G  $\cong$  sum_group I H" (is "?IG  $\cong$  ?IH")
<proof>
```

end

## 37 Free Abelian Groups

```
theory Free_Abelian_Groups
  imports
    Product_Groups FiniteProduct "HOL-Cardinals.Cardinal_Arithmetic"
    "HOL-Library.Countable_Set" "HOL-Library.Poly_Mapping" "HOL-Library.Equipollence"
```

begin

```
lemma eqpoll_Fpow:
  assumes "infinite A" shows "Fpow A  $\approx$  A"
<proof>
```

```
lemma infinite_iff_card_of_countable: "[countable B; infinite B]  $\implies$ 
infinite A  $\longleftrightarrow$  ( |B|  $\leq_o$  |A| )"
<proof>
```

```
lemma iso_imp_eqpoll_carrier: "G  $\cong$  H  $\implies$  carrier G  $\approx$  carrier H"
<proof>
```

### 37.1 Generalised finite product

definition

```
gfinprod :: "[('b, 'm) monoid_scheme, 'a  $\Rightarrow$  'b, 'a set]  $\Rightarrow$  'b"
where "gfinprod G f A =
  (if finite {x  $\in$  A. f x  $\neq$  1G} then finprod G f {x  $\in$  A. f x  $\neq$  1G} else 1G)"
```

context comm\_monoid begin

```
lemma gfinprod_closed [simp]:
  "f  $\in$  A  $\rightarrow$  carrier G  $\implies$  gfinprod G f A  $\in$  carrier G"
<proof>
```

```
lemma gfinprod_cong:
  "[A = B; f  $\in$  B  $\rightarrow$  carrier G;
 $\bigwedge i. i \in B \text{ =simp= } f\ i = g\ i]$   $\implies$  gfinprod G f A = gfinprod G g B"
```

*<proof>*

**lemma** gfinprod\_eq\_finprod [simp]: "[finite A; f ∈ A → carrier G] ⇒  
gfinprod G f A = finprod G f A"

*<proof>*

**lemma** gfinprod\_insert [simp]:

assumes "finite {x ∈ A. f x ≠ 1<sub>G</sub>}" "f ∈ A → carrier G" "f i ∈ carrier G"

shows "gfinprod G f (insert i A) = (if i ∈ A then gfinprod G f A else f i ⊗ gfinprod G f A)"

*<proof>*

**lemma** gfinprod\_distrib:

assumes fin: "finite {x ∈ A. f x ≠ 1<sub>G</sub>}" "finite {x ∈ A. g x ≠ 1<sub>G</sub>}"

and "f ∈ A → carrier G" "g ∈ A → carrier G"

shows "gfinprod G (λi. f i ⊗ g i) A = gfinprod G f A ⊗ gfinprod G g A"

*<proof>*

**lemma** gfinprod\_mono\_neutral\_cong\_left:

assumes "A ⊆ B"

and 1: "∧i. i ∈ B - A ⇒ h i = 1"

and gh: "∧x. x ∈ A ⇒ g x = h x"

and h: "h ∈ B → carrier G"

shows "gfinprod G g A = gfinprod G h B"

*<proof>*

**lemma** gfinprod\_mono\_neutral\_cong\_right:

assumes "A ⊆ B" "∧i. i ∈ B - A ⇒ g i = 1" "∧x. x ∈ A ⇒ g x = h x" "g ∈ B → carrier G"

shows "gfinprod G g B = gfinprod G h A"

*<proof>*

**lemma** gfinprod\_mono\_neutral\_cong:

assumes [simp]: "finite B" "finite A"

and \*: "∧i. i ∈ B - A ⇒ h i = 1" "∧i. i ∈ A - B ⇒ g i = 1"

and gh: "∧x. x ∈ A ∩ B ⇒ g x = h x"

and g: "g ∈ A → carrier G"

and h: "h ∈ B → carrier G"

shows "gfinprod G g A = gfinprod G h B"

*<proof>*

**end**

**lemma** (in comm\_group) hom\_group\_sum:

assumes hom: "∧i. i ∈ I ⇒ f i ∈ hom (A i) G" and grp: "∧i. i ∈ I ⇒ group (A i)"

shows "(λx. gfinprod G (λi. (f i) (x i)) I) ∈ hom (sum\_group I A) G"

*<proof>*

### 37.2 Free Abelian groups on a set, using the "frag" type constructor.

**definition** free\_Abelian\_group :: "'a set  $\Rightarrow$  ('a  $\Rightarrow_0$  int) monoid"

where "free\_Abelian\_group S = ( $\text{carrier} = \{c. \text{Poly\_Mapping.keys } c \subseteq S\}$ ,  $\text{monoid.mult} = (+)$ ,  $\text{one} = 0$ )"

**lemma** group\_free\_Abelian\_group [simp]: "group (free\_Abelian\_group S)"

*<proof>*

**lemma** carrier\_free\_Abelian\_group\_iff [simp]:

shows " $x \in \text{carrier} (\text{free\_Abelian\_group } S) \longleftrightarrow \text{Poly\_Mapping.keys } x \subseteq S$ "

*<proof>*

**lemma** one\_free\_Abelian\_group [simp]: " $1_{\text{free\_Abelian\_group } S} = 0$ "

*<proof>*

**lemma** mult\_free\_Abelian\_group [simp]: " $x \otimes_{\text{free\_Abelian\_group } S} y = x + y$ "

*<proof>*

*<proof>*

**lemma** inv\_free\_Abelian\_group [simp]: " $\text{Poly\_Mapping.keys } x \subseteq S \implies \text{inv}_{\text{free\_Abelian\_group } S} x = -x$ "

*<proof>*

**lemma** abelian\_free\_Abelian\_group: "comm\_group(free\_Abelian\_group S)"

*<proof>*

**lemma** pow\_free\_Abelian\_group [simp]:

fixes n::nat

shows "Group.pow (free\_Abelian\_group S) x n = frag\_cmul (int n) x"

*<proof>*

**lemma** int\_pow\_free\_Abelian\_group [simp]:

fixes n::int

assumes "Poly\_Mapping.keys x  $\subseteq$  S"

shows "Group.pow (free\_Abelian\_group S) x n = frag\_cmul n x"

*<proof>*

**lemma** frag\_of\_in\_free\_Abelian\_group [simp]:

"frag\_of x  $\in$  carrier(free\_Abelian\_group S)  $\longleftrightarrow$  x  $\in$  S"

*<proof>*

**lemma** free\_Abelian\_group\_induct:

assumes major: "Poly\_Mapping.keys x  $\subseteq$  S"

and minor: "P(0)"



$$P\ x; P\ y \implies P(x-y)$$

$$\text{"}\bigwedge a. a \in S \implies P(\text{frag\_of } a)\text{"}$$
**shows** "P x"

*<proof>*

**lemma** `sum_closed_free_Abelian_group`:  

$$(\bigwedge i. i \in I \implies x\ i \in \text{carrier } (\text{free\_Abelian\_group } S)) \implies \text{sum } x\ I \in \text{carrier } (\text{free\_Abelian\_group } S)$$
*<proof>*

**lemma** `(in comm_group) free_Abelian_group_universal`:  
**fixes** `f :: "'c  $\Rightarrow$  'a"`  
**assumes** "`f ' S  $\subseteq$  carrier G`"  
**obtains** `h` **where** "`h  $\in$  hom (free_Abelian_group S) G`"  $\bigwedge x. x \in S \implies h(\text{frag\_of } x) = f\ x$   
*<proof>*

**lemma** `eqpoll_free_Abelian_group_infinite`:  
**assumes** "`infinite A`" **shows** "`carrier(free_Abelian_group A)  $\approx$  A`"  
*<proof>*

**proposition** `(in comm_group) eqpoll_homomorphisms_from_free_Abelian_group`:  

$$\{f. f \in \text{extensional } (\text{carrier}(\text{free\_Abelian\_group } S)) \wedge f \in \text{hom } (\text{free\_Abelian\_group } S)\ G\} \\ \approx (S \rightarrow_E \text{carrier } G) \quad (\text{is } "?lhs \approx ?rhs")$$
*<proof>*

**lemma** `hom_frag_minus`:  
**assumes** "`h  $\in$  hom (free_Abelian_group S) (free_Abelian_group T)`" "`Poly_Mapping.keys a  $\subseteq$  S`"  
**shows** "`h (-a) = - (h a)`"  
*<proof>*

**lemma** `hom_frag_add`:  
**assumes** "`h  $\in$  hom (free_Abelian_group S) (free_Abelian_group T)`" "`Poly_Mapping.keys a  $\subseteq$  S`" "`Poly_Mapping.keys b  $\subseteq$  S`"  
**shows** "`h (a+b) = h a + h b`"  
*<proof>*

**lemma** `hom_frag_diff`:  
**assumes** "`h  $\in$  hom (free_Abelian_group S) (free_Abelian_group T)`" "`Poly_Mapping.keys a  $\subseteq$  S`" "`Poly_Mapping.keys b  $\subseteq$  S`"  
**shows** "`h (a-b) = h a - h b`"  
*<proof>*

**proposition** `isomorphic_free_Abelian_groups`:

```

    "free_Abelian_group S  $\cong$  free_Abelian_group T  $\longleftrightarrow$  S  $\approx$  T" (is "(?FS
 $\cong$  ?FT) = ?rhs")
  <proof>

```

```

lemma isomorphic_group_integer_free_Abelian_group_singleton:
  "integer_group  $\cong$  free_Abelian_group {x}"
  <proof>

```

```

lemma group_hom_free_Abelian_groups_id:
  "id  $\in$  hom (free_Abelian_group S) (free_Abelian_group T)  $\longleftrightarrow$  S  $\subseteq$  T"
  <proof>

```

```

proposition iso_free_Abelian_group_sum:
  assumes "pairwise ( $\lambda$  i j. disjoint (S i) (S j)) I"
  shows "( $\lambda$  f. sum' f I)  $\in$  iso (sum_group I ( $\lambda$  i. free_Abelian_group(S
  i))) (free_Abelian_group ( $\bigcup$  (S ' I)))"
  (is "?h  $\in$  iso ?G ?H")
  <proof>

```

```

lemma isomorphic_free_Abelian_group_Union:
  "pairwise disjoint I  $\implies$  free_Abelian_group( $\bigcup$  I)  $\cong$  sum_group I free_Abelian_group"
  <proof>

```

```

lemma isomorphic_sum_integer_group:
  "sum_group I ( $\lambda$  i. integer_group)  $\cong$  free_Abelian_group I"
  <proof>

```

end

```

theory Embedded_Algebras
  imports Subrings Generated_Groups
begin

```

## 38 Definitions

```

locale embedded_algebra =
  K?: subfield K R + R?: ring R for K :: "'a set" and R :: "('a, 'b) ring_scheme"
  (structure)

```

```

definition (in ring) line_extension :: "'a set  $\Rightarrow$  'a  $\Rightarrow$  'a set  $\Rightarrow$  'a set"
  where "line_extension K a E = (K #> a) <+>R E"

```

```

fun (in ring) Span :: "'a set  $\Rightarrow$  'a list  $\Rightarrow$  'a set"
  where "Span K Us = foldr (line_extension K) Us { 0 }"

```

```

fun (in ring) combine :: "'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a"
  where
    "combine (k # Ks) (u # Us) = (k  $\otimes$  u)  $\oplus$  (combine Ks Us)"

```

| "combine Ks Us = 0"

**inductive** (in ring) independent :: "'a set  $\Rightarrow$  'a list  $\Rightarrow$  bool"

where

li\_Nil [simp, intro]: "independent K []"

| li\_Cons: "[ u  $\in$  carrier R; u  $\notin$  Span K Us; independent K Us ]  $\implies$  independent K (u # Us)"

**inductive** (in ring) dimension :: "nat  $\Rightarrow$  'a set  $\Rightarrow$  'a set  $\Rightarrow$  bool"

where

zero\_dim [simp, intro]: "dimension 0 K { 0 }"

| Suc\_dim: "[ v  $\in$  carrier R; v  $\notin$  E; dimension n K E ]  $\implies$  dimension (Suc n) K (line\_extension K v E)"

### 38.0.1 Syntactic Definitions

**abbreviation** (in ring) dependent :: "'a set  $\Rightarrow$  'a list  $\Rightarrow$  bool"

where "dependent K U  $\equiv$   $\neg$  independent K U"

**definition** over :: "('a  $\Rightarrow$  'b)  $\Rightarrow$  'a  $\Rightarrow$  'b" (infixl "over" 65)

where "f over a = f a"

context ring

begin

## 38.1 Basic Properties - First Part

**lemma** line\_extension\_consistent:

assumes "subring K R" shows "ring.line\_extension (R (| carrier := K |)) = line\_extension"

*<proof>*

**lemma** Span\_consistent:

assumes "subring K R" shows "ring.Span (R (| carrier := K |)) = Span"

*<proof>*

**lemma** combine\_in\_carrier [simp, intro]:

"[ set Ks  $\subseteq$  carrier R; set Us  $\subseteq$  carrier R ]  $\implies$  combine Ks Us  $\in$  carrier R"

*<proof>*

**lemma** combine\_r\_distr:

"[ set Ks  $\subseteq$  carrier R; set Us  $\subseteq$  carrier R ]  $\implies$   
k  $\in$  carrier R  $\implies$  k  $\otimes$  (combine Ks Us) = combine (map (( $\otimes$ ) k) Ks)  
Us"

*<proof>*

**lemma** combine\_l\_distr:

"[[ set Ks  $\subseteq$  carrier R; set Us  $\subseteq$  carrier R ]]  $\implies$   
 u  $\in$  carrier R  $\implies$  (combine Ks Us)  $\otimes$  u = combine Ks (map ( $\lambda u'. u'$   
 $\otimes$  u) Us)"  
 $\langle proof \rangle$

**lemma** combine\_eq\_foldr:  
 "combine Ks Us = foldr ( $\lambda(k, u). \lambda l. (k \otimes u) \oplus l$ ) (zip Ks Us) 0"  
 $\langle proof \rangle$

**lemma** combine\_replicate:  
 "set Us  $\subseteq$  carrier R  $\implies$  combine (replicate (length Us) 0) Us = 0"  
 $\langle proof \rangle$

**lemma** combine\_take:  
 "combine (take (length Us) Ks) Us = combine Ks Us"  
 $\langle proof \rangle$

**lemma** combine\_append\_zero:  
 "set Us  $\subseteq$  carrier R  $\implies$  combine (Ks @ [ 0 ]) Us = combine Ks Us"  
 $\langle proof \rangle$

**lemma** combine\_prepend\_replicate:  
 "[[ set Ks  $\subseteq$  carrier R; set Us  $\subseteq$  carrier R ]]  $\implies$   
 combine ((replicate n 0) @ Ks) Us = combine Ks (drop n Us)"  
 $\langle proof \rangle$

**lemma** combine\_append\_replicate:  
 "set Us  $\subseteq$  carrier R  $\implies$  combine (Ks @ (replicate n 0)) Us = combine  
 Ks Us"  
 $\langle proof \rangle$

**lemma** combine\_append:  
 assumes "length Ks = length Us"  
 and "set Ks  $\subseteq$  carrier R" "set Us  $\subseteq$  carrier R"  
 and "set Ks'  $\subseteq$  carrier R" "set Vs  $\subseteq$  carrier R"  
 shows "(combine Ks Us)  $\oplus$  (combine Ks' Vs) = combine (Ks @ Ks') (Us  
 @ Vs)"  
 $\langle proof \rangle$

**lemma** combine\_add:  
 assumes "length Ks = length Us" and "length Ks' = length Us"  
 and "set Ks  $\subseteq$  carrier R" "set Ks'  $\subseteq$  carrier R" "set Us  $\subseteq$  carrier  
 R"  
 shows "(combine Ks Us)  $\oplus$  (combine Ks' Us) = combine (map2 ( $\oplus$ ) Ks Ks')  
 Us"  
 $\langle proof \rangle$

**lemma** combine\_normalize:  
 assumes "set Ks  $\subseteq$  carrier R" "set Us  $\subseteq$  carrier R" "combine Ks Us =

```

a"
  obtains Ks'
  where "set (take (length Us) Ks)  $\subseteq$  set Ks'" "set Ks'  $\subseteq$  set (take (length
Us) Ks)  $\cup$  { 0 }"
  and "length Ks' = length Us" "combine Ks' Us = a"
<proof>

```

```

lemma line_extension_mem_iff: "u  $\in$  line_extension K a E  $\longleftrightarrow$  ( $\exists$ k  $\in$  K.
 $\exists$ v  $\in$  E. u = k  $\otimes$  a  $\oplus$  v)"
<proof>

```

```

lemma line_extension_in_carrier:
  assumes "K  $\subseteq$  carrier R" "a  $\in$  carrier R" "E  $\subseteq$  carrier R"
  shows "line_extension K a E  $\subseteq$  carrier R"
<proof>

```

```

lemma Span_in_carrier:
  assumes "K  $\subseteq$  carrier R" "set Us  $\subseteq$  carrier R"
  shows "Span K Us  $\subseteq$  carrier R"
<proof>

```

## 38.2 Some Basic Properties of Linear Independence

```

lemma independent_in_carrier: "independent K Us  $\implies$  set Us  $\subseteq$  carrier
R"
<proof>

```

```

lemma independent_backwards:
  "independent K (u # Us)  $\implies$  u  $\notin$  Span K Us"
  "independent K (u # Us)  $\implies$  independent K Us"
  "independent K (u # Us)  $\implies$  u  $\in$  carrier R"
<proof>

```

```

lemma dimension_independent [intro]: "independent K Us  $\implies$  dimension
(length Us) K (Span K Us)"
<proof>

```

Now, we fix  $K$ , a subfield of the ring. Many lemmas would also be true for weaker structures, but our interest is to work with subfields, so generalization could be the subject of a future work.

```

context
  fixes K :: "'a set" assumes K: "subfield K R"
begin

```

## 38.3 Basic Properties - Second Part

```

lemmas subring_props [simp] =
  subringE[OF subfieldE(1)[OF K]]

```

```

lemma line_extension_is_subgroup:
  assumes "subgroup E (add_monoid R)" "a ∈ carrier R"
  shows "subgroup (line_extension K a E) (add_monoid R)"
  <proof>

corollary Span_is_add_subgroup:
  "set Us ⊆ carrier R ⇒ subgroup (Span K Us) (add_monoid R)"
  <proof>

lemma line_extension_smult_closed:
  assumes "∧k v. [ k ∈ K; v ∈ E ] ⇒ k ⊗ v ∈ E" and "E ⊆ carrier R"
  "a ∈ carrier R"
  shows "∧k u. [ k ∈ K; u ∈ line_extension K a E ] ⇒ k ⊗ u ∈ line_extension
  K a E"
  <proof>

lemma Span_subgroup_props [simp]:
  assumes "set Us ⊆ carrier R"
  shows "Span K Us ⊆ carrier R"
  and "0 ∈ Span K Us"
  and "∧v1 v2. [ v1 ∈ Span K Us; v2 ∈ Span K Us ] ⇒ (v1 ⊕ v2) ∈
  Span K Us"
  and "∧v. v ∈ Span K Us ⇒ (⊖ v) ∈ Span K Us"
  <proof>

lemma Span_smult_closed [simp]:
  assumes "set Us ⊆ carrier R"
  shows "∧k v. [ k ∈ K; v ∈ Span K Us ] ⇒ k ⊗ v ∈ Span K Us"
  <proof>

lemma Span_m_inv_simprule [simp]:
  assumes "set Us ⊆ carrier R"
  shows "[ k ∈ K - { 0 }; a ∈ carrier R ] ⇒ k ⊗ a ∈ Span K Us ⇒ a
  ∈ Span K Us"
  <proof>

```

### 38.4 Span as Linear Combinations

We show that Span is the set of linear combinations

```

lemma line_extension_of_combine_set:
  assumes "u ∈ carrier R"
  shows "line_extension K u { combine Ks Us | Ks. set Ks ⊆ K } =
  { combine Ks (u # Us) | Ks. set Ks ⊆ K }"
  (is "?line_extension = ?combinations")
  <proof>

```

```

lemma Span_eq_combine_set:
  assumes "set Us ⊆ carrier R" shows "Span K Us = { combine Ks Us |
  Ks. set Ks ⊆ K }"

```

*<proof>*

```
lemma line_extension_of_combine_set_length_version:
  assumes "u ∈ carrier R"
  shows "line_extension K u { combine Ks Us | Ks. length Ks = length Us
  ∧ set Ks ⊆ K } =
      { combine Ks (u # Us) | Ks. length Ks = length (u
  # Us) ∧ set Ks ⊆ K }"
  (is "?line_extension = ?combinations")
<proof>
```

```
lemma Span_eq_combine_set_length_version:
  assumes "set Us ⊆ carrier R"
  shows "Span K Us = { combine Ks Us | Ks. length Ks = length Us ∧ set
  Ks ⊆ K }"
  <proof>
```

### 38.4.1 Corollaries

```
corollary Span_mem_iff_length_version:
  assumes "set Us ⊆ carrier R"
  shows "a ∈ Span K Us ↔ (∃Ks. set Ks ⊆ K ∧ length Ks = length Us
  ∧ a = combine Ks Us)"
  <proof>
```

```
corollary Span_mem_imp_non_trivial_combine:
  assumes "set Us ⊆ carrier R" and "a ∈ Span K Us"
  obtains k Ks
  where "k ∈ K - { 0 }" "set Ks ⊆ K" "length Ks = length Us" "combine
  (k # Ks) (a # Us) = 0"
  <proof>
```

```
corollary Span_mem_iff:
  assumes "set Us ⊆ carrier R" and "a ∈ carrier R"
  shows "a ∈ Span K Us ↔ (∃k ∈ K - { 0 }. ∃Ks. set Ks ⊆ K ∧ combine
  (k # Ks) (a # Us) = 0)"
  (is "?in_Span ↔ ?exists_combine")
  <proof>
```

## 38.5 Span as the minimal subgroup that contains $K \langle \# \rangle$ set $Us$

Now we show the link between Span and Group.generate

```
lemma mono_Span:
  assumes "set Us ⊆ carrier R" and "u ∈ carrier R"
  shows "Span K Us ⊆ Span K (u # Us)"
  <proof>
```

```
lemma Span_min:
```

assumes "set Us  $\subseteq$  carrier R" and "subgroup E (add\_monoid R)"  
 shows "K  $\langle\#\rangle$  (set Us)  $\subseteq$  E  $\implies$  Span K Us  $\subseteq$  E"  
*<proof>*

**lemma** Span\_eq\_generate:

assumes "set Us  $\subseteq$  carrier R" shows "Span K Us = generate (add\_monoid R) (K  $\langle\#\rangle$  (set Us))"  
*<proof>*

### 38.5.1 Corollaries

**corollary** Span\_same\_set:

assumes "set Us  $\subseteq$  carrier R"  
 shows "set Us = set Vs  $\implies$  Span K Us = Span K Vs"  
*<proof>*

**corollary** Span\_incl: "set Us  $\subseteq$  carrier R  $\implies$  K  $\langle\#\rangle$  (set Us)  $\subseteq$  Span K Us"  
*<proof>*

**corollary** Span\_base\_incl: "set Us  $\subseteq$  carrier R  $\implies$  set Us  $\subseteq$  Span K Us"  
*<proof>*

**corollary** mono\_Span\_sublist:

assumes "set Us  $\subseteq$  set Vs" "set Vs  $\subseteq$  carrier R"  
 shows "Span K Us  $\subseteq$  Span K Vs"  
*<proof>*

**corollary** mono\_Span\_append:

assumes "set Us  $\subseteq$  carrier R" "set Vs  $\subseteq$  carrier R"  
 shows "Span K Us  $\subseteq$  Span K (Us @ Vs)"  
 and "Span K Us  $\subseteq$  Span K (Vs @ Us)"  
*<proof>*

**corollary** mono\_Span\_subset:

assumes "set Us  $\subseteq$  Span K Vs" "set Vs  $\subseteq$  carrier R"  
 shows "Span K Us  $\subseteq$  Span K Vs"  
*<proof>*

**lemma** Span\_strict\_incl:

assumes "set Us  $\subseteq$  carrier R" "set Vs  $\subseteq$  carrier R"  
 shows "Span K Us  $\subset$  Span K Vs  $\implies$  ( $\exists v \in$  set Vs.  $v \notin$  Span K Us)"  
*<proof>*

**lemma** Span\_append\_eq\_set\_add:

assumes "set Us  $\subseteq$  carrier R" and "set Vs  $\subseteq$  carrier R"  
 shows "Span K (Us @ Vs) = (Span K Us  $\langle+\rangle_R$  Span K Vs)"  
*<proof>*



### 38.6 Characterisation of Linearly Independent "Sets"

```
declare independent_backwards [intro]
declare independent_in_carrier [intro]
```

```
lemma independent_distinct: "independent K Us  $\implies$  distinct Us"
<proof>
```

```
lemma independent_strict_incl:
  assumes "independent K (u # Us)" shows "Span K Us  $\subseteq$  Span K (u # Us)"
<proof>
```

```
corollary independent_replacement:
  assumes "independent K (u # Us)" and "independent K Vs"
  shows "Span K (u # Us)  $\subseteq$  Span K Vs  $\implies$  ( $\exists v \in$  set Vs. independent K
(v # Us))"
<proof>
```

```
lemma independent_split:
  assumes "independent K (Us @ Vs)"
  shows "independent K Vs"
    and "independent K Us"
    and "Span K Us  $\cap$  Span K Vs = { 0 }"
<proof>
```

