

ZF

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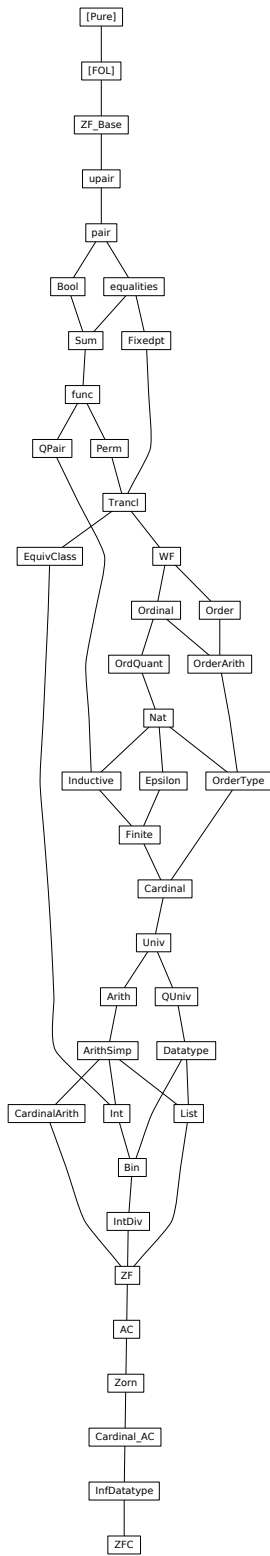
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1 Base of Zermelo-Fraenkel Set Theory

```
theory ZF-Base
imports FOL
begin
```

1.1 Signature

```
declare [[eta-contract = false]]
```

```
typedecl i
instance i :: term ..
```

```
axiomatization mem :: [i, i] ⇒ o (infixl ⟨∈⟩ 50) — membership relation
and zero :: i ⟨0⟩ — the empty set
and Pow :: i ⇒ i — power sets
and Inf :: i — infinite set
and Union :: i ⇒ i ⟨∪⟩ [90] 90)
and PrimReplace :: [i, [i, i] ⇒ o] ⇒ i
```

```
abbreviation not-mem :: [i, i] ⇒ o (infixl ⟨∉⟩ 50) — negated membership
relation
where  $x \notin y \equiv \neg (x \in y)$ 
```

1.2 Bounded Quantifiers

```
definition Ball :: [i, i ⇒ o] ⇒ o
where  $Ball(A, P) \equiv \forall x. x \in A \longrightarrow P(x)$ 
```

```
definition Bex :: [i, i ⇒ o] ⇒ o
where  $Bex(A, P) \equiv \exists x. x \in A \wedge P(x)$ 
```

```
syntax
```

```
-Ball :: [pttrn, i, o] ⇒ o ⟨(∃∀ -∈- / -)⟩ 10)
-Bex :: [pttrn, i, o] ⇒ o ⟨(∃∃ -∈- / -)⟩ 10)
```

```
translations
```

```
 $\forall x \in A. P \rightleftharpoons CONST\ Ball(A, \lambda x. P)$ 
 $\exists x \in A. P \rightleftharpoons CONST\ Bex(A, \lambda x. P)$ 
```

1.3 Variations on Replacement

```
definition Replace :: [i, [i, i] ⇒ o] ⇒ i
where  $Replace(A, P) \equiv PrimReplace(A, \lambda x y. (\exists! z. P(x, z)) \wedge P(x, y))$ 
```

```
syntax
```

```
-Replace :: [pttrn, pttrn, i, o] ⇒ i ⟨(1{- / - ∈ -, -})⟩
```

```
translations
```

```
 $\{y. x \in A, Q\} \rightleftharpoons CONST\ Replace(A, \lambda x y. Q)$ 
```

definition $RepFun :: [i, i \Rightarrow i] \Rightarrow i$
where $RepFun(A,f) \equiv \{y . x \in A, y=f(x)\}$

syntax

- $RepFun :: [i, ptrn, i] \Rightarrow i$ ($\langle 1\{- ./ - \in -\} \rangle$ [51,0,51])

translations

$\{b. x \in A\} \equiv CONST RepFun(A, \lambda x. b)$

definition $Collect :: [i, i \Rightarrow o] \Rightarrow i$

where $Collect(A,P) \equiv \{y . x \in A, x=y \wedge P(x)\}$

syntax

- $Collect :: [ptrn, i, o] \Rightarrow i$ ($\langle 1\{- \in - ./ -\} \rangle$)

translations

$\{x \in A. P\} \equiv CONST Collect(A, \lambda x. P)$

1.4 General union and intersection

definition $Inter :: i \Rightarrow i$ ($\langle \cap \rightarrow [90] 90 \rangle$)

where $\cap(A) \equiv \{x \in \bigcup(A) . \forall y \in A. x \in y\}$

syntax

- $UNION :: [ptrn, i, i] \Rightarrow i$ ($\langle \exists \bigcup - \in - ./ - \rangle$ 10)

- $INTER :: [ptrn, i, i] \Rightarrow i$ ($\langle \exists \bigcap - \in - ./ - \rangle$ 10)

translations

$\bigcup_{x \in A}. B \equiv CONST Union(\{B. x \in A\})$

$\bigcap_{x \in A}. B \equiv CONST Inter(\{B. x \in A\})$

1.5 Finite sets and binary operations

definition $Upair :: [i, i] \Rightarrow i$

where $Upair(a,b) \equiv \{y. x \in Pow(Pow(0)), (x=0 \wedge y=a) \mid (x=Pow(0) \wedge y=b)\}$

definition $Subset :: [i, i] \Rightarrow o$ (**infixl** $\langle \subseteq \rangle$ 50) — subset relation

where $subset-def: A \subseteq B \equiv \forall x \in A. x \in B$

definition $Diff :: [i, i] \Rightarrow i$ (**infixl** $\langle - \rangle$ 65) — set difference

where $A - B \equiv \{x \in A . \neg(x \in B)\}$

definition $Un :: [i, i] \Rightarrow i$ (**infixl** $\langle \cup \rangle$ 65) — binary union

where $A \cup B \equiv \bigcup(Upair(A,B))$

definition $Int :: [i, i] \Rightarrow i$ (**infixl** $\langle \cap \rangle$ 70) — binary intersection

where $A \cap B \equiv \bigcap(Upair(A,B))$

definition $cons :: [i, i] \Rightarrow i$

where $cons(a,A) \equiv Upair(a,a) \cup A$

definition $succ :: i \Rightarrow i$
 where $succ(i) \equiv cons(i, i)$

nonterminal is

syntax

$:: i \Rightarrow is \langle \langle \cdot \rangle \rangle$
 $-Enum :: [i, is] \Rightarrow is \langle \langle \cdot, / \cdot \rangle \rangle$
 $-Finset :: is \Rightarrow i \langle \langle \{(-)\} \rangle \rangle$

translations

$\{x, xs\} == CONST cons(x, \{xs\})$
 $\{x\} == CONST cons(x, 0)$

1.6 Axioms

axiomatization

where

extension: $A = B \longleftrightarrow A \subseteq B \wedge B \subseteq A$ **and**
Union-iff: $A \in \bigcup(C) \longleftrightarrow (\exists B \in C. A \in B)$ **and**
Pow-iff: $A \in Pow(B) \longleftrightarrow A \subseteq B$ **and**

infinity: $0 \in Inf \wedge (\forall y \in Inf. succ(y) \in Inf)$ **and**

foundation: $A = 0 \vee (\exists x \in A. \forall y \in x. y \notin A)$ **and**

replacement: $(\forall x \in A. \forall y z. P(x,y) \wedge P(x,z) \longrightarrow y = z) \implies$
 $b \in PrimReplace(A,P) \longleftrightarrow (\exists x \in A. P(x,b))$

1.7 Definite descriptions – via Replace over the set "1"

definition $The :: (i \Rightarrow o) \Rightarrow i$ (**binder** $\langle THE \rangle$ 10)
 where *the-def*: $The(P) \equiv \bigcup(\{y . x \in \{0\}, P(y)\})$

definition $If :: [o, i, i] \Rightarrow i$ ($\langle if (-) / then (-) / else (-) \rangle$ $[10] 10$)
 where *if-def*: $if P then a else b \equiv THE z. P \wedge z=a \mid \neg P \wedge z=b$

abbreviation (*input*)

old-if $:: [o, i, i] \Rightarrow i$ ($\langle if '(-,-,-)' \rangle$)
 where $if(P,a,b) \equiv If(P,a,b)$

1.8 Ordered Pairing

definition $Pair :: [i, i] \Rightarrow i$
 where $Pair(a,b) \equiv \{\{a,a\}, \{a,b\}\}$

definition $fst :: i \Rightarrow i$

where $\text{fst}(p) \equiv \text{THE } a. \exists b. p = \text{Pair}(a, b)$

definition $\text{snd} :: i \Rightarrow i$

where $\text{snd}(p) \equiv \text{THE } b. \exists a. p = \text{Pair}(a, b)$

definition $\text{split} :: [[i, i] \Rightarrow 'a, i] \Rightarrow 'a::\{\}$ — for pattern-matching

where $\text{split}(c) \equiv \lambda p. c(\text{fst}(p), \text{snd}(p))$

nonterminal patterns

syntax

$\text{-pattern} :: \text{patterns} \Rightarrow \text{pttrn} \quad (\langle\langle-\rangle\rangle)$

$:: \text{pttrn} \Rightarrow \text{patterns} \quad (\langle-\rangle)$

$\text{-patterns} :: [\text{pttrn}, \text{patterns}] \Rightarrow \text{patterns} \quad (\langle-,/\rangle)$

$\text{-Tuple} :: [i, \text{is}] \Rightarrow i \quad (\langle\langle(-,/-)\rangle\rangle)$

translations

$\langle x, y, z \rangle == \langle x, \langle y, z \rangle \rangle$

$\langle x, y \rangle == \text{CONST Pair}(x, y)$

$\lambda\langle x, y, zs \rangle. b == \text{CONST split}(\lambda x \langle y, zs \rangle. b)$

$\lambda\langle x, y \rangle. b == \text{CONST split}(\lambda x y. b)$

definition $\text{Sigma} :: [i, i \Rightarrow i] \Rightarrow i$

where $\text{Sigma}(A, B) \equiv \bigcup_{x \in A}. \bigcup_{y \in B(x)}. \{\langle x, y \rangle\}$

abbreviation $\text{cart-prod} :: [i, i] \Rightarrow i$ (**infixr** $\langle \times \rangle$ 80) — Cartesian product

where $A \times B \equiv \text{Sigma}(A, \lambda-. B)$

1.9 Relations and Functions

definition $\text{converse} :: i \Rightarrow i$

where $\text{converse}(r) \equiv \{z. w \in r, \exists x y. w = \langle x, y \rangle \wedge z = \langle y, x \rangle\}$

definition $\text{domain} :: i \Rightarrow i$

where $\text{domain}(r) \equiv \{x. w \in r, \exists y. w = \langle x, y \rangle\}$

definition $\text{range} :: i \Rightarrow i$

where $\text{range}(r) \equiv \text{domain}(\text{converse}(r))$

definition $\text{field} :: i \Rightarrow i$

where $\text{field}(r) \equiv \text{domain}(r) \cup \text{range}(r)$

definition $\text{relation} :: i \Rightarrow o$ — recognizes sets of pairs

where $\text{relation}(r) \equiv \forall z \in r. \exists x y. z = \langle x, y \rangle$

definition $\text{function} :: i \Rightarrow o$ — recognizes functions; can have non-pairs

where $\text{function}(r) \equiv \forall x y. \langle x, y \rangle \in r \longrightarrow (\forall y'. \langle x, y' \rangle \in r \longrightarrow y = y')$

definition $\text{Image} :: [i, i] \Rightarrow i$ (**infixl** $\langle \langle \rangle \rangle$ 90) — image

where $\text{image-def}: r \langle \langle \rangle \rangle A \equiv \{y \in \text{range}(r). \exists x \in A. \langle x, y \rangle \in r\}$

definition *vimage* :: $[i, i] \Rightarrow i$ (**infixl** $\langle - \text{``} \rangle$ 90) — inverse image
where *vimage-def*: $r - \text{``} A \equiv \text{converse}(r) \text{``} A$

definition *restrict* :: $[i, i] \Rightarrow i$
where *restrict*(r, A) $\equiv \{z \in r. \exists x \in A. \exists y. z = \langle x, y \rangle\}$

definition *Lambda* :: $[i, i \Rightarrow i] \Rightarrow i$
where *lam-def*: $\text{Lambda}(A, b) \equiv \{\langle x, b(x) \rangle. x \in A\}$

definition *apply* :: $[i, i] \Rightarrow i$ (**infixl** $\langle \text{'} \rangle$ 90) — function application
where $f \text{``} a \equiv \bigcup (f \text{``} \{a\})$

definition *Pi* :: $[i, i \Rightarrow i] \Rightarrow i$
where $Pi(A, B) \equiv \{f \in \text{Pow}(\text{Sigma}(A, B)). A \subseteq \text{domain}(f) \wedge \text{function}(f)\}$

abbreviation *function-space* :: $[i, i] \Rightarrow i$ (**infixr** $\langle \text{<->} \rangle$ 60) — function space
where $A \rightarrow B \equiv Pi(A, \lambda \cdot. B)$

syntax

-PROD :: $[pttrn, i, i] \Rightarrow i$ ($\langle (\exists \prod - \in \cdot / -) \rangle$ 10)
-SUM :: $[pttrn, i, i] \Rightarrow i$ ($\langle (\exists \sum - \in \cdot / -) \rangle$ 10)
-lam :: $[pttrn, i, i] \Rightarrow i$ ($\langle (\exists \lambda - \in \cdot / -) \rangle$ 10)

translations

$\prod x \in A. B == \text{CONST } Pi(A, \lambda x. B)$
 $\sum x \in A. B == \text{CONST } \text{Sigma}(A, \lambda x. B)$
 $\lambda x \in A. f == \text{CONST } \text{Lambda}(A, \lambda x. f)$

1.10 ASCII syntax

notation (ASCII)

cart-prod (**infixr** $\langle * \rangle$ 80) **and**
Int (**infixl** $\langle \text{Int} \rangle$ 70) **and**
Un (**infixl** $\langle \text{Un} \rangle$ 65) **and**
function-space (**infixr** $\langle \text{<->} \rangle$ 60) **and**
Subset (**infixl** $\langle \text{<=>} \rangle$ 50) **and**
mem (**infixl** $\langle : \rangle$ 50) **and**
not-mem (**infixl** $\langle \neg : \rangle$ 50)

syntax (ASCII)

-Ball :: $[pttrn, i, o] \Rightarrow o$ ($\langle (\exists \text{ALL} - : \cdot / -) \rangle$ 10)
-Bex :: $[pttrn, i, o] \Rightarrow o$ ($\langle (\exists \text{EX} - : \cdot / -) \rangle$ 10)

-Collect :: [pttrn, i, o] ⇒ i (⟨(1{- / -})⟩)
 -Replace :: [pttrn, pttrn, i, o] ⇒ i (⟨(1{- / - : -, -})⟩)
 -RepFun :: [i, pttrn, i] ⇒ i (⟨(1{- / - : -})⟩ [51,0,51])
 -UNION :: [pttrn, i, i] ⇒ i (⟨(3UN :-./ -)⟩ 10)
 -INTER :: [pttrn, i, i] ⇒ i (⟨(3INT :-./ -)⟩ 10)
 -PROD :: [pttrn, i, i] ⇒ i (⟨(3PROD :-./ -)⟩ 10)
 -SUM :: [pttrn, i, i] ⇒ i (⟨(3SUM :-./ -)⟩ 10)
 -lam :: [pttrn, i, i] ⇒ i (⟨(3lam :-./ -)⟩ 10)
 -Tuple :: [i, is] ⇒ i (⟨(-, / -)⟩)
 -pattern :: patterns ⇒ pttrn (⟨(-)⟩)

1.11 Substitution

lemma subst-elim: $\llbracket b \in A; a = b \rrbracket \Longrightarrow a \in A$
 by (erule ssubst, assumption)

1.12 Bounded universal quantifier

lemma ballI [intro!]: $\llbracket \bigwedge x. x \in A \Longrightarrow P(x) \rrbracket \Longrightarrow \forall x \in A. P(x)$
 by (simp add: Ball-def)

lemmas strip = impI allI ballI

lemma bspec [dest?]: $\llbracket \forall x \in A. P(x); x : A \rrbracket \Longrightarrow P(x)$
 by (simp add: Ball-def)

lemma rev-ballE [elim]:
 $\llbracket \forall x \in A. P(x); x \notin A \Longrightarrow Q; P(x) \Longrightarrow Q \rrbracket \Longrightarrow Q$
 by (simp add: Ball-def, blast)

lemma ballE: $\llbracket \forall x \in A. P(x); P(x) \Longrightarrow Q; x \notin A \Longrightarrow Q \rrbracket \Longrightarrow Q$
 by blast

lemma rev-bspec: $\llbracket x : A; \forall x \in A. P(x) \rrbracket \Longrightarrow P(x)$
 by (simp add: Ball-def)

lemma ball-triv [simp]: $(\forall x \in A. P) \longleftrightarrow ((\exists x. x \in A) \longrightarrow P)$
 by (simp add: Ball-def)

lemma ball-cong [cong]:
 $\llbracket A = A'; \bigwedge x. x \in A' \Longrightarrow P(x) \longleftrightarrow P'(x) \rrbracket \Longrightarrow (\forall x \in A. P(x)) \longleftrightarrow (\forall x \in A'. P'(x))$
 by (simp add: Ball-def)

lemma atomize-ball:
 $(\bigwedge x. x \in A \Longrightarrow P(x)) \equiv \text{Trueprop } (\forall x \in A. P(x))$
 by (simp only: Ball-def atomize-all atomize-imp)

lemmas [*symmetric, rulify*] = *atomize-ball*
and [*symmetric, defn*] = *atomize-ball*

1.13 Bounded existential quantifier

lemma *bexI* [*intro*]: $\llbracket P(x); x: A \rrbracket \Longrightarrow \exists x \in A. P(x)$
by (*simp add: Bex-def, blast*)

lemma *rev-bexI*: $\llbracket x \in A; P(x) \rrbracket \Longrightarrow \exists x \in A. P(x)$
by *blast*

lemma *bexCI*: $\llbracket \forall x \in A. \neg P(x) \Longrightarrow P(a); a: A \rrbracket \Longrightarrow \exists x \in A. P(x)$
by *blast*

lemma *bexE* [*elim!*]: $\llbracket \exists x \in A. P(x); \bigwedge x. \llbracket x \in A; P(x) \rrbracket \Longrightarrow Q \rrbracket \Longrightarrow Q$
by (*simp add: Bex-def, blast*)

lemma *bex-triv* [*simp*]: $(\exists x \in A. P) \longleftrightarrow ((\exists x. x \in A) \wedge P)$
by (*simp add: Bex-def*)

lemma *bex-cong* [*cong*]:
 $\llbracket A=A'; \bigwedge x. x \in A' \Longrightarrow P(x) \longleftrightarrow P'(x) \rrbracket$
 $\Longrightarrow (\exists x \in A. P(x)) \longleftrightarrow (\exists x \in A'. P'(x))$
by (*simp add: Bex-def cong: conj-cong*)

1.14 Rules for subsets

lemma *subsetI* [*intro!*]:
 $(\bigwedge x. x \in A \Longrightarrow x \in B) \Longrightarrow A \subseteq B$
by (*simp add: subset-def*)

lemma *subsetD* [*elim*]: $\llbracket A \subseteq B; c \in A \rrbracket \Longrightarrow c \in B$
unfolding *subset-def*
apply (*erule bspec, assumption*)
done

lemma *subsetCE* [*elim*]:
 $\llbracket A \subseteq B; c \notin A \Longrightarrow P; c \in B \Longrightarrow P \rrbracket \Longrightarrow P$
by (*simp add: subset-def, blast*)

lemma *rev-subsetD*: $\llbracket c \in A; A \subseteq B \rrbracket \Longrightarrow c \in B$
by *blast*

lemma *contra-subsetD*: $\llbracket A \subseteq B; c \notin B \rrbracket \Longrightarrow c \notin A$
by *blast*

lemma *rev-contra-subsetD*: $\llbracket c \notin B; A \subseteq B \rrbracket \Longrightarrow c \notin A$
by *blast*

lemma *subset-refl* [*simp*]: $A \subseteq A$
by *blast*

lemma *subset-trans*: $\llbracket A \subseteq B; B \subseteq C \rrbracket \Longrightarrow A \subseteq C$
by *blast*

lemma *subset-iff*:
 $A \subseteq B \longleftrightarrow (\forall x. x \in A \longrightarrow x \in B)$
by *auto*

For calculations

declare *subsetD* [*trans*] *rev-subsetD* [*trans*] *subset-trans* [*trans*]

1.15 Rules for equality

lemma *equalityI* [*intro*]: $\llbracket A \subseteq B; B \subseteq A \rrbracket \Longrightarrow A = B$
by (*rule extension* [*THEN iffD2*], *rule conjI*)

lemma *equality-iffI*: $(\bigwedge x. x \in A \longleftrightarrow x \in B) \Longrightarrow A = B$
by (*rule equalityI*, *blast+*)

lemmas *equalityD1* = *extension* [*THEN iffD1*, *THEN conjunct1*]

lemmas *equalityD2* = *extension* [*THEN iffD1*, *THEN conjunct2*]

lemma *equalityE*: $\llbracket A = B; \llbracket A \subseteq B; B \subseteq A \rrbracket \Longrightarrow P \rrbracket \Longrightarrow P$
by (*blast dest: equalityD1 equalityD2*)

lemma *equalityCE*:
 $\llbracket A = B; \llbracket c \in A; c \in B \rrbracket \Longrightarrow P; \llbracket c \notin A; c \notin B \rrbracket \Longrightarrow P \rrbracket \Longrightarrow P$
by (*erule equalityE*, *blast*)

lemma *equality-iffD*:
 $A = B \Longrightarrow (\bigwedge x. x \in A \longleftrightarrow x \in B)$
by *auto*

1.16 Rules for Replace – the derived form of replacement

lemma *Replace-iff*:
 $b \in \{y. x \in A, P(x,y)\} \longleftrightarrow (\exists x \in A. P(x,b) \wedge (\forall y. P(x,y) \longrightarrow y=b))$
unfolding *Replace-def*
by (*rule replacement* [*THEN iff-trans*], *blast+*)

lemma *ReplaceI* [*intro*]:

$$\llbracket P(x,b); x:A; \bigwedge y. P(x,y) \implies y=b \rrbracket \implies$$

$$b \in \{y. x \in A, P(x,y)\}$$
by (*rule Replace-iff* [*THEN iffD2*], *blast*)

lemma *ReplaceE*:

$$\llbracket b \in \{y. x \in A, P(x,y)\};$$

$$\bigwedge x. \llbracket x:A; P(x,b); \forall y. P(x,y) \longrightarrow y=b \rrbracket \implies R$$

$$\rrbracket \implies R$$
by (*rule Replace-iff* [*THEN iffD1*, *THEN bexE*], *simp+*)

lemma *ReplaceE2* [*elim!*]:

$$\llbracket b \in \{y. x \in A, P(x,y)\};$$

$$\bigwedge x. \llbracket x:A; P(x,b) \rrbracket \implies R$$

$$\rrbracket \implies R$$
by (*erule ReplaceE*, *blast*)

lemma *Replace-cong* [*cong*]:

$$\llbracket A=B; \bigwedge x y. x \in B \implies P(x,y) \longleftrightarrow Q(x,y) \rrbracket \implies \text{Replace}(A,P) = \text{Replace}(B,Q)$$
apply (*rule equality-iffI*)
apply (*simp add: Replace-iff*)
done

1.17 Rules for RepFun

lemma *RepFunI*: $a \in A \implies f(a) \in \{f(x). x \in A\}$
by (*simp add: RepFun-def Replace-iff*, *blast*)

lemma *RepFun-eqI* [*intro*]: $\llbracket b=f(a); a \in A \rrbracket \implies b \in \{f(x). x \in A\}$
by (*blast intro: RepFunI*)

lemma *RepFunE* [*elim!*]:

$$\llbracket b \in \{f(x). x \in A\};$$

$$\bigwedge x. \llbracket x \in A; b=f(x) \rrbracket \implies P \rrbracket \implies$$

$$P$$
by (*simp add: RepFun-def Replace-iff*, *blast*)

lemma *RepFun-cong* [*cong*]:

$$\llbracket A=B; \bigwedge x. x \in B \implies f(x)=g(x) \rrbracket \implies \text{RepFun}(A,f) = \text{RepFun}(B,g)$$
by (*simp add: RepFun-def*)

lemma *RepFun-iff* [*simp*]: $b \in \{f(x). x \in A\} \longleftrightarrow (\exists x \in A. b=f(x))$
by (*unfold Bex-def*, *blast*)

lemma *triv-RepFun* [*simp*]: $\{x. x \in A\} = A$
by *blast*

1.18 Rules for Collect – forming a subset by separation

lemma *separation* [*simp*]: $a \in \{x \in A. P(x)\} \longleftrightarrow a \in A \wedge P(a)$
by (*auto simp: Collect-def*)

lemma *CollectI* [*intro!*]: $\llbracket a \in A; P(a) \rrbracket \Longrightarrow a \in \{x \in A. P(x)\}$
by *simp*

lemma *CollectE* [*elim!*]: $\llbracket a \in \{x \in A. P(x)\}; \llbracket a \in A; P(a) \rrbracket \Longrightarrow R \rrbracket \Longrightarrow R$
by *simp*

lemma *CollectD1*: $a \in \{x \in A. P(x)\} \Longrightarrow a \in A$ **and** *CollectD2*: $a \in \{x \in A. P(x)\} \Longrightarrow P(a)$
by *auto*

lemma *Collect-cong* [*cong*]:
 $\llbracket A=B; \bigwedge x. x \in B \Longrightarrow P(x) \longleftrightarrow Q(x) \rrbracket$
 $\Longrightarrow \text{Collect}(A, \lambda x. P(x)) = \text{Collect}(B, \lambda x. Q(x))$
by (*simp add: Collect-def*)

1.19 Rules for Unions

declare *Union-iff* [*simp*]

lemma *UnionI* [*intro!*]: $\llbracket B: C; A: B \rrbracket \Longrightarrow A: \bigcup(C)$
by *auto*

lemma *UnionE* [*elim!*]: $\llbracket A \in \bigcup(C); \bigwedge B. \llbracket A: B; B: C \rrbracket \Longrightarrow R \rrbracket \Longrightarrow R$
by *auto*

1.20 Rules for Unions of families

lemma *UN-iff* [*simp*]: $b \in (\bigcup x \in A. B(x)) \longleftrightarrow (\exists x \in A. b \in B(x))$
by *blast*

lemma *UN-I*: $\llbracket a: A; b: B(a) \rrbracket \Longrightarrow b: (\bigcup x \in A. B(x))$
by *force*

lemma *UN-E* [*elim!*]:
 $\llbracket b \in (\bigcup x \in A. B(x)); \bigwedge x. \llbracket x: A; b: B(x) \rrbracket \Longrightarrow R \rrbracket \Longrightarrow R$
by *blast*

lemma *UN-cong*:
 $\llbracket A=B; \bigwedge x. x \in B \Longrightarrow C(x)=D(x) \rrbracket \Longrightarrow (\bigcup x \in A. C(x)) = (\bigcup x \in B. D(x))$

by *simp*

1.21 Rules for the empty set

lemma *not-mem-empty* [*simp*]: $a \notin 0$
using *foundation* by (*best dest: equalityD2*)

lemmas *emptyE* [*elim!*] = *not-mem-empty* [*THEN notE*]

lemma *empty-subsetI* [*simp*]: $0 \subseteq A$
by *blast*

lemma *equals0I*: $\llbracket \bigwedge y. y \in A \implies \text{False} \rrbracket \implies A = 0$
by *blast*

lemma *equals0D* [*dest*]: $A = 0 \implies a \notin A$
by *blast*

declare *sym* [*THEN equals0D, dest*]

lemma *not-emptyI*: $a \in A \implies A \neq 0$
by *blast*

lemma *not-emptyE*: $\llbracket A \neq 0; \bigwedge x. x \in A \implies R \rrbracket \implies R$
by *blast*

1.22 Rules for Inter

lemma *Inter-iff*: $A \in \bigcap (C) \longleftrightarrow (\forall x \in C. A : x) \wedge C \neq 0$
by (*force simp: Inter-def*)

lemma *InterI* [*intro!*]:
 $\llbracket \bigwedge x. x : C \implies A : x; C \neq 0 \rrbracket \implies A \in \bigcap (C)$
by (*simp add: Inter-iff*)

lemma *InterD* [*elim, Pure.elim*]: $\llbracket A \in \bigcap (C); B \in C \rrbracket \implies A \in B$
by (*force simp: Inter-def*)

lemma *InterE* [*elim*]:
 $\llbracket A \in \bigcap (C); B \notin C \implies R; A \in B \implies R \rrbracket \implies R$
by (*auto simp: Inter-def*)

1.23 Rules for Intersections of families

lemma *INT-iff*: $b \in (\bigcap x \in A. B(x)) \longleftrightarrow (\forall x \in A. b \in B(x)) \wedge A \neq 0$
by (*force simp add: Inter-def*)

lemma *INT-I*: $\llbracket \bigwedge x. x: A \implies b: B(x); A \neq 0 \rrbracket \implies b: (\bigcap x \in A. B(x))$
by *blast*

lemma *INT-E*: $\llbracket b \in (\bigcap x \in A. B(x)); a: A \rrbracket \implies b \in B(a)$
by *blast*

lemma *INT-cong*:
 $\llbracket A=B; \bigwedge x. x \in B \implies C(x)=D(x) \rrbracket \implies (\bigcap x \in A. C(x)) = (\bigcap x \in B. D(x))$
by *simp*

1.24 Rules for Powersets

lemma *PowI*: $A \subseteq B \implies A \in \text{Pow}(B)$
by (*erule Pow-iff [THEN iffD2]*)

lemma *PowD*: $A \in \text{Pow}(B) \implies A \subseteq B$
by (*erule Pow-iff [THEN iffD1]*)

declare *Pow-iff* [*iff*]

lemmas *Pow-bottom* = *empty-subsetI [THEN PowI]* — $0 \in \text{Pow}(B)$

lemmas *Pow-top* = *subset-refl [THEN PowI]* — $A \in \text{Pow}(A)$

1.25 Cantor's Theorem: There is no surjection from a set to its powerset.

lemma *cantor*: $\exists S \in \text{Pow}(A). \forall x \in A. b(x) \neq S$
by (*best elim!*: *equalityCE del: ReplaceI RepFun-eqI*)

end

2 Unordered Pairs

theory *upair*
imports *ZF-Base*
keywords *print-tcset :: diag*
begin

ML-file $\langle \text{Tools/typechk.ML} \rangle$

lemma *atomize-ball* [*symmetric, rulify*]:
 $(\bigwedge x. x \in A \implies P(x)) \equiv \text{Trueprop } (\forall x \in A. P(x))$
by (*simp add: Ball-def atomize-all atomize-imp*)

2.1 Unordered Pairs: constant *Upair*

lemma *Upair-iff* [*simp*]: $c \in \text{Upair}(a,b) \iff (c=a \mid c=b)$
by (*unfold Upair-def, blast*)

lemma *UpairI1*: $a \in \text{Upair}(a,b)$
by *simp*

lemma *UpairI2*: $b \in \text{Upair}(a,b)$
by *simp*

lemma *UpairE*: $\llbracket a \in \text{Upair}(b,c); a=b \implies P; a=c \implies P \rrbracket \implies P$
by (*simp, blast*)

2.2 Rules for Binary Union, Defined via *Upair*

lemma *Un-iff* [*simp*]: $c \in A \cup B \longleftrightarrow (c \in A \mid c \in B)$
apply (*simp add: Un-def*)
apply (*blast intro: UpairI1 UpairI2 elim: UpairE*)
done

lemma *UnI1*: $c \in A \implies c \in A \cup B$
by *simp*

lemma *UnI2*: $c \in B \implies c \in A \cup B$
by *simp*

declare *UnI1* [*elim?*] *UnI2* [*elim?*]

lemma *UnE* [*elim!*]: $\llbracket c \in A \cup B; c \in A \implies P; c \in B \implies P \rrbracket \implies P$
by (*simp, blast*)

lemma *UnE'*: $\llbracket c \in A \cup B; c \in A \implies P; \llbracket c \in B; c \notin A \rrbracket \implies P \rrbracket \implies P$
by (*simp, blast*)

lemma *UnCI* [*intro!*]: $(c \notin B \implies c \in A) \implies c \in A \cup B$
by (*simp, blast*)

2.3 Rules for Binary Intersection, Defined via *Upair*

lemma *Int-iff* [*simp*]: $c \in A \cap B \longleftrightarrow (c \in A \wedge c \in B)$
unfolding *Int-def*
apply (*blast intro: UpairI1 UpairI2 elim: UpairE*)
done

lemma *IntI* [*intro!*]: $\llbracket c \in A; c \in B \rrbracket \implies c \in A \cap B$
by *simp*

lemma *IntD1*: $c \in A \cap B \implies c \in A$
by *simp*

lemma *IntD2*: $c \in A \cap B \implies c \in B$

by *simp*

lemma *IntE* [*elim!*]: $\llbracket c \in A \cap B; \llbracket c \in A; c \in B \rrbracket \Longrightarrow P \rrbracket \Longrightarrow P$
by *simp*

2.4 Rules for Set Difference, Defined via *Upair*

lemma *Diff-iff* [*simp*]: $c \in A - B \longleftrightarrow (c \in A \wedge c \notin B)$
by (*unfold Diff-def, blast*)

lemma *DiffI* [*intro!*]: $\llbracket c \in A; c \notin B \rrbracket \Longrightarrow c \in A - B$
by *simp*

lemma *DiffD1*: $c \in A - B \Longrightarrow c \in A$
by *simp*

lemma *DiffD2*: $c \in A - B \Longrightarrow c \notin B$
by *simp*

lemma *DiffE* [*elim!*]: $\llbracket c \in A - B; \llbracket c \in A; c \notin B \rrbracket \Longrightarrow P \rrbracket \Longrightarrow P$
by *simp*

2.5 Rules for *cons*

lemma *cons-iff* [*simp*]: $a \in \text{cons}(b, A) \longleftrightarrow (a=b \mid a \in A)$
 unfolding *cons-def*
apply (*blast intro: UpairI1 UpairI2 elim: UpairE*)
done

lemma *consI1* [*simp, TC*]: $a \in \text{cons}(a, B)$
by *simp*

lemma *consI2*: $a \in B \Longrightarrow a \in \text{cons}(b, B)$
by *simp*

lemma *consE* [*elim!*]: $\llbracket a \in \text{cons}(b, A); a=b \Longrightarrow P; a \in A \Longrightarrow P \rrbracket \Longrightarrow P$
by (*simp, blast*)

lemma *consE'*:
 $\llbracket a \in \text{cons}(b, A); a=b \Longrightarrow P; \llbracket a \in A; a \neq b \rrbracket \Longrightarrow P \rrbracket \Longrightarrow P$
by (*simp, blast*)

lemma *consCI* [*intro!*]: $(a \notin B \Longrightarrow a=b) \Longrightarrow a \in \text{cons}(b, B)$
by (*simp, blast*)

lemma *cons-not-0* [*simp*]: $\text{cons}(a, B) \neq 0$

by (*blast elim: equalityE*)

lemmas *cons-neq-0 = cons-not-0* [*THEN notE*]

declare *cons-not-0* [*THEN not-sym, simp*]

2.6 Singletons

lemma *singleton-iff*: $a \in \{b\} \longleftrightarrow a=b$
by *simp*

lemma *singletonI* [*intro!*]: $a \in \{a\}$
by (*rule consI1*)

lemmas *singletonE = singleton-iff* [*THEN iffD1, elim-format, elim!*]

2.7 Descriptions

lemma *the-equality* [*intro*]:
 $\llbracket P(a); \bigwedge x. P(x) \implies x=a \rrbracket \implies (THE\ x.\ P(x)) = a$
 unfolding *the-def*
 apply (*fast dest: subst*)
done

lemma *the-equality2*: $\llbracket \exists!x. P(x); P(a) \rrbracket \implies (THE\ x.\ P(x)) = a$
by *blast*

lemma *theI*: $\exists!x. P(x) \implies P(THE\ x.\ P(x))$
apply (*erule ex1E*)
apply (*subst the-equality*)
apply (*blast+*)
done

lemma *the-0*: $\neg (\exists!x. P(x)) \implies (THE\ x.\ P(x))=0$
 unfolding *the-def*
 apply (*blast elim!: ReplaceE*)
done

lemma *theI2*:
 assumes *p1*: $\neg Q(0) \implies \exists!x. P(x)$
 and *p2*: $\bigwedge x. P(x) \implies Q(x)$
 shows $Q(THE\ x.\ P(x))$
 apply (*rule classical*)
 apply (*rule p2*)
 apply (*rule theI*)

apply (*rule classical*)
apply (*rule p1*)
apply (*erule the-0 [THEN subst], assumption*)
done

lemma *the-eq-trivial* [*simp*]: (*THE x. x = a*) = *a*
by *blast*

lemma *the-eq-trivial2* [*simp*]: (*THE x. a = x*) = *a*
by *blast*

2.8 Conditional Terms: *if-then-else*

lemma *if-true* [*simp*]: (*if True then a else b*) = *a*
by (*unfold if-def, blast*)

lemma *if-false* [*simp*]: (*if False then a else b*) = *b*
by (*unfold if-def, blast*)

lemma *if-cong*:

$$\llbracket P \longleftrightarrow Q; Q \Longrightarrow a=c; \neg Q \Longrightarrow b=d \rrbracket$$

$$\Longrightarrow (\text{if } P \text{ then } a \text{ else } b) = (\text{if } Q \text{ then } c \text{ else } d)$$
by (*simp add: if-def cong add: conj-cong*)

lemma *if-weak-cong*: $P \longleftrightarrow Q \Longrightarrow (\text{if } P \text{ then } x \text{ else } y) = (\text{if } Q \text{ then } x \text{ else } y)$
by *simp*

lemma *if-P*: $P \Longrightarrow (\text{if } P \text{ then } a \text{ else } b) = a$
by (*unfold if-def, blast*)

lemma *if-not-P*: $\neg P \Longrightarrow (\text{if } P \text{ then } a \text{ else } b) = b$
by (*unfold if-def, blast*)

lemma *split-if* [*split*]:

$$P(\text{if } Q \text{ then } x \text{ else } y) \longleftrightarrow ((Q \longrightarrow P(x)) \wedge (\neg Q \longrightarrow P(y)))$$
by (*case-tac Q, simp-all*)

lemmas *split-if-eq1* = *split-if* [*of* $\lambda x. x = b$] **for** *b*
lemmas *split-if-eq2* = *split-if* [*of* $\lambda x. a = x$] **for** *a*

lemmas *split-if-mem1* = *split-if* [*of* $\lambda x. x \in b$] **for** *b*
lemmas *split-if-mem2* = *split-if* [*of* $\lambda x. a \in x$] **for** *a*

lemmas *split-ifs* = *split-if-eq1 split-if-eq2 split-if-mem1 split-if-mem2*

lemma *if-iff*: $a: (if\ P\ then\ x\ else\ y) \longleftrightarrow P \wedge a \in x \mid \neg P \wedge a \in y$
by *simp*

lemma *if-type* [TC]:
 $\llbracket P \implies a \in A; \neg P \implies b \in A \rrbracket \implies (if\ P\ then\ a\ else\ b): A$
by *simp*

lemma *split-if-asm*: $P(if\ Q\ then\ x\ else\ y) \longleftrightarrow (\neg((Q \wedge \neg P(x)) \mid (\neg Q \wedge \neg P(y))))$
by *simp*

lemmas *if-splits* = *split-if split-if-asm*

2.9 Consequences of Foundation

lemma *mem-asym*: $\llbracket a \in b; \neg P \implies b \in a \rrbracket \implies P$
apply (*rule classical*)
apply (*rule-tac A1 = {a,b} in foundation [THEN disjE]*)
apply (*blast elim!: equalityE*)
done

lemma *mem-irrefl*: $a \in a \implies P$
by (*blast intro: mem-asym*)

lemma *mem-not-refl*: $a \notin a$
apply (*rule notI*)
apply (*erule mem-irrefl*)
done

lemma *mem-imp-not-eq*: $a \in A \implies a \neq A$
by (*blast elim!: mem-irrefl*)

lemma *eq-imp-not-mem*: $a=A \implies a \notin A$
by (*blast intro: elim: mem-irrefl*)

2.10 Rules for Successor

lemma *succ-iff*: $i \in succ(j) \longleftrightarrow i=j \mid i \in j$
by (*unfold succ-def, blast*)

lemma *succI1* [*simp*]: $i \in succ(i)$
by (*simp add: succ-iff*)

lemma succI2: $i \in j \implies i \in \text{succ}(j)$

by (*simp add: succ-iff*)

lemma succE [*elim!*]:

$\llbracket i \in \text{succ}(j); i=j \implies P; i \in j \implies P \rrbracket \implies P$

apply (*simp add: succ-iff, blast*)

done

lemma succCI [*intro!*]: $(i \notin j \implies i=j) \implies i \in \text{succ}(j)$

by (*simp add: succ-iff, blast*)

lemma succ-not-0 [*simp*]: $\text{succ}(n) \neq 0$

by (*blast elim!: equalityE*)

lemmas succ-neq-0 = succ-not-0 [*THEN notE, elim!*]

declare succ-not-0 [*THEN not-sym, simp*]

declare sym [*THEN succ-neq-0, elim!*]

lemmas succ-subsetD = succI1 [*THEN [2] subsetD*]

lemmas succ-neq-self = succI1 [*THEN mem-imp-not-eq, THEN not-sym*]

lemma succ-inject-iff [*simp*]: $\text{succ}(m) = \text{succ}(n) \longleftrightarrow m=n$

by (*blast elim: mem-asym elim!: equalityE*)

lemmas succ-inject = succ-inject-iff [*THEN iffD1, dest!*]

2.11 Miniscoping of the Bounded Universal Quantifier

lemma ball-simps1:

$(\forall x \in A. P(x) \wedge Q) \longleftrightarrow (\forall x \in A. P(x)) \wedge (A=0 \mid Q)$

$(\forall x \in A. P(x) \mid Q) \longleftrightarrow ((\forall x \in A. P(x)) \mid Q)$

$(\forall x \in A. P(x) \longrightarrow Q) \longleftrightarrow ((\exists x \in A. P(x)) \longrightarrow Q)$

$(\neg(\forall x \in A. P(x))) \longleftrightarrow (\exists x \in A. \neg P(x))$

$(\forall x \in 0. P(x)) \longleftrightarrow \text{True}$

$(\forall x \in \text{succ}(i). P(x)) \longleftrightarrow P(i) \wedge (\forall x \in i. P(x))$

$(\forall x \in \text{cons}(a, B). P(x)) \longleftrightarrow P(a) \wedge (\forall x \in B. P(x))$

$(\forall x \in \text{RepFun}(A, f). P(x)) \longleftrightarrow (\forall y \in A. P(f(y)))$

$(\forall x \in \bigcup(A). P(x)) \longleftrightarrow (\forall y \in A. \forall x \in y. P(x))$

by *blast+*

lemma ball-simps2:

$(\forall x \in A. P \wedge Q(x)) \longleftrightarrow (A=0 \mid P) \wedge (\forall x \in A. Q(x))$

$(\forall x \in A. P \mid Q(x)) \longleftrightarrow (P \mid (\forall x \in A. Q(x)))$