```
lemma independent_append:
  assumes "independent K Us" and "independent K Vs" and "Span K Us  $\cap$ 
Span K Vs = { 0 }"
  shows "independent K (Us @ Vs)"
<proof>
```

```
lemma independent_imp_trivial_combine:
  assumes "independent K Us"
  shows " $\bigwedge Ks. [ [ \text{set } Ks \subseteq K; \text{combine } Ks \text{ Us} = \mathbf{0} ] \implies \text{set (take (length
Us) Ks)} \subseteq \{ \mathbf{0} \} ]$ "
<proof>
```

```
lemma non_trivial_combine_imp_dependent:
  assumes "set Ks  $\subseteq$  K" and "combine Ks Us = 0" and " $\neg$  set (take (length
Us) Ks)  $\subseteq$  { 0 }"
  shows "dependent K Us"
<proof>
```

```
lemma trivial_combine_imp_independent:
  assumes "set Us  $\subseteq$  carrier R"
    and " $\bigwedge Ks. [ [ \text{set } Ks \subseteq K; \text{combine } Ks \text{ Us} = \mathbf{0} ] \implies \text{set (take (length
Us) Ks)} \subseteq \{ \mathbf{0} \} ]$ "
  shows "independent K Us"
<proof>
```

**corollary** `dependent_imp_non_trivial_combine:`  
 assumes "set Us  $\subseteq$  carrier R" and "dependent K Us"  
 obtains Ks where "length Ks = length Us" "combine Ks Us = 0" "set Ks  $\subseteq$  K" "set Ks  $\neq$  { 0 }"  
*<proof>*

**corollary** `unique_decomposition:`  
 assumes "independent K Us"  
 shows "a  $\in$  Span K Us  $\implies \exists !$  Ks. set Ks  $\subseteq$  K  $\wedge$  length Ks = length Us  $\wedge$  a = combine Ks Us"  
*<proof>*

### 38.7 Replacement Theorem

**lemma** `independent_rotate1_aux:`  
 "independent K (u # Us @ Vs)  $\implies$  independent K ((Us @ [u]) @ Vs)"  
*<proof>*

**corollary** `independent_rotate1:`  
 "independent K (Us @ Vs)  $\implies$  independent K ((rotate1 Us) @ Vs)"  
*<proof>*

**corollary** `independent_same_set:`  
 assumes "set Us = set Vs" and "length Us = length Vs"  
 shows "independent K Us  $\implies$  independent K Vs"  
*<proof>*

**lemma** `replacement_theorem:`  
 assumes "independent K (Us' @ Us)" and "independent K Vs"  
 and "Span K (Us' @ Us)  $\subseteq$  Span K Vs"  
 shows " $\exists$  Vs'. set Vs'  $\subseteq$  set Vs  $\wedge$  length Vs' = length Us'  $\wedge$  independent K (Vs' @ Us)"  
*<proof>*

**corollary** `independent_length_le:`  
 assumes "independent K Us" and "independent K Vs"  
 shows "set Us  $\subseteq$  Span K Vs  $\implies$  length Us  $\leq$  length Vs"  
*<proof>*

### 38.8 Dimension

**lemma** `exists_base:`  
 assumes "dimension n K E"  
 shows " $\exists$  Vs. set Vs  $\subseteq$  carrier R  $\wedge$  independent K Vs  $\wedge$  length Vs = n  $\wedge$  Span K Vs = E"  
 (is " $\exists$  Vs. ?base K Vs E n")  
*<proof>*

**lemma** dimension\_zero: "dimension 0 K E  $\implies$  E = { 0 }"  
*<proof>*

**lemma** dimension\_one [iff]: "dimension 1 K K"  
*<proof>*

**lemma** dimensionI:  
 assumes "independent K Us" "Span K Us = E"  
 shows "dimension (length Us) K E"  
*<proof>*

**lemma** space\_subgroup\_props:  
 assumes "dimension n K E"  
 shows "E  $\subseteq$  carrier R"  
 and "0  $\in$  E"  
 and " $\bigwedge v1 v2. [v1 \in E; v2 \in E] \implies (v1 \oplus v2) \in E$ "  
 and " $\bigwedge v. v \in E \implies (\ominus v) \in E$ "  
 and " $\bigwedge k v. [k \in K; v \in E] \implies k \otimes v \in E$ "  
 and " $[k \in K - \{0\}; a \in \text{carrier } R] \implies k \otimes a \in E \implies a \in E$ "  
*<proof>*

**lemma** independent\_length\_le\_dimension:  
 assumes "dimension n K E" and "independent K Us" "set Us  $\subseteq$  E"  
 shows "length Us  $\leq$  n"  
*<proof>*

**lemma** dimension\_is\_inj:  
 assumes "dimension n K E" and "dimension m K E"  
 shows "n = m"  
*<proof>*

**corollary** independent\_length\_eq\_dimension:  
 assumes "dimension n K E" and "independent K Us" "set Us  $\subseteq$  E"  
 shows "length Us = n  $\longleftrightarrow$  Span K Us = E"  
*<proof>*

**lemma** complete\_base:  
 assumes "dimension n K E" and "independent K Us" "set Us  $\subseteq$  E"  
 shows " $\exists Vs. \text{length } (Vs @ Us) = n \wedge \text{independent K } (Vs @ Us) \wedge \text{Span K } (Vs @ Us) = E$ "  
*<proof>*

**lemma** filter\_base:  
 assumes "set Us  $\subseteq$  carrier R"  
 obtains Vs where "set Vs  $\subseteq$  carrier R" and "independent K Vs" and "Span K Vs = Span K Us"  
*<proof>*

**lemma** dimension\_backwards:

"dimension (Suc n) K E  $\implies$   $\exists v \in \text{carrier } R. \exists E'. \text{dimension } n \text{ K } E' \wedge v \notin E' \wedge E = \text{line\_extension } K \ v \ E'$ "  
*<proof>*

**lemma** dimension\_direct\_sum\_space:  
 assumes "dimension n K E" and "dimension m K F" and "E  $\cap$  F = { 0 }"  
 shows "dimension (n + m) K (E  $\langle + \rangle_R$  F)"  
*<proof>*

**lemma** dimension\_sum\_space:  
 assumes "dimension n K E" and "dimension m K F" and "dimension k K (E  $\cap$  F)"  
 shows "dimension (n + m - k) K (E  $\langle + \rangle_R$  F)"  
*<proof>*

**end**

**end**

**lemma** (in ring) telescopic\_base\_aux:  
 assumes "subfield K R" "subfield F R"  
 and "dimension n K F" and "dimension 1 F E"  
 shows "dimension n K E"  
*<proof>*

**lemma** (in ring) telescopic\_base:  
 assumes "subfield K R" "subfield F R"  
 and "dimension n K F" and "dimension m F E"  
 shows "dimension (n \* m) K E"  
*<proof>*

**context** ring\_hom\_ring  
**begin**

**lemma** combine\_hom:  
 "[[ set Ks  $\subseteq$  carrier R; set Us  $\subseteq$  carrier R ]  $\implies$  combine (map h Ks) (map h Us) = h (R.combine Ks Us)"]  
*<proof>*

**lemma** line\_extension\_hom:  
 assumes "K  $\subseteq$  carrier R" "a  $\in$  carrier R" "E  $\subseteq$  carrier R"  
 shows "line\_extension (h ' K) (h a) (h ' E) = h ' R.line\_extension K a E"  
*<proof>*

**lemma** Span\_hom:  
 assumes "K  $\subseteq$  carrier R" "set Us  $\subseteq$  carrier R"

```

shows "Span (h ' K) (map h Us) = h ' R.Span K Us"
⟨proof⟩

lemma inj_on_subgroup_iff_trivial_ker:
  assumes "subgroup H (add_monoid R)"
  shows "inj_on h H  $\longleftrightarrow$  a_kernel (R (| carrier := H |)) S h = { 0 }"
  ⟨proof⟩

corollary inj_on_Span_iff_trivial_ker:
  assumes "subfield K R" "set Us  $\subseteq$  carrier R"
  shows "inj_on h (R.Span K Us)  $\longleftrightarrow$  a_kernel (R (| carrier := R.Span K Us |)) S h = { 0 }"
  ⟨proof⟩

context
  fixes K :: "'a set" assumes K: "subfield K R" and one_zero: "1S  $\neq$  0S"
begin

lemma inj_hom_preserves_independent:
  assumes "inj_on h (R.Span K Us)"
  and "R.independent K Us" shows "independent (h ' K) (map h Us)"
  ⟨proof⟩

corollary inj_hom_dimension:
  assumes "inj_on h E"
  and "R.dimension n K E" shows "dimension n (h ' K) (h ' E)"
  ⟨proof⟩

corollary rank_nullity_theorem:
  assumes "R.dimension n K E" and "R.dimension m K (a_kernel (R (| carrier := E |)) S h)"
  shows "dimension (n - m) (h ' K) (h ' E)"
  ⟨proof⟩

end

end

lemma (in ring_hom_ring)
  assumes "subfield K R" and "set Us  $\subseteq$  carrier R" and "1S  $\neq$  0S"
  and "independent (h ' K) (map h Us)" shows "R.independent K Us"
  ⟨proof⟩

```

### 38.9 Finite Dimension

```

definition (in ring) finite_dimension :: "'a set  $\Rightarrow$  'a set  $\Rightarrow$  bool"
  where "finite_dimension K E  $\longleftrightarrow$  ( $\exists$ n. dimension n K E)"

```

```
abbreviation (in ring) infinite_dimension :: "'a set  $\Rightarrow$  'a set  $\Rightarrow$  bool"
  where "infinite_dimension K E  $\equiv$   $\neg$  finite_dimension K E"
```

```
definition (in ring) dim :: "'a set  $\Rightarrow$  'a set  $\Rightarrow$  nat"
  where "dim K E = (THE n. dimension n K E)"
```

```
locale subalgebra = subgroup V "add_monoid R" for K and V and R (structure)
+
  assumes smult_closed: "[[ k  $\in$  K; v  $\in$  V ]]  $\implies$  k  $\otimes$  v  $\in$  V"
```

### 38.9.1 Basic Properties

```
lemma (in ring) unique_dimension:
  assumes "subfield K R" and "finite_dimension K E" shows " $\exists!$ n. dimension
n K E"
  <proof>
```

```
lemma (in ring) finite_dimensionI:
  assumes "dimension n K E" shows "finite_dimension K E"
  <proof>
```

```
lemma (in ring) finite_dimensionE:
  assumes "subfield K R" and "finite_dimension K E" shows "dimension
((dim over K) E) K E"
  <proof>
```

```
lemma (in ring) dimI:
  assumes "subfield K R" and "dimension n K E" shows "(dim over K) E
= n"
  <proof>
```

```
lemma (in ring) finite_dimensionE' [elim]:
  assumes "finite_dimension K E" and " $\bigwedge$ n. dimension n K E  $\implies$  P" shows
P
  <proof>
```

```
lemma (in ring) Span_finite_dimension:
  assumes "subfield K R" and "set Us  $\subseteq$  carrier R"
  shows "finite_dimension K (Span K Us)"
  <proof>
```

```
lemma (in ring) carrier_is_subalgebra:
  assumes "K  $\subseteq$  carrier R" shows "subalgebra K (carrier R) R"
  <proof>
```

```
lemma (in ring) subalgebra_in_carrier:
  assumes "subalgebra K V R" shows "V  $\subseteq$  carrier R"
  <proof>
```

**lemma** (in ring) subalgebra\_inter:  
 assumes "subalgebra K V R" and "subalgebra K V' R" shows "subalgebra  
 K  $(V \cap V')$  R"  
*<proof>*

**lemma** (in ring\_hom\_ring) img\_is\_subalgebra:  
 assumes " $K \subseteq \text{carrier } R$ " and "subalgebra K V R" shows "subalgebra  $(h$   
 $' K) (h ' V) S$ "  
*<proof>*

**lemma** (in ring) ideal\_is\_subalgebra:  
 assumes " $K \subseteq \text{carrier } R$ " "ideal I R" shows "subalgebra K I R"  
*<proof>*

**lemma** (in ring) Span\_is\_subalgebra:  
 assumes "subfield K R" "set  $Us \subseteq \text{carrier } R$ " shows "subalgebra K (Span  
 K  $Us$ ) R"  
*<proof>*

**lemma** (in ring) finite\_dimension\_imp\_subalgebra:  
 assumes "subfield K R" "finite\_dimension K E" shows "subalgebra K E  
 R"  
*<proof>*

**lemma** (in ring) subalgebra\_Span\_incl:  
 assumes "subfield K R" and "subalgebra K V R" "set  $Us \subseteq V$ " shows "Span  
 K  $Us \subseteq V$ "  
*<proof>*

**lemma** (in ring) Span\_subalgebra\_minimal:  
 assumes "subfield K R" "set  $Us \subseteq \text{carrier } R$ "  
 shows " $\text{Span } K Us = \bigcap \{ V. \text{subalgebra } K V R \wedge \text{set } Us \subseteq V \}$ "  
*<proof>*

**lemma** (in ring) Span\_subalgebraI:  
 assumes "subfield K R"  
 and "subalgebra K E R" "set  $Us \subseteq E$ "  
 and " $\bigwedge V. [\text{subalgebra } K V R; \text{set } Us \subseteq V] \implies E \subseteq V$ "  
 shows " $E = \text{Span } K Us$ "  
*<proof>*

**lemma** (in ring) subalgebra\_incl\_imp\_finite\_dimension:  
 assumes "subfield K R" and "finite\_dimension K E"  
 and "subalgebra K V R" " $V \subseteq E$ " shows "finite\_dimension K V"  
*<proof>*

**lemma** (in ring\_hom\_ring) infinite\_dimension\_hom:  
 assumes "subfield K R" and " $1_S \neq 0_S$ " and "inj\_on  $h$  E" and "subalgebra  
 K E R"

```

  shows "R.infinite_dimension K E  $\implies$  infinite_dimension (h ` K) (h `
E)"
  <proof>

```

### 38.9.2 Reformulation of some lemmas in this new language.

```

lemma (in ring) sum_space_dim:
  assumes "subfield K R" "finite_dimension K E" "finite_dimension K F"
  shows "finite_dimension K (E <+>_R F)"
  and "((dim over K) (E <+>_R F)) = ((dim over K) E) + ((dim over K)
F) - ((dim over K) (E  $\cap$  F))"
  <proof>

```

```

lemma (in ring) telescopic_base_dim:
  assumes "subfield K R" "subfield F R" and "finite_dimension K F" and
"finite_dimension F E"
  shows "finite_dimension K E" and "(dim over K) E = ((dim over K) F)
* ((dim over F) E)"
  <proof>

```

end

```

theory Solvable_Groups
  imports Generated_Groups

```

begin

## 39 Solvable Groups

### 39.1 Definitions

```

inductive solvable_seq :: "('a, 'b) monoid_scheme  $\implies$  'a set  $\implies$  bool"
  for G where
  unity: "solvable_seq G { 1_G }"
  | extension: "[[ solvable_seq G K; K  $\triangleleft$  (G (| carrier := H ))]; subgroup
H G;
  comm_group ((G (| carrier := H )) Mod K) ]  $\implies$  solvable_seq
G H"

```

```

definition solvable :: "('a, 'b) monoid_scheme  $\implies$  bool"
  where "solvable G  $\longleftrightarrow$  solvable_seq G (carrier G)"

```

### 39.2 Solvable Groups and Derived Subgroups

We show that a group  $G$  is solvable iff the subgroup (derived  $G$  'n) (carrier  $G$ ) is trivial for a sufficiently large  $n$ .

```

lemma (in group) solvable_imp_subgroup:

```



**assumes** "solvable\_seq G H" **shows** "subgroup H G"  
*<proof>*

**lemma** (in group) augment\_solvable\_seq:  
**assumes** "subgroup H G" and "solvable\_seq G (derived G H)" **shows** "solvable\_seq G H"  
*<proof>*

**theorem** (in group) trivial\_derived\_seq\_imp\_solvable:  
**assumes** "subgroup H G" and " $((\text{derived } G)^{\wedge n}) H = \{ 1 \}$ " **shows** "solvable\_seq G H"  
*<proof>*

**theorem** (in group) solvable\_imp\_trivial\_derived\_seq:  
**assumes** "solvable\_seq G H" **shows** " $\exists n. (\text{derived } G)^{\wedge n} H = \{ 1 \}$ "  
*<proof>*

**theorem** (in group) solvable\_iff\_trivial\_derived\_seq:  
"solvable G  $\longleftrightarrow$  ( $\exists n. (\text{derived } G)^{\wedge n} (\text{carrier } G) = \{ 1 \}$ )"  
*<proof>*

**corollary** (in group) solvable\_subgroup:  
**assumes** "subgroup H G" and "solvable G" **shows** "solvable\_seq G H"  
*<proof>*

### 39.3 Short Exact Sequences

Even if we don't talk about short exact sequences explicitly, we show that given an injective homomorphism from a group H to a group G, if H isn't solvable the group G isn't neither.

**theorem** (in group\_hom) solvable\_img\_imp\_solvable:  
**assumes** "subgroup K G" and "inj\_on h K" and "solvable\_seq H (h ' K)"  
**shows** "solvable\_seq G K"  
*<proof>*

**corollary** (in group\_hom) inj\_hom\_imp\_solvable:  
**assumes** "inj\_on h (carrier G)" and "solvable H" **shows** "solvable G"  
*<proof>*

**theorem** (in group\_hom) solvable\_imp\_solvable\_img:  
**assumes** "solvable\_seq G K" **shows** "solvable\_seq H (h ' K)"  
*<proof>*

**corollary** (in group\_hom) surj\_hom\_imp\_solvable:  
**assumes** "h ' carrier G = carrier H" and "solvable G" **shows** "solvable H"  
*<proof>*

**lemma** solvable\_seq\_condition:

```

    assumes "group_hom G H f" "group_hom H K g" and "f ' I  $\subseteq$  J" and "kernel
H K g  $\subseteq$  f ' I"
    and "subgroup J H" and "solvable_seq G I" "solvable_seq K (g ' J)"
    shows "solvable_seq H J"
  <proof>

```

```

lemma solvable_condition:
  assumes "group_hom G H f" "group_hom H K g"
  and "g ' (carrier H) = carrier K" and "kernel H K g  $\subseteq$  f ' (carrier
G)"
  and "solvable G" "solvable K" shows "solvable H"
  <proof>

```

```
end
```

```

theory Sym_Groups
  imports
    "HOL-Combinatorics.Cycles"
    Solvable_Groups
begin

```

## 40 Symmetric Groups

### 40.1 Definitions

```

abbreviation inv' :: "('a  $\Rightarrow$  'b)  $\Rightarrow$  ('b  $\Rightarrow$  'a)"
  where "inv' f  $\equiv$  Hilbert_Choice.inv f"

```

```

definition sym_group :: "nat  $\Rightarrow$  (nat  $\Rightarrow$  nat) monoid"
  where "sym_group n = ( $\lambda$  carrier = { p. p permutes {1..n} }, mult = ( $\circ$ ),
one = id  $\lambda$ )"

```

```

definition alt_group :: "nat  $\Rightarrow$  (nat  $\Rightarrow$  nat) monoid"
  where "alt_group n = (sym_group n) ( $\lambda$  carrier := { p. p permutes {1..n}
 $\wedge$  evenperm p }  $\lambda$ )"

```

```

definition sign_img :: "int monoid"
  where "sign_img = ( $\lambda$  carrier = { -1, 1 }, mult = (*), one = 1  $\lambda$ )"

```

### 40.2 Basic Properties

```

lemma sym_group_carrier: "p  $\in$  carrier (sym_group n)  $\longleftrightarrow$  p permutes {1..n}"
  <proof>

```

```

lemma sym_group_mult: "mult (sym_group n) = ( $\circ$ )"
  <proof>

```

```

lemma sym_group_one: "one (sym_group n) = id"

```

*<proof>*

**lemma sym\_group\_carrier'**: "p ∈ carrier (sym\_group n) ⇒ permutation p"  
*<proof>*

**lemma alt\_group\_carrier**: "p ∈ carrier (alt\_group n) ⇔ p permutes {1..n} ∧ evenperm p"  
*<proof>*

**lemma alt\_group\_mult**: "mult (alt\_group n) = (◦)"  
*<proof>*

**lemma alt\_group\_one**: "one (alt\_group n) = id"  
*<proof>*

**lemma alt\_group\_carrier'**: "p ∈ carrier (alt\_group n) ⇒ permutation p"  
*<proof>*

**lemma sym\_group\_is\_group**: "group (sym\_group n)"  
*<proof>*

**lemma sign\_img\_is\_group**: "group sign\_img"  
*<proof>*

**lemma sym\_group\_inv\_closed**:  
 assumes "p ∈ carrier (sym\_group n)" shows "inv' p ∈ carrier (sym\_group n)"  
*<proof>*

**lemma alt\_group\_inv\_closed**:  
 assumes "p ∈ carrier (alt\_group n)" shows "inv' p ∈ carrier (alt\_group n)"  
*<proof>*

**lemma sym\_group\_inv\_equality [simp]**:  
 assumes "p ∈ carrier (sym\_group n)" shows "inv\_(sym\_group n) p = inv' p"  
*<proof>*

**lemma sign\_is\_hom**: "sign ∈ hom (sym\_group n) sign\_img"  
*<proof>*

**lemma sign\_group\_hom**: "group\_hom (sym\_group n) sign\_img sign"  
*<proof>*

**lemma sign\_is\_surj**:  
 assumes "n ≥ 2" shows "sign ` (carrier (sym\_group n)) = carrier sign\_img"

*<proof>*

**lemma** alt\_group\_is\_sign\_kernel:

"carrier (alt\_group n) = kernel (sym\_group n) sign\_img sign"

*<proof>*

**lemma** alt\_group\_is\_subgroup: "subgroup (carrier (alt\_group n)) (sym\_group n)"

*<proof>*

**lemma** alt\_group\_is\_group: "group (alt\_group n)"

*<proof>*

**lemma** sign\_iso:

assumes "n ≥ 2" shows "(sym\_group n) Mod (carrier (alt\_group n)) ≅ sign\_img"

*<proof>*

**lemma** alt\_group\_inv\_equality:

assumes "p ∈ carrier (alt\_group n)" shows "inv<sub>(alt\_group n)</sub> p = inv' p"

*<proof>*

**lemma** sym\_group\_card\_carrier: "card (carrier (sym\_group n)) = fact n"

*<proof>*

**lemma** alt\_group\_card\_carrier:

assumes "n ≥ 2" shows "2 \* card (carrier (alt\_group n)) = fact n"

*<proof>*

### 40.3 Transposition Sequences

In order to prove that the Alternating Group can be generated by 3-cycles, we need a stronger decomposition of permutations as transposition sequences than the one proposed at Permutations.thy.

**inductive** swapidseq\_ext :: "'a set ⇒ nat ⇒ ('a ⇒ 'a) ⇒ bool"

**where**

empty: "swapidseq\_ext {} 0 id"

| single: "[ swapidseq\_ext S n p; a ∉ S ] ⇒⇒ swapidseq\_ext (insert a S) n p"

| comp: "[ swapidseq\_ext S n p; a ≠ b ] ⇒⇒ swapidseq\_ext (insert a (insert b S)) (Suc n) ((transpose a b) ∘ p)"

**lemma** swapidseq\_ext\_finite:

assumes "swapidseq\_ext S n p" shows "finite S"

*<proof>*

```

lemma swapidseq_ext_zero:
  assumes "finite S" shows "swapidseq_ext S 0 id"
  <proof>

lemma swapidseq_ext_imp_swapidseq:
  <swapidseq n p> if <swapidseq_ext S n p>
  <proof>

lemma swapidseq_ext_zero_imp_id:
  assumes "swapidseq_ext S 0 p" shows "p = id"
  <proof>

lemma swapidseq_ext_finite_expansion:
  assumes "finite B" and "swapidseq_ext A n p" shows "swapidseq_ext
  (A  $\cup$  B) n p"
  <proof>

lemma swapidseq_ext_backwards:
  assumes "swapidseq_ext A (Suc n) p"
  shows " $\exists a b A' p'. a \neq b \wedge A = (\text{insert } a (\text{insert } b A')) \wedge$ 
  swapidseq_ext A' n p'  $\wedge p = (\text{transpose } a b) \circ p'$ "
  <proof>

lemma swapidseq_ext_backwards':
  assumes "swapidseq_ext A (Suc n) p"
  shows " $\exists a b A' p'. a \in A \wedge b \in A \wedge a \neq b \wedge \text{swapidseq\_ext } A \text{ n } p' \wedge$ 
  p = (transpose a b)  $\circ$  p'"
  <proof>

lemma swapidseq_ext_endswap:
  assumes "swapidseq_ext S n p" "a  $\neq$  b"
  shows "swapidseq_ext (insert a (insert b S)) (Suc n) (p  $\circ$  (transpose
  a b))"
  <proof>

lemma swapidseq_ext_extension:
  assumes "swapidseq_ext A n p" and "swapidseq_ext B m q" and "A  $\cap$  B
  = {}"
  shows "swapidseq_ext (A  $\cup$  B) (n + m) (p  $\circ$  q)"
  <proof>

lemma swapidseq_ext_of_cycles:
  assumes "cycle cs" shows "swapidseq_ext (set cs) (length cs - 1) (cycle_of_list
  cs)"
  <proof>

lemma cycle_decomp_imp_swapidseq_ext:
  assumes "cycle_decomp S p" shows " $\exists n. \text{swapidseq\_ext } S \text{ n } p$ "
  <proof>

```

```

lemma swapidseq_ext_of_permutation:
  assumes "p permutes S" and "finite S" shows " $\exists n$ . swapidseq_ext S n
  p"
  <proof>

```

```

lemma split_swapidseq_ext:
  assumes "k  $\leq$  n" and "swapidseq_ext S n p"
  obtains q r U V where "swapidseq_ext U (n - k) q" and "swapidseq_ext
  V k r" and "p = q  $\circ$  r" and "U  $\cup$  V = S"
  <proof>

```

#### 40.4 Unsolvability of Symmetric Groups

We show that symmetric groups (`sym_group n`) are unsolvable for  $(5::'a) \leq n$ .