$(\forall x \in A. P \longrightarrow Q(x)) \longleftrightarrow (P \longrightarrow (\forall x \in A. Q(x)))$
by *blast+*

lemma *ball-simps3*:

$(\forall x \in \text{Collect}(A, Q). P(x)) \longleftrightarrow (\forall x \in A. Q(x) \longrightarrow P(x))$
by *blast+*

lemmas *ball-simps* [*simp*] = *ball-simps1 ball-simps2 ball-simps3*

lemma *ball-conj-distrib*:

$(\forall x \in A. P(x) \wedge Q(x)) \longleftrightarrow ((\forall x \in A. P(x)) \wedge (\forall x \in A. Q(x)))$
by *blast*

2.12 Miniscoping of the Bounded Existential Quantifier

lemma *bex-simps1*:

$(\exists x \in A. P(x) \wedge Q) \longleftrightarrow ((\exists x \in A. P(x)) \wedge Q)$
 $(\exists x \in A. P(x) \mid Q) \longleftrightarrow (\exists x \in A. P(x)) \mid (A \neq 0 \wedge Q)$
 $(\exists x \in A. P(x) \longrightarrow Q) \longleftrightarrow ((\forall x \in A. P(x)) \longrightarrow (A \neq 0 \wedge Q))$
 $(\exists x \in 0. P(x)) \longleftrightarrow \text{False}$
 $(\exists x \in \text{succ}(i). P(x)) \longleftrightarrow P(i) \mid (\exists x \in i. P(x))$
 $(\exists x \in \text{cons}(a, B). P(x)) \longleftrightarrow P(a) \mid (\exists x \in B. P(x))$
 $(\exists x \in \text{RepFun}(A, f). P(x)) \longleftrightarrow (\exists y \in A. P(f(y)))$
 $(\exists x \in \bigcup(A). P(x)) \longleftrightarrow (\exists y \in A. \exists x \in y. P(x))$
 $(\neg(\exists x \in A. P(x))) \longleftrightarrow (\forall x \in A. \neg P(x))$

by *blast+*

lemma *bex-simps2*:

$(\exists x \in A. P \wedge Q(x)) \longleftrightarrow (P \wedge (\exists x \in A. Q(x)))$
 $(\exists x \in A. P \mid Q(x)) \longleftrightarrow (A \neq 0 \wedge P) \mid (\exists x \in A. Q(x))$
 $(\exists x \in A. P \longrightarrow Q(x)) \longleftrightarrow ((A = 0 \mid P) \longrightarrow (\exists x \in A. Q(x)))$

by *blast+*

lemma *bex-simps3*:

$(\exists x \in \text{Collect}(A, Q). P(x)) \longleftrightarrow (\exists x \in A. Q(x) \wedge P(x))$
by *blast*

lemmas *bex-simps* [*simp*] = *bex-simps1 bex-simps2 bex-simps3*

lemma *bex-disj-distrib*:

$(\exists x \in A. P(x) \mid Q(x)) \longleftrightarrow ((\exists x \in A. P(x)) \mid (\exists x \in A. Q(x)))$
by *blast*

lemma *bex-triv-one-point1* [*simp*]: $(\exists x \in A. x = a) \longleftrightarrow (a \in A)$

by *blast*

lemma *bex-triv-one-point2* [simp]: $(\exists x \in A. a=x) \longleftrightarrow (a \in A)$
by *blast*

lemma *bex-one-point1* [simp]: $(\exists x \in A. x=a \wedge P(x)) \longleftrightarrow (a \in A \wedge P(a))$
by *blast*

lemma *bex-one-point2* [simp]: $(\exists x \in A. a=x \wedge P(x)) \longleftrightarrow (a \in A \wedge P(a))$
by *blast*

lemma *ball-one-point1* [simp]: $(\forall x \in A. x=a \longrightarrow P(x)) \longleftrightarrow (a \in A \longrightarrow P(a))$
by *blast*

lemma *ball-one-point2* [simp]: $(\forall x \in A. a=x \longrightarrow P(x)) \longleftrightarrow (a \in A \longrightarrow P(a))$
by *blast*

2.13 Miniscoping of the Replacement Operator

These cover both *Replace* and *Collect*

lemma *Rep-simps* [simp]:
 $\{x. y \in 0, R(x,y)\} = 0$
 $\{x \in 0. P(x)\} = 0$
 $\{x \in A. Q\} = (\text{if } Q \text{ then } A \text{ else } 0)$
 $\text{RepFun}(0,f) = 0$
 $\text{RepFun}(\text{succ}(i),f) = \text{cons}(f(i), \text{RepFun}(i,f))$
 $\text{RepFun}(\text{cons}(a,B),f) = \text{cons}(f(a), \text{RepFun}(B,f))$
by (*simp-all*, *blast+*)

2.14 Miniscoping of Unions

lemma *UN-simps1*:
 $(\bigcup x \in C. \text{cons}(a, B(x))) = (\text{if } C=0 \text{ then } 0 \text{ else } \text{cons}(a, \bigcup x \in C. B(x)))$
 $(\bigcup x \in C. A(x) \cup B') = (\text{if } C=0 \text{ then } 0 \text{ else } (\bigcup x \in C. A(x)) \cup B')$
 $(\bigcup x \in C. A' \cup B(x)) = (\text{if } C=0 \text{ then } 0 \text{ else } A' \cup (\bigcup x \in C. B(x)))$
 $(\bigcup x \in C. A(x) \cap B') = ((\bigcup x \in C. A(x)) \cap B')$
 $(\bigcup x \in C. A' \cap B(x)) = (A' \cap (\bigcup x \in C. B(x)))$
 $(\bigcup x \in C. A(x) - B') = ((\bigcup x \in C. A(x)) - B')$
 $(\bigcup x \in C. A' - B(x)) = (\text{if } C=0 \text{ then } 0 \text{ else } A' - (\bigcap x \in C. B(x)))$
apply (*simp-all add: Inter-def*)
apply (*blast intro!: equalityI*)
done

lemma *UN-simps2*:
 $(\bigcup x \in \bigcup(A). B(x)) = (\bigcup y \in A. \bigcup x \in y. B(x))$
 $(\bigcup z \in (\bigcup x \in A. B(x)). C(z)) = (\bigcup x \in A. \bigcup z \in B(x). C(z))$
 $(\bigcup x \in \text{RepFun}(A,f). B(x)) = (\bigcup a \in A. B(f(a)))$
by *blast+*

lemmas *UN-simps* [simp] = *UN-simps1 UN-simps2*

Opposite of miniscoping: pull the operator out

lemma *UN-extend-simps1*:

$$\begin{aligned} (\bigcup_{x \in C}. A(x)) \cup B &= (\text{if } C=0 \text{ then } B \text{ else } (\bigcup_{x \in C}. A(x) \cup B)) \\ ((\bigcup_{x \in C}. A(x)) \cap B) &= (\bigcup_{x \in C}. A(x) \cap B) \\ ((\bigcup_{x \in C}. A(x)) - B) &= (\bigcup_{x \in C}. A(x) - B) \end{aligned}$$

apply *simp-all*

apply *blast+*

done

lemma *UN-extend-simps2*:

$$\begin{aligned} \text{cons}(a, \bigcup_{x \in C}. B(x)) &= (\text{if } C=0 \text{ then } \{a\} \text{ else } (\bigcup_{x \in C}. \text{cons}(a, B(x)))) \\ A \cup (\bigcup_{x \in C}. B(x)) &= (\text{if } C=0 \text{ then } A \text{ else } (\bigcup_{x \in C}. A \cup B(x))) \\ (A \cap (\bigcup_{x \in C}. B(x))) &= (\bigcup_{x \in C}. A \cap B(x)) \\ A - (\bigcap_{x \in C}. B(x)) &= (\text{if } C=0 \text{ then } A \text{ else } (\bigcup_{x \in C}. A - B(x))) \\ (\bigcup_{y \in A}. \bigcup_{x \in y}. B(x)) &= (\bigcup_{x \in \bigcup(A)}. B(x)) \\ (\bigcup_{a \in A}. B(f(a))) &= (\bigcup_{x \in \text{RepFun}(A, f)}. B(x)) \end{aligned}$$

apply (*simp-all add: Inter-def*)

apply (*blast intro!: equalityI*)**+**

done

lemma *UN-UN-extend*:

$$(\bigcup_{x \in A}. \bigcup_{z \in B(x)}. C(z)) = (\bigcup_{z \in (\bigcup_{x \in A}. B(x))}. C(z))$$

by *blast*

lemmas *UN-extend-simps = UN-extend-simps1 UN-extend-simps2 UN-UN-extend*

2.15 Miniscoping of Intersections

lemma *INT-simps1*:

$$\begin{aligned} (\bigcap_{x \in C}. A(x)) \cap B &= (\bigcap_{x \in C}. A(x)) \cap B \\ (\bigcap_{x \in C}. A(x) - B) &= (\bigcap_{x \in C}. A(x)) - B \\ (\bigcap_{x \in C}. A(x) \cup B) &= (\text{if } C=0 \text{ then } 0 \text{ else } (\bigcap_{x \in C}. A(x)) \cup B) \end{aligned}$$

by (*simp-all add: Inter-def, blast+*)

lemma *INT-simps2*:

$$\begin{aligned} (\bigcap_{x \in C}. A \cap B(x)) &= A \cap (\bigcap_{x \in C}. B(x)) \\ (\bigcap_{x \in C}. A - B(x)) &= (\text{if } C=0 \text{ then } 0 \text{ else } A - (\bigcup_{x \in C}. B(x))) \\ (\bigcap_{x \in C}. \text{cons}(a, B(x))) &= (\text{if } C=0 \text{ then } 0 \text{ else } \text{cons}(a, \bigcap_{x \in C}. B(x))) \\ (\bigcap_{x \in C}. A \cup B(x)) &= (\text{if } C=0 \text{ then } 0 \text{ else } A \cup (\bigcap_{x \in C}. B(x))) \end{aligned}$$

apply (*simp-all add: Inter-def*)

apply (*blast intro!: equalityI*)**+**

done

lemmas *INT-simps [simp] = INT-simps1 INT-simps2*

Opposite of miniscoping: pull the operator out

lemma *INT-extend-simps1*:

$$\begin{aligned} (\bigcap_{x \in C}. A(x)) \cap B &= (\bigcap_{x \in C}. A(x) \cap B) \\ (\bigcap_{x \in C}. A(x) - B) &= (\bigcap_{x \in C}. A(x) - B) \end{aligned}$$

$(\bigcap x \in C. A(x)) \cup B = (\text{if } C=0 \text{ then } B \text{ else } (\bigcap x \in C. A(x) \cup B))$
apply (*simp-all add: Inter-def, blast+*)
done

lemma *INT-extend-simps2*:

$A \cap (\bigcap x \in C. B(x)) = (\bigcap x \in C. A \cap B(x))$
 $A - (\bigcup x \in C. B(x)) = (\text{if } C=0 \text{ then } A \text{ else } (\bigcap x \in C. A - B(x)))$
 $\text{cons}(a, \bigcap x \in C. B(x)) = (\text{if } C=0 \text{ then } \{a\} \text{ else } (\bigcap x \in C. \text{cons}(a, B(x))))$
 $A \cup (\bigcap x \in C. B(x)) = (\text{if } C=0 \text{ then } A \text{ else } (\bigcap x \in C. A \cup B(x)))$

apply (*simp-all add: Inter-def*)

apply (*blast intro!: equalityI*)**+**

done

lemmas *INT-extend-simps = INT-extend-simps1 INT-extend-simps2*

2.16 Other simprules

lemma *misc-simps* [*simp*]:

$0 \cup A = A$
 $A \cup 0 = A$
 $0 \cap A = 0$
 $A \cap 0 = 0$
 $0 - A = 0$
 $A - 0 = A$
 $\bigcup (0) = 0$
 $\bigcup (\text{cons}(b,A)) = b \cup \bigcup (A)$
 $\bigcap (\{b\}) = b$

by *blast+*

end

3 Ordered Pairs

theory *pair* **imports** *upair*

begin

ML-file $\langle \text{simpdata.ML} \rangle$

setup \langle

map-theory-simpset

(Simplifier.set-mksimps (fn ctxt => map mk-eq o ZF-atomize o Variable.gen-all ctxt))

#> Simplifier.add-cong @{\thm if-weak-cong}

\rangle

ML $\langle \text{val ZF-ss} = \text{simpset-of } \mathbf{context} \rangle$

simproc-setup *defined-Bex* $(\exists x \in A. P(x) \wedge Q(x)) = \langle$

K (Quantifier1.rearrange-Bex (fn ctxt => unfold-tac ctxt @{\thms Bex-def}))

>

simproc-setup *defined-Ball* ($\forall x \in A. P(x) \longrightarrow Q(x) = \langle$
 K (*Quantifier1.rearrange-Ball* (*fn ctxt* \Rightarrow *unfold-tac ctxt* @{*thms Ball-def*}))
 \rangle

lemma *singleton-eq-iff* [*iff*]: $\{a\} = \{b\} \longleftrightarrow a=b$
by (*rule extension* [*THEN iff-trans*], *blast*)

lemma *doubleton-eq-iff*: $\{a,b\} = \{c,d\} \longleftrightarrow (a=c \wedge b=d) \mid (a=d \wedge b=c)$
by (*rule extension* [*THEN iff-trans*], *blast*)

lemma *Pair-iff* [*simp*]: $\langle a,b \rangle = \langle c,d \rangle \longleftrightarrow a=c \wedge b=d$
by (*simp add: Pair-def doubleton-eq-iff*, *blast*)

lemmas *Pair-inject* = *Pair-iff* [*THEN iffD1*, *THEN conjE*, *elim!*]

lemmas *Pair-inject1* = *Pair-iff* [*THEN iffD1*, *THEN conjunct1*]

lemmas *Pair-inject2* = *Pair-iff* [*THEN iffD1*, *THEN conjunct2*]

lemma *Pair-not-0*: $\langle a,b \rangle \neq 0$
 unfolding *Pair-def*
apply (*blast elim: equalityE*)
done

lemmas *Pair-neq-0* = *Pair-not-0* [*THEN notE*, *elim!*]

declare *sym* [*THEN Pair-neq-0*, *elim!*]

lemma *Pair-neq-fst*: $\langle a,b \rangle = a \Longrightarrow P$
proof (*unfold Pair-def*)
 assume *eq*: $\{\{a, a\}, \{a, b\}\} = a$
 have $\{a, a\} \in \{\{a, a\}, \{a, b\}\}$ **by** (*rule consI1*)
 hence $\{a, a\} \in a$ **by** (*simp add: eq*)
 moreover **have** $a \in \{a, a\}$ **by** (*rule consI1*)
 ultimately show *P* **by** (*rule mem-asym*)
qed

lemma *Pair-neq-snd*: $\langle a,b \rangle = b \Longrightarrow P$
proof (*unfold Pair-def*)
 assume *eq*: $\{\{a, a\}, \{a, b\}\} = b$
 have $\{a, b\} \in \{\{a, a\}, \{a, b\}\}$ **by** *blast*
 hence $\{a, b\} \in b$ **by** (*simp add: eq*)
 moreover **have** $b \in \{a, b\}$ **by** *blast*
 ultimately show *P* **by** (*rule mem-asym*)
qed

3.1 Sigma: Disjoint Union of a Family of Sets

Generalizes Cartesian product

lemma *Sigma-iff* [*simp*]: $\langle a, b \rangle : \text{Sigma}(A, B) \longleftrightarrow a \in A \wedge b \in B(a)$
by (*simp add: Sigma-def*)

lemma *SigmaI* [*TC, intro!*]: $\llbracket a \in A; b \in B(a) \rrbracket \Longrightarrow \langle a, b \rangle \in \text{Sigma}(A, B)$
by *simp*

lemmas *SigmaD1* = *Sigma-iff* [*THEN iffD1, THEN conjunct1*]

lemmas *SigmaD2* = *Sigma-iff* [*THEN iffD1, THEN conjunct2*]

lemma *SigmaE* [*elim!*]:
 $\llbracket c \in \text{Sigma}(A, B);$
 $\bigwedge x y. \llbracket x \in A; y \in B(x); c = \langle x, y \rangle \rrbracket \Longrightarrow P$
 $\rrbracket \Longrightarrow P$
by (*unfold Sigma-def, blast*)

lemma *SigmaE2* [*elim!*]:
 $\llbracket \langle a, b \rangle \in \text{Sigma}(A, B);$
 $\llbracket a \in A; b \in B(a) \rrbracket \Longrightarrow P$
 $\rrbracket \Longrightarrow P$
by (*unfold Sigma-def, blast*)

lemma *Sigma-cong*:
 $\llbracket A = A'; \bigwedge x. x \in A' \Longrightarrow B(x) = B'(x) \rrbracket \Longrightarrow$
 $\text{Sigma}(A, B) = \text{Sigma}(A', B')$
by (*simp add: Sigma-def*)

lemma *Sigma-empty1* [*simp*]: $\text{Sigma}(0, B) = 0$
by *blast*

lemma *Sigma-empty2* [*simp*]: $A * 0 = 0$
by *blast*

lemma *Sigma-empty-iff*: $A * B = 0 \longleftrightarrow A = 0 \mid B = 0$
by *blast*

3.2 Projections *fst* and *snd*

lemma *fst-conv* [*simp*]: $\text{fst}(\langle a, b \rangle) = a$
by (*simp add: fst-def*)

lemma *snd-conv* [*simp*]: $\text{snd}(\langle a, b \rangle) = b$
by (*simp add: snd-def*)

lemma *fst-type* [TC]: $p \in \text{Sigma}(A,B) \implies \text{fst}(p) \in A$
by *auto*

lemma *snd-type* [TC]: $p \in \text{Sigma}(A,B) \implies \text{snd}(p) \in B(\text{fst}(p))$
by *auto*

lemma *Pair-fst-snd-eq*: $a \in \text{Sigma}(A,B) \implies \langle \text{fst}(a), \text{snd}(a) \rangle = a$
by *auto*

3.3 The Eliminator, *split*

lemma *split* [simp]: $\text{split}(\lambda x y. c(x,y), \langle a,b \rangle) \equiv c(a,b)$
by (*simp add: split-def*)

lemma *split-type* [TC]:
 $\llbracket p \in \text{Sigma}(A,B);$
 $\quad \bigwedge x y. \llbracket x \in A; y \in B(x) \rrbracket \implies c(x,y):C(\langle x,y \rangle)$
 $\rrbracket \implies \text{split}(\lambda x y. c(x,y), p) \in C(p)$
by (*erule SigmaE, auto*)

lemma *expand-split*:
 $u \in A*B \implies$
 $R(\text{split}(c,u)) \longleftrightarrow (\forall x \in A. \forall y \in B. u = \langle x,y \rangle \longrightarrow R(c(x,y)))$
by (*auto simp add: split-def*)

3.4 A version of *split* for Formulae: Result Type *o*

lemma *splitI*: $R(a,b) \implies \text{split}(R, \langle a,b \rangle)$
by (*simp add: split-def*)

lemma *splitE*:
 $\llbracket \text{split}(R,z); z \in \text{Sigma}(A,B);$
 $\quad \bigwedge x y. \llbracket z = \langle x,y \rangle; R(x,y) \rrbracket \implies P$
 $\rrbracket \implies P$
by (*auto simp add: split-def*)

lemma *splitD*: $\text{split}(R, \langle a,b \rangle) \implies R(a,b)$
by (*simp add: split-def*)

Complex rules for Sigma.

lemma *split-paired-Bex-Sigma* [simp]:
 $(\exists z \in \text{Sigma}(A,B). P(z)) \longleftrightarrow (\exists x \in A. \exists y \in B(x). P(\langle x,y \rangle))$
by *blast*

lemma *split-paired-Ball-Sigma* [simp]:
 $(\forall z \in \text{Sigma}(A,B). P(z)) \longleftrightarrow (\forall x \in A. \forall y \in B(x). P(\langle x,y \rangle))$
by *blast*

end

4 Basic Equalities and Inclusions

theory equalities imports pair begin

These cover union, intersection, converse, domain, range, etc. Philippe de Groote proved many of the inclusions.

lemma in-mono: $A \subseteq B \implies x \in A \longrightarrow x \in B$
by *blast*

lemma the-eq-0 [*simp*]: $(\text{THE } x. \text{False}) = 0$
by (*blast intro: the-0*)

4.1 Bounded Quantifiers

The following are not added to the default simpset because (a) they duplicate the body and (b) there are no similar rules for *Int*.

lemma ball-Un: $(\forall x \in A \cup B. P(x)) \longleftrightarrow (\forall x \in A. P(x)) \wedge (\forall x \in B. P(x))$
by *blast*

lemma bex-Un: $(\exists x \in A \cup B. P(x)) \longleftrightarrow (\exists x \in A. P(x)) \vee (\exists x \in B. P(x))$
by *blast*

lemma ball-UN: $(\forall z \in (\bigcup x \in A. B(x)). P(z)) \longleftrightarrow (\forall x \in A. \forall z \in B(x). P(z))$
by *blast*

lemma bex-UN: $(\exists z \in (\bigcup x \in A. B(x)). P(z)) \longleftrightarrow (\exists x \in A. \exists z \in B(x). P(z))$
by *blast*

4.2 Converse of a Relation

lemma converse-iff [*simp*]: $\langle a, b \rangle \in \text{converse}(r) \longleftrightarrow \langle b, a \rangle \in r$
by (*unfold converse-def, blast*)

lemma converseI [*intro!*]: $\langle a, b \rangle \in r \implies \langle b, a \rangle \in \text{converse}(r)$
by (*unfold converse-def, blast*)

lemma converseD: $\langle a, b \rangle \in \text{converse}(r) \implies \langle b, a \rangle \in r$
by (*unfold converse-def, blast*)

lemma converseE [*elim!*]:

$$\begin{aligned} & \llbracket yx \in \text{converse}(r); \\ & \quad \wedge x y. \llbracket yx = \langle y, x \rangle; \langle x, y \rangle \in r \rrbracket \implies P \rrbracket \\ & \implies P \end{aligned}$$

by (*unfold converse-def, blast*)

lemma converse-converse: $r \subseteq \text{Sigma}(A, B) \implies \text{converse}(\text{converse}(r)) = r$
by *blast*

lemma *converse-type*: $r \subseteq A * B \implies \text{converse}(r) \subseteq B * A$
by *blast*

lemma *converse-prod* [*simp*]: $\text{converse}(A * B) = B * A$
by *blast*

lemma *converse-empty* [*simp*]: $\text{converse}(0) = 0$
by *blast*

lemma *converse-subset-iff*:
 $A \subseteq \text{Sigma}(X, Y) \implies \text{converse}(A) \subseteq \text{converse}(B) \longleftrightarrow A \subseteq B$
by *blast*