```

abbreviation three_cycles :: "nat  $\Rightarrow$  (nat  $\Rightarrow$  nat) set"
  where "three_cycles n  $\equiv$ 
        { cycle_of_list cs | cs. cycle cs  $\wedge$  length cs = 3  $\wedge$  set cs
         $\subseteq$  {1..n} }"

```

```

lemma stupid_lemma:
  assumes "length cs = 3" shows "cs = [ (cs ! 0), (cs ! 1), (cs ! 2)
  ]"
  <proof>

```

```

lemma three_cycles_incl: "three_cycles n  $\subseteq$  carrier (alt_group n)"
  <proof>

```

```

lemma alt_group_carrier_as_three_cycles:
  "carrier (alt_group n) = generate (alt_group n) (three_cycles n)"
  <proof>

```

```

theorem derived_alt_group_const:
  assumes "n  $\geq$  5" shows "derived (alt_group n) (carrier (alt_group n))
  = carrier (alt_group n)"
  <proof>

```

```

corollary alt_group_is_unsolvable:
  assumes "n  $\geq$  5" shows " $\neg$  solvable (alt_group n)"
  <proof>

```

```

corollary sym_group_is_unsolvable:
  assumes "n  $\geq$  5" shows " $\neg$  solvable (sym_group n)"
  <proof>

```

**end**

## 41 Exact Sequences

```
theory Exact_Sequence
  imports Elementary_Groups Solvable_Groups
begin
```

### 41.1 Definitions

```
inductive exact_seq :: "'a monoid list × ('a ⇒ 'a) list ⇒ bool" where
unity: " group_hom G1 G2 f ⇒⇒ exact_seq ([G2, G1], [f])" |
extension: "[[ exact_seq ((G # K # l), (g # q)); group H ; h ∈ hom G H
;
kernel G H h = image g (carrier K) ] ] ⇒⇒ exact_seq (H #
G # K # l, h # g # q)"
```

```
inductive_simps exact_seq_end_iff [simp]: "exact_seq ([G,H], (g # q))"
inductive_simps exact_seq_cons_iff [simp]: "exact_seq ((G # K # H # l),
(g # h # q))"
```

```
abbreviation exact_seq_arrow ::
"'(a ⇒ 'a) ⇒ 'a monoid list × ('a ⇒ 'a) list ⇒ 'a monoid ⇒ 'a
monoid list × ('a ⇒ 'a) list"
("( $3_ / \longrightarrow \iota$  _)" [1000, 60])
where "exact_seq_arrow f t G ≡ (G # (fst t), f # (snd t))"
```

### 41.2 Basic Properties

```
lemma exact_seq_length1: "exact_seq t ⇒⇒ length (fst t) = Suc (length
(snd t))"
<proof>
```

```
lemma exact_seq_length2: "exact_seq t ⇒⇒ length (snd t) ≥ Suc 0"
<proof>
```

```
lemma dropped_seq_is_exact_seq:
assumes "exact_seq (G, F)" and "(i :: nat) < length F"
shows "exact_seq (drop i G, drop i F)"
<proof>
```

```
lemma truncated_seq_is_exact_seq:
assumes "exact_seq (l, q)" and "length l ≥ 3"
shows "exact_seq (tl l, tl q)"
<proof>
```

```
lemma exact_seq_imp_exact_hom:
assumes "exact_seq (G1 # l, q)  $\longrightarrow_{g1}$  G2  $\longrightarrow_{g2}$  G3"
shows "g1 ' (carrier G1) = kernel G2 G3 g2"
<proof>
```

```
lemma exact_seq_imp_exact_hom_arbitrary:
```

```

    assumes "exact_seq (G, F)"
    and "Suc i < length F"
    shows "(F ! (Suc i)) ' (carrier (G ! (Suc (Suc i)))) = kernel (G !
(Suc i)) (G ! i) (F ! i)"
  <proof>

```

```

lemma exact_seq_imp_group_hom :
  assumes "exact_seq ((G # l, q))  $\longrightarrow_g$  H"
  shows "group_hom G H g"
  <proof>

```

```

lemma exact_seq_imp_group_hom_arbitrary:
  assumes "exact_seq (G, F)" and "(i :: nat) < length F"
  shows "group_hom (G ! (Suc i)) (G ! i) (F ! i)"
  <proof>

```

### 41.3 Link Between Exact Sequences and Solvable Conditions

```

lemma exact_seq_solvable_imp :
  assumes "exact_seq ([G1], [])  $\longrightarrow_{g1}$  G2  $\longrightarrow_{g2}$  G3"
  and "inj_on g1 (carrier G1)"
  and "g2 ' (carrier G2) = carrier G3"
  shows "solvable G2  $\implies$  (solvable G1)  $\wedge$  (solvable G3)"
  <proof>

```

```

lemma exact_seq_solvable_recip :
  assumes "exact_seq ([G1], [])  $\longrightarrow_{g1}$  G2  $\longrightarrow_{g2}$  G3"
  and "inj_on g1 (carrier G1)"
  and "g2 ' (carrier G2) = carrier G3"
  shows "(solvable G1)  $\wedge$  (solvable G3)  $\implies$  solvable G2"
  <proof>

```

```

proposition exact_seq_solvable_iff :
  assumes "exact_seq ([G1], [])  $\longrightarrow_{g1}$  G2  $\longrightarrow_{g2}$  G3"
  and "inj_on g1 (carrier G1)"
  and "g2 ' (carrier G2) = carrier G3"
  shows "(solvable G1)  $\wedge$  (solvable G3)  $\longleftrightarrow$  solvable G2"
  <proof>

```

```

lemma exact_seq_eq_triviality:
  assumes "exact_seq ([E,D,C,B,A], [k,h,g,f])"
  shows "trivial_group C  $\longleftrightarrow$  f ' carrier A = carrier B  $\wedge$  inj_on k (carrier
D)" (is "_ = ?rhs")
  <proof>

```

```

lemma exact_seq_imp_triviality:
  "[[exact_seq ([E,D,C,B,A], [k,h,g,f]); f  $\in$  iso A B; k  $\in$  iso D E]]  $\implies$ 
trivial_group C"

```



*<proof>*

**lemma** exact\_seq\_epi\_eq\_triviality:

"exact\_seq ([D,C,B,A], [h,g,f])  $\implies$  (f ' carrier A = carrier B)  $\longleftrightarrow$   
trivial\_homomorphism B C g"

*<proof>*

**lemma** exact\_seq\_mon\_eq\_triviality:

"exact\_seq ([D,C,B,A], [h,g,f])  $\implies$  inj\_on h (carrier C)  $\longleftrightarrow$  trivial\_homomorphism  
B C g"

*<proof>*

**lemma** exact\_sequence\_sum\_lemma:

assumes "comm\_group G" and h: "h  $\in$  iso A C" and k: "k  $\in$  iso B D"  
and ex: "exact\_seq ([D,G,A], [g,i])" "exact\_seq ([C,G,B], [f,j])"  
and fih: " $\bigwedge x. x \in \text{carrier A} \implies f(i x) = h x$ "  
and gjk: " $\bigwedge x. x \in \text{carrier B} \implies g(j x) = k x$ "  
shows " $(\lambda(x, y). i x \otimes_G j y) \in \text{Group.iso (A} \times \times \text{B) G} \wedge (\lambda z. (f z,$   
g z))  $\in \text{Group.iso G (C} \times \times \text{D)}$ "  
(is "?ij  $\in$  \_  $\wedge$  ?gf  $\in$  \_")

*<proof>*

#### 41.4 Splitting lemmas and Short exact sequences

Ported from HOL Light by LCP

**definition** short\_exact\_sequence

where "short\_exact\_sequence A B C f g  $\equiv \exists T1 T2 e1 e2. \text{exact\_seq} ([T1,A,B,C,T2],$   
[e1,f,g,e2])  $\wedge$  trivial\_group T1  $\wedge$  trivial\_group T2"

**lemma** short\_exact\_sequenceD:

assumes "short\_exact\_sequence A B C f g" shows "exact\_seq ([A,B,C],  
[f,g])  $\wedge$  f  $\in$  epi B A  $\wedge$  g  $\in$  mon C B"

*<proof>*

**lemma** short\_exact\_sequence\_iff:

"short\_exact\_sequence A B C f g  $\longleftrightarrow$  exact\_seq ([A,B,C], [f,g])  $\wedge$  f  
 $\in$  epi B A  $\wedge$  g  $\in$  mon C B"

*<proof>*

**lemma** very\_short\_exact\_sequence:

assumes "exact\_seq ([D,C,B,A], [h,g,f])" "trivial\_group A" "trivial\_group  
D"

shows "g  $\in$  iso B C"

*<proof>*

**lemma** splitting\_sublemma\_gen:

assumes ex: "exact\_seq ([C,B,A], [g,f])" and fim: "f ' carrier A =  
H"

and "subgroup K B" and 1: "H  $\cap$  K  $\subseteq$  {one B}" and eq: "set\_mult

B H K = carrier B"  
 shows "g ∈ iso (subgroup\_generated B K) (subgroup\_generated C(g ' carrier B))"  
*<proof>*

**lemma** splitting\_sublemma:  
 assumes ex: "short\_exact\_sequence C B A g f" and fim: "f ' carrier A = H"  
 and "subgroup K B" and 1: "H ∩ K ⊆ {one B}" and eq: "set\_mult B H K = carrier B"  
 shows "f ∈ iso A (subgroup\_generated B H)" (is ?f)  
 "g ∈ iso (subgroup\_generated B K) C" (is ?g)  
*<proof>*

**lemma** splitting\_lemma\_left\_gen:  
 assumes ex: "exact\_seq ([C,B,A], [g,f])" and f': "f' ∈ hom B A" and iso: "(f' ∘ f) ∈ iso A A"  
 and injf: "inj\_on f (carrier A)" and surj: "g ' carrier B = carrier C"  
 obtains H K where "H < B" "K < B" "H ∩ K ⊆ {one B}" "set\_mult B H K = carrier B"  
 "f ∈ iso A (subgroup\_generated B H)" "g ∈ iso (subgroup\_generated B K) C"  
*<proof>*

**lemma** splitting\_lemma\_left:  
 assumes ex: "exact\_seq ([C,B,A], [g,f])" and f': "f' ∈ hom B A"  
 and inv: "(∧x. x ∈ carrier A ⇒ f'(f x) = x)"  
 and injf: "inj\_on f (carrier A)" and surj: "g ' carrier B = carrier C"  
 obtains H K where "H < B" "K < B" "H ∩ K ⊆ {one B}" "set\_mult B H K = carrier B"  
 "f ∈ iso A (subgroup\_generated B H)" "g ∈ iso (subgroup\_generated B K) C"  
*<proof>*

**lemma** splitting\_lemma\_right\_gen:  
 assumes ex: "short\_exact\_sequence C B A g f" and g': "g' ∈ hom C B"  
 and iso: "(g ∘ g') ∈ iso C C"  
 obtains H K where "H < B" "subgroup K B" "H ∩ K ⊆ {one B}" "set\_mult B H K = carrier B"  
 "f ∈ iso A (subgroup\_generated B H)" "g ∈ iso (subgroup\_generated B K) C"  
*<proof>*

**lemma** splitting\_lemma\_right:  
 assumes ex: "short\_exact\_sequence C B A g f" and g': "g' ∈ hom C B"  
 and gg': "(∧z. z ∈ carrier C ⇒ g(g' z) = z)"  
 obtains H K where "H < B" "subgroup K B" "H ∩ K ⊆ {one B}" "set\_mult

```

B H K = carrier B"
      "f ∈ iso A (subgroup_generated B H)" "g ∈ iso (subgroup_generated
B K) C"
⟨proof⟩

```

**end**

```

theory Ring_Divisibility
imports Ideal Divisibility QuotRing Multiplicative_Group

```

**begin**

```

definition mult_of :: "('a, 'b) ring_scheme ⇒ 'a monoid" where
  "mult_of R ≡ (| carrier = carrier R - {0R}, mult = mult R, one = 1R)"

```

```

lemma carrier_mult_of [simp]: "carrier (mult_of R) = carrier R - {0R"
  ⟨proof⟩

```

```

lemma mult_mult_of [simp]: "mult (mult_of R) = mult R"
  ⟨proof⟩

```

```

lemma nat_pow_mult_of: "([n]mult_of R) = (([n]R) :: _ ⇒ nat ⇒ _)"
  ⟨proof⟩

```

```

lemma one_mult_of [simp]: "1mult_of R = 1R"
  ⟨proof⟩

```

## 42 The Arithmetic of Rings

In this section we study the links between the divisibility theory and that of rings

### 42.1 Definitions

```

locale factorial_domain = domain + factorial_monoid "mult_of R"

```

```

locale noetherian_ring = ring +
  assumes finetely_gen: "ideal I R ⇒ ∃A ⊆ carrier R. finite A ∧ I
= Idl A"

```

```

locale noetherian_domain = noetherian_ring + domain

```

```

locale principal_domain = domain +
  assumes exists_gen: "ideal I R ⇒ ∃a ∈ carrier R. I = PIdl a"

```

```

locale euclidean_domain =
  domain R for R (structure) + fixes  $\varphi :: 'a \Rightarrow \text{nat}$ "
  assumes euclidean_function:
    "[[ a  $\in$  carrier R - { 0 }; b  $\in$  carrier R - { 0 } ] ]  $\implies$ 
       $\exists q r. q \in \text{carrier R} \wedge r \in \text{carrier R} \wedge a = (b \otimes q) \oplus r \wedge ((r = 0) \vee (\varphi r < \varphi b))$ "

definition ring_irreducible :: "('a, 'b) ring_scheme  $\Rightarrow$  'a  $\Rightarrow$  bool" ("ring'_irreduciblez")
  where "ring_irreducibleR a  $\longleftrightarrow$  (a  $\neq$  0R)  $\wedge$  (irreducible R a)"

definition ring_prime :: "('a, 'b) ring_scheme  $\Rightarrow$  'a  $\Rightarrow$  bool" ("ring'_primez")
  where "ring_primeR a  $\longleftrightarrow$  (a  $\neq$  0R)  $\wedge$  (prime R a)"

```

## 42.2 The cancellative monoid of a domain.

```

sublocale domain < mult_of: comm_monoid_cancel "mult_of R"
  rewrites "mult (mult_of R) = mult R"
  and "one (mult_of R) = one R"
  <proof>

sublocale factorial_domain < mult_of: factorial_monoid "mult_of R"
  rewrites "mult (mult_of R) = mult R"
  and "one (mult_of R) = one R"
  <proof>

lemma (in ring) noetherian_ringI:
  assumes " $\bigwedge I. \text{ideal } I \text{ R} \implies \exists A \subseteq \text{carrier R}. \text{finite } A \wedge I = \text{Idl } A$ "
  shows "noetherian_ring R"
  <proof>

lemma (in domain) euclidean_domainI:
  assumes " $\bigwedge a b. [[ a \in \text{carrier R} - \{ 0 \}; b \in \text{carrier R} - \{ 0 \} ] ] \implies$ 
     $\exists q r. q \in \text{carrier R} \wedge r \in \text{carrier R} \wedge a = (b \otimes q) \oplus r \wedge$ 
     $((r = 0) \vee (\varphi r < \varphi b))$ "
  shows "euclidean_domain R  $\varphi$ "
  <proof>

```

## 42.3 Passing from R to Ring\_Divisibility.mult\_of R and vice-versa.

```

lemma divides_mult_imp_divides [simp]: "a divides(mult_of R) b  $\implies$  a dividesR b"
  <proof>

lemma (in domain) divides_imp_divides_mult [simp]:
  "[[ a  $\in$  carrier R; b  $\in$  carrier R - { 0 } ] ]  $\implies$  a dividesR b  $\implies$  a divides(mult_of R) b"
  <proof>

```

```

lemma (in cring) divides_one:
  assumes "a ∈ carrier R"
  shows "a divides 1 ↔ a ∈ Units R"
  ⟨proof⟩

lemma (in ring) one_divides:
  assumes "a ∈ carrier R" shows "1 divides a"
  ⟨proof⟩

lemma (in ring) divides_zero:
  assumes "a ∈ carrier R" shows "a divides 0"
  ⟨proof⟩

lemma (in ring) zero_divides:
  shows "0 divides a ↔ a = 0"
  ⟨proof⟩

lemma (in domain) divides_mult_zero:
  assumes "a ∈ carrier R" shows "a divides(mult_of R) 0 ⇒ a = 0"
  ⟨proof⟩

lemma (in ring) divides_mult:
  assumes "a ∈ carrier R" "c ∈ carrier R"
  shows "a divides b ⇒ (c ⊗ a) divides (c ⊗ b)"
  ⟨proof⟩

lemma (in domain) mult_divides:
  assumes "a ∈ carrier R" "b ∈ carrier R" "c ∈ carrier R - { 0 }"
  shows "(c ⊗ a) divides (c ⊗ b) ⇒ a divides b"
  ⟨proof⟩

lemma (in domain) assoc_iff_assoc_mult:
  assumes "a ∈ carrier R" and "b ∈ carrier R"
  shows "a ~ b ↔ a ~(mult_of R) b"
  ⟨proof⟩

lemma (in domain) Units_mult_eq_Units [simp]: "Units (mult_of R) = Units
R"
  ⟨proof⟩

lemma (in domain) ring_associated_iff:
  assumes "a ∈ carrier R" "b ∈ carrier R"
  shows "a ~ b ↔ (∃u ∈ Units R. a = u ⊗ b)"
  ⟨proof⟩

lemma (in domain) properfactor_mult_imp_properfactor:
  "[[ a ∈ carrier R; b ∈ carrier R ] ⇒ properfactor (mult_of R) b a ⇒
properfactor R b a"
  ⟨proof⟩

```

```

lemma (in domain) properfactor_imp_properfactor_mult:
  "[[ a ∈ carrier R - { 0 }; b ∈ carrier R ]] ⇒ properfactor R b a ⇒
properfactor (mult_of R) b a"
  <proof>

```

```

lemma (in domain) properfactor_of_zero:
  assumes "b ∈ carrier R"
  shows "¬ properfactor (mult_of R) b 0" and "properfactor R b 0 ⇔
b ≠ 0"
  <proof>

```

## 42.4 Irreducible

The following lemmas justify the need for a definition of irreducible specific to rings: for irreducible  $R$ , we need to suppose we are not in a field (which is plausible, but  $\neg$  field  $R$  is an assumption we want to avoid; for irreducible (Ring\_Divisibility.mult\_of  $R$ ), zero is allowed.

```

lemma (in domain) zero_is_irreducible_mult:
  shows "irreducible (mult_of R) 0"
  <proof>

```

```

lemma (in domain) zero_is_irreducible_iff_field:
  shows "irreducible R 0 ⇔ field R"
  <proof>

```

```

lemma (in domain) irreducible_imp_irreducible_mult:
  "[[ a ∈ carrier R; irreducible R a ]] ⇒ irreducible (mult_of R) a"
  <proof>

```

```

lemma (in domain) irreducible_mult_imp_irreducible:
  "[[ a ∈ carrier R - { 0 }; irreducible (mult_of R) a ]] ⇒ irreducible
R a"
  <proof>

```

```

lemma (in domain) ring_irreducibleE:
  assumes "r ∈ carrier R" and "ring_irreducible r"
  shows "r ≠ 0" "irreducible R r" "irreducible (mult_of R) r" "r ∉ Units
R"
  and "∧a b. [[ a ∈ carrier R; b ∈ carrier R ]] ⇒ r = a ⊗ b ⇒ a
∈ Units R ∨ b ∈ Units R"
  <proof>

```

```

lemma (in domain) ring_irreducibleI:
  assumes "r ∈ carrier R - { 0 }" "r ∉ Units R"
  and "∧a b. [[ a ∈ carrier R; b ∈ carrier R ]] ⇒ r = a ⊗ b ⇒ a
∈ Units R ∨ b ∈ Units R"
  shows "ring_irreducible r"

```

*<proof>*

```
lemma (in domain) ring_irreducibleI':
  assumes "r ∈ carrier R - { 0 }" "irreducible (mult_of R) r"
  shows "ring_irreducible r"
<proof>
```

## 42.5 Primes

```
lemma (in domain) zero_is_prime: "prime R 0" "prime (mult_of R) 0"
<proof>
```

```
lemma (in domain) prime_eq_prime_mult:
  assumes "p ∈ carrier R"
  shows "prime R p ↔ prime (mult_of R) p"
<proof>
```

```
lemma (in domain) ring_primeE:
  assumes "p ∈ carrier R" "ring_prime p"
  shows "p ≠ 0" "prime (mult_of R) p" "prime R p"
<proof>
```

```
lemma (in ring) ring_primeI:
  assumes "p ≠ 0" "prime R p" shows "ring_prime p"
<proof>
```

```
lemma (in domain) ring_primeI':
  assumes "p ∈ carrier R - { 0 }" "prime (mult_of R) p"
  shows "ring_prime p"
<proof>
```

## 42.6 Basic Properties

```
lemma (in cring) to_contain_is_to_divide:
  assumes "a ∈ carrier R" "b ∈ carrier R"
  shows "PIdl b ⊆ PIdl a ↔ a divides b"
<proof>
```

```
lemma (in cring) associated_iff_same_ideal:
  assumes "a ∈ carrier R" "b ∈ carrier R"
  shows "a ~ b ↔ PIdl a = PIdl b"
<proof>
```

```
lemma (in cring) ideal_eq_carrier_iff:
  assumes "a ∈ carrier R"
  shows "carrier R = PIdl a ↔ a ∈ Units R"
<proof>
```

```
lemma (in domain) primeideal_iff_prime:
  assumes "p ∈ carrier R - { 0 }"
```

shows "primeideal (PID1 p) R  $\longleftrightarrow$  ring\_prime p"  
*<proof>*

## 42.7 Noetherian Rings

lemma (in ring) chain\_Union\_is\_ideal:  
 assumes "subset.chain { I. ideal I R } C"  
 shows "ideal (if C = {} then { 0 } else ( $\bigcup$ C)) R"  
*<proof>*

lemma (in noetherian\_ring) ideal\_chain\_is\_trivial:  
 assumes "C  $\neq$  {}" "subset.chain { I. ideal I R } C"  
 shows " $\bigcup$ C  $\in$  C"  
*<proof>*

lemma (in ring) trivial\_ideal\_chain\_imp\_noetherian:  
 assumes " $\bigwedge$ C. [ $C \neq \{\}$ ; subset.chain { I. ideal I R } C]  $\implies \bigcup$ C  $\in$  C"  
 shows "noetherian\_ring R"  
*<proof>*

lemma (in noetherian\_domain) factorization\_property:  
 assumes "a  $\in$  carrier R - { 0 }" "a  $\notin$  Units R"  
 shows " $\exists$ fs. set fs  $\subseteq$  carrier (mult\_of R)  $\wedge$  wfactors (mult\_of R) fs  
 a" (is "?factorizable a")  
*<proof>*

lemma (in noetherian\_domain) exists\_irreducible\_divisor:  
 assumes "a  $\in$  carrier R - { 0 }" and "a  $\notin$  Units R"  
 obtains b where "b  $\in$  carrier R" and "ring\_irreducible b" and "b divides  
 a"  
*<proof>*

## 42.8 Principal Domains

sublocale principal\_domain  $\subseteq$  noetherian\_domain  
*<proof>*

lemma (in principal\_domain) irreducible\_imp\_maximalideal:  
 assumes "p  $\in$  carrier R"  
 and "ring\_irreducible p"  
 shows "maximalideal (PID1 p) R"  
*<proof>*

corollary (in principal\_domain) primeness\_condition:  
 assumes "p  $\in$  carrier R"  
 shows "ring\_irreducible p  $\longleftrightarrow$  ring\_prime p"  
*<proof>*

lemma (in principal\_domain) domain\_iff\_prime:



```

    assumes "a ∈ carrier R - { 0 }"
    shows "domain (R Quot (PIdl a)) ⟷ ring_prime a"
    ⟨proof⟩

lemma (in principal_domain) field_iff_prime:
  assumes "a ∈ carrier R - { 0 }"
  shows "field (R Quot (PIdl a)) ⟷ ring_prime a"
  ⟨proof⟩

sublocale principal_domain < mult_of: primeness_condition_monoid "mult_of
R"
  rewrites "mult (mult_of R) = mult R"
  and "one (mult_of R) = one R"
  ⟨proof⟩

sublocale principal_domain < mult_of: factorial_monoid "mult_of R"
  rewrites "mult (mult_of R) = mult R"
  and "one (mult_of R) = one R"
  ⟨proof⟩

sublocale principal_domain ⊆ factorial_domain
  ⟨proof⟩

lemma (in principal_domain) ideal_sum_iff_gcd:
  assumes "a ∈ carrier R" "b ∈ carrier R" "d ∈ carrier R"
  shows "PIdl d = PIdl a <+>R PIdl b ⟷ d gcdof a b"
  ⟨proof⟩

lemma (in principal_domain) bezout_identity:
  assumes "a ∈ carrier R" "b ∈ carrier R"
  shows "PIdl a <+>R PIdl b = PIdl (somegcd R a b)"
  ⟨proof⟩

42.9 Euclidean Domains

sublocale euclidean_domain ⊆ principal_domain
  ⟨proof⟩

sublocale field ⊆ euclidean_domain R "λ_. 0"
  ⟨proof⟩

end

theory Polynomials
  imports Ring Ring_Divisibility Subrings

```

```
begin
```

## 43 Polynomials

### 43.1 Definitions

```
abbreviation lead_coeff :: "'a list ⇒ 'a"
  where "lead_coeff ≡ hd"
```

```
abbreviation degree :: "'a list ⇒ nat"
  where "degree p ≡ length p - 1"
```

```
definition polynomial :: "_ ⇒ 'a set ⇒ 'a list ⇒ bool" ("polynomialz")
  where "polynomialR K p ↔ p = [] ∨ (set p ⊆ K ∧ lead_coeff p ≠ 0R)"
```

```
definition (in ring) monom :: "'a ⇒ nat ⇒ 'a list"
  where "monom a n = a # (replicate n 0R)"
```

```
fun (in ring) eval :: "'a list ⇒ 'a ⇒ 'a"
  where
    "eval [] = (λ_. 0)"
  | "eval p = (λx. ((lead_coeff p) ⊗ (x [^] (degree p))) ⊕ (eval (tl p) x))"
```

```
fun (in ring) coeff :: "'a list ⇒ nat ⇒ 'a"
  where
    "coeff [] = (λ_. 0)"
  | "coeff p = (λi. if i = degree p then lead_coeff p else (coeff (tl p) i))"
```

```
fun (in ring) normalize :: "'a list ⇒ 'a list"
  where
    "normalize [] = []"
  | "normalize p = (if lead_coeff p ≠ 0 then p else normalize (tl p))"
```

```
fun (in ring) poly_add :: "'a list ⇒ 'a list ⇒ 'a list"
  where "poly_add p1 p2 =
    (if length p1 ≥ length p2
     then normalize (map2 (⊕) p1 ((replicate (length p1 - length p2) 0) @ p2))
     else poly_add p2 p1)"
```

```
fun (in ring) poly_mult :: "'a list ⇒ 'a list ⇒ 'a list"
  where
    "poly_mult [] p2 = []"
  | "poly_mult p1 p2 =
    poly_add ((map (λa. lead_coeff p1 ⊗ a) p2) @ (replicate (degree p1) 0)) (poly_mult (tl p1) p2)"
```

```

fun (in ring) dense_repr :: "'a list  $\Rightarrow$  ('a  $\times$  nat) list"
  where
    "dense_repr [] = []"
  | "dense_repr p = (if lead_coeff p  $\neq$  0
                      then (lead_coeff p, degree p) # (dense_repr (tl p))
                      else (dense_repr (tl p)))"

fun (in ring) poly_of_dense :: "'a  $\times$  nat) list  $\Rightarrow$  'a list"
  where "poly_of_dense dl = foldr ( $\lambda$ (a, n) l. poly_add (monom a n) l)
dl []"

definition (in ring) poly_of_const :: "'a  $\Rightarrow$  'a list"
  where "poly_of_const = ( $\lambda$ k. normalize [ k ])"

```

## 43.2 Basic Properties

```

context ring
begin

```

```

lemma polynomialI [intro]: "[ set p  $\subseteq$  K; lead_coeff p  $\neq$  0 ]  $\Rightarrow$  polynomial
K p"
  <proof>

```

```

lemma polynomial_incl: "polynomial K p  $\Rightarrow$  set p  $\subseteq$  K"
  <proof>

```

```

lemma monom_in_carrier [intro]: "a  $\in$  carrier R  $\Rightarrow$  set (monom a n)  $\subseteq$ 
carrier R"
  <proof>

```

```

lemma lead_coeff_not_zero: "polynomial K (a # p)  $\Rightarrow$  a  $\in$  K - { 0 }"
  <proof>

```

```

lemma zero_is_polynomial [intro]: "polynomial K []"
  <proof>

```

```

lemma const_is_polynomial [intro]: "a  $\in$  K - { 0 }  $\Rightarrow$  polynomial K [
a ]"
  <proof>

```

```

lemma normalize_gives_polynomial: "set p  $\subseteq$  K  $\Rightarrow$  polynomial K (normalize
p)"
  <proof>

```

```

lemma normalize_in_carrier: "set p  $\subseteq$  carrier R  $\Rightarrow$  set (normalize p)
 $\subseteq$  carrier R"
  <proof>

```

```

lemma normalize_polynomial: "polynomial K p  $\Rightarrow$  normalize p = p"

```

*<proof>*

**lemma** normalize\_idem: "normalize ((normalize p) @ q) = normalize (p @ q)"  
*<proof>*

**lemma** normalize\_length\_le: "length (normalize p) ≤ length p"  
*<proof>*

**lemma** eval\_in\_carrier: "[[ set p ⊆ carrier R; x ∈ carrier R ]] ⇒ (eval p) x ∈ carrier R"  
*<proof>*

**lemma** coeff\_in\_carrier [simp]: "set p ⊆ carrier R ⇒ (coeff p) i ∈ carrier R"  
*<proof>*

**lemma** lead\_coeff\_simp [simp]: "p ≠ [] ⇒ (coeff p) (degree p) = lead\_coeff p"  
*<proof>*

**lemma** coeff\_list: "map (coeff p) (rev [0..< length p]) = p"  
*<proof>*

**lemma** coeff\_nth: "i < length p ⇒ (coeff p) i = p ! (length p - 1 - i)"  
*<proof>*

**lemma** coeff\_iff\_length\_cond:  
 assumes "length p1 = length p2"  
 shows "p1 = p2 ↔ coeff p1 = coeff p2"  
*<proof>*

**lemma** coeff\_img\_restrict: "(coeff p) ` {..< length p} = set p"  
*<proof>*

**lemma** coeff\_length: "∧i. i ≥ length p ⇒ (coeff p) i = 0"  
*<proof>*

**lemma** coeff\_degree: "∧i. i > degree p ⇒ (coeff p) i = 0"  
*<proof>*

**lemma** replicate\_zero\_coeff [simp]: "coeff (replicate n 0) = (λ\_. 0)"  
*<proof>*

**lemma** scalar\_coeff: "a ∈ carrier R ⇒ coeff (map (λb. a ⊗ b) p) = (λi. a ⊗ (coeff p) i)"  
*<proof>*

**lemma** monom\_coeff: "coeff (monom a n) = ( $\lambda i$ . if  $i = n$  then a else 0)"  
 <proof>

**lemma** coeff\_img:  
 "(coeff p) ' {.. $\text{length } p$ } = set p"  
 "(coeff p) ' { $\text{length } p$ ..} = { 0 }"  
 "(coeff p) ' UNIV = (set p)  $\cup$  { 0 }"  
 <proof>

**lemma** degree\_def':  
 assumes "polynomial K p"  
 shows "degree p = (LEAST n.  $\forall i$ .  $i > n \longrightarrow$  (coeff p) i = 0)"  
 <proof>

**lemma** coeff\_iff\_polynomial\_cond:  
 assumes "polynomial K p1" and "polynomial K p2"  
 shows "p1 = p2  $\longleftrightarrow$  coeff p1 = coeff p2"  
 <proof>

**lemma** normalize\_lead\_coeff:  
 assumes "length (normalize p) < length p"  
 shows "lead\_coeff p = 0"  
 <proof>

**lemma** normalize\_length\_lt:  
 assumes "lead\_coeff p = 0" and "length p > 0"  
 shows "length (normalize p) < length p"  
 <proof>

**lemma** normalize\_length\_eq:  
 assumes "lead\_coeff p  $\neq$  0"  
 shows "length (normalize p) = length p"  
 <proof>

**lemma** normalize\_replicate\_zero: "normalize ((replicate n 0) @ p) = normalize p"  
 <proof>

**lemma** normalize\_def':  
 shows "p = (replicate (length p - length (normalize p)) 0) @  
 (drop (length p - length (normalize p)) p)" (is ?statement1)  
 and "normalize p = drop (length p - length (normalize p)) p" (is ?statement2)  
 <proof>

**corollary** normalize\_trick:  
 shows "p = (replicate (length p - length (normalize p)) 0) @ (normalize p)"  
 <proof>

**lemma** normalize\_coeff: "coeff p = coeff (normalize p)"  
 ⟨proof⟩

**lemma** append\_coeff:  
 "coeff (p @ q) = (λi. if i < length q then (coeff q) i else (coeff p) (i - length q))"  
 ⟨proof⟩

**lemma** prefix\_replicate\_zero\_coeff: "coeff p = coeff ((replicate n 0) @ p)"  
 ⟨proof⟩

**context**

fixes K :: "'a set" assumes K: "subring K R"

**begin**

**lemma** polynomial\_in\_carrier [intro]: "polynomial K p  $\implies$  set p  $\subseteq$  carrier R"  
 ⟨proof⟩

**lemma** carrier\_polynomial [intro]: "polynomial K p  $\implies$  polynomial (carrier R) p"  
 ⟨proof⟩

**lemma** append\_is\_polynomial: "[ polynomial K p; p  $\neq$  [] ]  $\implies$  polynomial K (p @ (replicate n 0))"  
 ⟨proof⟩

**lemma** lead\_coeff\_in\_carrier: "polynomial K (a # p)  $\implies$  a  $\in$  carrier R - { 0 }"  
 ⟨proof⟩

**lemma** monom\_is\_polynomial [intro]: "a  $\in$  K - { 0 }  $\implies$  polynomial K (monom a n)"  
 ⟨proof⟩

**lemma** eval\_poly\_in\_carrier: "[ polynomial K p; x  $\in$  carrier R ]  $\implies$  (eval p) x  $\in$  carrier R"  
 ⟨proof⟩

**lemma** poly\_coeff\_in\_carrier [simp]: "polynomial K p  $\implies$  coeff p i  $\in$  carrier R"  
 ⟨proof⟩

**end**

### 43.3 Polynomial Addition

context

fixes K :: "'a set" assumes K: "subring K R"

begin

lemma poly\_add\_is\_polynomial:

assumes "set p1  $\subseteq$  K" and "set p2  $\subseteq$  K"

shows "polynomial K (poly\_add p1 p2)"

*<proof>*

lemma poly\_add\_closed: "[[ polynomial K p1; polynomial K p2 ]  $\implies$  polynomial K (poly\_add p1 p2)"]

*<proof>*

lemma poly\_add\_length\_eq:

assumes "polynomial K p1" "polynomial K p2" and "length p1  $\neq$  length p2"

shows "length (poly\_add p1 p2) = max (length p1) (length p2)"

*<proof>*

lemma poly\_add\_degree\_eq:

assumes "polynomial K p1" "polynomial K p2" and "degree p1  $\neq$  degree p2"

shows "degree (poly\_add p1 p2) = max (degree p1) (degree p2)"

*<proof>*

end

lemma poly\_add\_in\_carrier:

"[[ set p1  $\subseteq$  carrier R; set p2  $\subseteq$  carrier R ]  $\implies$  set (poly\_add p1 p2)  $\subseteq$  carrier R"]

*<proof>*

lemma poly\_add\_length\_le: "length (poly\_add p1 p2)  $\leq$  max (length p1) (length p2)"

*<proof>*

lemma poly\_add\_degree: "degree (poly\_add p1 p2)  $\leq$  max (degree p1) (degree p2)"

*<proof>*

lemma poly\_add\_coeff\_aux:

assumes "length p1  $\geq$  length p2"

shows "coeff (poly\_add p1 p2) = ( $\lambda$ i. ((coeff p1) i)  $\oplus$  ((coeff p2) i))"

*<proof>*

lemma poly\_add\_coeff:

assumes "set p1  $\subseteq$  carrier R" "set p2  $\subseteq$  carrier R"

shows "coeff (poly\_add p1 p2) = ( $\lambda i. ((\text{coeff } p1) i) \oplus ((\text{coeff } p2) i)$ )"  
*<proof>*

lemma poly\_add\_comm:  
 assumes "set p1  $\subseteq$  carrier R" "set p2  $\subseteq$  carrier R"  
 shows "poly\_add p1 p2 = poly\_add p2 p1"  
*<proof>*

lemma poly\_add\_monom:  
 assumes "set p  $\subseteq$  carrier R" and "a  $\in$  carrier R - { 0 }"  
 shows "poly\_add (monom a (length p)) p = a # p"  
*<proof>*

lemma poly\_add\_append\_replicate:  
 assumes "set p  $\subseteq$  carrier R" "set q  $\subseteq$  carrier R"  
 shows "poly\_add (p @ (replicate (length q) 0)) q = normalize (p @ q)"  
*<proof>*

lemma poly\_add\_append\_zero:  
 assumes "set p  $\subseteq$  carrier R" "set q  $\subseteq$  carrier R"  
 shows "poly\_add (p @ [ 0 ]) (q @ [ 0 ]) = normalize ((poly\_add p q) @ [ 0 ])"  
*<proof>*

lemma poly\_add\_normalize\_aux:  
 assumes "set p1  $\subseteq$  carrier R" "set p2  $\subseteq$  carrier R"  
 shows "poly\_add p1 p2 = poly\_add (normalize p1) p2"  
*<proof>*

lemma poly\_add\_normalize:  
 assumes "set p1  $\subseteq$  carrier R" "set p2  $\subseteq$  carrier R"  
 shows "poly\_add p1 p2 = poly\_add (normalize p1) p2"  
 and "poly\_add p1 p2 = poly\_add p1 (normalize p2)"  
 and "poly\_add p1 p2 = poly\_add (normalize p1) (normalize p2)"  
*<proof>*

lemma poly\_add\_zero':  
 assumes "set p  $\subseteq$  carrier R"  
 shows "poly\_add p [] = normalize p" and "poly\_add [] p = normalize p"  
*<proof>*

lemma poly\_add\_zero:  
 assumes "subring K R" "polynomial K p"  
 shows "poly\_add p [] = p" and "poly\_add [] p = p"  
*<proof>*

lemma poly\_add\_replicate\_zero':  
 assumes "set p  $\subseteq$  carrier R"



shows "poly\_add p (replicate n 0) = normalize p" and "poly\_add (replicate n 0) p = normalize p"  
 ⟨proof⟩

lemma poly\_add\_replicate\_zero:  
 assumes "subring K R" "polynomial K p"  
 shows "poly\_add p (replicate n 0) = p" and "poly\_add (replicate n 0) p = p"  
 ⟨proof⟩

#### 43.4 Dense Representation

lemma dense\_repr\_replicate\_zero: "dense\_repr ((replicate n 0) @ p) = dense\_repr p"  
 ⟨proof⟩

lemma dense\_repr\_normalize: "dense\_repr (normalize p) = dense\_repr p"  
 ⟨proof⟩

lemma polynomial\_dense\_repr:  
 assumes "polynomial K p" and "p ≠ []"  
 shows "dense\_repr p = (lead\_coeff p, degree p) # dense\_repr (normalize (tl p))"  
 ⟨proof⟩

lemma monom\_decomp:  
 assumes "subring K R" "polynomial K p"  
 shows "p = poly\_of\_dense (dense\_repr p)"  
 ⟨proof⟩

#### 43.5 Polynomial Multiplication

lemma poly\_mult\_is\_polynomial:  
 assumes "subring K R" "set p1 ⊆ K" and "set p2 ⊆ K"  
 shows "polynomial K (poly\_mult p1 p2)"  
 ⟨proof⟩

lemma poly\_mult\_closed:  
 assumes "subring K R"  
 shows "[[ polynomial K p1; polynomial K p2 ] ⇒ polynomial K (poly\_mult p1 p2)]"  
 ⟨proof⟩

lemma poly\_mult\_in\_carrier:  
 "[[ set p1 ⊆ carrier R; set p2 ⊆ carrier R ] ⇒ set (poly\_mult p1 p2) ⊆ carrier R]"  
 ⟨proof⟩

lemma poly\_mult\_coeff:  
 assumes "set p1 ⊆ carrier R" "set p2 ⊆ carrier R"

```

  shows "coeff (poly_mult p1 p2) = ( $\lambda i. \bigoplus k \in \{..i\}. (\text{coeff } p1) k \otimes$ 
  (coeff p2) (i - k))"
  <proof>

```

```

lemma poly_mult_zero:
  assumes "set p  $\subseteq$  carrier R"
  shows "poly_mult [] p = []" and "poly_mult p [] = []"
  <proof>

```

```

lemma poly_mult_l_distr':
  assumes "set p1  $\subseteq$  carrier R" "set p2  $\subseteq$  carrier R" "set p3  $\subseteq$  carrier
  R"
  shows "poly_mult (poly_add p1 p2) p3 = poly_add (poly_mult p1 p3) (poly_mult
  p2 p3)"
  <proof>

```

```

lemma poly_mult_l_distr:
  assumes "subring K R" "polynomial K p1" "polynomial K p2" "polynomial
  K p3"
  shows "poly_mult (poly_add p1 p2) p3 = poly_add (poly_mult p1 p3) (poly_mult
  p2 p3)"
  <proof>

```

```

lemma poly_mult_prepend_replicate_zero:
  assumes "set p1  $\subseteq$  carrier R" "set p2  $\subseteq$  carrier R"
  shows "poly_mult p1 p2 = poly_mult ((replicate n 0) @ p1) p2"
  <proof>

```

```

lemma poly_mult_normalize:
  assumes "set p1  $\subseteq$  carrier R" "set p2  $\subseteq$  carrier R"
  shows "poly_mult p1 p2 = poly_mult (normalize p1) p2"
  <proof>

```

```

lemma poly_mult_append_zero:
  assumes "set p  $\subseteq$  carrier R" "set q  $\subseteq$  carrier R"
  shows "poly_mult (p @ [ 0 ]) q = normalize ((poly_mult p q) @ [ 0 ])"
  <proof>

```

end

### 43.6 Properties Within a Domain

```

context domain
begin

```

```

lemma one_is_polynomial [intro]: "subring K R  $\implies$  polynomial K [ 1 ]"
  <proof>

```

```

lemma poly_mult_comm:

```

```

    assumes "set p1  $\subseteq$  carrier R" "set p2  $\subseteq$  carrier R"
    shows "poly_mult p1 p2 = poly_mult p2 p1"
  <proof>

```

```

lemma poly_mult_r_distr':
  assumes "set p1  $\subseteq$  carrier R" "set p2  $\subseteq$  carrier R" "set p3  $\subseteq$  carrier
  R"
  shows "poly_mult p1 (poly_add p2 p3) = poly_add (poly_mult p1 p2) (poly_mult
  p1 p3)"
  <proof>

```

```

lemma poly_mult_r_distr:
  assumes "subring K R" "polynomial K p1" "polynomial K p2" "polynomial
  K p3"
  shows "poly_mult p1 (poly_add p2 p3) = poly_add (poly_mult p1 p2) (poly_mult
  p1 p3)"
  <proof>

```

```

lemma poly_mult_replicate_zero:
  assumes "set p  $\subseteq$  carrier R"
  shows "poly_mult (replicate n 0) p = []"
    and "poly_mult p (replicate n 0) = []"
  <proof>

```

```

lemma poly_mult_const':
  assumes "set p  $\subseteq$  carrier R" "a  $\in$  carrier R"
  shows "poly_mult [ a ] p = normalize (map ( $\lambda$ b. a  $\otimes$  b) p)"
    and "poly_mult p [ a ] = normalize (map ( $\lambda$ b. a  $\otimes$  b) p)"
  <proof>

```

```

lemma poly_mult_const:
  assumes "subring K R" "polynomial K p" "a  $\in$  K - { 0 }"
  shows "poly_mult [ a ] p = map ( $\lambda$ b. a  $\otimes$  b) p"
    and "poly_mult p [ a ] = map ( $\lambda$ b. a  $\otimes$  b) p"
  <proof>

```

```

lemma poly_mult_semiassoc:
  assumes "set p  $\subseteq$  carrier R" "set q  $\subseteq$  carrier R" and "a  $\in$  carrier R"
  shows "poly_mult (poly_mult [ a ] p) q = poly_mult [ a ] (poly_mult
  p q)"
  <proof>

```

Note that "polynomial (carrier R) p" and "subring K p; polynomial K p" are "equivalent" assumptions for any lemma in ring which the result doesn't depend on K, because carrier is a subring and a polynomial for a subset of the carrier is a carrier polynomial. The decision between one of them should be based on how the lemma is going to be used and proved. These are some tips: (a) Lemmas about the algebraic structure of polynomials should use the latter option. (b) Also, if the lemma deals with lots of polynomials, then

the latter option is preferred. (c) If the proof is going to be much easier with the first option, do not hesitate.

```
lemma poly_mult_monom':
  assumes "set p ⊆ carrier R" "a ∈ carrier R"
  shows "poly_mult (monom a n) p = normalize ((map ((⊗) a) p) @ (replicate
n 0))"
  <proof>
```

```
lemma poly_mult_monom:
  assumes "polynomial (carrier R) p" "a ∈ carrier R - { 0 }"
  shows "poly_mult (monom a n) p =
      (if p = [] then [] else (poly_mult [ a ] p) @ (replicate n
0))"
  <proof>
```

```
lemma poly_mult_one':
  assumes "set p ⊆ carrier R"
  shows "poly_mult [ 1 ] p = normalize p" and "poly_mult p [ 1 ] = normalize
p"
  <proof>
```

```
lemma poly_mult_one:
  assumes "subring K R" "polynomial K p"
  shows "poly_mult [ 1 ] p = p" and "poly_mult p [ 1 ] = p"
  <proof>
```

```
lemma poly_mult_lead_coeff_aux:
  assumes "subring K R" "polynomial K p1" "polynomial K p2" and "p1 ≠
[]" and "p2 ≠ []"
  shows "(coeff (poly_mult p1 p2) (degree p1 + degree p2)) = (lead_coeff
p1) ⊗ (lead_coeff p2)"
  <proof>
```

```
lemma poly_mult_degree_eq:
  assumes "subring K R" "polynomial K p1" "polynomial K p2"
  shows "degree (poly_mult p1 p2) = (if p1 = [] ∨ p2 = [] then 0 else
(degree p1) + (degree p2))"
  <proof>
```

```
lemma poly_mult_integral:
  assumes "subring K R" "polynomial K p1" "polynomial K p2"
  shows "poly_mult p1 p2 = [] ⇒ p1 = [] ∨ p2 = []"
  <proof>
```

```
lemma poly_mult_lead_coeff:
  assumes "subring K R" "polynomial K p1" "polynomial K p2" and "p1 ≠
[]" and "p2 ≠ []"
  shows "lead_coeff (poly_mult p1 p2) = (lead_coeff p1) ⊗ (lead_coeff
p2)"
```

*<proof>*

```
lemma poly_mult_append_zero_lcancel:
  assumes "subring K R" and "polynomial K p" "polynomial K q"
  shows "poly_mult (p @ [ 0 ]) q = r @ [ 0 ]  $\implies$  poly_mult p q = r"
<proof>
```

```
lemma poly_mult_append_zero_rcancel:
  assumes "subring K R" and "polynomial K p" "polynomial K q"
  shows "poly_mult p (q @ [ 0 ]) = r @ [ 0 ]  $\implies$  poly_mult p q = r"
<proof>
```

end

### 43.7 Algebraic Structure of Polynomials

```
definition univ_poly :: "('a, 'b) ring_scheme  $\implies$  'a set  $\implies$  ('a list) ring"
  ("_ [X]" 80)
  where "univ_poly R K =
    (| carrier = { p. polynomialR K p },
      mult = ring.poly_mult R,
      one = [ 1R ],
      zero = [],
      add = ring.poly_add R |)"
```

These lemmas allow you to unfold one field of the record at a time.

```
lemma univ_poly_carrier: "polynomialR K p  $\longleftrightarrow$  p  $\in$  carrier (K[X]R)"
<proof>
```

```
lemma univ_poly_mult: "mult (K[X]R) = ring.poly_mult R"
<proof>
```

```
lemma univ_poly_one: "one (K[X]R) = [ 1R ]"
<proof>
```

```
lemma univ_poly_zero: "zero (K[X]R) = []"
<proof>
```

```
lemma univ_poly_add: "add (K[X]R) = ring.poly_add R"
<proof>
```

```
lemma univ_poly_zero_closed [intro]: "[]  $\in$  carrier (K[X]R)"
<proof>
```

```
context domain
begin
```

```

lemma poly_mult_monom_assoc:
  assumes "set p  $\subseteq$  carrier R" "set q  $\subseteq$  carrier R" and "a  $\in$  carrier R"
  shows "poly_mult (poly_mult (monom a n) p) q =
    poly_mult (monom a n) (poly_mult p q)"
  <proof>

context
  fixes K :: "'a set" assumes K: "subring K R"
begin

lemma univ_poly_is_monoid: "monoid (K[X])"
  <proof>

declare poly_add.simps[simp del]

lemma univ_poly_is_abelian_monoid: "abelian_monoid (K[X])"
  <proof>

lemma univ_poly_is_abelian_group: "abelian_group (K[X])"
  <proof>

lemma univ_poly_is_ring: "ring (K[X])"
  <proof>

lemma univ_poly_is_cring: "cring (K[X])"
  <proof>

lemma univ_poly_is_domain: "domain (K[X])"
  <proof>

declare poly_add.simps[simp]

lemma univ_poly_a_inv_def':
  assumes "p  $\in$  carrier (K[X])" shows " $\ominus_{K[X]} p = \text{map } (\lambda a. \ominus a) p$ "
  <proof>

corollary univ_poly_a_inv_length:
  assumes "p  $\in$  carrier (K[X])" shows "length ( $\ominus_{K[X]} p$ ) = length p"
  <proof>

corollary univ_poly_a_inv_degree:
  assumes "p  $\in$  carrier (K[X])" shows "degree ( $\ominus_{K[X]} p$ ) = degree p"
  <proof>

```

### 43.8 Long Division Theorem

```

lemma long_division_theorem:
  assumes "polynomial K p" and "polynomial K b" "b ≠ []"
    and "lead_coeff b ∈ Units (R (| carrier := K |))"
  shows "∃ q r. polynomial K q ∧ polynomial K r ∧
        p = (b ⊗K[X] q) ⊕K[X] r ∧ (r = [] ∨ degree r < degree
b)"
  (is "∃ q r. ?long_division p q r")
  ⟨proof⟩

end

end

```

```

lemma (in domain) field_long_division_theorem:
  assumes "subfield K R" "polynomial K p" and "polynomial K b" "b ≠
[]"
  shows "∃ q r. polynomial K q ∧ polynomial K r ∧
        p = (b ⊗K[X] q) ⊕K[X] r ∧ (r = [] ∨ degree r < degree
b)"
  ⟨proof⟩

```

The same theorem as above, but now, everything is in a shell.