4.3 Finite Set Constructions Using *cons*

lemma *cons-subsetI*: $\llbracket a \in C; B \subseteq C \rrbracket \implies \text{cons}(a, B) \subseteq C$
by *blast*

lemma *subset-consI*: $B \subseteq \text{cons}(a, B)$
by *blast*

lemma *cons-subset-iff* [*iff*]: $\text{cons}(a, B) \subseteq C \longleftrightarrow a \in C \wedge B \subseteq C$
by *blast*

lemmas *cons-subsetE* = *cons-subset-iff* [*THEN iffD1, THEN conjE*]

lemma *subset-empty-iff*: $A \subseteq 0 \longleftrightarrow A = 0$
by *blast*

lemma *subset-cons-iff*: $C \subseteq \text{cons}(a, B) \longleftrightarrow C \subseteq B \mid (a \in C \wedge C - \{a\} \subseteq B)$
by *blast*

lemma *cons-eq*: $\{a\} \cup B = \text{cons}(a, B)$
by *blast*

lemma *cons-commute*: $\text{cons}(a, \text{cons}(b, C)) = \text{cons}(b, \text{cons}(a, C))$
by *blast*

lemma *cons-absorb*: $a: B \implies \text{cons}(a, B) = B$
by *blast*

lemma *cons-Diff*: $a: B \implies \text{cons}(a, B - \{a\}) = B$
by *blast*

lemma *Diff-cons-eq*: $\text{cons}(a, B) - C = (\text{if } a \in C \text{ then } B - C \text{ else } \text{cons}(a, B - C))$
by *auto*

lemma *equal-singleton*: $\llbracket a: C; \bigwedge y. y \in C \implies y=b \rrbracket \implies C = \{b\}$
by *blast*

lemma [*simp*]: $\text{cons}(a, \text{cons}(a, B)) = \text{cons}(a, B)$
by *blast*

lemma *singleton-subsetI*: $a \in C \implies \{a\} \subseteq C$
by *blast*

lemma *singleton-subsetD*: $\{a\} \subseteq C \implies a \in C$
by *blast*

lemma *subset-succI*: $i \subseteq \text{succ}(i)$
by *blast*

lemma *succ-subsetI*: $\llbracket i \in j; i \subseteq j \rrbracket \implies \text{succ}(i) \subseteq j$
by (*unfold succ-def, blast*)

lemma *succ-subsetE*:
 $\llbracket \text{succ}(i) \subseteq j; \llbracket i \in j; i \subseteq j \rrbracket \implies P \rrbracket \implies P$
by (*unfold succ-def, blast*)

lemma *succ-subset-iff*: $\text{succ}(a) \subseteq B \longleftrightarrow (a \subseteq B \wedge a \in B)$
by (*unfold succ-def, blast*)

4.4 Binary Intersection

lemma *Int-subset-iff*: $C \subseteq A \cap B \longleftrightarrow C \subseteq A \wedge C \subseteq B$
by *blast*

lemma *Int-lower1*: $A \cap B \subseteq A$
by *blast*

lemma *Int-lower2*: $A \cap B \subseteq B$
by *blast*

lemma *Int-greatest*: $\llbracket C \subseteq A; C \subseteq B \rrbracket \implies C \subseteq A \cap B$
by *blast*

lemma *Int-cons*: $\text{cons}(a, B) \cap C \subseteq \text{cons}(a, B \cap C)$
by *blast*

lemma *Int-absorb* [*simp*]: $A \cap A = A$

by *blast*

lemma *Int-left-absorb*: $A \cap (A \cap B) = A \cap B$
by *blast*

lemma *Int-commute*: $A \cap B = B \cap A$
by *blast*

lemma *Int-left-commute*: $A \cap (B \cap C) = B \cap (A \cap C)$
by *blast*

lemma *Int-assoc*: $(A \cap B) \cap C = A \cap (B \cap C)$
by *blast*

lemmas *Int-ac= Int-assoc Int-left-absorb Int-commute Int-left-commute*

lemma *Int-absorb1*: $B \subseteq A \implies A \cap B = B$
by *blast*

lemma *Int-absorb2*: $A \subseteq B \implies A \cap B = A$
by *blast*

lemma *Int-Un-distrib*: $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$
by *blast*

lemma *Int-Un-distrib2*: $(B \cup C) \cap A = (B \cap A) \cup (C \cap A)$
by *blast*

lemma *subset-Int-iff*: $A \subseteq B \iff A \cap B = A$
by (*blast elim!*: *equalityE*)

lemma *subset-Int-iff2*: $A \subseteq B \iff B \cap A = A$
by (*blast elim!*: *equalityE*)

lemma *Int-Diff-eq*: $C \subseteq A \implies (A - B) \cap C = C - B$
by *blast*

lemma *Int-cons-left*:
 $\text{cons}(a, A) \cap B = (\text{if } a \in B \text{ then } \text{cons}(a, A \cap B) \text{ else } A \cap B)$
by *auto*

lemma *Int-cons-right*:
 $A \cap \text{cons}(a, B) = (\text{if } a \in A \text{ then } \text{cons}(a, A \cap B) \text{ else } A \cap B)$
by *auto*

lemma *cons-Int-distrib*: $\text{cons}(x, A \cap B) = \text{cons}(x, A) \cap \text{cons}(x, B)$
by *auto*

4.5 Binary Union

lemma *Un-subset-iff*: $A \cup B \subseteq C \longleftrightarrow A \subseteq C \wedge B \subseteq C$
by *blast*

lemma *Un-upper1*: $A \subseteq A \cup B$
by *blast*

lemma *Un-upper2*: $B \subseteq A \cup B$
by *blast*

lemma *Un-least*: $\llbracket A \subseteq C; B \subseteq C \rrbracket \Longrightarrow A \cup B \subseteq C$
by *blast*

lemma *Un-cons*: $\text{cons}(a, B) \cup C = \text{cons}(a, B \cup C)$
by *blast*

lemma *Un-absorb [simp]*: $A \cup A = A$
by *blast*

lemma *Un-left-absorb*: $A \cup (A \cup B) = A \cup B$
by *blast*

lemma *Un-commute*: $A \cup B = B \cup A$
by *blast*

lemma *Un-left-commute*: $A \cup (B \cup C) = B \cup (A \cup C)$
by *blast*

lemma *Un-assoc*: $(A \cup B) \cup C = A \cup (B \cup C)$
by *blast*

lemmas *Un-ac = Un-assoc Un-left-absorb Un-commute Un-left-commute*

lemma *Un-absorb1*: $A \subseteq B \Longrightarrow A \cup B = B$
by *blast*

lemma *Un-absorb2*: $B \subseteq A \Longrightarrow A \cup B = A$
by *blast*

lemma *Un-Int-distrib*: $(A \cap B) \cup C = (A \cup C) \cap (B \cup C)$
by *blast*

lemma *subset-Un-iff*: $A \subseteq B \longleftrightarrow A \cup B = B$
by (*blast elim!*: *equalityE*)

lemma *subset-Un-iff2*: $A \subseteq B \longleftrightarrow B \cup A = B$
by (*blast elim!*: *equalityE*)

lemma *Un-empty* [iff]: $(A \cup B = 0) \longleftrightarrow (A = 0 \wedge B = 0)$
by *blast*

lemma *Un-eq-Union*: $A \cup B = \bigcup(\{A, B\})$
by *blast*

4.6 Set Difference

lemma *Diff-subset*: $A - B \subseteq A$
by *blast*

lemma *Diff-contains*: $\llbracket C \subseteq A; C \cap B = 0 \rrbracket \implies C \subseteq A - B$
by *blast*

lemma *subset-Diff-cons-iff*: $B \subseteq A - \text{cons}(c, C) \longleftrightarrow B \subseteq A - C \wedge c \notin B$
by *blast*

lemma *Diff-cancel*: $A - A = 0$
by *blast*

lemma *Diff-triv*: $A \cap B = 0 \implies A - B = A$
by *blast*

lemma *empty-Diff* [simp]: $0 - A = 0$
by *blast*

lemma *Diff-0* [simp]: $A - 0 = A$
by *blast*

lemma *Diff-eq-0-iff*: $A - B = 0 \longleftrightarrow A \subseteq B$
by (*blast elim: equalityE*)

lemma *Diff-cons*: $A - \text{cons}(a, B) = A - B - \{a\}$
by *blast*

lemma *Diff-cons2*: $A - \text{cons}(a, B) = A - \{a\} - B$
by *blast*

lemma *Diff-disjoint*: $A \cap (B - A) = 0$
by *blast*

lemma *Diff-partition*: $A \subseteq B \implies A \cup (B - A) = B$
by *blast*

lemma *subset-Un-Diff*: $A \subseteq B \cup (A - B)$
by *blast*

lemma *double-complement*: $\llbracket A \subseteq B; B \subseteq C \rrbracket \implies B - (C - A) = A$
by *blast*

lemma *double-complement-Un*: $(A \cup B) - (B - A) = A$
by *blast*

lemma *Un-Int-crazy*:
 $(A \cap B) \cup (B \cap C) \cup (C \cap A) = (A \cup B) \cap (B \cup C) \cap (C \cup A)$
apply *blast*
done

lemma *Diff-Un*: $A - (B \cup C) = (A - B) \cap (A - C)$
by *blast*

lemma *Diff-Int*: $A - (B \cap C) = (A - B) \cup (A - C)$
by *blast*

lemma *Un-Diff*: $(A \cup B) - C = (A - C) \cup (B - C)$
by *blast*

lemma *Int-Diff*: $(A \cap B) - C = A \cap (B - C)$
by *blast*

lemma *Diff-Int-distrib*: $C \cap (A - B) = (C \cap A) - (C \cap B)$
by *blast*

lemma *Diff-Int-distrib2*: $(A - B) \cap C = (A \cap C) - (B \cap C)$
by *blast*

lemma *Un-Int-assoc-iff*: $(A \cap B) \cup C = A \cap (B \cup C) \iff C \subseteq A$
by (*blast elim!*: *equalityE*)

4.7 Big Union and Intersection

lemma *Union-subset-iff*: $\bigcup(A) \subseteq C \iff (\forall x \in A. x \subseteq C)$
by *blast*

lemma *Union-upper*: $B \in A \implies B \subseteq \bigcup(A)$
by *blast*

lemma *Union-least*: $\llbracket \bigwedge x. x \in A \implies x \subseteq C \rrbracket \implies \bigcup(A) \subseteq C$
by *blast*

lemma *Union-cons* [*simp*]: $\bigcup(\text{cons}(a, B)) = a \cup \bigcup(B)$
by *blast*

lemma *Union-Un-distrib*: $\bigcup(A \cup B) = \bigcup(A) \cup \bigcup(B)$
by *blast*

lemma *Union-Int-subset*: $\bigcup(A \cap B) \subseteq \bigcup(A) \cap \bigcup(B)$
by *blast*

lemma *Union-disjoint*: $\bigcup(C) \cap A = 0 \longleftrightarrow (\forall B \in C. B \cap A = 0)$
by (*blast elim!*; *equalityE*)

lemma *Union-empty-iff*: $\bigcup(A) = 0 \longleftrightarrow (\forall B \in A. B = 0)$
by *blast*

lemma *Int-Union2*: $\bigcup(B) \cap A = (\bigcup C \in B. C \cap A)$
by *blast*

lemma *Inter-subset-iff*: $A \neq 0 \implies C \subseteq \bigcap(A) \longleftrightarrow (\forall x \in A. C \subseteq x)$
by *blast*

lemma *Inter-lower*: $B \in A \implies \bigcap(A) \subseteq B$
by *blast*

lemma *Inter-greatest*: $\llbracket A \neq 0; \bigwedge x. x \in A \implies C \subseteq x \rrbracket \implies C \subseteq \bigcap(A)$
by *blast*

lemma *INT-lower*: $x \in A \implies (\bigcap x \in A. B(x)) \subseteq B(x)$
by *blast*

lemma *INT-greatest*: $\llbracket A \neq 0; \bigwedge x. x \in A \implies C \subseteq B(x) \rrbracket \implies C \subseteq (\bigcap x \in A. B(x))$
by *force*

lemma *Inter-0 [simp]*: $\bigcap(0) = 0$
by (*unfold Inter-def*, *blast*)

lemma *Inter-Un-subset*:
 $\llbracket z \in A; z \in B \rrbracket \implies \bigcap(A) \cup \bigcap(B) \subseteq \bigcap(A \cap B)$
by *blast*

lemma *Inter-Un-distrib*:
 $\llbracket A \neq 0; B \neq 0 \rrbracket \implies \bigcap(A \cup B) = \bigcap(A) \cap \bigcap(B)$
by *blast*

lemma *Union-singleton*: $\bigcup(\{b\}) = b$
by *blast*

lemma *Inter-singleton*: $\bigcap(\{b\}) = b$
by *blast*

lemma *Inter-cons* [*simp*]:

$$\bigcap (\text{cons}(a, B)) = (\text{if } B=0 \text{ then } a \text{ else } a \cap \bigcap (B))$$

by *force*

4.8 Unions and Intersections of Families

lemma *subset-UN-iff-eq*: $A \subseteq (\bigcup i \in I. B(i)) \longleftrightarrow A = (\bigcup i \in I. A \cap B(i))$

by (*blast elim!*: *equalityE*)

lemma *UN-subset-iff*: $(\bigcup x \in A. B(x)) \subseteq C \longleftrightarrow (\forall x \in A. B(x) \subseteq C)$

by *blast*

lemma *UN-upper*: $x \in A \implies B(x) \subseteq (\bigcup x \in A. B(x))$

by (*erule RepFunI* [*THEN Union-upper*])

lemma *UN-least*: $\llbracket \bigwedge x. x \in A \implies B(x) \subseteq C \rrbracket \implies (\bigcup x \in A. B(x)) \subseteq C$

by *blast*

lemma *Union-eq-UN*: $\bigcup (A) = (\bigcup x \in A. x)$

by *blast*

lemma *Inter-eq-INT*: $\bigcap (A) = (\bigcap x \in A. x)$

by (*unfold Inter-def*, *blast*)

lemma *UN-0* [*simp*]: $(\bigcup i \in 0. A(i)) = 0$

by *blast*

lemma *UN-singleton*: $(\bigcup x \in A. \{x\}) = A$

by *blast*

lemma *UN-Un*: $(\bigcup i \in A \cup B. C(i)) = (\bigcup i \in A. C(i)) \cup (\bigcup i \in B. C(i))$

by *blast*

lemma *INT-Un*: $(\bigcap i \in I \cup J. A(i)) =$

(*if* $I=0$ *then* $\bigcap j \in J. A(j)$)

else if $J=0$ *then* $\bigcap i \in I. A(i)$)

else $((\bigcap i \in I. A(i)) \cap (\bigcap j \in J. A(j)))$)

by (*simp*, *blast intro!*: *equalityI*)

lemma *UN-UN-flatten*: $(\bigcup x \in (\bigcup y \in A. B(y)). C(x)) = (\bigcup y \in A. \bigcup x \in B(y). C(x))$

by *blast*

lemma *Int-UN-distrib*: $B \cap (\bigcup i \in I. A(i)) = (\bigcup i \in I. B \cap A(i))$

by *blast*

lemma *Un-INT-distrib*: $I \neq 0 \implies B \cup (\bigcap i \in I. A(i)) = (\bigcap i \in I. B \cup A(i))$

by *auto*

lemma *Int-UN-distrib2*:

$$(\bigcup_{i \in I}. A(i)) \cap (\bigcup_{j \in J}. B(j)) = (\bigcup_{i \in I}. \bigcup_{j \in J}. A(i) \cap B(j))$$

by *blast*

lemma *Un-INT-distrib2*: $\llbracket I \neq 0; J \neq 0 \rrbracket \implies$

$$(\bigcap_{i \in I}. A(i)) \cup (\bigcap_{j \in J}. B(j)) = (\bigcap_{i \in I}. \bigcap_{j \in J}. A(i) \cup B(j))$$

by *auto*

lemma *UN-constant* [*simp*]: $(\bigcup_{y \in A}. c) = (\text{if } A=0 \text{ then } 0 \text{ else } c)$

by *force*

lemma *INT-constant* [*simp*]: $(\bigcap_{y \in A}. c) = (\text{if } A=0 \text{ then } 0 \text{ else } c)$

by *force*

lemma *UN-RepFun* [*simp*]: $(\bigcup_{y \in \text{RepFun}(A,f)}. B(y)) = (\bigcup_{x \in A}. B(f(x)))$

by *blast*

lemma *INT-RepFun* [*simp*]: $(\bigcap_{x \in \text{RepFun}(A,f)}. B(x)) = (\bigcap_{a \in A}. B(f(a)))$

by (*auto simp add: Inter-def*)

lemma *INT-Union-eq*:

$$0 \notin A \implies (\bigcap_{x \in \bigcup(A)}. B(x)) = (\bigcap_{y \in A}. \bigcap_{x \in y}. B(x))$$

apply (*subgoal-tac* $\forall x \in A. x \neq 0$)

prefer 2 **apply** *blast*

apply (*force simp add: Inter-def ball-conj-distrib*)

done

lemma *INT-UN-eq*:

$$(\forall x \in A. B(x) \neq 0)$$

$$\implies (\bigcap_{z \in (\bigcup_{x \in A}. B(x))}. C(z)) = (\bigcap_{x \in A}. \bigcap_{z \in B(x)}. C(z))$$

apply (*subst INT-Union-eq, blast*)

apply (*simp add: Inter-def*)

done

lemma *UN-Un-distrib*:

$$(\bigcup_{i \in I}. A(i) \cup B(i)) = (\bigcup_{i \in I}. A(i)) \cup (\bigcup_{i \in I}. B(i))$$

by *blast*

lemma *INT-Int-distrib*:

$$I \neq 0 \implies (\bigcap_{i \in I}. A(i) \cap B(i)) = (\bigcap_{i \in I}. A(i)) \cap (\bigcap_{i \in I}. B(i))$$

by (*blast elim!: not-emptyE*)

lemma *UN-Int-subset*:

$$(\bigcup_{z \in I \cap J}. A(z)) \subseteq (\bigcup_{z \in I}. A(z)) \cap (\bigcup_{z \in J}. A(z))$$

by *blast*

lemma *Diff-UN*: $I \neq 0 \implies B - (\bigcup_{i \in I}. A(i)) = (\bigcap_{i \in I}. B - A(i))$
by (*blast elim!*: *not-emptyE*)

lemma *Diff-INT*: $I \neq 0 \implies B - (\bigcap_{i \in I}. A(i)) = (\bigcup_{i \in I}. B - A(i))$
by (*blast elim!*: *not-emptyE*)

lemma *Sigma-cons1*: $\text{Sigma}(\text{cons}(a, B), C) = (\{a\} * C(a)) \cup \text{Sigma}(B, C)$
by *blast*

lemma *Sigma-cons2*: $A * \text{cons}(b, B) = A * \{b\} \cup A * B$
by *blast*

lemma *Sigma-succ1*: $\text{Sigma}(\text{succ}(A), B) = (\{A\} * B(A)) \cup \text{Sigma}(A, B)$
by *blast*

lemma *Sigma-succ2*: $A * \text{succ}(B) = A * \{B\} \cup A * B$
by *blast*

lemma *SUM-UN-distrib1*:
 $(\sum x \in (\bigcup y \in A. C(y)). B(x)) = (\bigcup y \in A. \sum x \in C(y). B(x))$
by *blast*

lemma *SUM-UN-distrib2*:
 $(\sum i \in I. \bigcup j \in J. C(i, j)) = (\bigcup j \in J. \sum i \in I. C(i, j))$
by *blast*

lemma *SUM-Un-distrib1*:
 $(\sum i \in I \cup J. C(i)) = (\sum i \in I. C(i)) \cup (\sum j \in J. C(j))$
by *blast*

lemma *SUM-Un-distrib2*:
 $(\sum i \in I. A(i) \cup B(i)) = (\sum i \in I. A(i)) \cup (\sum i \in I. B(i))$
by *blast*

lemma *prod-Un-distrib2*: $I * (A \cup B) = I * A \cup I * B$
by (*rule SUM-Un-distrib2*)

lemma *SUM-Int-distrib1*:
 $(\sum i \in I \cap J. C(i)) = (\sum i \in I. C(i)) \cap (\sum j \in J. C(j))$
by *blast*

lemma *SUM-Int-distrib2*:

$$(\sum_{i \in I}. A(i) \cap B(i)) = (\sum_{i \in I}. A(i)) \cap (\sum_{i \in I}. B(i))$$

by *blast*

lemma *prod-Int-distrib2*: $I * (A \cap B) = I * A \cap I * B$

by (*rule SUM-Int-distrib2*)

lemma *SUM-eq-UN*: $(\sum_{i \in I}. A(i)) = (\bigcup_{i \in I}. \{i\} * A(i))$

by *blast*

lemma *times-subset-iff*:

$$(A' * B' \subseteq A * B) \longleftrightarrow (A' = 0 \mid B' = 0 \mid (A' \subseteq A) \wedge (B' \subseteq B))$$

by *blast*

lemma *Int-Sigma-eq*:

$$(\sum_{x \in A'}. B'(x)) \cap (\sum_{x \in A}. B(x)) = (\sum_{x \in A' \cap A}. B'(x) \cap B(x))$$