```

lemma (in domain) field_long_division_theorem_shell:
  assumes "subfield K R" "p ∈ carrier (K[X])" and "b ∈ carrier (K[X])"
  "b ≠ 0K[X]"
  shows "∃ q r. q ∈ carrier (K[X]) ∧ r ∈ carrier (K[X]) ∧
        p = (b ⊗K[X] q) ⊕K[X] r ∧ (r = 0K[X] ∨ degree r < degree
b)"
  ⟨proof⟩

```

### 43.9 Consistency Rules

```

lemma polynomial_consistent [simp]:
  shows "polynomial(R (| carrier := K |)) K p ⇒ polynomialR K p"
  ⟨proof⟩

lemma (in ring) eval_consistent [simp]:
  assumes "subring K R" shows "ring.eval (R (| carrier := K |)) = eval"
  ⟨proof⟩

lemma (in ring) coeff_consistent [simp]:
  assumes "subring K R" shows "ring.coeff (R (| carrier := K |)) = coeff"
  ⟨proof⟩

lemma (in ring) normalize_consistent [simp]:
  assumes "subring K R" shows "ring.normalize (R (| carrier := K |)) =
normalize"

```

*<proof>*

**lemma** (in ring) poly\_add\_consistent [simp]:  
 assumes "subring K R" shows "ring.poly\_add (R (| carrier := K |)) = poly\_add"

*<proof>*

**lemma** (in ring) poly\_mult\_consistent [simp]:  
 assumes "subring K R" shows "ring.poly\_mult (R (| carrier := K |)) =  
 poly\_mult"  
*<proof>*

**lemma** (in domain) univ\_poly\_a\_inv\_consistent:  
 assumes "subring K R" "p ∈ carrier (K[X])"  
 shows " $\ominus_{K[X]} p = \ominus_{(\text{carrier } R)[X]} p$ "  
*<proof>*

**lemma** (in domain) univ\_poly\_a\_minus\_consistent:  
 assumes "subring K R" "q ∈ carrier (K[X])"  
 shows " $p \ominus_{K[X]} q = p \ominus_{(\text{carrier } R)[X]} q$ "  
*<proof>*

**lemma** (in ring) univ\_poly\_consistent:  
 assumes "subring K R"  
 shows "univ\_poly (R (| carrier := K |)) = univ\_poly R"  
*<proof>*

### 43.9.1 Corollaries

**corollary** (in ring) subfield\_long\_division\_theorem\_shell:  
 assumes "subfield K R" "p ∈ carrier (K[X])" and "b ∈ carrier (K[X])"  
 "b ≠ 0<sub>K[X]</sub>"  
 shows " $\exists q r. q \in \text{carrier } (K[X]) \wedge r \in \text{carrier } (K[X]) \wedge$   
 $p = (b \otimes_{K[X]} q) \oplus_{K[X]} r \wedge (r = 0_{K[X]} \vee \text{degree } r < \text{degree } b)$ "  
*<proof>*

**corollary** (in domain) univ\_poly\_is\_euclidean:  
 assumes "subfield K R" shows "euclidean\_domain (K[X]) degree"  
*<proof>*

**corollary** (in domain) univ\_poly\_is\_principal:  
 assumes "subfield K R" shows "principal\_domain (K[X])"  
*<proof>*

## 43.10 The Evaluation Homomorphism

**lemma** (in ring) eval\_replicate:  
 assumes "set p ⊆ carrier R" "a ∈ carrier R"  
 shows "eval ((replicate n 0) @ p) a = eval p a"



*<proof>*

**lemma** (in ring) eval\_normalize:  
 assumes "set p  $\subseteq$  carrier R" "a  $\in$  carrier R"  
 shows "eval (normalize p) a = eval p a"  
*<proof>*

**lemma** (in ring) eval\_poly\_add\_aux:  
 assumes "set p  $\subseteq$  carrier R" "set q  $\subseteq$  carrier R" and "length p = length q" and "a  $\in$  carrier R"  
 shows "eval (poly\_add p q) a = (eval p a)  $\oplus$  (eval q a)"  
*<proof>*

**lemma** (in ring) eval\_poly\_add:  
 assumes "set p  $\subseteq$  carrier R" "set q  $\subseteq$  carrier R" and "a  $\in$  carrier R"  
 shows "eval (poly\_add p q) a = (eval p a)  $\oplus$  (eval q a)"  
*<proof>*

**lemma** (in ring) eval\_append\_aux:  
 assumes "set p  $\subseteq$  carrier R" and "b  $\in$  carrier R" and "a  $\in$  carrier R"  
 shows "eval (p @ [ b ]) a = ((eval p a)  $\otimes$  a)  $\oplus$  b"  
*<proof>*

**lemma** (in ring) eval\_append:  
 assumes "set p  $\subseteq$  carrier R" "set q  $\subseteq$  carrier R" and "a  $\in$  carrier R"  
 shows "eval (p @ q) a = ((eval p a)  $\otimes$  (a [^] (length q)))  $\oplus$  (eval q a)"  
*<proof>*

**lemma** (in ring) eval\_monom:  
 assumes "b  $\in$  carrier R" and "a  $\in$  carrier R"  
 shows "eval (monom b n) a = b  $\otimes$  (a [^] n)"  
*<proof>*

**lemma** (in cring) eval\_poly\_mult:  
 assumes "set p  $\subseteq$  carrier R" "set q  $\subseteq$  carrier R" and "a  $\in$  carrier R"  
 shows "eval (poly\_mult p q) a = (eval p a)  $\otimes$  (eval q a)"  
*<proof>*

**proposition** (in cring) eval\_is\_hom:  
 assumes "subring K R" and "a  $\in$  carrier R"  
 shows " $(\lambda p. \text{eval } p) a \in \text{ring\_hom } (K[X]) R$ "  
*<proof>*

**theorem** (in domain) eval\_cring\_hom:  
 assumes "subring K R" and "a  $\in$  carrier R"  
 shows "ring\_hom\_cring (K[X]) R ( $\lambda p. \text{eval } p) a$ "  
*<proof>*

```

corollary (in domain) eval_ring_hom:
  assumes "subring K R" and "a ∈ carrier R"
  shows "ring_hom_ring (K[X]) R (λp. (eval p) a)"
  ⟨proof⟩

```

### 43.11 Homomorphisms

```

lemma (in ring_hom_ring) eval_hom':
  assumes "a ∈ carrier R" and "set p ⊆ carrier R"
  shows "h (R.eval p a) = eval (map h p) (h a)"
  ⟨proof⟩

```

```

lemma (in ring_hom_ring) eval_hom:
  assumes "subring K R" and "a ∈ carrier R" and "p ∈ carrier (K[X])"
  shows "h (R.eval p a) = eval (map h p) (h a)"
  ⟨proof⟩

```

```

lemma (in ring_hom_ring) coeff_hom':
  assumes "set p ⊆ carrier R" shows "h (R.coeff p i) = coeff (map h
p) i"
  ⟨proof⟩

```

```

lemma (in ring_hom_ring) poly_add_hom':
  assumes "set p ⊆ carrier R" and "set q ⊆ carrier R"
  shows "normalize (map h (R.poly_add p q)) = poly_add (map h p) (map
h q)"
  ⟨proof⟩

```

```

lemma (in ring_hom_ring) poly_mult_hom':
  assumes "set p ⊆ carrier R" and "set q ⊆ carrier R"
  shows "normalize (map h (R.poly_mult p q)) = poly_mult (map h p) (map
h q)"
  ⟨proof⟩

```

### 43.12 The X Variable

```

definition var :: "_ ⇒ 'a list" ("Xz")
  where "XR = [ 1R, 0R ]"

```

```

lemma (in ring) eval_var:
  assumes "x ∈ carrier R" shows "eval X x = x"
  ⟨proof⟩

```

```

lemma (in domain) var_closed:
  assumes "subring K R" shows "X ∈ carrier (K[X])" and "polynomial K
X"
  ⟨proof⟩

```

```

lemma (in domain) poly_mult_var':

```

```

    assumes "set p  $\subseteq$  carrier R"
    shows "poly_mult X p = normalize (p @ [ 0 ])"
    and "poly_mult p X = normalize (p @ [ 0 ])"
  <proof>

lemma (in domain) poly_mult_var:
  assumes "subring K R" "p  $\in$  carrier (K[X])"
  shows "p  $\otimes_{K[X]}$  X = (if p = [] then [] else p @ [ 0 ])"
  <proof>

lemma (in domain) var_pow_closed:
  assumes "subring K R" shows "X  $[\wedge]_{K[X]}$  (n :: nat)  $\in$  carrier (K[X])"
  <proof>

lemma (in domain) unitary_monom_eq_var_pow:
  assumes "subring K R" shows "monom 1 n = X  $[\wedge]_{K[X]}$  n"
  <proof>

lemma (in domain) monom_eq_var_pow:
  assumes "subring K R" "a  $\in$  carrier R - { 0 }"
  shows "monom a n = [ a ]  $\otimes_{K[X]}$  (X  $[\wedge]_{K[X]}$  n)"
  <proof>

lemma (in domain) eval_rewrite:
  assumes "subring K R" and "p  $\in$  carrier (K[X])"
  shows "p = (ring.eval (K[X])) (map poly_of_const p) X"
  <proof>

lemma (in ring) dense_repr_set_fst:
  assumes "set p  $\subseteq$  K" shows "fst ' (set (dense_repr p))  $\subseteq$  K - { 0 }"
  <proof>

lemma (in ring) dense_repr_set_snd:
  shows "snd ' (set (dense_repr p))  $\subseteq$  {.. $\text{length p}$ }"
  <proof>

lemma (in domain) dense_repr_monom_closed:
  assumes "subring K R" "set p  $\subseteq$  K"
  shows "t  $\in$  set (dense_repr p)  $\implies$  monom (fst t) (snd t)  $\in$  carrier (K[X])"
  <proof>

lemma (in domain) monom_finsum_decomp:
  assumes "subring K R" "p  $\in$  carrier (K[X])"
  shows "p = ( $\bigoplus_{K[X]}$  t  $\in$  set (dense_repr p). monom (fst t) (snd t))"
  <proof>

lemma (in domain) var_pow_finsum_decomp:
  assumes "subring K R" "p  $\in$  carrier (K[X])"
  shows "p = ( $\bigoplus_{K[X]}$  t  $\in$  set (dense_repr p). [ fst t ]  $\otimes_{K[X]}$  (X  $[\wedge]_{K[X]}$ 

```

(snd t)))"  
 <proof>

**corollary** (in domain) hom\_var\_pow\_finsum:  
 assumes "subring K R" and "p ∈ carrier (K[X])" "ring\_hom\_ring (K[X])  
 A h"  
 shows "h p = ( $\bigoplus_A t \in \text{set } (\text{dense\_repr } p). h [ \text{fst } t ] \otimes_A (h X [^]_A$   
 (snd t)))"  
 <proof>

**corollary** (in domain) determination\_of\_hom:  
 assumes "subring K R"  
 and "ring\_hom\_ring (K[X]) A h" "ring\_hom\_ring (K[X]) A g"  
 and " $\bigwedge k. k \in K \implies h [ k ] = g [ k ]$ " and "h X = g X"  
 shows " $\bigwedge p. p \in \text{carrier } (K[X]) \implies h p = g p$ "  
 <proof>

**corollary** (in domain) eval\_as\_unique\_hom:  
 assumes "subring K R" "x ∈ carrier R"  
 and "ring\_hom\_ring (K[X]) R h"  
 and " $\bigwedge k. k \in K \implies h [ k ] = k$ " and "h X = x"  
 shows " $\bigwedge p. p \in \text{carrier } (K[X]) \implies h p = \text{eval } p x$ "  
 <proof>

### 43.13 The Constant Term

**definition** (in ring) const\_term :: "'a list  $\Rightarrow$  'a"  
 where "const\_term p = eval p 0"

**lemma** (in ring) const\_term\_eq\_last:  
 assumes "set p  $\subseteq$  carrier R" and "a ∈ carrier R"  
 shows "const\_term (p @ [ a ]) = a"  
 <proof>

**lemma** (in ring) const\_term\_not\_zero:  
 assumes "const\_term p  $\neq$  0" shows "p  $\neq$  []"  
 <proof>

**lemma** (in ring) const\_term\_explicit:  
 assumes "set p  $\subseteq$  carrier R" "p  $\neq$  []" and "const\_term p = a"  
 obtains p' where "set p'  $\subseteq$  carrier R" and "p = p' @ [ a ]"  
 <proof>

**lemma** (in ring) const\_term\_zero:  
 assumes "subring K R" "polynomial K p" "p  $\neq$  []" and "const\_term p  
 = 0"  
 obtains p' where "polynomial K p'" "p'  $\neq$  []" and "p = p' @ [ 0 ]"  
 <proof>

```

lemma (in cring) const_term_simps:
  shows " $\bigwedge p. \text{set } p \subseteq \text{carrier } R \implies \text{const\_term } p \in \text{carrier } R$ "
    and " $\bigwedge p \ q. [\text{set } p \subseteq \text{carrier } R; \text{set } q \subseteq \text{carrier } R] \implies$ 
       $\text{const\_term } (\text{poly\_mult } p \ q) = \text{const\_term } p \otimes \text{const\_term } q$ "
  and " $\bigwedge p \ q. [\text{set } p \subseteq \text{carrier } R; \text{set } q \subseteq \text{carrier } R] \implies$ 
       $\text{const\_term } (\text{poly\_add } p \ q) = \text{const\_term } p \oplus \text{const\_term } q$ "
  <proof>

lemma (in domain) const_term_simps_shell:
  assumes "subring K R"
  shows " $\bigwedge p. p \in \text{carrier } (K[X]) \implies \text{const\_term } p \in K$ "
    and " $\bigwedge p \ q. [p \in \text{carrier } (K[X]); q \in \text{carrier } (K[X])] \implies$ 
       $\text{const\_term } (p \otimes_{K[X]} q) = \text{const\_term } p \otimes \text{const\_term } q$ "
    and " $\bigwedge p \ q. [p \in \text{carrier } (K[X]); q \in \text{carrier } (K[X])] \implies$ 
       $\text{const\_term } (p \oplus_{K[X]} q) = \text{const\_term } p \oplus \text{const\_term } q$ "
    and " $\bigwedge p. p \in \text{carrier } (K[X]) \implies \text{const\_term } (\ominus_{K[X]} p) = \ominus (\text{const\_term } p)$ "
  <proof>

```

#### 43.14 The Canonical Embedding of $K$ in $K[X]$

```

lemma (in ring) poly_of_const_consistent:
  assumes "subring K R" shows "ring.poly_of_const (R (| carrier := K |))
= poly_of_const"
  <proof>

lemma (in domain) canonical_embedding_is_hom:
  assumes "subring K R" shows "poly_of_const  $\in$  ring_hom (R (| carrier
:= K |)) (K[X])"
  <proof>

lemma (in domain) canonical_embedding_ring_hom:
  assumes "subring K R" shows "ring_hom_ring (R (| carrier := K |)) (K[X])
poly_of_const"
  <proof>

lemma (in field) poly_of_const_over_carrier:
  shows "poly_of_const ' (carrier R) = { p  $\in$  carrier ((carrier R)[X]).
degree p = 0 }"
  <proof>

lemma (in ring) poly_of_const_over_subfield:
  assumes "subfield K R" shows "poly_of_const ' K = { p  $\in$  carrier (K[X]).
degree p = 0 }"
  <proof>

lemma (in field) univ_poly_carrier_subfield_of_consts:

```

```
"subfield (poly_of_const ' (carrier R)) ((carrier R) [X])"
⟨proof⟩
```

```
proposition (in ring) univ_poly_subfield_of_consts:
  assumes "subfield K R" shows "subfield (poly_of_const ' K) (K[X])"
  ⟨proof⟩
```

```
end
```

```
theory Polynomial_Divisibility
  imports Polynomials Embedded_Algebras "HOL-Library.Multiset"
```

```
begin
```

## 44 Divisibility of Polynomials

### 44.1 Definitions

```
abbreviation poly_ring :: "_ ⇒ ('a list) ring"
  where "poly_ring R ≡ univ_poly R (carrier R)"
```

```
abbreviation pirreducible :: "_ ⇒ 'a set ⇒ 'a list ⇒ bool" ("pirreduciblez")
  where "pirreducibleR K p ≡ ring_irreducible(univ_poly R K) P"
```

```
abbreviation pprime :: "_ ⇒ 'a set ⇒ 'a list ⇒ bool" ("pprimez")
  where "pprimeR K p ≡ ring_prime(univ_poly R K) P"
```

```
definition pdivides :: "_ ⇒ 'a list ⇒ 'a list ⇒ bool" (infix "pdividesz"
65)
```

```
  where "p pdividesR q = p divides(univ_poly R (carrier R)) q"
```

```
definition rupture :: "_ ⇒ 'a set ⇒ 'a list ⇒ (('a list) set) ring"
("Ruptz")
```

```
  where "RuptR K p = (K[X]R) Quot (PIDK[X]R p)"
```

```
abbreviation (in ring) rupture_surj :: "'a set ⇒ 'a list ⇒ 'a list ⇒
('a list) set"
```

```
  where "rupture_surj K p ≡ (λq. (PIDK[X] p) +>K[X] q)"
```

### 44.2 Basic Properties

```
lemma (in ring) carrier_polynomial_shell [intro]:
  assumes "subring K R" and "p ∈ carrier (K[X])" shows "p ∈ carrier
(poly_ring R)"
  ⟨proof⟩
```

```
lemma (in domain) pdivides_zero:
  assumes "subring K R" and "p ∈ carrier (K[X])" shows "p pdivides []"
```

*<proof>*

**lemma** (in domain) zero\_pdivides\_zero: "[ ] pdivides [ ]"  
*<proof>*

**lemma** (in domain) zero\_pdivides:  
 shows "[ ] pdivides p  $\longleftrightarrow$  p = [ ]"  
*<proof>*

**lemma** (in domain) pprime\_iff\_pirreducible:  
 assumes "subfield K R" and "p  $\in$  carrier (K[X])"  
 shows "pprime K p  $\longleftrightarrow$  pirreducible K p"  
*<proof>*

**lemma** (in domain) pirreducibleE:  
 assumes "subring K R" "p  $\in$  carrier (K[X])" "pirreducible K p"  
 shows "p  $\neq$  [ ]" "p  $\notin$  Units (K[X])"  
 and " $\bigwedge$  q r. [ q  $\in$  carrier (K[X]); r  $\in$  carrier (K[X]) ]  $\implies$   
           p = q  $\otimes_{K[X]}$  r  $\implies$  q  $\in$  Units (K[X])  $\vee$  r  $\in$  Units (K[X])"  
*<proof>*

**lemma** (in domain) pirreducibleI:  
 assumes "subring K R" "p  $\in$  carrier (K[X])" "p  $\neq$  [ ]" "p  $\notin$  Units (K[X])"  
 and " $\bigwedge$  q r. [ q  $\in$  carrier (K[X]); r  $\in$  carrier (K[X]) ]  $\implies$   
           p = q  $\otimes_{K[X]}$  r  $\implies$  q  $\in$  Units (K[X])  $\vee$  r  $\in$  Units (K[X])"  
 shows "pirreducible K p"  
*<proof>*

**lemma** (in domain) univ\_poly\_carrier\_units\_incl:  
 shows "Units ((carrier R) [X])  $\subseteq$  { [ k ] | k. k  $\in$  carrier R - { 0 } }"  
*<proof>*

**lemma** (in field) univ\_poly\_carrier\_units:  
 "Units ((carrier R) [X]) = { [ k ] | k. k  $\in$  carrier R - { 0 } }"  
*<proof>*

**lemma** (in domain) univ\_poly\_units\_incl:  
 assumes "subring K R" shows "Units (K[X])  $\subseteq$  { [ k ] | k. k  $\in$  K - { 0 } }"  
*<proof>*

**lemma** (in ring) univ\_poly\_units:  
 assumes "subfield K R" shows "Units (K[X]) = { [ k ] | k. k  $\in$  K - { 0 } }"  
*<proof>*

**lemma** (in domain) univ\_poly\_units':  
 assumes "subfield K R" shows "p  $\in$  Units (K[X])  $\longleftrightarrow$  p  $\in$  carrier (K[X])"

$\wedge p \neq [] \wedge \text{degree } p = 0$   
*<proof>*

**corollary** (in domain) rupture\_one\_not\_zero:  
 assumes "subfield K R" and " $p \in \text{carrier } (K[X])$ " and " $\text{degree } p > 0$ "  
 shows " $1_{\text{Rupt } K \text{ } p} \neq 0_{\text{Rupt } K \text{ } p}$ "  
*<proof>*

**corollary** (in ring) pirreducible\_degree:  
 assumes "subfield K R" " $p \in \text{carrier } (K[X])$ " "pirreducible K p"  
 shows " $\text{degree } p \geq 1$ "  
*<proof>*

**corollary** (in domain) univ\_poly\_not\_field:  
 assumes "subring K R" shows " $\neg \text{field } (K[X])$ "  
*<proof>*

**lemma** (in domain) rupture\_is\_field\_iff\_pirreducible:  
 assumes "subfield K R" and " $p \in \text{carrier } (K[X])$ "  
 shows " $\text{field } (\text{Rupt } K \text{ } p) \longleftrightarrow \text{pirreducible } K \text{ } p$ "  
*<proof>*

**lemma** (in domain) rupture\_surj\_hom:  
 assumes "subring K R" and " $p \in \text{carrier } (K[X])$ "  
 shows " $(\text{rupture\_surj } K \text{ } p) \in \text{ring\_hom } (K[X]) (\text{Rupt } K \text{ } p)$ "  
 and " $\text{ring\_hom\_ring } (K[X]) (\text{Rupt } K \text{ } p) (\text{rupture\_surj } K \text{ } p)$ "  
*<proof>*

**corollary** (in domain) rupture\_surj\_norm\_is\_hom:  
 assumes "subring K R" and " $p \in \text{carrier } (K[X])$ "  
 shows " $((\text{rupture\_surj } K \text{ } p) \circ \text{poly\_of\_const}) \in \text{ring\_hom } (R \langle \text{carrier} := K \rangle) (\text{Rupt } K \text{ } p)$ "  
*<proof>*

**lemma** (in domain) norm\_map\_in\_poly\_ring\_carrier:  
 assumes " $p \in \text{carrier } (\text{poly\_ring } R)$ " and " $\wedge a. a \in \text{carrier } R \implies f a \in \text{carrier } (\text{poly\_ring } R)$ "  
 shows " $\text{ring.normalize } (\text{poly\_ring } R) (\text{map } f \text{ } p) \in \text{carrier } (\text{poly\_ring } (\text{poly\_ring } R))$ "  
*<proof>*

**lemma** (in domain) map\_in\_poly\_ring\_carrier:  
 assumes " $p \in \text{carrier } (\text{poly\_ring } R)$ " and " $\wedge a. a \in \text{carrier } R \implies f a \in \text{carrier } (\text{poly\_ring } R)$ "  
 and " $\wedge a. a \neq 0 \implies f a \neq []$ "  
 shows " $\text{map } f \text{ } p \in \text{carrier } (\text{poly\_ring } (\text{poly\_ring } R))$ "  
*<proof>*

**lemma** (in domain) map\_norm\_in\_poly\_ring\_carrier:



```

assumes "subring K R" and "p ∈ carrier (K[X])"
shows "map poly_of_const p ∈ carrier (poly_ring (K[X]))"
⟨proof⟩

```

```

lemma (in domain) polynomial_rupture:
  assumes "subring K R" and "p ∈ carrier (K[X])"
  shows "(ring.eval (Rupt K p)) (map ((rupture_surj K p) ∘ poly_of_const)
p) (rupture_surj K p X) = 0Rupt K p"
⟨proof⟩

```

### 44.3 Division

```

definition (in ring) long_divides :: "'a list ⇒ 'a list ⇒ ('a list ×
'a list) ⇒ bool"
  where "long_divides p q t ↔
    — i (t ∈ carrier (poly_ring R) × carrier (poly_ring R))
    — ii (p = (q ⊗poly_ring R (fst t)) ⊕poly_ring R (snd t)) ∧
    — iii (snd t = [] ∨ degree (snd t) < degree q)"

```

```

definition (in ring) long_division :: "'a list ⇒ 'a list ⇒ ('a list ×
'a list)"
  where "long_division p q = (THE t. long_divides p q t)"

```

```

definition (in ring) pdiv :: "'a list ⇒ 'a list ⇒ 'a list" (infixl "pdiv"
65)
  where "p pdiv q = (if q = [] then [] else fst (long_division p q))"

```

```

definition (in ring) pmod :: "'a list ⇒ 'a list ⇒ 'a list" (infixl "pmod"
65)
  where "p pmod q = (if q = [] then p else snd (long_division p q))"

```

```

lemma (in ring) long_dividesI:
  assumes "b ∈ carrier (poly_ring R)" and "r ∈ carrier (poly_ring R)"
  and "p = (q ⊗poly_ring R b) ⊕poly_ring R r" and "r = [] ∨ degree
r < degree q"
  shows "long_divides p q (b, r)"
  ⟨proof⟩

```

```

lemma (in domain) exists_long_division:
  assumes "subfield K R" and "p ∈ carrier (K[X])" and "q ∈ carrier (K[X])"
  "q ≠ []"
  obtains b r where "b ∈ carrier (K[X])" and "r ∈ carrier (K[X])" and
  "long_divides p q (b, r)"
  ⟨proof⟩

```

```

lemma (in domain) exists_unique_long_division:
  assumes "subfield K R" and "p ∈ carrier (K[X])" and "q ∈ carrier (K[X])"

```

```

"q ≠ []"
  shows "∃!t. long_divides p q t"
⟨proof⟩

lemma (in domain) long_divisionE:
  assumes "subfield K R" and "p ∈ carrier (K[X])" and "q ∈ carrier (K[X])"
  "q ≠ []"
  shows "long_divides p q (p pdiv q, p pmod q)"
  ⟨proof⟩

lemma (in domain) long_divisionI:
  assumes "subfield K R" and "p ∈ carrier (K[X])" and "q ∈ carrier (K[X])"
  "q ≠ []"
  shows "long_divides p q (b, r) ⇒ (b, r) = (p pdiv q, p pmod q)"
  ⟨proof⟩

lemma (in domain) long_division_closed:
  assumes "subfield K R" and "p ∈ carrier (K[X])" "q ∈ carrier (K[X])"
  shows "p pdiv q ∈ carrier (K[X])" and "p pmod q ∈ carrier (K[X])"
  ⟨proof⟩

lemma (in domain) pdiv_pmod:
  assumes "subfield K R" and "p ∈ carrier (K[X])" "q ∈ carrier (K[X])"
  shows "p = (q ⊗K[X] (p pdiv q)) ⊕K[X] (p pmod q)"
  ⟨proof⟩

lemma (in domain) pmod_degree:
  assumes "subfield K R" and "p ∈ carrier (K[X])" and "q ∈ carrier (K[X])"
  "q ≠ []"
  shows "p pmod q = [] ∨ degree (p pmod q) < degree q"
  ⟨proof⟩

lemma (in domain) pmod_const:
  assumes "subfield K R" and "p ∈ carrier (K[X])" "q ∈ carrier (K[X])"
  and "degree q > degree p"
  shows "p pdiv q = []" and "p pmod q = p"
  ⟨proof⟩

lemma (in domain) long_division_zero:
  assumes "subfield K R" and "q ∈ carrier (K[X])" shows "[] pdiv q =
  []" and "[] pmod q = []"
  ⟨proof⟩

lemma (in domain) long_division_a_inv:
  assumes "subfield K R" and "p ∈ carrier (K[X])" "q ∈ carrier (K[X])"
  shows "((⊖K[X] p) pdiv q) = ⊖K[X] (p pdiv q)" (is "?pdiv")
  and "((⊖K[X] p) pmod q) = ⊖K[X] (p pmod q)" (is "?pmod")
  ⟨proof⟩

```

**lemma** (in domain) long\_division\_add:  
 assumes "subfield K R" and "a ∈ carrier (K[X])" "b ∈ carrier (K[X])"  
 "q ∈ carrier (K[X])"  
 shows "(a ⊕<sub>K[X]</sub> b) pdiv q = (a pdiv q) ⊕<sub>K[X]</sub> (b pdiv q)" (is "?pdiv")  
 and "(a ⊕<sub>K[X]</sub> b) pmod q = (a pmod q) ⊕<sub>K[X]</sub> (b pmod q)" (is "?pmod")  
 ⟨proof⟩

**lemma** (in domain) long\_division\_add\_iff:  
 assumes "subfield K R"  
 and "a ∈ carrier (K[X])" "b ∈ carrier (K[X])" "c ∈ carrier (K[X])"  
 "q ∈ carrier (K[X])"  
 shows "a pmod q = b pmod q ↔ (a ⊕<sub>K[X]</sub> c) pmod q = (b ⊕<sub>K[X]</sub> c) pmod q"  
 ⟨proof⟩

**lemma** (in domain) pdivides\_iff:  
 assumes "subfield K R" and "polynomial K p" "polynomial K q"  
 shows "p pdivides q ↔ p divides<sub>K[X]</sub> q"  
 ⟨proof⟩

**lemma** (in domain) pdivides\_iff\_shell:  
 assumes "subfield K R" and "p ∈ carrier (K[X])" "q ∈ carrier (K[X])"  
 shows "p pdivides q ↔ p divides<sub>K[X]</sub> q"  
 ⟨proof⟩

**lemma** (in domain) pmod\_zero\_iff\_pdivides:  
 assumes "subfield K R" and "p ∈ carrier (K[X])" "q ∈ carrier (K[X])"  
 shows "p pmod q = [] ↔ q pdivides p"  
 ⟨proof⟩

**lemma** (in domain) same\_pmod\_iff\_pdivides:  
 assumes "subfield K R" and "a ∈ carrier (K[X])" "b ∈ carrier (K[X])"  
 "q ∈ carrier (K[X])"  
 shows "a pmod q = b pmod q ↔ q pdivides (a ⊖<sub>K[X]</sub> b)"  
 ⟨proof⟩

**lemma** (in domain) pdivides\_imp\_degree\_le:  
 assumes "subring K R" and "p ∈ carrier (K[X])" "q ∈ carrier (K[X])"  
 "q ≠ []"  
 shows "p pdivides q ⇒ degree p ≤ degree q"  
 ⟨proof⟩

**lemma** (in domain) pprimeE:  
 assumes "subfield K R" "p ∈ carrier (K[X])" "pprime K p"  
 shows "p ≠ []" "p ∉ Units (K[X])"  
 and "∧q r. [q ∈ carrier (K[X]); r ∈ carrier (K[X])] ⇒  
 p pdivides (q ⊗<sub>K[X]</sub> r) ⇒ p pdivides q ∨ p pdivides r"  
 r"  
 ⟨proof⟩

```

lemma (in domain) pprimeI:
  assumes "subfield K R" "p ∈ carrier (K[X])" "p ≠ []" "p ∉ Units (K[X])"
    and "∧q r. [ q ∈ carrier (K[X]); r ∈ carrier (K[X]) ] ⇒
            p pdivides (q ⊗K[X] r) ⇒ p pdivides q ∨ p pdivides
r"
  shows "pprime K p"
  <proof>

```

```

lemma (in domain) associated_polynomials_iff:
  assumes "subfield K R" and "p ∈ carrier (K[X])" "q ∈ carrier (K[X])"
  shows "p ~K[X] q ↔ (∃k ∈ K - { 0 }. p = [ k ] ⊗K[X] q)"
  <proof>

```

```

corollary (in domain) associated_polynomials_imp_same_length:
  assumes "subring K R" and "p ∈ carrier (K[X])" and "q ∈ carrier (K[X])"
  shows "p ~K[X] q ⇒ length p = length q"
  <proof>

```

```

lemma (in ring) divides_pirreducible_condition:
  assumes "pirreducible K q" and "p ∈ carrier (K[X])"
  shows "p dividesK[X] q ⇒ p ∈ Units (K[X]) ∨ p ~K[X] q"
  <proof>

```

#### 44.4 Polynomial Power

```

lemma (in domain) polynomial_pow_not_zero:
  assumes "p ∈ carrier (poly_ring R)" and "p ≠ []"
  shows "p [^]poly_ring R (n::nat) ≠ []"
  <proof>

```

```

lemma (in domain) subring_polynomial_pow_not_zero:
  assumes "subring K R" and "p ∈ carrier (K[X])" and "p ≠ []"
  shows "p [^]K[X] (n::nat) ≠ []"
  <proof>

```

```

lemma (in domain) polynomial_pow_degree:
  assumes "p ∈ carrier (poly_ring R)"
  shows "degree (p [^]poly_ring R n) = n * degree p"
  <proof>

```

```

lemma (in domain) subring_polynomial_pow_degree:
  assumes "subring K R" and "p ∈ carrier (K[X])"
  shows "degree (p [^]K[X] n) = n * degree p"
  <proof>

```

```

lemma (in domain) polynomial_pow_division:
  assumes "p ∈ carrier (poly_ring R)" and "(n::nat) ≤ m"
  shows "(p [^]poly_ring R n) pdivides (p [^]poly_ring R m)"

```

*<proof>*

**lemma** (in domain) subring\_polynomial\_pow\_division:  
 assumes "subring K R" and "p ∈ carrier (K[X])" and "(n::nat) ≤ m"  
 shows "(p [^]<sub>K[X]</sub> n) divides<sub>K[X]</sub> (p [^]<sub>K[X]</sub> m)"  
*<proof>*

**lemma** (in domain) pirreducible\_pow\_pdivides\_iff:  
 assumes "subfield K R" "p ∈ carrier (K[X])" "q ∈ carrier (K[X])" "r  
 ∈ carrier (K[X])"  
 and "pirreducible K p" and "¬ (p pdivides q)"  
 shows "(p [^]<sub>K[X]</sub> (n :: nat)) pdivides (q ⊗<sub>K[X]</sub> r) ↔ (p [^]<sub>K[X]</sub> n)  
 pdivides r"  
*<proof>*

**lemma** (in domain) subring\_degree\_one\_imp\_pirreducible:  
 assumes "subring K R" and "a ∈ Units (R (| carrier := K |))" and "b  
 ∈ K"  
 shows "pirreducible K [ a, b ]"  
*<proof>*

**lemma** (in domain) degree\_one\_imp\_pirreducible:  
 assumes "subfield K R" and "p ∈ carrier (K[X])" and "degree p = 1"  
 shows "pirreducible K p"  
*<proof>*

**lemma** (in ring) degree\_oneE[elim]:  
 assumes "p ∈ carrier (K[X])" and "degree p = 1"  
 and "∧ a b. [ a ∈ K; a ≠ 0; b ∈ K; p = [ a, b ] ] ⇒ P"  
 shows P  
*<proof>*

**lemma** (in domain) subring\_degree\_one\_associatedI:  
 assumes "subring K R" and "a ∈ K" "a' ∈ K" and "b ∈ K" and "a ⊗ a'  
 = 1"  
 shows "[ a, b ] ~<sub>K[X]</sub> [ 1, a' ⊗ b ]"  
*<proof>*

**lemma** (in domain) degree\_one\_associatedI:  
 assumes "subfield K R" and "p ∈ carrier (K[X])" and "degree p = 1"  
 shows "p ~<sub>K[X]</sub> [ 1, inv (lead\_coeff p) ⊗ (const\_term p) ]"  
*<proof>*

## 44.5 Ideals

**lemma** (in domain) exists\_unique\_gen:  
 assumes "subfield K R" "ideal I (K[X])" "I ≠ { [] }"  
 shows "∃! p ∈ carrier (K[X]). lead\_coeff p = 1 ∧ I = PIdl<sub>K[X]</sub> p"  
 (is "∃! p. ?generator p")

*<proof>*

**proposition** (in domain) exists\_unique\_pirreducible\_gen:  
 assumes "subfield K R" "ring\_hom\_ring (K[X]) R h"  
 and "a\_kernel (K[X]) R h  $\neq$  { [] }" "a\_kernel (K[X]) R h  $\neq$  carrier (K[X])"  
 shows " $\exists!$ p  $\in$  carrier (K[X]). pirreducible K p  $\wedge$  lead\_coeff p = 1  $\wedge$  a\_kernel (K[X]) R h = PID<sub>K[X]</sub> p"  
 (is " $\exists!$ p. ?generator p")  
*<proof>*

**lemma** (in domain) cgenideal\_pirreducible:  
 assumes "subfield K R" and "p  $\in$  carrier (K[X])" "pirreducible K p"  
 shows "[[ pirreducible K q; q  $\in$  PID<sub>K[X]</sub> p ]  $\implies$  p  $\sim_{K[X]}$  q"  
*<proof>*

## 44.6 Roots and Multiplicity

**definition** (in ring) is\_root :: "'a list  $\Rightarrow$  'a  $\Rightarrow$  bool"  
 where "is\_root p x  $\longleftrightarrow$  (x  $\in$  carrier R  $\wedge$  eval p x = 0  $\wedge$  p  $\neq$  [])"

**definition** (in ring) alg\_mult :: "'a list  $\Rightarrow$  'a  $\Rightarrow$  nat"  
 where "alg\_mult p x =  
 (if p = [] then 0 else  
 (if x  $\in$  carrier R then Greatest ( $\lambda$  n. ([ 1,  $\ominus$  x ] [^]<sub>poly\_ring R</sub> n) pdivides p) else 0))"

**definition** (in ring) roots :: "'a list  $\Rightarrow$  'a multiset"  
 where "roots p = Abs\_multiset (alg\_mult p)"

**definition** (in ring) roots\_on :: "'a set  $\Rightarrow$  'a list  $\Rightarrow$  'a multiset"  
 where "roots\_on K p = roots p  $\cap$ # mset\_set K"

**definition** (in ring) splitted :: "'a list  $\Rightarrow$  bool"  
 where "splitted p  $\longleftrightarrow$  size (roots p) = degree p"

**definition** (in ring) splitted\_on :: "'a set  $\Rightarrow$  'a list  $\Rightarrow$  bool"  
 where "splitted\_on K p  $\longleftrightarrow$  size (roots\_on K p) = degree p"

**lemma** (in domain) pdivides\_imp\_root\_sharing:  
 assumes "p  $\in$  carrier (poly\_ring R)" "p pdivides q" and "a  $\in$  carrier R"  
 shows "eval p a = 0  $\implies$  eval q a = 0"  
*<proof>*

**lemma** (in domain) degree\_one\_root:  
 assumes "subfield K R" and "p  $\in$  carrier (K[X])" and "degree p = 1"  
 shows "eval p ( $\ominus$  (inv (lead\_coeff p)  $\otimes$  (const\_term p))) = 0"

```

    and "inv (lead_coeff p)  $\otimes$  (const_term p)  $\in$  K"
  <proof>
lemma (in domain) is_root_imp_pdivides:
  assumes "p  $\in$  carrier (poly_ring R)"
  shows "is_root p x  $\implies$  [ 1,  $\ominus$  x ] pdivides p"
  <proof>

lemma (in domain) pdivides_imp_is_root:
  assumes "p  $\neq$  []" and "x  $\in$  carrier R"
  shows "[ 1,  $\ominus$  x ] pdivides p  $\implies$  is_root p x"
  <proof>

lemma (in domain) associated_polynomials_imp_same_is_root:
  assumes "p  $\in$  carrier (poly_ring R)" and "q  $\in$  carrier (poly_ring R)"
  and "p  $\sim_{\text{poly\_ring R}}$  q"
  shows "is_root p x  $\longleftrightarrow$  is_root q x"
  <proof>

lemma (in ring) monic_degree_one_root_condition:
  assumes "a  $\in$  carrier R" shows "is_root [ 1,  $\ominus$  a ] b  $\longleftrightarrow$  a = b"
  <proof>

lemma (in field) degree_one_root_condition:
  assumes "p  $\in$  carrier (poly_ring R)" and "degree p = 1"
  shows "is_root p x  $\longleftrightarrow$  x =  $\ominus$  (inv (lead_coeff p)  $\otimes$  (const_term p))"
  <proof>

lemma (in domain) is_root_poly_mult_imp_is_root:
  assumes "p  $\in$  carrier (poly_ring R)" and "q  $\in$  carrier (poly_ring R)"
  shows "is_root (p  $\otimes_{\text{poly\_ring R}}$  q) x  $\implies$  (is_root p x)  $\vee$  (is_root q x)"
  <proof>

lemma (in domain) degree_zero_imp_not_is_root:
  assumes "p  $\in$  carrier (poly_ring R)" and "degree p = 0" shows " $\neg$  is_root
p x"
  <proof>

lemma (in domain) finite_number_of_roots:
  assumes "p  $\in$  carrier (poly_ring R)" shows "finite { x. is_root p x
}"
  <proof>

lemma (in domain) alg_multE:
  assumes "x  $\in$  carrier R" and "p  $\in$  carrier (poly_ring R)" and "p  $\neq$ 
[]"
  shows "([ 1,  $\ominus$  x ] [ $\wedge$ ]poly_ring R (alg_mult p x)) pdivides p"
  and " $\wedge$ n. ([ 1,  $\ominus$  x ] [ $\wedge$ ]poly_ring R n) pdivides p  $\implies$  n  $\leq$  alg_mult
p x"
  <proof>

```

```

lemma (in domain) le_alg_mult_imp_pdivides:
  assumes "x ∈ carrier R" and "p ∈ carrier (poly_ring R)"
  shows "n ≤ alg_mult p x ⇒ ([ 1, ⊖ x ] [^]poly_ring R n) pdivides
  p"
  <proof>

lemma (in domain) alg_mult_gt_zero_iff_is_root:
  assumes "p ∈ carrier (poly_ring R)" shows "alg_mult p x > 0 ↔ is_root
  p x"
  <proof>

lemma (in domain) alg_mult_eq_count_roots:
  assumes "p ∈ carrier (poly_ring R)" shows "alg_mult p = count (roots
  p)"
  <proof>

lemma (in domain) roots_mem_iff_is_root:
  assumes "p ∈ carrier (poly_ring R)" shows "x ∈# roots p ↔ is_root
  p x"
  <proof>

lemma (in domain) degree_zero_imp_empty_roots:
  assumes "p ∈ carrier (poly_ring R)" and "degree p = 0" shows "roots
  p = {#}"
  <proof>

lemma (in domain) degree_zero_imp splitted:
  assumes "p ∈ carrier (poly_ring R)" and "degree p = 0" shows "splitted
  p"
  <proof>

lemma (in domain) roots_inclI':
  assumes "p ∈ carrier (poly_ring R)" and "∧a. [ a ∈ carrier R; p ≠
  [] ] ⇒ alg_mult p a ≤ count m a"
  shows "roots p ⊆# m"
  <proof>

lemma (in domain) roots_inclI:
  assumes "p ∈ carrier (poly_ring R)" and "q ∈ carrier (poly_ring R)"
  "q ≠ []"
  and "∧a. [ a ∈ carrier R; p ≠ [] ] ⇒ ([ 1, ⊖ a ] [^]poly_ring R
  (alg_mult p a)) pdivides q"
  shows "roots p ⊆# roots q"
  <proof>

lemma (in domain) pdivides_imp_roots_incl:
  assumes "p ∈ carrier (poly_ring R)" and "q ∈ carrier (poly_ring R)"
  "q ≠ []"

```



shows "p pdivides q  $\implies$  roots p  $\subseteq$  # roots q"  
*<proof>*

lemma (in domain) associated\_polynomials\_imp\_same\_roots:  
 assumes "p  $\in$  carrier (poly\_ring R)" and "q  $\in$  carrier (poly\_ring R)"  
 and "p  $\sim_{\text{poly\_ring R}}$  q"  
 shows "roots p = roots q"  
*<proof>*

lemma (in domain) monic\_degree\_one\_roots:  
 assumes "a  $\in$  carrier R" shows "roots [ 1 ,  $\ominus$  a ] = {# a #}"  
*<proof>*

lemma (in domain) degree\_one\_roots:  
 assumes "a  $\in$  carrier R" "a'  $\in$  carrier R" and "b  $\in$  carrier R" and "a  
 $\otimes$  a' = 1"  
 shows "roots [ a , b ] = {#  $\ominus$  (a'  $\otimes$  b) #}"  
*<proof>*

lemma (in field) degree\_one\_imp\_singleton\_roots:  
 assumes "p  $\in$  carrier (poly\_ring R)" and "degree p = 1"  
 shows "roots p = {#  $\ominus$  (inv (lead\_coeff p)  $\otimes$  (const\_term p)) #}"  
*<proof>*

lemma (in field) degree\_one\_imp splitted:  
 assumes "p  $\in$  carrier (poly\_ring R)" and "degree p = 1" shows "splitted  
 p"  
*<proof>*

lemma (in field) no\_roots\_imp\_same\_roots:  
 assumes "p  $\in$  carrier (poly\_ring R)" "p  $\neq$  []" and "q  $\in$  carrier (poly\_ring  
 R)"  
 shows "roots p = {#}  $\implies$  roots (p  $\otimes_{\text{poly\_ring R}}$  q) = roots q"  
*<proof>*

lemma (in field) poly\_mult\_degree\_one\_monic\_imp\_same\_roots:  
 assumes "a  $\in$  carrier R" and "p  $\in$  carrier (poly\_ring R)" "p  $\neq$  []"  
 shows "roots ([ 1 ,  $\ominus$  a ]  $\otimes_{\text{poly\_ring R}}$  p) = add\_mset a (roots p)"  
*<proof>*

lemma (in domain) not\_empty\_rootsE[elim]:  
 assumes "p  $\in$  carrier (poly\_ring R)" and "roots p  $\neq$  {#}"  
 and " $\bigwedge$ a. [ a  $\in$  carrier R; a  $\in$  # roots p;  
 [ 1 ,  $\ominus$  a ]  $\in$  carrier (poly\_ring R); [ 1 ,  $\ominus$  a ] pdivides  
 p ]  $\implies$  P"  
 shows P  
*<proof>*

lemma (in field) associated\_polynomials\_imp\_same\_roots:

assumes "p ∈ carrier (poly\_ring R)" "p ≠ []" and "q ∈ carrier (poly\_ring R)" "q ≠ []"

shows "roots (p ⊗<sub>poly\_ring R</sub> q) = roots p + roots q"  
 ⟨proof⟩

lemma (in field) size\_roots\_le\_degree:

assumes "p ∈ carrier (poly\_ring R)" shows "size (roots p) ≤ degree p"  
 ⟨proof⟩

lemma (in domain) pirreducible\_roots:

assumes "p ∈ carrier (poly\_ring R)" and "pirreducible (carrier R) p"  
 and "degree p ≠ 1"  
 shows "roots p = {#}"  
 ⟨proof⟩

lemma (in field) pirreducible\_imp\_not\_splitted:

assumes "p ∈ carrier (poly\_ring R)" and "pirreducible (carrier R) p"  
 and "degree p ≠ 1"  
 shows "¬ splitted p"  
 ⟨proof⟩

lemma (in field)

assumes "p ∈ carrier (poly\_ring R)" and "q ∈ carrier (poly\_ring R)"  
 and "pirreducible (carrier R) p" and "degree p ≠ 1"  
 shows "roots (p ⊗<sub>poly\_ring R</sub> q) = roots q"  
 ⟨proof⟩

lemma (in field) trivial\_factors\_imp\_splitted:

assumes "p ∈ carrier (poly\_ring R)"  
 and "∧q. [ q ∈ carrier (poly\_ring R); pirreducible (carrier R) q;  
 q pdivides p ] ⇒ degree q ≤ 1"  
 shows "splitted p"  
 ⟨proof⟩

lemma (in field) pdivides\_imp\_splitted:

assumes "p ∈ carrier (poly\_ring R)" and "q ∈ carrier (poly\_ring R)"  
 "q ≠ []" and "splitted q"  
 shows "p pdivides q ⇒ splitted p"  
 ⟨proof⟩

lemma (in field) splitted\_imp\_trivial\_factors:

assumes "p ∈ carrier (poly\_ring R)" "p ≠ []" and "splitted p"  
 shows "∧q. [ q ∈ carrier (poly\_ring R); pirreducible (carrier R) q;  
 q pdivides p ] ⇒ degree q = 1"  
 ⟨proof⟩

#### 44.7 Link between pmod and rupture\_surj

**lemma** (in domain) rupture\_surj\_composed\_with\_pmod:  
 assumes "subfield K R" and "p ∈ carrier (K[X])" and "q ∈ carrier (K[X])"  
 shows "rupture\_surj K p q = rupture\_surj K p (q pmod p)"  
*<proof>*

**corollary** (in domain) rupture\_carrier\_as\_pmod\_image:  
 assumes "subfield K R" and "p ∈ carrier (K[X])"  
 shows "(rupture\_surj K p) ‘ ((λq. q pmod p) ‘ (carrier (K[X]))) = carrier  
 (Rupt K p)"  
 (is "?lhs = ?rhs")  
*<proof>*

**lemma** (in domain) rupture\_surj\_inj\_on:  
 assumes "subfield K R" and "p ∈ carrier (K[X])"  
 shows "inj\_on (rupture\_surj K p) ((λq. q pmod p) ‘ (carrier (K[X])))"  
*<proof>*

#### 44.8 Dimension

**definition** (in ring) exp\_base :: "'a ⇒ nat ⇒ 'a list"  
 where "exp\_base x n = map (λi. x [^] i) (rev [0..< n])"

**lemma** (in ring) exp\_base\_closed:  
 assumes "x ∈ carrier R" shows "set (exp\_base x n) ⊆ carrier R"  
*<proof>*

**lemma** (in ring) exp\_base\_append:  
 shows "exp\_base x (n + m) = (map (λi. x [^] i) (rev [n..< n + m]))  
 @ exp\_base x n"  
*<proof>*

**lemma** (in ring) drop\_exp\_base:  
 shows "drop n (exp\_base x m) = exp\_base x (m - n)"  
*<proof>*

**lemma** (in ring) combine\_eq\_eval:  
 shows "combine Ks (exp\_base x (length Ks)) = eval Ks x"  
*<proof>*

**lemma** (in domain) pmod\_image\_characterization:  
 assumes "subfield K R" and "p ∈ carrier (K[X])" and "p ≠ []"  
 shows "(λq. q pmod p) ‘ carrier (K[X]) = { q ∈ carrier (K[X]). length  
 q ≤ degree p }"  
*<proof>*

**lemma** (in domain) Span\_var\_pow\_base:  
 assumes "subfield K R"  
 shows "ring.Span (K[X]) (poly\_of\_const ‘ K) (ring.exp\_base (K[X]) X

```

n) =
  { q ∈ carrier (K[X]). length q ≤ n }" (is "?lhs = ?rhs")
⟨proof⟩

lemma (in domain) var_pow_base_independent:
  assumes "subfield K R"
  shows "ring.independent (K[X]) (poly_of_const ' K) (ring.exp_base (K[X])
X n)"
⟨proof⟩

lemma (in domain) bounded_degree_dimension:
  assumes "subfield K R"
  shows "ring.dimension (K[X]) n (poly_of_const ' K) { q ∈ carrier (K[X]).
length q ≤ n }"
⟨proof⟩

corollary (in domain) univ_poly_infinite_dimension:
  assumes "subfield K R" shows "ring.infinite_dimension (K[X]) (poly_of_const
' K) (carrier (K[X]))"
⟨proof⟩

corollary (in domain) rupture_dimension:
  assumes "subfield K R" and "p ∈ carrier (K[X])" and "degree p > 0"
  shows "ring.dimension (Rupt K p) (degree p) ((rupture_surj K p) ' poly_of_const
' K) (carrier (Rupt K p))"
⟨proof⟩

end

theory Indexed_Polynomials
  imports Weak_Morphisms "HOL-Library.Multiset" Polynomial_Divisibility

begin

```

## 45 Indexed Polynomials

In this theory, we build a basic framework to the study of polynomials on letters indexed by a set. The main interest is to then apply these concepts to the construction of the algebraic closure of a field.

### 45.1 Definitions

We formalize indexed monomials as multisets with its support a subset of the index set. On top of those, we build indexed polynomials which are simply functions mapping a monomial to its coefficient.