by *blast*

lemma *domain-iff*: $a: \text{domain}(r) \longleftrightarrow (\exists y. \langle a, y \rangle \in r)$

by (*unfold domain-def, blast*)

lemma *domainI [intro]*: $\langle a, b \rangle \in r \implies a: \text{domain}(r)$

by (*unfold domain-def, blast*)

lemma *domainE [elim!]*:

$$\llbracket a \in \text{domain}(r); \bigwedge y. \langle a, y \rangle \in r \implies P \rrbracket \implies P$$

by (*unfold domain-def, blast*)

lemma *domain-subset*: $\text{domain}(\text{Sigma}(A, B)) \subseteq A$

by *blast*

lemma *domain-of-prod*: $b \in B \implies \text{domain}(A * B) = A$

by *blast*

lemma *domain-0 [simp]*: $\text{domain}(0) = 0$

by *blast*

lemma *domain-cons [simp]*: $\text{domain}(\text{cons}(\langle a, b \rangle, r)) = \text{cons}(a, \text{domain}(r))$

by *blast*

lemma *domain-Un-eq [simp]*: $\text{domain}(A \cup B) = \text{domain}(A) \cup \text{domain}(B)$

by *blast*

lemma *domain-Int-subset*: $\text{domain}(A \cap B) \subseteq \text{domain}(A) \cap \text{domain}(B)$

by *blast*

lemma *domain-Diff-subset*: $\text{domain}(A) - \text{domain}(B) \subseteq \text{domain}(A - B)$
by *blast*

lemma *domain-UN*: $\text{domain}(\bigcup_{x \in A} B(x)) = (\bigcup_{x \in A} \text{domain}(B(x)))$
by *blast*

lemma *domain-Union*: $\text{domain}(\bigcup(A)) = (\bigcup_{x \in A} \text{domain}(x))$
by *blast*

lemma *rangeI* [*intro*]: $\langle a, b \rangle \in r \implies b \in \text{range}(r)$
 unfolding *range-def*
apply (*erule converseI* [*THEN domainI*])
done

lemma *rangeE* [*elim!*]: $\llbracket b \in \text{range}(r); \bigwedge x. \langle x, b \rangle \in r \implies P \rrbracket \implies P$
by (*unfold range-def, blast*)

lemma *range-subset*: $\text{range}(A * B) \subseteq B$
 unfolding *range-def*
apply (*subst converse-prod*)
apply (*rule domain-subset*)
done

lemma *range-of-prod*: $a \in A \implies \text{range}(A * B) = B$
by *blast*

lemma *range-0* [*simp*]: $\text{range}(0) = 0$
by *blast*

lemma *range-cons* [*simp*]: $\text{range}(\text{cons}(\langle a, b \rangle, r)) = \text{cons}(b, \text{range}(r))$
by *blast*

lemma *range-Un-eq* [*simp*]: $\text{range}(A \cup B) = \text{range}(A) \cup \text{range}(B)$
by *blast*

lemma *range-Int-subset*: $\text{range}(A \cap B) \subseteq \text{range}(A) \cap \text{range}(B)$
by *blast*

lemma *range-Diff-subset*: $\text{range}(A) - \text{range}(B) \subseteq \text{range}(A - B)$
by *blast*

lemma *domain-converse* [*simp*]: $\text{domain}(\text{converse}(r)) = \text{range}(r)$
by *blast*

lemma *range-converse* [*simp*]: $\text{range}(\text{converse}(r)) = \text{domain}(r)$
by *blast*

lemma *fieldI1*: $\langle a, b \rangle \in r \implies a \in \text{field}(r)$
by (*unfold field-def, blast*)

lemma *fieldI2*: $\langle a, b \rangle \in r \implies b \in \text{field}(r)$
by (*unfold field-def, blast*)

lemma *fieldCI* [*intro*]:
 $(\neg \langle c, a \rangle \in r \implies \langle a, b \rangle \in r) \implies a \in \text{field}(r)$
apply (*unfold field-def, blast*)
done

lemma *fieldE* [*elim!*]:
 $\llbracket a \in \text{field}(r);$
 $\bigwedge x. \langle a, x \rangle \in r \implies P;$
 $\bigwedge x. \langle x, a \rangle \in r \implies P \rrbracket \implies P$
by (*unfold field-def, blast*)

lemma *field-subset*: $\text{field}(A*B) \subseteq A \cup B$
by *blast*

lemma *domain-subset-field*: $\text{domain}(r) \subseteq \text{field}(r)$
unfolding *field-def*
apply (*rule Un-upper1*)
done

lemma *range-subset-field*: $\text{range}(r) \subseteq \text{field}(r)$
unfolding *field-def*
apply (*rule Un-upper2*)
done

lemma *domain-times-range*: $r \subseteq \text{Sigma}(A, B) \implies r \subseteq \text{domain}(r)*\text{range}(r)$
by *blast*

lemma *field-times-field*: $r \subseteq \text{Sigma}(A, B) \implies r \subseteq \text{field}(r)*\text{field}(r)$
by *blast*

lemma *relation-field-times-field*: $\text{relation}(r) \implies r \subseteq \text{field}(r)*\text{field}(r)$
by (*simp add: relation-def, blast*)

lemma *field-of-prod*: $\text{field}(A*A) = A$
by *blast*

lemma *field-0* [*simp*]: $\text{field}(0) = 0$

by *blast*

lemma *field-cons* [*simp*]: $\text{field}(\text{cons}(\langle a, b \rangle, r)) = \text{cons}(a, \text{cons}(b, \text{field}(r)))$
by *blast*

lemma *field-Un-eq* [*simp*]: $\text{field}(A \cup B) = \text{field}(A) \cup \text{field}(B)$
by *blast*

lemma *field-Int-subset*: $\text{field}(A \cap B) \subseteq \text{field}(A) \cap \text{field}(B)$
by *blast*

lemma *field-Diff-subset*: $\text{field}(A) - \text{field}(B) \subseteq \text{field}(A - B)$
by *blast*

lemma *field-converse* [*simp*]: $\text{field}(\text{converse}(r)) = \text{field}(r)$
by *blast*

lemma *rel-Union*: $(\forall x \in S. \exists A B. x \subseteq A * B) \implies$
 $\bigcup(S) \subseteq \text{domain}(\bigcup(S)) * \text{range}(\bigcup(S))$
by *blast*

lemma *rel-Un*: $\llbracket r \subseteq A * B; s \subseteq C * D \rrbracket \implies (r \cup s) \subseteq (A \cup C) * (B \cup D)$
by *blast*

lemma *domain-Diff-eq*: $\llbracket \langle a, c \rangle \in r; c \neq b \rrbracket \implies \text{domain}(r - \{\langle a, b \rangle\}) = \text{domain}(r)$
by *blast*

lemma *range-Diff-eq*: $\llbracket \langle c, b \rangle \in r; c \neq a \rrbracket \implies \text{range}(r - \{\langle a, b \rangle\}) = \text{range}(r)$
by *blast*

4.9 Image of a Set under a Function or Relation

lemma *image-iff*: $b \in r''A \iff (\exists x \in A. \langle x, b \rangle \in r)$
by (*unfold image-def*, *blast*)

lemma *image-singleton-iff*: $b \in r''\{a\} \iff \langle a, b \rangle \in r$
by (*rule image-iff* [*THEN iff-trans*], *blast*)

lemma *imageI* [*intro*]: $\llbracket \langle a, b \rangle \in r; a \in A \rrbracket \implies b \in r''A$
by (*unfold image-def*, *blast*)

lemma *imageE* [*elim!*]:
 $\llbracket b \in r''A; \bigwedge x. \llbracket \langle x, b \rangle \in r; x \in A \rrbracket \implies P \rrbracket \implies P$
by (*unfold image-def*, *blast*)

lemma *image-subset*: $r \subseteq A * B \implies r''C \subseteq B$
by *blast*

lemma *image-0* [*simp*]: $r^{-1}0 = 0$

by *blast*

lemma *image-Un* [*simp*]: $r^{-1}(A \cup B) = (r^{-1}A) \cup (r^{-1}B)$

by *blast*

lemma *image-UN*: $r^{-1}(\bigcup_{x \in A}. B(x)) = (\bigcup_{x \in A}. r^{-1}B(x))$

by *blast*

lemma *Collect-image-eq*:

$\{z \in \text{Sigma}(A,B). P(z)\}^{-1}C = (\bigcup_{x \in A}. \{y \in B(x). x \in C \wedge P(\langle x,y \rangle)\})^{-1}C$

by *blast*

lemma *image-Int-subset*: $r^{-1}(A \cap B) \subseteq (r^{-1}A) \cap (r^{-1}B)$

by *blast*

lemma *image-Int-square-subset*: $(r \cap A * A)^{-1}B \subseteq (r^{-1}B) \cap A$

by *blast*

lemma *image-Int-square*: $B \subseteq A \implies (r \cap A * A)^{-1}B = (r^{-1}B) \cap A$

by *blast*

lemma *image-0-left* [*simp*]: $0^{-1}A = 0$

by *blast*

lemma *image-Un-left*: $(r \cup s)^{-1}A = (r^{-1}A) \cup (s^{-1}A)$

by *blast*

lemma *image-Int-subset-left*: $(r \cap s)^{-1}A \subseteq (r^{-1}A) \cap (s^{-1}A)$

by *blast*

4.10 Inverse Image of a Set under a Function or Relation

lemma *vimage-iff*:

$a \in r^{-1}B \iff (\exists y \in B. \langle a,y \rangle \in r)$

by (*unfold vimage-def image-def converse-def*, *blast*)

lemma *vimage-singleton-iff*: $a \in r^{-1}\{b\} \iff \langle a,b \rangle \in r$

by (*rule vimage-iff* [*THEN iff-trans*], *blast*)

lemma *vimageI* [*intro*]: $\llbracket \langle a,b \rangle \in r; b \in B \rrbracket \implies a \in r^{-1}B$

by (*unfold vimage-def*, *blast*)

lemma *vimageE* [*elim!*]:

$\llbracket a \in r^{-1}B; \bigwedge x. \llbracket \langle a,x \rangle \in r; x \in B \rrbracket \implies P \rrbracket \implies P$

apply (*unfold vimage-def*, *blast*)

done

lemma *vimage-subset*: $r \subseteq A*B \implies r-{}^{\prime\prime}C \subseteq A$
unfolding *vimage-def*
apply (*erule converse-type* [THEN *image-subset*])
done

lemma *vimage-0* [*simp*]: $r-{}^{\prime\prime}0 = 0$
by *blast*

lemma *vimage-Un* [*simp*]: $r-{}^{\prime\prime}(A \cup B) = (r-{}^{\prime\prime}A) \cup (r-{}^{\prime\prime}B)$
by *blast*

lemma *vimage-Int-subset*: $r-{}^{\prime\prime}(A \cap B) \subseteq (r-{}^{\prime\prime}A) \cap (r-{}^{\prime\prime}B)$
by *blast*

lemma *vimage-eq-UN*: $f-{}^{\prime\prime}B = (\bigcup_{y \in B}. f-{}^{\prime\prime}\{y\})$
by *blast*

lemma *function-vimage-Int*:
 $function(f) \implies f-{}^{\prime\prime}(A \cap B) = (f-{}^{\prime\prime}A) \cap (f-{}^{\prime\prime}B)$
by (*unfold function-def*, *blast*)

lemma *function-vimage-Diff*: $function(f) \implies f-{}^{\prime\prime}(A-B) = (f-{}^{\prime\prime}A) - (f-{}^{\prime\prime}B)$
by (*unfold function-def*, *blast*)

lemma *function-image-vimage*: $function(f) \implies f-{}^{\prime\prime}(f-{}^{\prime\prime}A) \subseteq A$
by (*unfold function-def*, *blast*)

lemma *vimage-Int-square-subset*: $(r \cap A*A)-{}^{\prime\prime}B \subseteq (r-{}^{\prime\prime}B) \cap A$
by *blast*

lemma *vimage-Int-square*: $B \subseteq A \implies (r \cap A*A)-{}^{\prime\prime}B = (r-{}^{\prime\prime}B) \cap A$
by *blast*

lemma *vimage-0-left* [*simp*]: $0-{}^{\prime\prime}A = 0$
by *blast*

lemma *vimage-Un-left*: $(r \cup s)-{}^{\prime\prime}A = (r-{}^{\prime\prime}A) \cup (s-{}^{\prime\prime}A)$
by *blast*

lemma *vimage-Int-subset-left*: $(r \cap s)-{}^{\prime\prime}A \subseteq (r-{}^{\prime\prime}A) \cap (s-{}^{\prime\prime}A)$
by *blast*

lemma *converse-Un* [*simp*]: $\text{converse}(A \cup B) = \text{converse}(A) \cup \text{converse}(B)$
by *blast*

lemma *converse-Int* [*simp*]: $\text{converse}(A \cap B) = \text{converse}(A) \cap \text{converse}(B)$
by *blast*

lemma *converse-Diff* [*simp*]: $\text{converse}(A - B) = \text{converse}(A) - \text{converse}(B)$
by *blast*

lemma *converse-UN* [*simp*]: $\text{converse}(\bigcup x \in A. B(x)) = (\bigcup x \in A. \text{converse}(B(x)))$
by *blast*

lemma *converse-INT* [*simp*]:
 $\text{converse}(\bigcap x \in A. B(x)) = (\bigcap x \in A. \text{converse}(B(x)))$
apply (*unfold Inter-def, blast*)
done

4.11 Powerset Operator

lemma *Pow-0* [*simp*]: $\text{Pow}(0) = \{0\}$
by *blast*

lemma *Pow-insert*: $\text{Pow}(\text{cons}(a,A)) = \text{Pow}(A) \cup \{\text{cons}(a,X) . X: \text{Pow}(A)\}$
apply (*rule equalityI, safe*)
apply (*erule swap*)
apply (*rule-tac a = x-{a} in RepFun-eqI, auto*)
done

lemma *Un-Pow-subset*: $\text{Pow}(A) \cup \text{Pow}(B) \subseteq \text{Pow}(A \cup B)$
by *blast*

lemma *UN-Pow-subset*: $(\bigcup x \in A. \text{Pow}(B(x))) \subseteq \text{Pow}(\bigcup x \in A. B(x))$
by *blast*

lemma *subset-Pow-Union*: $A \subseteq \text{Pow}(\bigcup(A))$
by *blast*

lemma *Union-Pow-eq* [*simp*]: $\bigcup(\text{Pow}(A)) = A$
by *blast*

lemma *Union-Pow-iff*: $\bigcup(A) \in \text{Pow}(B) \longleftrightarrow A \in \text{Pow}(\text{Pow}(B))$
by *blast*

lemma *Pow-Int-eq* [*simp*]: $\text{Pow}(A \cap B) = \text{Pow}(A) \cap \text{Pow}(B)$
by *blast*

lemma *Pow-INT-eq*: $A \neq 0 \implies \text{Pow}(\bigcap x \in A. B(x)) = (\bigcap x \in A. \text{Pow}(B(x)))$
by (*blast elim!*: *not-emptyE*)

4.12 RepFun

lemma *RepFun-subset*: $\llbracket \bigwedge x. x \in A \implies f(x) \in B \rrbracket \implies \{f(x). x \in A\} \subseteq B$
by *blast*

lemma *RepFun-eq-0-iff* [*simp*]: $\{f(x). x \in A\} = 0 \longleftrightarrow A = 0$
by *blast*

lemma *RepFun-constant* [*simp*]: $\{c. x \in A\} = (\text{if } A = 0 \text{ then } 0 \text{ else } \{c\})$
by *force*

4.13 Collect

lemma *Collect-subset*: $\text{Collect}(A, P) \subseteq A$
by *blast*

lemma *Collect-Un*: $\text{Collect}(A \cup B, P) = \text{Collect}(A, P) \cup \text{Collect}(B, P)$
by *blast*

lemma *Collect-Int*: $\text{Collect}(A \cap B, P) = \text{Collect}(A, P) \cap \text{Collect}(B, P)$
by *blast*

lemma *Collect-Diff*: $\text{Collect}(A - B, P) = \text{Collect}(A, P) - \text{Collect}(B, P)$
by *blast*

lemma *Collect-cons*: $\{x \in \text{cons}(a, B). P(x)\} =$
(if $P(a)$ *then* $\text{cons}(a, \{x \in B. P(x)\})$ *else* $\{x \in B. P(x)\}$ *)*
by (*simp*, *blast*)

lemma *Int-Collect-self-eq*: $A \cap \text{Collect}(A, P) = \text{Collect}(A, P)$
by *blast*

lemma *Collect-Collect-eq* [*simp*]:
 $\text{Collect}(\text{Collect}(A, P), Q) = \text{Collect}(A, \lambda x. P(x) \wedge Q(x))$
by *blast*

lemma *Collect-Int-Collect-eq*:
 $\text{Collect}(A, P) \cap \text{Collect}(A, Q) = \text{Collect}(A, \lambda x. P(x) \wedge Q(x))$
by *blast*

lemma *Collect-Union-eq* [*simp*]:
 $\text{Collect}(\bigcup x \in A. B(x), P) = (\bigcup x \in A. \text{Collect}(B(x), P))$
by *blast*

lemma *Collect-Int-left*: $\{x \in A. P(x)\} \cap B = \{x \in A \cap B. P(x)\}$
by *blast*

lemma *Collect-Int-right*: $A \cap \{x \in B. P(x)\} = \{x \in A \cap B. P(x)\}$
by *blast*

lemma *Collect-disj-eq*: $\{x \in A. P(x) \mid Q(x)\} = \text{Collect}(A, P) \cup \text{Collect}(A, Q)$
by *blast*

lemma *Collect-conj-eq*: $\{x \in A. P(x) \wedge Q(x)\} = \text{Collect}(A, P) \cap \text{Collect}(A, Q)$
by *blast*

lemmas *subset-SIs = subset-refl cons-subsetI subset-consI*
Union-least UN-least Un-least
Inter-greatest Int-greatest RepFun-subset
Un-upper1 Un-upper2 Int-lower1 Int-lower2

ML \langle
val subset-cs =
claset-of (context
delrules [@{thm subsetI}, @{thm subsetCE}]
addSIs @{thms subset-SIs}
addIs [@{thm Union-upper}, @{thm Inter-lower}]
addSEs [@{thm cons-subsetE}]);

val ZF-cs = claset-of (context delrules [@{thm equalityI}]);
 \rangle

end

5 Least and Greatest Fixed Points; the Knaster-Tarski Theorem

theory *Fixedpt imports equalities begin*

definition

bnd-mono :: $[i, i \Rightarrow i] \Rightarrow o$ **where**
bnd-mono(D, h) $\equiv h(D) \leq D \wedge (\forall W X. W \leq X \longrightarrow X \leq D \longrightarrow h(W) \subseteq h(X))$

definition

lfp :: $[i, i \Rightarrow i] \Rightarrow i$ **where**
lfp(D, h) $\equiv \bigcap (\{X: \text{Pow}(D). h(X) \subseteq X\})$

definition

gfp :: $[i, i \Rightarrow i] \Rightarrow i$ **where**
gfp(D, h) $\equiv \bigcup (\{X: \text{Pow}(D). X \subseteq h(X)\})$

The theorem is proved in the lattice of subsets of D , namely $\text{Pow}(D)$, with *Inter* as the greatest lower bound.