```

definition (in ring) indexed_const :: "'a ⇒ ('c multiset ⇒ 'a)"

```

```

where "indexed_const k = (λm. if m = {#} then k else 0)"

definition (in ring) indexed_pmult :: "('c multiset ⇒ 'a) ⇒ 'c ⇒ ('c
multiset ⇒ 'a)" (infixl "⊗" 65)
  where "indexed_pmult P i = (λm. if i ∈# m then P (m - {# i #}) else
0)"

definition (in ring) indexed_padd :: "_ ⇒ _ ⇒ ('c multiset ⇒ 'a)" (infixl
"⊕" 65)
  where "indexed_padd P Q = (λm. (P m) ⊕ (Q m))"

definition (in ring) indexed_var :: "'c ⇒ ('c multiset ⇒ 'a)" ("ℳᵢ")
  where "indexed_var i = (indexed_const 1) ⊗ i"

definition (in ring) index_free :: "('c multiset ⇒ 'a) ⇒ 'c ⇒ bool"
  where "index_free P i ↔ (∀m. i ∈# m → P m = 0)"

definition (in ring) carrier_coeff :: "('c multiset ⇒ 'a) ⇒ bool"
  where "carrier_coeff P ↔ (∀m. P m ∈ carrier R)"

inductive_set (in ring) indexed_pset :: "'c set ⇒ 'a set ⇒ ('c multiset
⇒ 'a) set" ("_ [ℳᵢ]" 80)
  for I and K where
    indexed_const: "k ∈ K ⇒ indexed_const k ∈ (K[ℳᵢ])"
  | indexed_padd: "[ P ∈ (K[ℳᵢ]); Q ∈ (K[ℳᵢ]) ] ⇒ P ⊕ Q ∈ (K[ℳᵢ])"
  | indexed_pmult: "[ P ∈ (K[ℳᵢ]); i ∈ I ] ⇒ P ⊗ i ∈ (K[ℳᵢ])"

fun (in ring) indexed_eval_aux :: "('c multiset ⇒ 'a) list ⇒ 'c ⇒ ('c
multiset ⇒ 'a)"
  where "indexed_eval_aux Ps i = foldr (λP Q. (Q ⊗ i) ⊕ P) Ps (indexed_const
0)"

fun (in ring) indexed_eval :: "('c multiset ⇒ 'a) list ⇒ 'c ⇒ ('c multiset
⇒ 'a)"
  where "indexed_eval Ps i = indexed_eval_aux (rev Ps) i"

```

## 45.2 Basic Properties

lemma (in ring) carrier\_coeffE:

assumes "carrier\_coeff P" shows "P m ∈ carrier R"  
*<proof>*

lemma (in ring) indexed\_zero\_def: "indexed\_const 0 = (λ\_. 0)"

*<proof>*

lemma (in ring) indexed\_const\_index\_free: "index\_free (indexed\_const k) i"

*<proof>*

**lemma** (in domain) indexed\_var\_not\_index\_free: " $\neg$  index\_free  $\mathcal{X}_i$  i"  
*<proof>*

**lemma** (in ring) indexed\_pmult\_zero [simp]:  
 shows "indexed\_pmult (indexed\_const 0) i = indexed\_const 0"  
*<proof>*

**lemma** (in ring) indexed\_padd\_zero:  
 assumes "carrier\_coeff P" shows " $P \oplus (\text{indexed\_const } 0) = P$ " and " $(\text{indexed\_const } 0) \oplus P = P$ "  
*<proof>*

**lemma** (in ring) indexed\_padd\_const:  
 shows " $(\text{indexed\_const } k1) \oplus (\text{indexed\_const } k2) = \text{indexed\_const } (k1 \oplus k2)$ "  
*<proof>*

**lemma** (in ring) indexed\_const\_in\_carrier:  
 assumes " $K \subseteq \text{carrier } R$ " and " $k \in K$ " shows " $\bigwedge m. (\text{indexed\_const } k) m \in \text{carrier } R$ "  
*<proof>*

**lemma** (in ring) indexed\_padd\_in\_carrier:  
 assumes "carrier\_coeff P" and "carrier\_coeff Q" shows "carrier\_coeff (indexed\_padd P Q)"  
*<proof>*

**lemma** (in ring) indexed\_pmult\_in\_carrier:  
 assumes "carrier\_coeff P" shows "carrier\_coeff (P  $\otimes$  i)"  
*<proof>*

**lemma** (in ring) indexed\_eval\_aux\_in\_carrier:  
 assumes "list\_all carrier\_coeff Ps" shows "carrier\_coeff (indexed\_eval\_aux Ps i)"  
*<proof>*

**lemma** (in ring) indexed\_eval\_in\_carrier:  
 assumes "list\_all carrier\_coeff Ps" shows "carrier\_coeff (indexed\_eval Ps i)"  
*<proof>*

**lemma** (in ring) indexed\_pset\_in\_carrier:  
 assumes " $K \subseteq \text{carrier } R$ " and " $P \in (K[\mathcal{X}_I])$ " shows "carrier\_coeff P"  
*<proof>*

### 45.3 Indexed Eval

**lemma** (in ring) exists\_indexed\_eval\_aux\_monomial:  
 assumes "carrier\_coeff P" and "list\_all carrier\_coeff Qs"

and "count n i = k" and "P n  $\neq$  0" and "list\_all ( $\lambda$ Q. index\_free Q i) Qs"  
 obtains m where "count m i = length Qs + k" and "(indexed\_eval\_aux (Qs @ [ P ]) i) m  $\neq$  0"  
*<proof>*

lemma (in ring) indexed\_eval\_aux\_monomial\_degree\_le:  
 assumes "list\_all carrier\_coeff Ps" and "list\_all ( $\lambda$ P. index\_free P i) Ps"  
 and "(indexed\_eval\_aux Ps i) m  $\neq$  0" shows "count m i  $\leq$  length Ps - 1"  
*<proof>*

lemma (in ring) indexed\_eval\_aux\_is\_inj:  
 assumes "list\_all carrier\_coeff Ps" and "list\_all ( $\lambda$ P. index\_free P i) Ps"  
 and "list\_all carrier\_coeff Qs" and "list\_all ( $\lambda$ Q. index\_free Q i) Qs"  
 and "indexed\_eval\_aux Ps i = indexed\_eval\_aux Qs i" and "length Ps = length Qs"  
 shows "Ps = Qs"  
*<proof>*

lemma (in ring) indexed\_eval\_aux\_is\_inj':  
 assumes "list\_all carrier\_coeff Ps" and "list\_all ( $\lambda$ P. index\_free P i) Ps"  
 and "list\_all carrier\_coeff Qs" and "list\_all ( $\lambda$ Q. index\_free Q i) Qs"  
 and "carrier\_coeff P" and "index\_free P i" "P  $\neq$  indexed\_const 0"  
 and "carrier\_coeff Q" and "index\_free Q i" "Q  $\neq$  indexed\_const 0"  
 and "indexed\_eval\_aux (Ps @ [ P ]) i = indexed\_eval\_aux (Qs @ [ Q ]) i"  
 shows "Ps = Qs" and "P = Q"  
*<proof>*

lemma (in ring) exists\_indexed\_eval\_monomial:  
 assumes "carrier\_coeff P" and "list\_all carrier\_coeff Qs"  
 and "P n  $\neq$  0" and "list\_all ( $\lambda$ Q. index\_free Q i) Qs"  
 obtains m where "count m i = length Qs + (count n i)" and "(indexed\_eval (P # Qs) i) m  $\neq$  0"  
*<proof>*

corollary (in ring) exists\_indexed\_eval\_monomial':  
 assumes "carrier\_coeff P" and "list\_all carrier\_coeff Qs"  
 and "P  $\neq$  indexed\_const 0" and "list\_all ( $\lambda$ Q. index\_free Q i) Qs"  
 obtains m where "count m i  $\geq$  length Qs" and "(indexed\_eval (P # Qs) i) m  $\neq$  0"  
*<proof>*

```

lemma (in ring) indexed_eval_monomial_degree_le:
  assumes "list_all carrier_coeff Ps" and "list_all ( $\lambda$ P. index_free P
i) Ps"
  and "(indexed_eval Ps i) m  $\neq$  0" shows "count m i  $\leq$  length Ps - 1"
  <proof>

```

```

lemma (in ring) indexed_eval_is_inj:
  assumes "list_all carrier_coeff Ps" and "list_all ( $\lambda$ P. index_free P
i) Ps"
  and "list_all carrier_coeff Qs" and "list_all ( $\lambda$ Q. index_free Q
i) Qs"
  and "carrier_coeff P" and "index_free P i" "P  $\neq$  indexed_const 0"
  and "carrier_coeff Q" and "index_free Q i" "Q  $\neq$  indexed_const 0"
  and "indexed_eval (P # Ps) i = indexed_eval (Q # Qs) i"
  shows "Ps = Qs" and "P = Q"
  <proof>

```

```

lemma (in ring) indexed_eval_inj_on_carrier:
  assumes " $\bigwedge$ P. P  $\in$  carrier L  $\implies$  carrier_coeff P" and " $\bigwedge$ P. P  $\in$  carrier
L  $\implies$  index_free P i" and " $\mathbf{0}_L = \text{indexed\_const } \mathbf{0}$ "
  shows "inj_on ( $\lambda$ Ps. indexed_eval Ps i) (carrier (poly_ring L))"
  <proof>

```

#### 45.4 Link with Weak Morphisms

We study some elements of the contradiction needed in the algebraic closure existence proof.

```

context ring
begin

```

```

lemma (in ring) indexed_padd_index_free:
  assumes "index_free P i" and "index_free Q i" shows "index_free (P
 $\oplus$  Q) i"
  <proof>

```

```

lemma (in ring) indexed_pmult_index_free:
  assumes "index_free P j" and "i  $\neq$  j" shows "index_free (P  $\otimes$  i) j"
  <proof>

```

```

lemma (in ring) indexed_eval_index_free:
  assumes "list_all ( $\lambda$ P. index_free P j) Ps" and "i  $\neq$  j" shows "index_free
(indexed_eval Ps i) j"
  <proof>

```

```

context
  fixes L :: "(( $\lambda$ c multiset)  $\implies$  'a) ring" and i :: 'c
  assumes hyps:
    — i "field L"
    — ii " $\bigwedge$ P. P  $\in$  carrier L  $\implies$  carrier_coeff P"

```



```

    — iii " $\bigwedge P. P \in \text{carrier } L \implies \text{index\_free } P \ i$ "
    — iv " $0_L = \text{indexed\_const } 0$ "
begin

interpretation L: field L
  <proof>

interpretation UP: principal_domain "poly_ring L"
  <proof>

abbreviation eval_pmod
  where "eval_pmod q  $\equiv$  ( $\lambda p. \text{indexed\_eval } (L.\text{pmod } p \ q) \ i$ )"

abbreviation image_poly
  where "image_poly q  $\equiv$  image_ring (eval_pmod q) (poly_ring L)"

lemma indexed_eval_is_weak_ring_morphism:
  assumes "q  $\in$  carrier (poly_ring L)" shows "weak_ring_morphism (eval_pmod
q) (PID1poly_ring L q) (poly_ring L)"
  <proof>

lemma eval_norm_eq_id:
  assumes "q  $\in$  carrier (poly_ring L)" and "degree q > 0" and "a  $\in$  carrier
L"
  shows "((eval_pmod q)  $\circ$  (ring.poly_of_const L)) a = a"
  <proof>

lemma image_poly_iso_incl:
  assumes "q  $\in$  carrier (poly_ring L)" and "degree q > 0" shows "id  $\in$ 
ring_hom L (image_poly q)"
  <proof>

lemma image_poly_is_field:
  assumes "q  $\in$  carrier (poly_ring L)" and "pirreducibleL (carrier L)
q" shows "field (image_poly q)"
  <proof>

lemma image_poly_index_free:
  assumes "q  $\in$  carrier (poly_ring L)" and "P  $\in$  carrier (image_poly q)"
and " $\neg$  index_free P j" "i  $\neq$  j"
  obtains Q where "Q  $\in$  carrier L" and " $\neg$  index_free Q j"
  <proof>

lemma eval_pmod_var:
  assumes "indexed_const  $\in$  ring_hom R L" and "q  $\in$  carrier (poly_ring
L)" and "degree q > 1"
  shows "(eval_pmod q) XL = Xi" and "Xi  $\in$  carrier (image_poly q)"

```

*<proof>*

```
lemma image_poly_eval_indexed_var:
  assumes "indexed_const ∈ ring_hom R L"
    and "q ∈ carrier (poly_ring L)" and "degree q > 1" and "pirreducibleL
(carrier L) q"
  shows "(ring.eval (image_poly q)) q  $\mathcal{X}_i = 0_{\text{image\_poly } q}$ "
<proof>
```

end

end

end

theory Finite\_Extensions

imports Embedded\_Algebras Polynomials Polynomial\_Divisibility

begin

## 46 Finite Extensions

### 46.1 Definitions

```
definition (in ring) transcendental :: "'a set ⇒ 'a ⇒ bool"
  where "transcendental K x  $\longleftrightarrow$  inj_on ( $\lambda p$ . eval p x) (carrier (K[X]))"
```

```
abbreviation (in ring) algebraic :: "'a set ⇒ 'a ⇒ bool"
  where "algebraic K x  $\equiv$   $\neg$  transcendental K x"
```

```
definition (in ring) Irr :: "'a set ⇒ 'a ⇒ 'a list"
  where "Irr K x = (THE p. p ∈ carrier (K[X])  $\wedge$  pirreducible K p  $\wedge$  eval
p x = 0  $\wedge$  lead_coeff p = 1)"
```

```
inductive_set (in ring) simple_extension :: "'a set ⇒ 'a ⇒ 'a set"
  for K and x where
  zero [simp, intro]: "0 ∈ simple_extension K x" |
  lin: "[[ k1 ∈ simple_extension K x; k2 ∈ K ]  $\implies$  (k1  $\otimes$  x)  $\oplus$  k2 ∈
simple_extension K x"
```

```
fun (in ring) finite_extension :: "'a set ⇒ 'a list ⇒ 'a set"
  where "finite_extension K xs = foldr ( $\lambda x K'$ . simple_extension K' x)
xs K"
```

### 46.2 Basic Properties

```
lemma (in ring) transcendental_consistent:
  assumes "subring K R" shows "transcendental = ring.transcendental (R
```

(| carrier := K |)"  
 ⟨proof⟩

**lemma** (in ring) algebraic\_consistent:  
 assumes "subring K R" shows "algebraic = ring.algebraic (R (| carrier := K |))"  
 ⟨proof⟩

**lemma** (in ring) eval\_transcendental:  
 assumes "(transcendental over K) x" "p ∈ carrier (K[X])" "eval p x = 0" shows "p = []"  
 ⟨proof⟩

**lemma** (in ring) transcendental\_imp\_trivial\_ker:  
 shows "(transcendental over K) x  $\implies$  a\_kernel (K[X]) R ( $\lambda$ p. eval p x) = { [] }"  
 ⟨proof⟩

**lemma** (in ring) non\_trivial\_ker\_imp\_algebraic:  
 shows "a\_kernel (K[X]) R ( $\lambda$ p. eval p x)  $\neq$  { [] }  $\implies$  (algebraic over K) x"  
 ⟨proof⟩

**lemma** (in domain) trivial\_ker\_imp\_transcendental:  
 assumes "subring K R" and "x ∈ carrier R"  
 shows "a\_kernel (K[X]) R ( $\lambda$ p. eval p x) = { [] }  $\implies$  (transcendental over K) x"  
 ⟨proof⟩

**lemma** (in domain) algebraic\_imp\_non\_trivial\_ker:  
 assumes "subring K R" and "x ∈ carrier R"  
 shows "(algebraic over K) x  $\implies$  a\_kernel (K[X]) R ( $\lambda$ p. eval p x)  $\neq$  { [] }"  
 ⟨proof⟩

**lemma** (in domain) algebraicE:  
 assumes "subring K R" and "x ∈ carrier R" "(algebraic over K) x"  
 obtains p where "p ∈ carrier (K[X])" "p  $\neq$  []" "eval p x = 0"  
 ⟨proof⟩

**lemma** (in ring) algebraicI:  
 assumes "p ∈ carrier (K[X])" "p  $\neq$  []" and "eval p x = 0" shows "(algebraic over K) x"  
 ⟨proof⟩

**lemma** (in ring) transcendental\_mono:  
 assumes "K  $\subseteq$  K'" "(transcendental over K') x" shows "(transcendental over K) x"  
 ⟨proof⟩

```

corollary (in ring) algebraic_mono:
  assumes "K  $\subseteq$  K'" "(algebraic over K) x" shows "(algebraic over K')
  x"
  <proof>

```

```

lemma (in domain) zero_is_algebraic:
  assumes "subring K R" shows "(algebraic over K) 0"
  <proof>

```

```

lemma (in domain) algebraic_self:
  assumes "subring K R" and "k  $\in$  K" shows "(algebraic over K) k"
  <proof>

```

```

lemma (in domain) ker_diff_carrier:
  assumes "subring K R"
  shows "a_kernel (K[X]) R ( $\lambda$ p. eval p x)  $\neq$  carrier (K[X])"
  <proof>

```

### 46.3 Minimal Polynomial

```

lemma (in domain) minimal_polynomial_is_unique:
  assumes "subfield K R" and "x  $\in$  carrier R" "(algebraic over K) x"
  shows " $\exists!$ p  $\in$  carrier (K[X]). pirreducible K p  $\wedge$  eval p x = 0  $\wedge$  lead_coeff
  p = 1"
  (is " $\exists!$ p. ?minimal_poly p")
  <proof>

```

```

lemma (in domain) IrrE:
  assumes "subfield K R" and "x  $\in$  carrier R" "(algebraic over K) x"
  shows "Irr K x  $\in$  carrier (K[X])" and "pirreducible K (Irr K x)"
  and "lead_coeff (Irr K x) = 1" and "eval (Irr K x) x = 0"
  <proof>

```

```

lemma (in domain) Irr_generates_ker:
  assumes "subfield K R" and "x  $\in$  carrier R" "(algebraic over K) x"
  shows "a_kernel (K[X]) R ( $\lambda$ p. eval p x) = PIdlK[X] (Irr K x)"
  <proof>

```

```

lemma (in domain) Irr_minimal:
  assumes "subfield K R" and "x  $\in$  carrier R" "(algebraic over K) x"
  and "p  $\in$  carrier (K[X])" "eval p x = 0" shows "(Irr K x) pdivides
  p"
  <proof>

```

```

lemma (in domain) rupture_of_Irr:
  assumes "subfield K R" and "x  $\in$  carrier R" "(algebraic over K) x" shows
  "field (Rupt K (Irr K x))"
  <proof>

```

## 46.4 Simple Extensions

```

lemma (in ring) simple_extension_consistent:
  assumes "subring K R" shows "ring.simple_extension (R (| carrier :=
K |)) = simple_extension"
<proof>

lemma (in ring) mono_simple_extension:
  assumes "K ⊆ K'" shows "simple_extension K x ⊆ simple_extension K'
x"
<proof>

lemma (in ring) simple_extension_incl:
  assumes "K ⊆ carrier R" and "x ∈ carrier R" shows "K ⊆ simple_extension
K x"
<proof>

lemma (in ring) simple_extension_mem:
  assumes "subring K R" and "x ∈ carrier R" shows "x ∈ simple_extension
K x"
<proof>

lemma (in ring) simple_extension_carrier:
  assumes "x ∈ carrier R" shows "simple_extension (carrier R) x = carrier
R"
<proof>

lemma (in ring) simple_extension_in_carrier:
  assumes "K ⊆ carrier R" and "x ∈ carrier R" shows "simple_extension
K x ⊆ carrier R"
<proof>

lemma (in ring) simple_extension_subring_incl:
  assumes "subring K' R" and "K ⊆ K'" "x ∈ K'" shows "simple_extension
K x ⊆ K'"
<proof>

lemma (in ring) simple_extension_as_eval_img:
  assumes "K ⊆ carrier R" "x ∈ carrier R"
  shows "simple_extension K x = (λp. eval p x) ` carrier (K[X])"
<proof>

corollary (in domain) simple_extension_is_subring:
  assumes "subring K R" "x ∈ carrier R" shows "subring (simple_extension
K x) R"
<proof>

corollary (in domain) simple_extension_minimal:
  assumes "subring K R" "x ∈ carrier R"
  shows "simple_extension K x = ⋂ { K'. subring K' R ∧ K ⊆ K' ∧ x ∈

```

$K' \}$ "  
*<proof>*

**corollary** (in domain) simple\_extension\_isomorphism:  
 assumes "subring K R" "x ∈ carrier R"  
 shows "(K[X]) Quot (a\_kernel (K[X]) R (λp. eval p x)) ≃ R (| carrier := simple\_extension K x |)"  
*<proof>*

**corollary** (in domain) simple\_extension\_of\_algebraic:  
 assumes "subfield K R" and "x ∈ carrier R" "(algebraic over K) x"  
 shows "Rupt K (Irr K x) ≃ R (| carrier := simple\_extension K x |)"  
*<proof>*

**corollary** (in domain) simple\_extension\_of\_transcendental:  
 assumes "subring K R" and "x ∈ carrier R" "(transcendental over K) x"  
 shows "K[X] ≃ R (| carrier := simple\_extension K x |)"  
*<proof>*

**proposition** (in domain) simple\_extension\_subfield\_imp\_algebraic:  
 assumes "subring K R" "x ∈ carrier R"  
 shows "subfield (simple\_extension K x) R ⇒ (algebraic over K) x"  
*<proof>*

**proposition** (in domain) simple\_extension\_is\_subfield:  
 assumes "subfield K R" "x ∈ carrier R"  
 shows "subfield (simple\_extension K x) R ⇔ (algebraic over K) x"  
*<proof>*

## 46.5 Link between dimension of K-algebras and algebraic extensions

**lemma** (in domain) exp\_base\_independent:  
 assumes "subfield K R" "x ∈ carrier R" "(algebraic over K) x"  
 shows "independent K (exp\_base x (degree (Irr K x)))"  
*<proof>*

**lemma** (in ring) Span\_eq\_eval\_img:  
 assumes "subfield K R" "x ∈ carrier R"  
 shows "Span K (exp\_base x n) = (λp. eval p x) ‘ { p ∈ carrier (K[X]). length p ≤ n }"  
 (is "?Span = ?eval\_img")  
*<proof>*

**lemma** (in domain) Span\_exp\_base:  
 assumes "subfield K R" "x ∈ carrier R" "(algebraic over K) x"  
 shows "Span K (exp\_base x (degree (Irr K x))) = simple\_extension K x"  
*<proof>*