5.1 Monotone Operators

lemma *bnd-monoI*:

[[$h(D) \subseteq D$;
 $\bigwedge W X. [W \subseteq D; X \subseteq D; W \subseteq X] \implies h(W) \subseteq h(X)$
]] \implies *bnd-mono*(D, h)
by (*unfold bnd-mono-def, clarify, blast*)

lemma *bnd-monoD1*: *bnd-mono*(D, h) $\implies h(D) \subseteq D$

unfolding *bnd-mono-def*
apply (*erule conjunct1*)
done

lemma *bnd-monoD2*: [[*bnd-mono*(D, h); $W \subseteq X; X \subseteq D$]] $\implies h(W) \subseteq h(X)$

by (*unfold bnd-mono-def, blast*)

lemma *bnd-mono-subset*:

[[*bnd-mono*(D, h); $X \subseteq D$]] $\implies h(X) \subseteq D$
by (*unfold bnd-mono-def, clarify, blast*)

lemma *bnd-mono-Un*:

[[*bnd-mono*(D, h); $A \subseteq D; B \subseteq D$]] $\implies h(A) \cup h(B) \subseteq h(A \cup B)$
unfolding *bnd-mono-def*
apply (*rule Un-least, blast+*)
done

lemma *bnd-mono-UN*:

[[*bnd-mono*(D, h); $\forall i \in I. A(i) \subseteq D$]]
 $\implies (\bigcup i \in I. h(A(i))) \subseteq h(\bigcup i \in I. A(i))$
unfolding *bnd-mono-def*
apply (*rule UN-least*)
apply (*elim conjE*)
apply (*drule-tac x=A(i) in spec*)
apply (*drule-tac x=($\bigcup i \in I. A(i)$) in spec*)
apply *blast*
done

lemma *bnd-mono-Int*:

[[*bnd-mono*(D, h); $A \subseteq D; B \subseteq D$]] $\implies h(A \cap B) \subseteq h(A) \cap h(B)$
apply (*rule Int-greatest*)
apply (*erule bnd-monoD2, rule Int-lower1, assumption*)
apply (*erule bnd-monoD2, rule Int-lower2, assumption*)
done

5.2 Proof of Knaster-Tarski Theorem using *lfp*

lemma *lfp-lowerbound*:

[[$h(A) \subseteq A; A \subseteq D$]] $\implies \text{lfp}(D, h) \subseteq A$

by (*unfold lfp-def, blast*)

lemma *lfp-subset*: $lfp(D,h) \subseteq D$
by (*unfold lfp-def Inter-def, blast*)

lemma *def-lfp-subset*: $A \equiv lfp(D,h) \implies A \subseteq D$
apply *simp*
apply (*rule lfp-subset*)
done

lemma *lfp-greatest*:
 $\llbracket h(D) \subseteq D; \bigwedge X. \llbracket h(X) \subseteq X; X \leq D \rrbracket \implies A \leq X \rrbracket \implies A \subseteq lfp(D,h)$
by (*unfold lfp-def, blast*)

lemma *lfp-lemma1*:
 $\llbracket bnd\text{-}mono(D,h); h(A) \leq A; A \leq D \rrbracket \implies h(lfp(D,h)) \subseteq A$
apply (*erule bnd-monoD2 [THEN subset-trans]*)
apply (*rule lfp-lowerbound, assumption+*)
done

lemma *lfp-lemma2*: $bnd\text{-}mono(D,h) \implies h(lfp(D,h)) \subseteq lfp(D,h)$
apply (*rule bnd-monoD1 [THEN lfp-greatest]*)
apply (*rule-tac [2] lfp-lemma1*)
apply (*assumption+*)
done

lemma *lfp-lemma3*:
 $bnd\text{-}mono(D,h) \implies lfp(D,h) \subseteq h(lfp(D,h))$
apply (*rule lfp-lowerbound*)
apply (*rule bnd-monoD2, assumption*)
apply (*rule lfp-lemma2, assumption*)
apply (*erule-tac [2] bnd-mono-subset*)
apply (*rule lfp-subset+*)
done

lemma *lfp-unfold*: $bnd\text{-}mono(D,h) \implies lfp(D,h) = h(lfp(D,h))$
apply (*rule equalityI*)
apply (*erule lfp-lemma3*)
apply (*erule lfp-lemma2*)
done

lemma *def-lfp-unfold*:
 $\llbracket A \equiv lfp(D,h); bnd\text{-}mono(D,h) \rrbracket \implies A = h(A)$
apply *simp*
apply (*erule lfp-unfold*)
done

5.3 General Induction Rule for Least Fixedpoints

lemma *Collect-is-pre-fixedpt*:

$\llbracket \text{bnd-mono}(D,h); \bigwedge x. x \in h(\text{Collect}(\text{lfp}(D,h),P)) \implies P(x) \rrbracket$
 $\implies h(\text{Collect}(\text{lfp}(D,h),P)) \subseteq \text{Collect}(\text{lfp}(D,h),P)$

by (*blast intro*: *lfp-lemma2* [*THEN subsetD*] *bnd-monoD2* [*THEN subsetD*]
lfp-subset [*THEN subsetD*])

lemma *induct*:

$\llbracket \text{bnd-mono}(D,h); a \in \text{lfp}(D,h);$
 $\bigwedge x. x \in h(\text{Collect}(\text{lfp}(D,h),P)) \implies P(x) \rrbracket$

$\rrbracket \implies P(a)$

apply (*rule Collect-is-pre-fixedpt*

[*THEN lfp-lowerbound*, *THEN subsetD*, *THEN CollectD2*])

apply (*rule-tac* [*3*] *lfp-subset* [*THEN Collect-subset* [*THEN subset-trans*]],
blast+)

done

lemma *def-induct*:

$\llbracket A \equiv \text{lfp}(D,h); \text{bnd-mono}(D,h); a:A;$
 $\bigwedge x. x \in h(\text{Collect}(A,P)) \implies P(x) \rrbracket$

$\rrbracket \implies P(a)$

by (*rule induct*, *blast+*)

lemma *lfp-Int-lowerbound*:

$\llbracket h(D \cap A) \subseteq A; \text{bnd-mono}(D,h) \rrbracket \implies \text{lfp}(D,h) \subseteq A$

apply (*rule lfp-lowerbound* [*THEN subset-trans*])

apply (*erule bnd-mono-subset* [*THEN Int-greatest*], *blast+*)

done

lemma *lfp-mono*:

assumes *hmono*: *bnd-mono*(*D,h*)

and *imono*: *bnd-mono*(*E,i*)

and *subhi*: $\bigwedge X. X \leq D \implies h(X) \subseteq i(X)$

shows $\text{lfp}(D,h) \subseteq \text{lfp}(E,i)$

apply (*rule bnd-monoD1* [*THEN lfp-greatest*])

apply (*rule imono*)

apply (*rule hmono* [*THEN* [*2*] *lfp-Int-lowerbound*])

apply (*rule Int-lower1* [*THEN subhi*, *THEN subset-trans*])

apply (*rule imono* [*THEN bnd-monoD2*, *THEN subset-trans*], *auto*)

done

lemma *lfp-mono2*:

$\llbracket i(D) \subseteq D; \bigwedge X. X \leq D \implies h(X) \subseteq i(X) \rrbracket \implies \text{lfp}(D,h) \subseteq \text{lfp}(D,i)$

apply (*rule lfp-greatest*, *assumption*)

apply (*rule lfp-lowerbound, blast, assumption*)
done

lemma *lfp-cong*:
 $\llbracket D=D'; \bigwedge X. X \subseteq D' \implies h(X) = h'(X) \rrbracket \implies \text{lfp}(D,h) = \text{lfp}(D',h')$
apply (*simp add: lfp-def*)
apply (*rule-tac t=Inter in subst-context*)
apply (*rule Collect-cong, simp-all*)
done

5.4 Proof of Knaster-Tarski Theorem using *gfp*

lemma *gfp-upperbound*: $\llbracket A \subseteq h(A); A \leq D \rrbracket \implies A \subseteq \text{gfp}(D,h)$
unfolding *gfp-def*
apply (*rule PowI [THEN CollectI, THEN Union-upper]*)
apply (*assumption+*)
done

lemma *gfp-subset*: $\text{gfp}(D,h) \subseteq D$
by (*unfold gfp-def, blast*)

lemma *def-gfp-subset*: $A \equiv \text{gfp}(D,h) \implies A \subseteq D$
apply *simp*
apply (*rule gfp-subset*)
done

lemma *gfp-least*:
 $\llbracket \text{bnd-mono}(D,h); \bigwedge X. \llbracket X \subseteq h(X); X \leq D \rrbracket \implies X \leq A \rrbracket \implies$
 $\text{gfp}(D,h) \subseteq A$
unfolding *gfp-def*
apply (*blast dest: bnd-monoD1*)
done

lemma *gfp-lemma1*:
 $\llbracket \text{bnd-mono}(D,h); A \leq h(A); A \leq D \rrbracket \implies A \subseteq h(\text{gfp}(D,h))$
apply (*rule subset-trans, assumption*)
apply (*erule bnd-monoD2*)
apply (*rule-tac [2] gfp-subset*)
apply (*simp add: gfp-upperbound*)
done

lemma *gfp-lemma2*: $\text{bnd-mono}(D,h) \implies \text{gfp}(D,h) \subseteq h(\text{gfp}(D,h))$
apply (*rule gfp-least*)
apply (*rule-tac [2] gfp-lemma1*)
apply (*assumption+*)
done

lemma *gfp-lemma3*:

$bnd\text{-}mono(D,h) \implies h(gfp(D,h)) \subseteq gfp(D,h)$
apply (rule *gfp-upperbound*)
apply (rule *bnd-monoD2*, *assumption*)
apply (rule *gfp-lemma2*, *assumption*)
apply (erule *bnd-mono-subset*, rule *gfp-subset*)
done

lemma *gfp-unfold*: $bnd\text{-}mono(D,h) \implies gfp(D,h) = h(gfp(D,h))$
apply (rule *equalityI*)
apply (erule *gfp-lemma2*)
apply (erule *gfp-lemma3*)
done

lemma *def-gfp-unfold*:
 $\llbracket A \equiv gfp(D,h); bnd\text{-}mono(D,h) \rrbracket \implies A = h(A)$
apply *simp*
apply (erule *gfp-unfold*)
done

5.5 Coinduction Rules for Greatest Fixed Points

lemma *weak-coinduct*: $\llbracket a: X; X \subseteq h(X); X \subseteq D \rrbracket \implies a \in gfp(D,h)$
by (*blast intro: gfp-upperbound [THEN subsetD]*)

lemma *coinduct-lemma*:
 $\llbracket X \subseteq h(X \cup gfp(D,h)); X \subseteq D; bnd\text{-}mono(D,h) \rrbracket \implies$
 $X \cup gfp(D,h) \subseteq h(X \cup gfp(D,h))$
apply (erule *Un-least*)
apply (rule *gfp-lemma2 [THEN subset-trans]*, *assumption*)
apply (rule *Un-upper2 [THEN subset-trans]*)
apply (rule *bnd-mono-Un*, *assumption+*)
apply (rule *gfp-subset*)
done

lemma *coinduct*:
 $\llbracket bnd\text{-}mono(D,h); a: X; X \subseteq h(X \cup gfp(D,h)); X \subseteq D \rrbracket$
 $\implies a \in gfp(D,h)$
apply (rule *weak-coinduct*)
apply (erule-tac [2] *coinduct-lemma*)
apply (*simp-all add: gfp-subset Un-subset-iff*)
done

lemma *def-coinduct*:
 $\llbracket A \equiv gfp(D,h); bnd\text{-}mono(D,h); a: X; X \subseteq h(X \cup A); X \subseteq D \rrbracket \implies$
 $a \in A$
apply *simp*

apply (*rule coinduct, assumption+*)
done

lemma *def-Collect-coinduct*:

$\llbracket A \equiv \text{gfp}(D, \lambda w. \text{Collect}(D, P(w))); \text{bnd-mono}(D, \lambda w. \text{Collect}(D, P(w)));$
 $a: X; X \subseteq D; \bigwedge z. z: X \implies P(X \cup A, z) \rrbracket \implies$
 $a \in A$

apply (*rule def-coinduct, assumption+, blast+*)
done

lemma *gfp-mono*:

$\llbracket \text{bnd-mono}(D, h); D \subseteq E;$
 $\bigwedge X. X \leq D \implies h(X) \subseteq i(X) \rrbracket \implies \text{gfp}(D, h) \subseteq \text{gfp}(E, i)$

apply (*rule gfp-upperbound*)
apply (*rule gfp-lemma2 [THEN subset-trans], assumption*)
apply (*blast del: subsetI intro: gfp-subset*)
apply (*blast del: subsetI intro: subset-trans gfp-subset*)
done

end

6 Booleans in Zermelo-Fraenkel Set Theory

theory *Bool* **imports** *pair* **begin**

abbreviation

one (*<1>*) **where**
 $1 \equiv \text{succ}(0)$

abbreviation

two (*<2>*) **where**
 $2 \equiv \text{succ}(1)$

2 is equal to bool, but is used as a number rather than a type.

definition $\text{bool} \equiv \{0, 1\}$

definition $\text{cond}(b, c, d) \equiv \text{if}(b=1, c, d)$

definition $\text{not}(b) \equiv \text{cond}(b, 0, 1)$

definition

and $:: [i, i] \Rightarrow i$ (**infixl** *<and>* 70) **where**
 $a \text{ and } b \equiv \text{cond}(a, b, 0)$

definition

or $:: [i, i] \Rightarrow i$ (**infixl** *<or>* 65) **where**
 $a \text{ or } b \equiv \text{cond}(a, 1, b)$

definition

xor :: $[i,i] \Rightarrow i$ (**infixl** $\langle xor \rangle$ 65) **where**
 $a xor b \equiv cond(a, not(b), b)$

lemmas $bool-defs = bool-def cond-def$

lemma $singleton-0: \{0\} = 1$
by ($simp$ $add: succ-def$)

lemma $bool-1I$ [$simp, TC$]: $1 \in bool$
by ($simp$ $add: bool-defs$)

lemma $bool-0I$ [$simp, TC$]: $0 \in bool$
by ($simp$ $add: bool-defs$)

lemma $one-not-0: 1 \neq 0$
by ($simp$ $add: bool-defs$)

lemmas $one-neq-0 = one-not-0$ [$THEN notE$]

lemma $boolE$:
 $\llbracket c: bool; c=1 \Rightarrow P; c=0 \Rightarrow P \rrbracket \Rightarrow P$
by ($simp$ $add: bool-defs, blast$)

lemma $cond-1$ [$simp$]: $cond(1, c, d) = c$
by ($simp$ $add: bool-defs$)

lemma $cond-0$ [$simp$]: $cond(0, c, d) = d$
by ($simp$ $add: bool-defs$)

lemma $cond-type$ [TC]: $\llbracket b: bool; c: A(1); d: A(0) \rrbracket \Rightarrow cond(b, c, d): A(b)$
by ($simp$ $add: bool-defs, blast$)

lemma $cond-simple-type$: $\llbracket b: bool; c: A; d: A \rrbracket \Rightarrow cond(b, c, d): A$
by ($simp$ $add: bool-defs$)

lemma $def-cond-1$: $\llbracket \bigwedge b. j(b) \equiv cond(b, c, d) \rrbracket \Rightarrow j(1) = c$
by $simp$

lemma *def-cond-0*: $\llbracket \bigwedge b. j(b) \equiv \text{cond}(b, c, d) \rrbracket \implies j(0) = d$
by *simp*

lemmas *not-1* = *not-def* [*THEN def-cond-1, simp*]
lemmas *not-0* = *not-def* [*THEN def-cond-0, simp*]

lemmas *and-1* = *and-def* [*THEN def-cond-1, simp*]
lemmas *and-0* = *and-def* [*THEN def-cond-0, simp*]

lemmas *or-1* = *or-def* [*THEN def-cond-1, simp*]
lemmas *or-0* = *or-def* [*THEN def-cond-0, simp*]

lemmas *xor-1* = *xor-def* [*THEN def-cond-1, simp*]
lemmas *xor-0* = *xor-def* [*THEN def-cond-0, simp*]

lemma *not-type* [*TC*]: $a: \text{bool} \implies \text{not}(a) \in \text{bool}$
by (*simp add: not-def*)

lemma *and-type* [*TC*]: $\llbracket a: \text{bool}; b: \text{bool} \rrbracket \implies a \text{ and } b \in \text{bool}$
by (*simp add: and-def*)

lemma *or-type* [*TC*]: $\llbracket a: \text{bool}; b: \text{bool} \rrbracket \implies a \text{ or } b \in \text{bool}$
by (*simp add: or-def*)

lemma *xor-type* [*TC*]: $\llbracket a: \text{bool}; b: \text{bool} \rrbracket \implies a \text{ xor } b \in \text{bool}$
by (*simp add: xor-def*)

lemmas *bool-typechecks* = *bool-1I bool-0I cond-type not-type and-type*
or-type xor-type

6.1 Laws About 'not'

lemma *not-not* [*simp*]: $a: \text{bool} \implies \text{not}(\text{not}(a)) = a$
by (*elim boolE, auto*)

lemma *not-and* [*simp*]: $a: \text{bool} \implies \text{not}(a \text{ and } b) = \text{not}(a) \text{ or } \text{not}(b)$
by (*elim boolE, auto*)

lemma *not-or* [*simp*]: $a: \text{bool} \implies \text{not}(a \text{ or } b) = \text{not}(a) \text{ and } \text{not}(b)$
by (*elim boolE, auto*)

6.2 Laws About 'and'

lemma *and-absorb* [*simp*]: $a: \text{bool} \implies a \text{ and } a = a$
by (*elim boolE, auto*)

lemma *and-commute*: $\llbracket a: \text{bool}; b: \text{bool} \rrbracket \implies a \text{ and } b = b \text{ and } a$
by (*elim boolE, auto*)

lemma *and-assoc*: $a: \text{bool} \implies (a \text{ and } b) \text{ and } c = a \text{ and } (b \text{ and } c)$

by (elim boolE, auto)

lemma and-or-distrib: $\llbracket a: \text{bool}; b:\text{bool}; c:\text{bool} \rrbracket \implies$
 $(a \text{ or } b) \text{ and } c = (a \text{ and } c) \text{ or } (b \text{ and } c)$
by (elim boolE, auto)

6.3 Laws About 'or'

lemma or-absorb [simp]: $a: \text{bool} \implies a \text{ or } a = a$
by (elim boolE, auto)

lemma or-commute: $\llbracket a: \text{bool}; b:\text{bool} \rrbracket \implies a \text{ or } b = b \text{ or } a$
by (elim boolE, auto)

lemma or-assoc: $a: \text{bool} \implies (a \text{ or } b) \text{ or } c = a \text{ or } (b \text{ or } c)$
by (elim boolE, auto)

lemma or-and-distrib: $\llbracket a: \text{bool}; b: \text{bool}; c: \text{bool} \rrbracket \implies$
 $(a \text{ and } b) \text{ or } c = (a \text{ or } c) \text{ and } (b \text{ or } c)$
by (elim boolE, auto)

definition

$\text{bool-of-o} :: o \Rightarrow i$ **where**
 $\text{bool-of-o}(P) \equiv (\text{if } P \text{ then } 1 \text{ else } 0)$

lemma [simp]: $\text{bool-of-o}(\text{True}) = 1$
by (simp add: bool-of-o-def)

lemma [simp]: $\text{bool-of-o}(\text{False}) = 0$
by (simp add: bool-of-o-def)

lemma [simp,TC]: $\text{bool-of-o}(P) \in \text{bool}$
by (simp add: bool-of-o-def)

lemma [simp]: $(\text{bool-of-o}(P) = 1) \longleftrightarrow P$
by (simp add: bool-of-o-def)

lemma [simp]: $(\text{bool-of-o}(P) = 0) \longleftrightarrow \neg P$
by (simp add: bool-of-o-def)

end

7 Disjoint Sums

theory Sum imports Bool equalities begin

And the "Part" primitive for simultaneous recursive type definitions

definition sum :: $[i,i] \Rightarrow i$ (**infixr** <+> 65) **where**

$$A+B \equiv \{0\} * A \cup \{1\} * B$$

definition *Inl* :: $i \Rightarrow i$ **where**

$$\text{Inl}(a) \equiv \langle 0, a \rangle$$

definition *Inr* :: $i \Rightarrow i$ **where**

$$\text{Inr}(b) \equiv \langle 1, b \rangle$$

definition *case* :: $[i \Rightarrow i, i \Rightarrow i, i] \Rightarrow i$ **where**

$$\text{case}(c, d) \equiv (\lambda \langle y, z \rangle. \text{cond}(y, d(z), c(z)))$$

definition *Part* :: $[i, i \Rightarrow i] \Rightarrow i$ **where**

$$\text{Part}(A, h) \equiv \{x \in A. \exists z. x = h(z)\}$$

7.1 Rules for the *Part* Primitive

lemma *Part-iff*:

$$a \in \text{Part}(A, h) \iff a \in A \wedge (\exists y. a = h(y))$$

unfolding *Part-def*

apply (*rule separation*)

done

lemma *Part-eqI* [*intro*]:

$$\llbracket a \in A; a = h(b) \rrbracket \implies a \in \text{Part}(A, h)$$

by (*unfold Part-def, blast*)

lemmas *PartI* = *refl* [*THEN* [2] *Part-eqI*]

lemma *PartE* [*elim*]:

$$\llbracket a \in \text{Part}(A, h); \bigwedge z. \llbracket a \in A; a = h(z) \rrbracket \implies P \rrbracket \implies P$$

apply (*unfold Part-def, blast*)

done

lemma *Part-subset*: $\text{Part}(A, h) \subseteq A$

unfolding *Part-def*

apply (*rule Collect-subset*)

done

7.2 Rules for Disjoint Sums

lemmas *sum-defs* = *sum-def Inl-def Inr-def case-def*

lemma *Sigma-bool*: $\text{Sigma}(\text{bool}, C) = C(0) + C(1)$

by (*unfold bool-def sum-def, blast*)

lemma *InlI* [*intro!*, *simp*, *TC*]: $a \in A \implies \text{Inl}(a) \in A+B$

by (*unfold sum-defs, blast*)

lemma *InrI* [*intro!, simp, TC*]: $b \in B \implies \text{Inr}(b) \in A+B$
by (*unfold sum-defs, blast*)

lemma *sumE* [*elim!*]:

$\llbracket u \in A+B;$
 $\bigwedge x. \llbracket x \in A; u = \text{Inl}(x) \rrbracket \implies P;$
 $\bigwedge y. \llbracket y \in B; u = \text{Inr}(y) \rrbracket \implies P$
 $\rrbracket \implies P$
by (*unfold sum-defs, blast*)

lemma *Inl-iff* [*iff*]: $\text{Inl}(a) = \text{Inl}(b) \longleftrightarrow a = b$
by (*simp add: sum-defs*)

lemma *Inr-iff* [*iff*]: $\text{Inr}(a) = \text{Inr}(b) \longleftrightarrow a = b$
by (*simp add: sum-defs*)

lemma *Inl-Inr-iff* [*simp*]: $\text{Inl}(a) = \text{Inr}(b) \longleftrightarrow \text{False}$
by (*simp add: sum-defs*)

lemma *Inr-Inl-iff* [*simp*]: $\text{Inr}(b) = \text{Inl}(a) \longleftrightarrow \text{False}$
by (*simp add: sum-defs*)

lemma *sum-empty* [*simp*]: $0+0 = 0$
by (*simp add: sum-defs*)

lemmas *Inl-inject* = *Inl-iff* [*THEN iffD1*]

lemmas *Inr-inject* = *Inr-iff* [*THEN iffD1*]

lemmas *Inl-neq-Inr* = *Inl-Inr-iff* [*THEN iffD1, THEN FalseE, elim!*]

lemmas *Inr-neq-Inl* = *Inr-Inl-iff* [*THEN iffD1, THEN FalseE, elim!*]

lemma *InlD*: $\text{Inl}(a): A+B \implies a \in A$
by *blast*

lemma *InrD*: $\text{Inr}(b): A+B \implies b \in B$
by *blast*

lemma *sum-iff*: $u \in A+B \longleftrightarrow (\exists x. x \in A \wedge u = \text{Inl}(x)) \mid (\exists y. y \in B \wedge u = \text{Inr}(y))$
by *blast*

lemma *Inl-in-sum-iff* [*simp*]: $(\text{Inl}(x) \in A+B) \longleftrightarrow (x \in A)$

by *auto*

lemma *Inr-in-sum-iff* [*simp*]: $(\text{Inr}(y) \in A+B) \longleftrightarrow (y \in B)$
by *auto*

lemma *sum-subset-iff*: $A+B \subseteq C+D \longleftrightarrow A \leq C \wedge B \leq D$
by *blast*

lemma *sum-equal-iff*: $A+B = C+D \longleftrightarrow A=C \wedge B=D$
by (*simp add: extension sum-subset-iff, blast*)

lemma *sum-eq-2-times*: $A+A = 2*A$
by (*simp add: sum-def, blast*)