```

corollary (in domain) dimension_simple_extension:
  assumes "subfield K R" "x ∈ carrier R" "(algebraic over K) x"
  shows "dimension (degree (Irr K x)) K (simple_extension K x)"
  ⟨proof⟩

lemma (in ring) finite_dimension_imp_algebraic:
  assumes "subfield K R" "subring F R" and "finite_dimension K F"
  shows "x ∈ F ⇒ (algebraic over K) x"
  ⟨proof⟩

corollary (in domain) simple_extension_dim:
  assumes "subfield K R" "x ∈ carrier R" "(algebraic over K) x"
  shows "(dim over K) (simple_extension K x) = degree (Irr K x)"
  ⟨proof⟩

corollary (in domain) finite_dimension_simple_extension:
  assumes "subfield K R" "x ∈ carrier R"
  shows "finite_dimension K (simple_extension K x) ↔ (algebraic over
K) x"
  ⟨proof⟩

```

## 46.6 Finite Extensions

```

lemma (in ring) finite_extension_consistent:
  assumes "subring K R" shows "ring.finite_extension (R (| carrier :=
K |)) = finite_extension"
  ⟨proof⟩

lemma (in ring) mono_finite_extension:
  assumes "K ⊆ K'" shows "finite_extension K xs ⊆ finite_extension K'
xs"
  ⟨proof⟩

lemma (in ring) finite_extension_carrier:
  assumes "set xs ⊆ carrier R" shows "finite_extension (carrier R) xs
= carrier R"
  ⟨proof⟩

lemma (in ring) finite_extension_in_carrier:
  assumes "K ⊆ carrier R" and "set xs ⊆ carrier R" shows "finite_extension
K xs ⊆ carrier R"
  ⟨proof⟩

lemma (in ring) finite_extension_subring_incl:
  assumes "subring K' R" and "K ⊆ K'" "set xs ⊆ K'" shows "finite_extension
K xs ⊆ K'"
  ⟨proof⟩

```

```

lemma (in ring) finite_extension_incl_aux:
  assumes "K  $\subseteq$  carrier R" and "x  $\in$  carrier R" "set xs  $\subseteq$  carrier R"
  shows "finite_extension K xs  $\subseteq$  finite_extension K (x # xs)"
  <proof>

lemma (in ring) finite_extension_incl:
  assumes "K  $\subseteq$  carrier R" and "set xs  $\subseteq$  carrier R" shows "K  $\subseteq$  finite_extension
K xs"
  <proof>

lemma (in ring) finite_extension_as_eval_img:
  assumes "K  $\subseteq$  carrier R" and "x  $\in$  carrier R" "set xs  $\subseteq$  carrier R"
  shows "finite_extension K (x # xs) = ( $\lambda$ p. eval p x) ' carrier ((finite_extension
K xs) [X])"
  <proof>

lemma (in domain) finite_extension_is_subring:
  assumes "subring K R" "set xs  $\subseteq$  carrier R" shows "subring (finite_extension
K xs) R"
  <proof>

corollary (in domain) finite_extension_mem:
  assumes subring: "subring K R"
  shows "set xs  $\subseteq$  carrier R  $\implies$  set xs  $\subseteq$  finite_extension K xs"
  <proof>

corollary (in domain) finite_extension_minimal:
  assumes "subring K R" "set xs  $\subseteq$  carrier R"
  shows "finite_extension K xs =  $\bigcap$  { K'. subring K' R  $\wedge$  K  $\subseteq$  K'  $\wedge$  set
xs  $\subseteq$  K' }"
  <proof>

corollary (in domain) finite_extension_same_set:
  assumes "subring K R" "set xs  $\subseteq$  carrier R" "set xs = set ys"
  shows "finite_extension K xs = finite_extension K ys"
  <proof>

The reciprocal is also true, but it is more subtle.

proposition (in domain) finite_extension_is_subfield:
  assumes "subfield K R" "set xs  $\subseteq$  carrier R"
  shows "( $\bigwedge$ x. x  $\in$  set xs  $\implies$  (algebraic over K) x)  $\implies$  subfield (finite_extension
K xs) R"
  <proof>

proposition (in domain) finite_extension_finite_dimension:
  assumes "subfield K R" "set xs  $\subseteq$  carrier R"
  shows "( $\bigwedge$ x. x  $\in$  set xs  $\implies$  (algebraic over K) x)  $\implies$  finite_dimension
K (finite_extension K xs)"
  and "finite_dimension K (finite_extension K xs)  $\implies$  ( $\bigwedge$ x. x  $\in$  set

```



```
xs  $\implies$  (algebraic over K) x"
<proof>
```

```
corollary (in domain) finite_extesion_mem_imp_algebraic:
  assumes "subfield K R" "set xs  $\subseteq$  carrier R" and " $\bigwedge x. x \in \text{set } xs \implies$ 
(algebraic over K) x"
  shows "y  $\in$  finite_extension K xs  $\implies$  (algebraic over K) y"
  <proof>
```

```
corollary (in domain) simple_extesion_mem_imp_algebraic:
  assumes "subfield K R" "x  $\in$  carrier R" "(algebraic over K) x"
  shows "y  $\in$  simple_extension K x  $\implies$  (algebraic over K) y"
  <proof>
```

## 46.7 Arithmetic of algebraic numbers

We show that the set of algebraic numbers of a field over a subfield  $K$  is a subfield itself.

```
lemma (in field) subfield_of_algebraics:
  assumes "subfield K R" shows "subfield { x  $\in$  carrier R. (algebraic
over K) x } R"
  <proof>
```

end

```
theory Algebraic_Closure
  imports Indexed_Polynomials Polynomial_Divisibility Finite_Extensions
```

```
begin
```

## 47 Algebraic Closure

### 47.1 Definitions

```
inductive iso_incl :: "'a ring  $\Rightarrow$  'a ring  $\Rightarrow$  bool" (infixl " $\lesssim$ " 65) for A
B
```

```
  where iso_inclI [intro]: "id  $\in$  ring_hom A B  $\implies$  iso_incl A B"
```

```
definition law_restrict :: "('a, 'b) ring_scheme  $\Rightarrow$  'a ring"
```

```
  where "law_restrict R  $\equiv$  (ring.truncate R)
```

```
    (| mult := ( $\lambda a \in \text{carrier } R. \lambda b \in \text{carrier } R. a \otimes_R b$ ),
```

```
      add := ( $\lambda a \in \text{carrier } R. \lambda b \in \text{carrier } R. a \oplus_R b$ ) |)"
```

```
definition (in ring)  $\sigma$  :: "'a list  $\Rightarrow$  (((('a list  $\times$  nat) multiset)  $\Rightarrow$  'a)
list"
```

```
  where " $\sigma$  P = map indexed_const P"
```

**definition** (in ring) extensions :: "((( 'a list × nat) multiset) ⇒ 'a) ring set"  
 where "extensions ≡ { L — such that.  
 — i (field L) ∧  
 — ii (indexed\_const ∈ ring\_hom R L) ∧  
 — iii (∀ P ∈ carrier L. carrier\_coeff P) ∧  
 — iv (∀ P ∈ carrier L. ∀ P ∈ carrier (poly\_ring R). ∀ i.  
     ¬ index\_free P (P, i) →  
      $\mathcal{X}_{(P, i)} \in \text{carrier } L \wedge (\text{ring.eval } L) (\sigma P) \mathcal{X}_{(P, i)}$   
 = 0<sub>L</sub>) }"

**abbreviation** (in ring) restrict\_extensions :: "((( 'a list × nat) multiset) ⇒ 'a) ring set" ("S")  
 where "S ≡ law\_restrict ' extensions"

## 47.2 Basic Properties

**lemma** law\_restrict\_carrier: "carrier (law\_restrict R) = carrier R"  
 ⟨proof⟩

**lemma** law\_restrict\_one: "one (law\_restrict R) = one R"  
 ⟨proof⟩

**lemma** law\_restrict\_zero: "zero (law\_restrict R) = zero R"  
 ⟨proof⟩

**lemma** law\_restrict\_mult: "monoid.mult (law\_restrict R) = (λa ∈ carrier R. λb ∈ carrier R. a ⊗<sub>R</sub> b)"  
 ⟨proof⟩

**lemma** law\_restrict\_add: "add (law\_restrict R) = (λa ∈ carrier R. λb ∈ carrier R. a ⊕<sub>R</sub> b)"  
 ⟨proof⟩

**lemma** (in ring) law\_restrict\_is\_ring: "ring (law\_restrict R)"  
 ⟨proof⟩

**lemma** (in field) law\_restrict\_is\_field: "field (law\_restrict R)"  
 ⟨proof⟩

**lemma** law\_restrict\_iso\_imp\_eq:  
 assumes "id ∈ ring\_iso (law\_restrict A) (law\_restrict B)" and "ring A" and "ring B"  
 shows "law\_restrict A = law\_restrict B"  
 ⟨proof⟩

**lemma** law\_restrict\_hom: "h ∈ ring\_hom A B ↔ h ∈ ring\_hom (law\_restrict A) (law\_restrict B)"  
 ⟨proof⟩

**lemma iso\_incl\_hom:** " $A \lesssim B \iff (\text{law\_restrict } A) \lesssim (\text{law\_restrict } B)$ "  
*<proof>*

### 47.3 Partial Order

**lemma iso\_incl\_backwards:**  
**assumes** " $A \lesssim B$ " **shows** " $\text{id} \in \text{ring\_hom } A \ B$ "  
*<proof>*

**lemma iso\_incl\_antisym\_aux:**  
**assumes** " $A \lesssim B$ " **and** " $B \lesssim A$ " **shows** " $\text{id} \in \text{ring\_iso } A \ B$ "  
*<proof>*

**lemma iso\_incl\_refl:** " $A \lesssim A$ "  
*<proof>*

**lemma iso\_incl\_trans:**  
**assumes** " $A \lesssim B$ " **and** " $B \lesssim C$ " **shows** " $A \lesssim C$ "  
*<proof>*

**lemma (in ring) iso\_incl\_antisym:**  
**assumes** " $A \in \mathcal{S}$ " " $B \in \mathcal{S}$ " **and** " $A \lesssim B$ " " $B \lesssim A$ " **shows** " $A = B$ "  
*<proof>*

**lemma (in ring) iso\_incl\_partial\_order:** " $\text{partial\_order\_on } \mathcal{S} \ (\text{relation\_of } (\lesssim) \ \mathcal{S})$ "  
*<proof>*

**lemma iso\_inclE:**  
**assumes** " $\text{ring } A$ " **and** " $\text{ring } B$ " **and** " $A \lesssim B$ " **shows** " $\text{ring\_hom\_ring } A \ B \ \text{id}$ "  
*<proof>*

**lemma iso\_incl\_imp\_same\_eval:**  
**assumes** " $\text{ring } A$ " **and** " $\text{ring } B$ " **and** " $A \lesssim B$ " **and** " $a \in \text{carrier } A$ " **and**  
" $\text{set } p \subseteq \text{carrier } A$ "  
**shows** " $(\text{ring.eval } A) \ p \ a = (\text{ring.eval } B) \ p \ a$ "  
*<proof>*

### 47.4 Extensions Non Empty

**lemma (in ring) indexed\_const\_is\_inj:** " $\text{inj indexed\_const}$ "  
*<proof>*

**lemma (in ring) indexed\_const\_inj\_on:** " $\text{inj\_on indexed\_const } (\text{carrier } R)$ "  
*<proof>*

**lemma (in field) extensions\_non\_empty:** " $\mathcal{S} \neq \{\}$ "

*<proof>*

## 47.5 Chains

```

definition union_ring :: "('a, 'c) ring_scheme) set  $\Rightarrow$  'a ring"
  where "union_ring C =
    (| carrier = ( $\bigcup$  (carrier ' C)),
      monoid.mult = ( $\lambda$  a b. (monoid.mult (SOME R. R  $\in$  C  $\wedge$  a  $\in$  carrier
R  $\wedge$  b  $\in$  carrier R) a b)),
      one = one (SOME R. R  $\in$  C),
      zero = zero (SOME R. R  $\in$  C),
      add = ( $\lambda$  a b. (add (SOME R. R  $\in$  C  $\wedge$  a  $\in$  carrier R  $\wedge$  b
 $\in$  carrier R) a b)) |)"

```

```

lemma union_ring_carrier: "carrier (union_ring C) = ( $\bigcup$  (carrier ' C))"
  <proof>

```

**context**

```

  fixes C :: "'a ring set"
  assumes field_chain: " $\bigwedge$ R. R  $\in$  C  $\implies$  field R" and chain: " $\bigwedge$ R S. [ $\bigwedge$  R
 $\in$  C; S  $\in$  C ]  $\implies$  R  $\lesssim$  S  $\vee$  S  $\lesssim$  R"
  begin

```

```

lemma ring_chain: "R  $\in$  C  $\implies$  ring R"
  <proof>

```

```

lemma same_one_same_zero:
  assumes "R  $\in$  C" shows " $1_{\text{union\_ring C}} = 1_R$ " and " $0_{\text{union\_ring C}} = 0_R$ "
  <proof>

```

```

lemma same_laws:
  assumes "R  $\in$  C" and "a  $\in$  carrier R" and "b  $\in$  carrier R"
  shows "a  $\otimes_{\text{union\_ring C}}$  b = a  $\otimes_R$  b" and "a  $\oplus_{\text{union\_ring C}}$  b = a  $\oplus_R$  b"
  <proof>

```

```

lemma exists_superset_carrier:
  assumes "finite S" and "S  $\neq$  {}" and "S  $\subseteq$  carrier (union_ring C)"
  shows " $\exists$  R  $\in$  C. S  $\subseteq$  carrier R"
  <proof>

```

```

lemma union_ring_is_monoid:
  assumes "C  $\neq$  {}" shows "comm_monoid (union_ring C)"
  <proof>

```

```

lemma union_ring_is_abelian_group:
  assumes "C  $\neq$  {}" shows "cring (union_ring C)"
  <proof>

```

**lemma** union\_ring\_is\_field :  
 assumes "C  $\neq$  {}" shows "field (union\_ring C)"  
*<proof>*

**lemma** union\_ring\_is\_upper\_bound:  
 assumes "R  $\in$  C" shows "R  $\lesssim$  union\_ring C"  
*<proof>*

**end**

## 47.6 Zorn

**lemma** (in ring) exists\_core\_chain:  
 assumes "C  $\in$  Chains (relation\_of ( $\lesssim$ ) S)" obtains C' where "C'  $\subseteq$  extensions"  
 and "C = law\_restrict ' C'"  
*<proof>*

**lemma** (in ring) core\_chain\_is\_chain:  
 assumes "law\_restrict ' C  $\in$  Chains (relation\_of ( $\lesssim$ ) S)" shows " $\bigwedge$ R  
 S.  $\llbracket$  R  $\in$  C; S  $\in$  C  $\rrbracket \implies$  R  $\lesssim$  S  $\vee$  S  $\lesssim$  R"  
*<proof>*

**lemma** (in field) exists\_maximal\_extension:  
 shows " $\exists$ M  $\in$  S.  $\forall$ L  $\in$  S. M  $\lesssim$  L  $\implies$  L = M"  
*<proof>*

## 47.7 Existence of roots

**lemma** polynomial\_hom:  
 assumes "h  $\in$  ring\_hom R S" and "field R" and "field S"  
 shows "p  $\in$  carrier (poly\_ring R)  $\implies$  (map h p)  $\in$  carrier (poly\_ring  
 S)"  
*<proof>*

**lemma** (in ring\_hom\_ring) subfield\_polynomial\_hom:  
 assumes "subfield K R" and " $1_S \neq 0_S$ "  
 shows "p  $\in$  carrier (K[X]<sub>R</sub>)  $\implies$  (map h p)  $\in$  carrier ((h ' K)[X]<sub>S</sub>)"  
*<proof>*

**lemma** (in field) exists\_root:  
 assumes "M  $\in$  extensions" and " $\bigwedge$ L.  $\llbracket$  L  $\in$  extensions; M  $\lesssim$  L  $\rrbracket \implies$  law\_restrict  
 L = law\_restrict M"  
 and "P  $\in$  carrier (poly\_ring R)"  
 shows "(ring.splitted M) ( $\sigma$  P)"  
*<proof>*

**lemma** (in field) exists\_extension\_with\_roots:  
 shows " $\exists$ L  $\in$  extensions.  $\forall$ P  $\in$  carrier (poly\_ring R). (ring.splitted  
 L) ( $\sigma$  P)"

*<proof>*

## 47.8 Existence of Algebraic Closure

**locale algebraic\_closure** = field L + subfield K L for L (structure) and K +

assumes algebraic\_extension: "x ∈ carrier L ⇒ (algebraic over K) x"

and roots\_over\_subfield: "P ∈ carrier (K[X]) ⇒ splitted P"

**locale algebraically\_closed** = field L for L (structure) +

assumes roots\_over\_carrier: "P ∈ carrier (poly\_ring L) ⇒ splitted P"

**definition** (in field) alg\_closure :: "((*'a* list × nat) multiset ⇒ *'a*) ring"

where alg\_closure = (SOME L — such that.

— i algebraic\_closure L (indexed\_const '*'a* (carrier R)) ∧

— ii indexed\_const ∈ ring\_hom R L)"

**lemma algebraic\_hom:**

assumes "h ∈ ring\_hom R S" and "field R" and "field S" and "subfield K R" and "x ∈ carrier R"

shows "(ring.algebraic R) over K x ⇒ ((ring.algebraic S) over (h '*'a* K)) (h x)"

*<proof>*

**lemma** (in field) exists\_closure:

obtains L :: "((*'a* list × nat) multiset) ⇒ *'a*) ring"

where "algebraic\_closure L (indexed\_const '*'a* (carrier R))" and "indexed\_const ∈ ring\_hom R L"

*<proof>*

**lemma** (in field) alg\_closureE:

shows "algebraic\_closure alg\_closure (indexed\_const '*'a* (carrier R))"

and "indexed\_const ∈ ring\_hom R alg\_closure"

*<proof>*

**lemma** (in field) algebraically\_closedI':

assumes "∧p. [ p ∈ carrier (poly\_ring R); degree p > 1 ] ⇒ splitted p"

shows "algebraically\_closed R"

*<proof>*

**lemma** (in field) algebraically\_closedI:

assumes "∧p. [ p ∈ carrier (poly\_ring R); degree p > 1 ] ⇒ ∃x ∈ carrier R. eval p x = 0"

shows "algebraically\_closed R"

*<proof>*

```

sublocale algebraic_closure  $\subseteq$  algebraically_closed
  <proof>

```

```

end
theory Left_Coset
imports Coset

```

```

begin

```

```

definition

```

```

  LCOSETS  :: "[_, 'a set]  $\Rightarrow$  ('a set)set"  ("lcosets2 _" [81] 80)
  where "lcosetsG H = ( $\bigcup_{a \in \text{carrier } G} \{a \langle\#_G H\}$ )"

```

```

definition

```

```

  LFactGroup :: "[('a,'b) monoid_scheme, 'a set]  $\Rightarrow$  ('a set) monoid" (infixl
  "LMod" 65)
  — Actually defined for groups rather than monoids
  where "LFactGroup G H = (carrier = lcosetsG H, mult = set_mult G,
  one = H)"

```

```

lemma (in group) lcos_self: "[| x  $\in$  carrier G; subgroup H G |]  $\implies$  x
 $\in$  x  $\langle\#$  H"
  <proof>

```

Elements of a left coset are in the carrier

```

lemma (in subgroup) elem_lcos_carrier:
  assumes "group G" "a  $\in$  carrier G" "a'  $\in$  a  $\langle\#$  H"
  shows "a'  $\in$  carrier G"
  <proof>

```

Step one for lemma rcos\_module

```

lemma (in subgroup) lcos_module_imp:
  assumes "group G"
  assumes xcarr: "x  $\in$  carrier G"
  and x'cos: "x'  $\in$  x  $\langle\#$  H"
  shows "(inv x  $\otimes$  x')  $\in$  H"
  <proof>

```

Left cosets are subsets of the carrier.

```

lemma (in subgroup) lcosets_carrier:
  assumes "group G"
  assumes XH: "X  $\in$  lcosets H"
  shows "X  $\subseteq$  carrier G"
  <proof>

```

```

lemma (in group) lcosets_part_G:

```

```

  assumes "subgroup H G"
  shows " $\bigcup (\text{lcosets } H) = \text{carrier } G$ "
  <proof>

```

```

lemma (in group) lcosets_subset_PowG:
  "subgroup H G  $\implies$  lcosets H  $\subseteq$  Pow(carrier G)"
  <proof>

```

```

lemma (in group) lcos_disjoint:
  assumes "subgroup H G"
  assumes p: "a  $\in$  lcosets H" "b  $\in$  lcosets H" "a $\neq$ b"
  shows "a  $\cap$  b = {}"
  <proof>

```

The next two lemmas support the proof of `card_cosets_equal`.

```

lemma (in group) inj_on_f':
  "[[H  $\subseteq$  carrier G; a  $\in$  carrier G]]  $\implies$  inj_on ( $\lambda y. y \otimes \text{inv } a$ ) (a <#
H)"
  <proof>

```

```

lemma (in group) inj_on_f'':
  "[[H  $\subseteq$  carrier G; a  $\in$  carrier G]]  $\implies$  inj_on ( $\lambda y. \text{inv } a \otimes y$ ) (a <#
H)"
  <proof>

```

```

lemma (in group) inj_on_g':
  "[[H  $\subseteq$  carrier G; a  $\in$  carrier G]]  $\implies$  inj_on ( $\lambda y. a \otimes y$ ) H"
  <proof>

```

```

lemma (in group) l_card_cosets_equal:
  assumes "c  $\in$  lcosets H" and H: "H  $\subseteq$  carrier G" and fin: "finite(carrier
G)"
  shows "card H = card c"
  <proof>

```

```

theorem (in group) l_lagrange:
  assumes "finite(carrier G)" "subgroup H G"
  shows "card(lcosets H) * card(H) = order(G)"
  <proof>

```

**end**

```

theory SimpleGroups
imports Coset "HOL-Computational_Algebra.Primes"
begin

```



## 48 Simple Groups

```

locale simple_group = group +
  assumes order_gt_one: "order G > 1"
  assumes no_real_normal_subgroup: " $\bigwedge H. H \triangleleft G \implies (H = \text{carrier } G \vee H = \{1\})$ "

```

```

lemma (in simple_group) is_simple_group: "simple_group G"
  <proof>

```

Simple groups are non-trivial.

```

lemma (in simple_group) simple_not_triv: "carrier G  $\neq$  {1}"
  <proof>

```

Every group of prime order is simple

```

lemma (in group) prime_order_simple:
  assumes prime: "prime (order G)"
  shows "simple_group G"
  <proof>

```

Being simple is a property that is preserved by isomorphisms.

```

lemma (in simple_group) iso_simple:
  assumes H: "group H"
  assumes iso: " $\varphi \in \text{iso } G \text{ } H$ "
  shows "simple_group H"
  <proof>

```

As a corollary of this: Factorizing a group by itself does not result in a simple group!

```

lemma (in group) self_factor_not_simple: " $\neg$  simple_group (G Mod (carrier G))"
  <proof>

```

**end**

```

theory SndIsomorphismGrp
imports Coset
begin

```

## 49 The Second Isomorphism Theorem for Groups

This theory provides a proof of the second isomorphism theorems for groups. The theorems consist of several facts about normal subgroups.

The first lemma states that whenever we have a subgroup  $S$  and a normal subgroup  $H$  of a group  $G$ , their intersection is normal in  $G$

```

locale second_isomorphism_grp = normal +
  fixes S:: "'a set"
  assumes subgrpS: "subgroup S G"

context second_isomorphism_grp
begin

interpretation groupS: group "G(|carrier := S)"
  <proof>

lemma normal_subgrp_intersection_normal:
  shows "S ∩ H ◁ (G(|carrier := S))"
  <proof>

lemma normal_set_mult_subgroup:
  shows "subgroup (H <#> S) G"
  <proof>

lemma H_contained_in_set_mult:
  shows "H ⊆ H <#> S"
  <proof>

lemma S_contained_in_set_mult:
  shows "S ⊆ H <#> S"
  <proof>

lemma normal_intersection_hom:
  shows "group_hom (G(|carrier := S)) ((G(|carrier := H <#> S)) Mod H) (λg.
H #> g)"
  <proof>

lemma normal_intersection_hom_kernel:
  shows "kernel (G(|carrier := S)) ((G(|carrier := H <#> S)) Mod H) (λg.
H #> g) = H ∩ S"
  <proof>

lemma normal_intersection_hom_surj:
  shows "(λg. H #> g) ' carrier (G(|carrier := S)) = carrier ((G(|carrier
:= H <#> S)) Mod H)"
  <proof>

Finally we can prove the actual isomorphism theorem:

theorem normal_intersection_quotient_isom:
  shows "(λX. the_elem ((λg. H #> g) ' X)) ∈ iso ((G(|carrier := S)) Mod
(H ∩ S)) (((G(|carrier := H <#> S)) Mod H))"
  <proof>

end

```

```

corollary (in group) normal_subgroup_set_mult_closed:
  assumes "M < G" and "N < G"
  shows "M <#> N < G"
  <proof>

```

```

end

```

```

theory Algebra
  imports Sylow Chinese_Remainder Zassenhaus Galois_Connection Generated_Fields
  Free_Abelian_Groups
    Divisibility Embedded_Algebras IntRing Sym_Groups Exact_Sequence
  Polynomials Algebraic_Closure
    Left_Coset SimpleGroups SndIsomorphismGrp
begin
end

```

## References

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