7.3 The Eliminator: *case*

lemma *case-Inl* [*simp*]: $\text{case}(c, d, \text{Inl}(a)) = c(a)$
by (*simp add: sum-defs*)

lemma *case-Inr* [*simp*]: $\text{case}(c, d, \text{Inr}(b)) = d(b)$
by (*simp add: sum-defs*)

lemma *case-type* [*TC*]:
 $\llbracket u \in A+B;$
 $\bigwedge x. x \in A \implies c(x): C(\text{Inl}(x));$
 $\bigwedge y. y \in B \implies d(y): C(\text{Inr}(y))$
 $\rrbracket \implies \text{case}(c,d,u) \in C(u)$
by *auto*

lemma *expand-case*: $u \in A+B \implies$
 $R(\text{case}(c,d,u)) \longleftrightarrow$
 $((\forall x \in A. u = \text{Inl}(x) \longrightarrow R(c(x))) \wedge$
 $(\forall y \in B. u = \text{Inr}(y) \longrightarrow R(d(y))))$
by *auto*

lemma *case-cong*:
 $\llbracket z \in A+B;$
 $\bigwedge x. x \in A \implies c(x)=c'(x);$
 $\bigwedge y. y \in B \implies d(y)=d'(y)$
 $\rrbracket \implies \text{case}(c,d,z) = \text{case}(c',d',z)$
by *auto*

lemma *case-case*: $z \in A+B \implies$
 $\text{case}(c, d, \text{case}(\lambda x. \text{Inl}(c'(x)), \lambda y. \text{Inr}(d'(y)), z)) =$
 $\text{case}(\lambda x. c(c'(x)), \lambda y. d(d'(y)), z)$
by *auto*

7.4 More Rules for $\text{Part}(A, h)$

lemma *Part-mono*: $A \leq B \implies \text{Part}(A,h) \leq \text{Part}(B,h)$

by *blast*

lemma *Part-Collect*: $Part(Collect(A,P), h) = Collect(Part(A,h), P)$
by *blast*

lemmas *Part-CollectE* =
Part-Collect [THEN equalityD1, THEN subsetD, THEN CollectE]

lemma *Part-Inl*: $Part(A+B, Inl) = \{Inl(x). x \in A\}$
by *blast*

lemma *Part-Inr*: $Part(A+B, Inr) = \{Inr(y). y \in B\}$
by *blast*

lemma *PartD1*: $a \in Part(A,h) \implies a \in A$
by (*simp add: Part-def*)

lemma *Part-id*: $Part(A, \lambda x. x) = A$
by *blast*

lemma *Part-Inr2*: $Part(A+B, \lambda x. Inr(h(x))) = \{Inr(y). y \in Part(B,h)\}$
by *blast*

lemma *Part-sum-equality*: $C \subseteq A+B \implies Part(C, Inl) \cup Part(C, Inr) = C$
by *blast*

end

8 Functions, Function Spaces, Lambda-Abstraction

theory *func* imports *equalities Sum* begin

8.1 The Pi Operator: Dependent Function Space

lemma *subset-Sigma-imp-relation*: $r \subseteq Sigma(A,B) \implies relation(r)$
by (*simp add: relation-def, blast*)

lemma *relation-converse-converse* [*simp*]:
 $relation(r) \implies converse(converse(r)) = r$
by (*simp add: relation-def, blast*)

lemma *relation-restrict* [*simp*]: $relation(restrict(r,A))$
by (*simp add: restrict-def relation-def, blast*)

lemma *Pi-iff*:
 $f \in Pi(A,B) \iff function(f) \wedge f \leq Sigma(A,B) \wedge A \leq domain(f)$
by (*unfold Pi-def, blast*)

lemma *Pi-iff-old*:

$f \in Pi(A,B) \longleftrightarrow f \leq Sigma(A,B) \wedge (\forall x \in A. \exists !y. \langle x,y \rangle : f)$
by (*unfold Pi-def function-def, blast*)

lemma *fun-is-function*: $f \in Pi(A,B) \implies function(f)$

by (*simp only: Pi-iff*)

lemma *function-imp-Pi*:

$\llbracket function(f); relation(f) \rrbracket \implies f \in domain(f) \rightarrow range(f)$
by (*simp add: Pi-iff relation-def, blast*)

lemma *functionI*:

$\llbracket \bigwedge x y y'. \llbracket \langle x,y \rangle : r; \langle x,y' \rangle : r \rrbracket \implies y=y' \rrbracket \implies function(r)$
by (*simp add: function-def, blast*)

lemma *fun-is-rel*: $f \in Pi(A,B) \implies f \subseteq Sigma(A,B)$

by (*unfold Pi-def, blast*)

lemma *Pi-cong*:

$\llbracket A=A'; \bigwedge x. x \in A' \implies B(x)=B'(x) \rrbracket \implies Pi(A,B) = Pi(A',B')$
by (*simp add: Pi-def cong add: Sigma-cong*)

lemma *fun-weaken-type*: $\llbracket f \in A \rightarrow B; B \leq D \rrbracket \implies f \in A \rightarrow D$

by (*unfold Pi-def, best*)

8.2 Function Application

lemma *apply-equality2*: $\llbracket \langle a,b \rangle : f; \langle a,c \rangle : f; f \in Pi(A,B) \rrbracket \implies b=c$

by (*unfold Pi-def function-def, blast*)

lemma *function-apply-equality*: $\llbracket \langle a,b \rangle : f; function(f) \rrbracket \implies f'a = b$

by (*unfold apply-def function-def, blast*)

lemma *apply-equality*: $\llbracket \langle a,b \rangle : f; f \in Pi(A,B) \rrbracket \implies f'a = b$

unfolding *Pi-def*

apply (*blast intro: function-apply-equality*)

done

lemma *apply-0*: $a \notin domain(f) \implies f'a = 0$

by (*unfold apply-def, blast*)

lemma *Pi-memberD*: $\llbracket f \in Pi(A,B); c \in f \rrbracket \implies \exists x \in A. c = \langle x, f'x \rangle$

apply (*frule fun-is-rel*)

apply (*blast dest: apply-equality*)

done

lemma *function-apply-Pair*: $\llbracket \text{function}(f); a \in \text{domain}(f) \rrbracket \Longrightarrow \langle a, f'a \rangle: f$
apply (*simp add: function-def, clarify*)
apply (*subgoal-tac f'a = y, blast*)
apply (*simp add: apply-def, blast*)
done

lemma *apply-Pair*: $\llbracket f \in \text{Pi}(A, B); a \in A \rrbracket \Longrightarrow \langle a, f'a \rangle: f$
apply (*simp add: Pi-iff*)
apply (*blast intro: function-apply-Pair*)
done

lemma *apply-type [TC]*: $\llbracket f \in \text{Pi}(A, B); a \in A \rrbracket \Longrightarrow f'a \in B(a)$
by (*blast intro: apply-Pair dest: fun-is-rel*)

lemma *apply-funtype*: $\llbracket f \in A \rightarrow B; a \in A \rrbracket \Longrightarrow f'a \in B$
by (*blast dest: apply-type*)

lemma *apply-iff*: $f \in \text{Pi}(A, B) \Longrightarrow \langle a, b \rangle: f \longleftrightarrow a \in A \wedge f'a = b$
apply (*frule fun-is-rel*)
apply (*blast intro!: apply-Pair apply-equality*)
done

lemma *Pi-type*: $\llbracket f \in \text{Pi}(A, C); \bigwedge x. x \in A \Longrightarrow f'x \in B(x) \rrbracket \Longrightarrow f \in \text{Pi}(A, B)$
apply (*simp only: Pi-iff*)
apply (*blast dest: function-apply-equality*)
done

lemma *Pi-Collect-iff*:
 $(f \in \text{Pi}(A, \lambda x. \{y \in B(x). P(x, y)\}))$
 $\longleftrightarrow f \in \text{Pi}(A, B) \wedge (\forall x \in A. P(x, f'x))$
by (*blast intro: Pi-type dest: apply-type*)

lemma *Pi-weaken-type*:
 $\llbracket f \in \text{Pi}(A, B); \bigwedge x. x \in A \Longrightarrow B(x) \leq C(x) \rrbracket \Longrightarrow f \in \text{Pi}(A, C)$
by (*blast intro: Pi-type dest: apply-type*)

lemma *domain-type*: $\llbracket \langle a, b \rangle \in f; f \in \text{Pi}(A, B) \rrbracket \Longrightarrow a \in A$
by (*blast dest: fun-is-rel*)

lemma *range-type*: $\llbracket \langle a, b \rangle \in f; f \in \text{Pi}(A, B) \rrbracket \Longrightarrow b \in B(a)$

by (blast dest: fun-is-rel)

lemma *Pair-mem-PiD*: $\llbracket \langle a, b \rangle : f; f \in \text{Pi}(A, B) \rrbracket \implies a \in A \wedge b \in B(a) \wedge f'a = b$
by (blast intro: domain-type range-type apply-equality)

8.3 Lambda Abstraction

lemma *lamI*: $a \in A \implies \langle a, b(a) \rangle \in (\lambda x \in A. b(x))$
 unfolding *lam-def*
apply (*erule RepFunI*)
done

lemma *lamE*:
 $\llbracket p : (\lambda x \in A. b(x)); \bigwedge x. \llbracket x \in A; p = \langle x, b(x) \rangle \rrbracket \implies P$
 $\rrbracket \implies P$
by (*simp add: lam-def, blast*)

lemma *lamD*: $\llbracket \langle a, c \rangle : (\lambda x \in A. b(x)) \rrbracket \implies c = b(a)$
by (*simp add: lam-def*)

lemma *lam-type [TC]*:
 $\llbracket \bigwedge x. x \in A \implies b(x) : B(x) \rrbracket \implies (\lambda x \in A. b(x)) \in \text{Pi}(A, B)$
by (*simp add: lam-def Pi-def function-def, blast*)

lemma *lam-funtype*: $(\lambda x \in A. b(x)) \in A \rightarrow \{b(x). x \in A\}$
by (*blast intro: lam-type*)

lemma *function-lam*: *function* $(\lambda x \in A. b(x))$
by (*simp add: function-def lam-def*)

lemma *relation-lam*: *relation* $(\lambda x \in A. b(x))$
by (*simp add: relation-def lam-def*)

lemma *beta-if [simp]*: $(\lambda x \in A. b(x)) \text{ ' } a = (\text{if } a \in A \text{ then } b(a) \text{ else } 0)$
by (*simp add: apply-def lam-def, blast*)

lemma *beta*: $a \in A \implies (\lambda x \in A. b(x)) \text{ ' } a = b(a)$
by (*simp add: apply-def lam-def, blast*)

lemma *lam-empty [simp]*: $(\lambda x \in 0. b(x)) = 0$
by (*simp add: lam-def*)

lemma *domain-lam [simp]*: $\text{domain}(\text{Lambda}(A, b)) = A$
by (*simp add: lam-def, blast*)

lemma *lam-cong [cong]*:
 $\llbracket A = A'; \bigwedge x. x \in A' \implies b(x) = b'(x) \rrbracket \implies \text{Lambda}(A, b) = \text{Lambda}(A', b')$
by (*simp only: lam-def cong add: RepFun-cong*)

lemma *lam-theI*:

$(\bigwedge x. x \in A \implies \exists! y. Q(x,y)) \implies \exists f. \forall x \in A. Q(x, f'x)$
apply (*rule-tac* $x = \lambda x \in A. \text{THE } y. Q(x,y)$ **in** *exI*)
apply *simp*
apply (*blast intro: theI*)
done

lemma *lam-eqE*: $\llbracket (\lambda x \in A. f(x)) = (\lambda x \in A. g(x)); a \in A \rrbracket \implies f(a)=g(a)$
by (*fast intro!: lamI elim: equalityE lamE*)

lemma *Pi-empty1* [*simp*]: $Pi(0,A) = \{0\}$
by (*unfold Pi-def function-def, blast*)

lemma *singleton-fun* [*simp*]: $\{\langle a,b \rangle\} \in \{a\} \rightarrow \{b\}$
by (*unfold Pi-def function-def, blast*)

lemma *Pi-empty2* [*simp*]: $(A \rightarrow 0) = (\text{if } A=0 \text{ then } \{0\} \text{ else } 0)$
by (*unfold Pi-def function-def, force*)

lemma *fun-space-empty-iff* [*iff*]: $(A \rightarrow X)=0 \iff X=0 \wedge (A \neq 0)$
apply *auto*
apply (*fast intro!: equals0I intro: lam-type*)
done

8.4 Extensionality

lemma *fun-subset*:

$\llbracket f \in Pi(A,B); g \in Pi(C,D); A \leq C; \bigwedge x. x \in A \implies f'x = g'x \rrbracket \implies f \leq g$
by (*force dest: Pi-memberD intro: apply-Pair*)

lemma *fun-extension*:

$\llbracket f \in Pi(A,B); g \in Pi(A,D); \bigwedge x. x \in A \implies f'x = g'x \rrbracket \implies f=g$
by (*blast del: subsetI intro: subset-refl sym fun-subset*)

lemma *eta* [*simp*]: $f \in Pi(A,B) \implies (\lambda x \in A. f'x) = f$
apply (*rule fun-extension*)
apply (*auto simp add: lam-type apply-type beta*)
done

lemma *fun-extension-iff*:

$\llbracket f \in Pi(A,B); g \in Pi(A,C) \rrbracket \implies (\forall a \in A. f'a = g'a) \iff f=g$
by (*blast intro: fun-extension*)

lemma *fun-subset-eq*: $\llbracket f \in Pi(A,B); g \in Pi(A,C) \rrbracket \implies f \subseteq g \longleftrightarrow (f = g)$
by (*blast dest: apply-Pair*
intro: fun-extension apply-equality [symmetric])

lemma *Pi-lamE*:
assumes *major*: $f \in Pi(A,B)$
and *minor*: $\bigwedge b. \llbracket \forall x \in A. b(x):B(x); f = (\lambda x \in A. b(x)) \rrbracket \implies P$
shows P
apply (*rule minor*)
apply (*rule-tac [2] eta [symmetric]*)
apply (*blast intro: major apply-type*)
done

8.5 Images of Functions

lemma *image-lam*: $C \subseteq A \implies (\lambda x \in A. b(x)) \text{ `` } C = \{b(x). x \in C\}$
by (*unfold lam-def, blast*)

lemma *Repfun-function-if*:
 $function(f)$
 $\implies \{f'x. x \in C\} = (if\ C \subseteq domain(f)\ then\ f''C\ else\ cons(0,f''C))$
apply *simp*
apply (*intro conjI impI*)
apply (*blast dest: function-apply-equality intro: function-apply-Pair*)
apply (*rule equalityI*)
apply (*blast intro!: function-apply-Pair apply-0*)
apply (*blast dest: function-apply-equality intro: apply-0 [symmetric]*)
done

lemma *image-function*:
 $\llbracket function(f); C \subseteq domain(f) \rrbracket \implies f''C = \{f'x. x \in C\}$
by (*simp add: Repfun-function-if*)

lemma *image-fun*: $\llbracket f \in Pi(A,B); C \subseteq A \rrbracket \implies f''C = \{f'x. x \in C\}$
apply (*simp add: Pi-iff*)
apply (*blast intro: image-function*)
done

lemma *image-eq-UN*:
assumes $f: f \in Pi(A,B)$ $C \subseteq A$ **shows** $f''C = (\bigcup x \in C. \{f'x\})$
by (*auto simp add: image-fun [OF f]*)

lemma *Pi-image-cons*:
 $\llbracket f \in Pi(A,B); x \in A \rrbracket \implies f \text{ `` } cons(x,y) = cons(f'x, f''y)$
by (*blast dest: apply-equality apply-Pair*)

8.6 Properties of $\text{restrict}(f, A)$

lemma *restrict-subset*: $\text{restrict}(f, A) \subseteq f$
by (*unfold restrict-def, blast*)

lemma *function-restrictI*:
 $\text{function}(f) \implies \text{function}(\text{restrict}(f, A))$
by (*unfold restrict-def function-def, blast*)

lemma *restrict-type2*: $\llbracket f \in \text{Pi}(C, B); A \leq C \rrbracket \implies \text{restrict}(f, A) \in \text{Pi}(A, B)$
by (*simp add: Pi-iff function-def restrict-def, blast*)

lemma *restrict*: $\text{restrict}(f, A) \ 'a = (\text{if } a \in A \text{ then } f'a \text{ else } 0)$
by (*simp add: apply-def restrict-def, blast*)

lemma *restrict-empty* [*simp*]: $\text{restrict}(f, 0) = 0$
by (*unfold restrict-def, simp*)

lemma *restrict-iff*: $z \in \text{restrict}(r, A) \longleftrightarrow z \in r \wedge (\exists x \in A. \exists y. z = \langle x, y \rangle)$
by (*simp add: restrict-def*)

lemma *restrict-restrict* [*simp*]:
 $\text{restrict}(\text{restrict}(r, A), B) = \text{restrict}(r, A \cap B)$
by (*unfold restrict-def, blast*)

lemma *domain-restrict* [*simp*]: $\text{domain}(\text{restrict}(f, C)) = \text{domain}(f) \cap C$
unfolding *restrict-def*
apply (*auto simp add: domain-def*)
done

lemma *restrict-idem*: $f \subseteq \text{Sigma}(A, B) \implies \text{restrict}(f, A) = f$
by (*simp add: restrict-def, blast*)

lemma *domain-restrict-idem*:
 $\llbracket \text{domain}(r) \subseteq A; \text{relation}(r) \rrbracket \implies \text{restrict}(r, A) = r$
by (*simp add: restrict-def relation-def, blast*)

lemma *domain-restrict-lam* [*simp*]: $\text{domain}(\text{restrict}(\text{Lambda}(A, f), C)) = A \cap C$
unfolding *restrict-def lam-def*
apply (*rule equalityI*)
apply (*auto simp add: domain-iff*)
done

lemma *restrict-if* [*simp*]: $\text{restrict}(f, A) \ 'a = (\text{if } a \in A \text{ then } f'a \text{ else } 0)$
by (*simp add: restrict apply-0*)

lemma *restrict-lam-eq*:
 $A \leq C \implies \text{restrict}(\lambda x \in C. b(x), A) = (\lambda x \in A. b(x))$

by (unfold restrict-def lam-def, auto)

lemma fun-cons-restrict-eq:

$f \in \text{cons}(a, b) \rightarrow B \implies f = \text{cons}(\langle a, f \text{ ' } a \rangle, \text{restrict}(f, b))$

apply (rule equalityI)

prefer 2 **apply** (blast intro: apply-Pair restrict-subset [THEN subsetD])

apply (auto dest!: Pi-memberD simp add: restrict-def lam-def)

done

8.7 Unions of Functions

lemma function-Union:

$\llbracket \forall x \in S. \text{function}(x);$
 $\quad \forall x \in S. \forall y \in S. x \leq y \mid y \leq x \rrbracket$
 $\implies \text{function}(\bigcup(S))$

by (unfold function-def, blast)

lemma fun-Union:

$\llbracket \forall f \in S. \exists C D. f \in C \rightarrow D;$
 $\quad \forall f \in S. \forall y \in S. f \leq y \mid y \leq f \rrbracket \implies$
 $\bigcup(S) \in \text{domain}(\bigcup(S)) \rightarrow \text{range}(\bigcup(S))$

unfolding Pi-def

apply (blast intro!: rel-Union function-Union)

done

lemma gen-relation-Union:

$(\bigwedge f. f \in F \implies \text{relation}(f)) \implies \text{relation}(\bigcup(F))$

by (simp add: relation-def)

lemmas Un-rls = Un-subset-iff SUM-Un-distrib1 prod-Un-distrib2

subset-trans [OF - Un-upper1]

subset-trans [OF - Un-upper2]

lemma fun-disjoint-Un:

$\llbracket f \in A \rightarrow B; g \in C \rightarrow D; A \cap C = \emptyset \rrbracket$
 $\implies (f \cup g) \in (A \cup C) \rightarrow (B \cup D)$

apply (simp add: Pi-iff extension Un-rls)

apply (unfold function-def, blast)

done

lemma fun-disjoint-apply1: $a \notin \text{domain}(g) \implies (f \cup g) \text{ ' } a = f \text{ ' } a$

by (simp add: apply-def, blast)

lemma fun-disjoint-apply2: $c \notin \text{domain}(f) \implies (f \cup g) \text{ ' } c = g \text{ ' } c$

by (simp add: apply-def, blast)

8.8 Domain and Range of a Function or Relation

lemma *domain-of-fun*: $f \in Pi(A,B) \implies domain(f)=A$
by (*unfold Pi-def*, *blast*)

lemma *apply-rangeI*: $\llbracket f \in Pi(A,B); a \in A \rrbracket \implies f'a \in range(f)$
by (*erule apply-Pair [THEN rangeI]*, *assumption*)

lemma *range-of-fun*: $f \in Pi(A,B) \implies f \in A \rightarrow range(f)$
by (*blast intro: Pi-type apply-rangeI*)

8.9 Extensions of Functions

lemma *fun-extend*:

$\llbracket f \in A \rightarrow B; c \notin A \rrbracket \implies cons(\langle c,b \rangle, f) \in cons(c,A) \rightarrow cons(b,B)$
apply (*frule singleton-fun [THEN fun-disjoint-Un]*, *blast*)
apply (*simp add: cons-eq*)
done

lemma *fun-extend3*:

$\llbracket f \in A \rightarrow B; c \notin A; b \in B \rrbracket \implies cons(\langle c,b \rangle, f) \in cons(c,A) \rightarrow B$
by (*blast intro: fun-extend [THEN fun-weaken-type]*)

lemma *extend-apply*:

$c \notin domain(f) \implies cons(\langle c,b \rangle, f)'a = (if\ a=c\ then\ b\ else\ f'a)$
by (*auto simp add: apply-def*)

lemma *fun-extend-apply [simp]*:

$\llbracket f \in A \rightarrow B; c \notin A \rrbracket \implies cons(\langle c,b \rangle, f)'a = (if\ a=c\ then\ b\ else\ f'a)$
apply (*rule extend-apply*)
apply (*simp add: Pi-def*, *blast*)
done

lemmas *singleton-apply = apply-equality [OF singletonI singleton-fun, simp]*

lemma *cons-fun-eq*:

$c \notin A \implies cons(c,A) \rightarrow B = (\bigcup f \in A \rightarrow B. \bigcup b \in B. \{cons(\langle c,b \rangle, f)\})$
apply (*rule equalityI*)
apply (*safe elim!: fun-extend3*)

apply (*subgoal-tac restrict (x, A) \in A \rightarrow B*)

prefer 2 **apply** (*blast intro: restrict-type2*)

apply (*rule UN-I, assumption*)

apply (*rule apply-funtype [THEN UN-I]*)

apply *assumption*

apply (*rule consI1*)

apply (*simp (no-asm)*)

apply (*rule fun-extension*)

apply *assumption*

```

apply (blast intro: fun-extend)
apply (erule consE, simp-all)
done

```

```

lemma succ-fun-eq:  $\text{succ}(n) \rightarrow B = (\bigcup f \in n \rightarrow B. \bigcup b \in B. \{\text{cons}(\langle n, b \rangle, f)\})$ 
by (simp add: succ-def mem-not-refl cons-fun-eq)

```

8.10 Function Updates

definition

```

update :: [i, i, i]  $\Rightarrow$  i where
  update(f, a, b)  $\equiv$   $\lambda x \in \text{cons}(a, \text{domain}(f)). \text{if}(x=a, b, f'x)$ 

```

nonterminal *updbinds* and *updbind*

syntax

```

-updbind  :: [i, i]  $\Rightarrow$  updbind           ( $\langle \langle 2- := / - \rangle \rangle$ )
           :: updbind  $\Rightarrow$  updbinds         ( $\langle \langle - \rangle \rangle$ )
-updbinds :: [updbind, updbinds]  $\Rightarrow$  updbinds ( $\langle \langle -, / - \rangle \rangle$ )
-Update   :: [i, updbinds]  $\Rightarrow$  i           ( $\langle \langle - / ((-) \rangle \rangle$  [900,0] 900)

```

translations

```

-Update (f, -updbinds(b, bs)) == -Update (-Update(f, b), bs)
  f(x:=y)                       == CONST update(f, x, y)

```

```

lemma update-apply [simp]:  $f(x:=y) \text{ ' } z = (\text{if } z=x \text{ then } y \text{ else } f'z)$ 
apply (simp add: update-def)
apply (case-tac z \in domain(f))
apply (simp-all add: apply-0)
done

```

```

lemma update-idem:  $\llbracket f'x = y; f \in \text{Pi}(A, B); x \in A \rrbracket \Longrightarrow f(x:=y) = f$ 
  unfolding update-def
apply (simp add: domain-of-fun cons-absorb)
apply (rule fun-extension)
apply (best intro: apply-type if-type lam-type, assumption, simp)
done

```

```

declare refl [THEN update-idem, simp]

```

```

lemma domain-update [simp]:  $\text{domain}(f(x:=y)) = \text{cons}(x, \text{domain}(f))$ 
by (unfold update-def, simp)

```

lemma *update-type*: $\llbracket f \in Pi(A,B); x \in A; y \in B(x) \rrbracket \implies f(x:=y) \in Pi(A, B)$
unfolding *update-def*
apply (*simp add: domain-of-fun cons-absorb apply-funtype lam-type*)
done

8.11 Monotonicity Theorems

8.11.1 Replacement in its Various Forms

lemma *Replace-mono*: $A \leq B \implies Replace(A,P) \subseteq Replace(B,P)$
by (*blast elim!: ReplaceE*)

lemma *RepFun-mono*: $A \leq B \implies \{f(x). x \in A\} \subseteq \{f(x). x \in B\}$
by *blast*

lemma *Pow-mono*: $A \leq B \implies Pow(A) \subseteq Pow(B)$
by *blast*

lemma *Union-mono*: $A \leq B \implies \bigcup(A) \subseteq \bigcup(B)$
by *blast*

lemma *UN-mono*:
 $\llbracket A \leq C; \bigwedge x. x \in A \implies B(x) \leq D(x) \rrbracket \implies (\bigcup_{x \in A} B(x)) \subseteq (\bigcup_{x \in C} D(x))$
by *blast*

lemma *Inter-anti-mono*: $\llbracket A \leq B; A \neq \emptyset \rrbracket \implies \bigcap(B) \subseteq \bigcap(A)$
by *blast*

lemma *cons-mono*: $C \leq D \implies cons(a,C) \subseteq cons(a,D)$
by *blast*

lemma *Un-mono*: $\llbracket A \leq C; B \leq D \rrbracket \implies A \cup B \subseteq C \cup D$
by *blast*

lemma *Int-mono*: $\llbracket A \leq C; B \leq D \rrbracket \implies A \cap B \subseteq C \cap D$
by *blast*

lemma *Diff-mono*: $\llbracket A \leq C; D \leq B \rrbracket \implies A - B \subseteq C - D$
by *blast*

8.11.2 Standard Products, Sums and Function Spaces

lemma *Sigma-mono* [*rule-format*]:
 $\llbracket A \leq C; \bigwedge x. x \in A \implies B(x) \subseteq D(x) \rrbracket \implies Sigma(A,B) \subseteq Sigma(C,D)$
by *blast*

lemma *sum-mono*: $\llbracket A \leq C; B \leq D \rrbracket \implies A + B \subseteq C + D$
by (*unfold sum-def, blast*)

lemma *Pi-mono*: $B \leq C \implies A \rightarrow B \subseteq A \rightarrow C$
by (*blast intro: lam-type elim: Pi-lamE*)

lemma *lam-mono*: $A \leq B \implies \text{Lambda}(A,c) \subseteq \text{Lambda}(B,c)$
unfolding *lam-def*
apply (*erule RepFun-mono*)
done

8.11.3 Converse, Domain, Range, Field

lemma *converse-mono*: $r \leq s \implies \text{converse}(r) \subseteq \text{converse}(s)$
by *blast*

lemma *domain-mono*: $r \leq s \implies \text{domain}(r) \leq \text{domain}(s)$
by *blast*

lemmas *domain-rel-subset = subset-trans [OF domain-mono domain-subset]*

lemma *range-mono*: $r \leq s \implies \text{range}(r) \leq \text{range}(s)$
by *blast*

lemmas *range-rel-subset = subset-trans [OF range-mono range-subset]*

lemma *field-mono*: $r \leq s \implies \text{field}(r) \leq \text{field}(s)$
by *blast*

lemma *field-rel-subset*: $r \subseteq A * A \implies \text{field}(r) \subseteq A$
by (*erule field-mono [THEN subset-trans], blast*)

8.11.4 Images

lemma *image-pair-mono*:
 $\llbracket \bigwedge x y. \langle x, y \rangle : r \implies \langle x, y \rangle : s; A \leq B \rrbracket \implies r \text{ `` } A \subseteq s \text{ `` } B$
by *blast*

lemma *vimage-pair-mono*:
 $\llbracket \bigwedge x y. \langle x, y \rangle : r \implies \langle x, y \rangle : s; A \leq B \rrbracket \implies r \text{ - `` } A \subseteq s \text{ - `` } B$
by *blast*

lemma *image-mono*: $\llbracket r \leq s; A \leq B \rrbracket \implies r \text{ `` } A \subseteq s \text{ `` } B$
by *blast*

lemma *vimage-mono*: $\llbracket r \leq s; A \leq B \rrbracket \implies r \text{ - `` } A \subseteq s \text{ - `` } B$
by *blast*

lemma *Collect-mono*:
 $\llbracket A \leq B; \bigwedge x. x \in A \implies P(x) \longrightarrow Q(x) \rrbracket \implies \text{Collect}(A,P) \subseteq \text{Collect}(B,Q)$
by *blast*

lemmas *basic-monos = subset-refl imp-refl disj-mono conj-mono ex-mono*
Collect-mono Part-mono in-mono

lemma *bex-image-simp:*

$\llbracket f \in Pi(X, Y); A \subseteq X \rrbracket \implies (\exists x \in f^{-1}A. P(x)) \longleftrightarrow (\exists x \in A. P(f'x))$

apply *safe*

apply *rule*

prefer 2 **apply** *assumption*

apply (*simp add: apply-equality*)

apply (*blast intro: apply-Pair*)

done

lemma *ball-image-simp:*

$\llbracket f \in Pi(X, Y); A \subseteq X \rrbracket \implies (\forall x \in f^{-1}A. P(x)) \longleftrightarrow (\forall x \in A. P(f'x))$

apply *safe*

apply (*blast intro: apply-Pair*)

apply (*drule bspec*) **apply** *assumption*

apply (*simp add: apply-equality*)

done

end

9 Quine-Inspired Ordered Pairs and Disjoint Sums

theory *QPair* **imports** *Sum func* **begin**

For non-well-founded data structures in ZF. Does not precisely follow Quine's construction. Thanks to Thomas Forster for suggesting this approach!

W. V. Quine, On Ordered Pairs and Relations, in Selected Logic Papers, 1966.

definition

$QPair \quad :: [i, i] \Rightarrow i \quad (\langle\langle(-;/ -)\rangle\rangle) \text{ where}$
 $\langle a;b \rangle \equiv a+b$

definition

$qfst \quad :: i \Rightarrow i \text{ where}$
 $qfst(p) \equiv THE a. \exists b. p = \langle a;b \rangle$

definition

$qsnd \quad :: i \Rightarrow i \text{ where}$
 $qsnd(p) \equiv THE b. \exists a. p = \langle a;b \rangle$

definition

$qsplit \quad :: [[i, i] \Rightarrow 'a, i] \Rightarrow 'a::\{\} \text{ where}$
 $qsplit(c,p) \equiv c(qfst(p), qsnd(p))$

definition

$qconverse :: i \Rightarrow i$ **where**
 $qconverse(r) \equiv \{z. w \in r, \exists x y. w = \langle x; y \rangle \wedge z = \langle y; x \rangle\}$

definition

$QSigma :: [i, i \Rightarrow i] \Rightarrow i$ **where**
 $QSigma(A, B) \equiv \bigcup_{x \in A}. \bigcup_{y \in B(x)}. \{\langle x; y \rangle\}$

syntax

$-QSUM :: [idt, i, i] \Rightarrow i$ ($\langle (3QSUM - \in -./ -) \rangle 10$)

translations

$QSUM x \in A. B \Rightarrow CONST QSigma(A, \lambda x. B)$

abbreviation

$qprod$ (**infixr** $\langle \langle * \rangle \rangle 80$) **where**
 $A \langle * \rangle B \equiv QSigma(A, \lambda -. B)$

definition

$qsum :: [i, i] \Rightarrow i$ (**infixr** $\langle \langle + \rangle \rangle 65$) **where**
 $A \langle + \rangle B \equiv (\{0\} \langle * \rangle A) \cup (\{1\} \langle * \rangle B)$

definition

$QInl :: i \Rightarrow i$ **where**
 $QInl(a) \equiv \langle 0; a \rangle$

definition

$QInr :: i \Rightarrow i$ **where**
 $QInr(b) \equiv \langle 1; b \rangle$

definition

$qcase :: [i \Rightarrow i, i \Rightarrow i, i] \Rightarrow i$ **where**
 $qcase(c, d) \equiv qsplit(\lambda y z. cond(y, d(z), c(z)))$

9.1 Quine ordered pairing

lemma $QPair-empty$ [*simp*]: $\langle 0; 0 \rangle = 0$
by (*simp add: QPair-def*)

lemma $QPair-iff$ [*simp*]: $\langle a; b \rangle = \langle c; d \rangle \longleftrightarrow a = c \wedge b = d$
apply (*simp add: QPair-def*)
apply (*rule sum-equal-iff*)
done

lemmas $QPair-inject = QPair-iff$ [*THEN iffD1, THEN conjE, elim!*]

lemma $QPair-inject1$: $\langle a; b \rangle = \langle c; d \rangle \Longrightarrow a = c$
by *blast*

lemma *QPair-inject2*: $\langle a;b \rangle = \langle c;d \rangle \implies b=d$
by *blast*

9.1.1 QSigma: Disjoint union of a family of sets Generalizes Cartesian product

lemma *QSigmaI* [*intro!*]: $\llbracket a \in A; b \in B(a) \rrbracket \implies \langle a;b \rangle \in QSigma(A,B)$
by (*simp add: QSigma-def*)

lemma *QSigmaE* [*elim!*]:
 $\llbracket c \in QSigma(A,B); \bigwedge x y. \llbracket x \in A; y \in B(x); c = \langle x;y \rangle \rrbracket \implies P \rrbracket \implies P$
by (*simp add: QSigma-def, blast*)

lemma *QSigmaE2* [*elim!*]:
 $\llbracket \langle a;b \rangle \in QSigma(A,B); \llbracket a \in A; b \in B(a) \rrbracket \implies P \rrbracket \implies P$
by (*simp add: QSigma-def*)

lemma *QSigmaD1*: $\langle a;b \rangle \in QSigma(A,B) \implies a \in A$
by *blast*

lemma *QSigmaD2*: $\langle a;b \rangle \in QSigma(A,B) \implies b \in B(a)$
by *blast*

lemma *QSigma-cong*:
 $\llbracket A=A'; \bigwedge x. x \in A' \implies B(x)=B'(x) \rrbracket \implies QSigma(A,B) = QSigma(A',B')$
by (*simp add: QSigma-def*)

lemma *QSigma-empty1* [*simp*]: $QSigma(0,B) = 0$
by *blast*

lemma *QSigma-empty2* [*simp*]: $A \langle * \rangle 0 = 0$
by *blast*

9.1.2 Projections: qfst, qsnd

lemma *qfst-conv* [*simp*]: $qfst(\langle a;b \rangle) = a$
by (*simp add: qfst-def*)

lemma *qsnd-conv* [*simp*]: $qsnd(\langle a;b \rangle) = b$
by (*simp add: qsnd-def*)

lemma *qfst-type* [*TC*]: $p \in QSigma(A,B) \implies qfst(p) \in A$
by *auto*

lemma *qsnd-type* [TC]: $p \in QSigma(A,B) \implies qsnd(p) \in B(qfst(p))$
by *auto*

lemma *QPair-qfst-qsnd-eq*: $a \in QSigma(A,B) \implies \langle qfst(a); qsnd(a) \rangle = a$
by *auto*

9.1.3 Eliminator: qsplit

lemma *qsplit* [simp]: $qsplit(\lambda x y. c(x,y), \langle a;b \rangle) \equiv c(a,b)$
by (*simp add: qsplit-def*)

lemma *qsplit-type* [elim!]:
 $\llbracket p \in QSigma(A,B);$
 $\quad \bigwedge x y. \llbracket x \in A; y \in B(x) \rrbracket \implies c(x,y):C(\langle x;y \rangle)$
 $\rrbracket \implies qsplit(\lambda x y. c(x,y), p) \in C(p)$
by *auto*

lemma *expand-qsplit*:
 $u \in A \langle * \rangle B \implies R(qsplit(c,u)) \longleftrightarrow (\forall x \in A. \forall y \in B. u = \langle x;y \rangle \longrightarrow R(c(x,y)))$
apply (*simp add: qsplit-def, auto*)
done

9.1.4 qsplit for predicates: result type o

lemma *qsplitI*: $R(a,b) \implies qsplit(R, \langle a;b \rangle)$
by (*simp add: qsplit-def*)

lemma *qsplitE*:
 $\llbracket qsplit(R,z); z \in QSigma(A,B);$
 $\quad \bigwedge x y. \llbracket z = \langle x;y \rangle; R(x,y) \rrbracket \implies P$
 $\rrbracket \implies P$
by (*simp add: qsplit-def, auto*)

lemma *qsplitD*: $qsplit(R, \langle a;b \rangle) \implies R(a,b)$
by (*simp add: qsplit-def*)

9.1.5 qconverse

lemma *qconverseI* [intro!]: $\langle a;b \rangle : r \implies \langle b;a \rangle : qconverse(r)$
by (*simp add: qconverse-def, blast*)

lemma *qconverseD* [elim!]: $\langle a;b \rangle \in qconverse(r) \implies \langle b;a \rangle \in r$
by (*simp add: qconverse-def, blast*)

lemma *qconverseE* [elim!]:
 $\llbracket yx \in qconverse(r);$
 $\quad \bigwedge x y. \llbracket yx = \langle y;x \rangle; \langle x;y \rangle : r \rrbracket \implies P$
 $\rrbracket \implies P$

by (simp add: qconverse-def, blast)

lemma qconverse-qconverse: $r \leq Q\text{Sigma}(A,B) \implies q\text{converse}(q\text{converse}(r)) = r$
by blast

lemma qconverse-type: $r \subseteq A \langle * \rangle B \implies q\text{converse}(r) \subseteq B \langle * \rangle A$
by blast

lemma qconverse-prod: $q\text{converse}(A \langle * \rangle B) = B \langle * \rangle A$
by blast

lemma qconverse-empty: $q\text{converse}(0) = 0$
by blast

9.2 The Quine-inspired notion of disjoint sum

lemmas qsum-defs = qsum-def QInl-def QInr-def qcase-def

lemma QInlI [intro!]: $a \in A \implies Q\text{Inl}(a) \in A \langle + \rangle B$
by (simp add: qsum-defs, blast)

lemma QInrI [intro!]: $b \in B \implies Q\text{Inr}(b) \in A \langle + \rangle B$
by (simp add: qsum-defs, blast)

lemma qsumE [elim!]:
 $\llbracket u \in A \langle + \rangle B;$
 $\bigwedge x. \llbracket x \in A; u = Q\text{Inl}(x) \rrbracket \implies P;$
 $\bigwedge y. \llbracket y \in B; u = Q\text{Inr}(y) \rrbracket \implies P$
 $\rrbracket \implies P$
by (simp add: qsum-defs, blast)

lemma QInl-iff [iff]: $Q\text{Inl}(a) = Q\text{Inl}(b) \longleftrightarrow a = b$
by (simp add: qsum-defs)

lemma QInr-iff [iff]: $Q\text{Inr}(a) = Q\text{Inr}(b) \longleftrightarrow a = b$
by (simp add: qsum-defs)

lemma QInl-QInr-iff [simp]: $Q\text{Inl}(a) = Q\text{Inr}(b) \longleftrightarrow \text{False}$
by (simp add: qsum-defs)

lemma QInr-QInl-iff [simp]: $Q\text{Inr}(b) = Q\text{Inl}(a) \longleftrightarrow \text{False}$
by (simp add: qsum-defs)

lemma *qsum-empty* [*simp*]: $0 <+> 0 = 0$
by (*simp add: qsum-defs*)

lemmas *QInl-inject* = *QInl-iff* [*THEN iffD1*]
lemmas *QInr-inject* = *QInr-iff* [*THEN iffD1*]
lemmas *QInl-neq-QInr* = *QInl-QInr-iff* [*THEN iffD1, THEN FalseE, elim!*]
lemmas *QInr-neq-QInl* = *QInr-QInl-iff* [*THEN iffD1, THEN FalseE, elim!*]

lemma *QInlD*: $QInl(a): A <+> B \implies a \in A$
by *blast*

lemma *QInrD*: $QInr(b): A <+> B \implies b \in B$
by *blast*

lemma *qsum-iff*:
 $u \in A <+> B \longleftrightarrow (\exists x. x \in A \wedge u = QInl(x)) \mid (\exists y. y \in B \wedge u = QInr(y))$
by *blast*

lemma *qsum-subset-iff*: $A <+> B \subseteq C <+> D \longleftrightarrow A \leq C \wedge B \leq D$
by *blast*

lemma *qsum-equal-iff*: $A <+> B = C <+> D \longleftrightarrow A = C \wedge B = D$
apply (*simp (no-asm) add: extension qsum-subset-iff*)
apply *blast*
done

9.2.1 Eliminator – qcase

lemma *qcase-QInl* [*simp*]: $qcase(c, d, QInl(a)) = c(a)$
by (*simp add: qsum-defs*)

lemma *qcase-QInr* [*simp*]: $qcase(c, d, QInr(b)) = d(b)$
by (*simp add: qsum-defs*)

lemma *qcase-type*:

$$\llbracket u \in A <+> B;$$

$$\bigwedge x. x \in A \implies c(x): C(QInl(x));$$

$$\bigwedge y. y \in B \implies d(y): C(QInr(y))$$

$$\rrbracket \implies qcase(c, d, u) \in C(u)$$
by (*simp add: qsum-defs, auto*)

lemma *Part-QInl*: $Part(A \langle + \rangle B, QInl) = \{QInl(x). x \in A\}$
by *blast*

lemma *Part-QInr*: $Part(A \langle + \rangle B, QInr) = \{QInr(y). y \in B\}$
by *blast*

lemma *Part-QInr2*: $Part(A \langle + \rangle B, \lambda x. QInr(h(x))) = \{QInr(y). y \in Part(B, h)\}$
by *blast*

lemma *Part-qsum-equality*: $C \subseteq A \langle + \rangle B \implies Part(C, QInl) \cup Part(C, QInr) = C$
by *blast*

9.2.2 Monotonicity

lemma *QPair-mono*: $\llbracket a \leq c; b \leq d \rrbracket \implies \langle a; b \rangle \subseteq \langle c; d \rangle$
by (*simp add: QPair-def sum-mono*)

lemma *QSigma-mono* [*rule-format*]:
 $\llbracket A \leq C; \forall x \in A. B(x) \subseteq D(x) \rrbracket \implies QSigma(A, B) \subseteq QSigma(C, D)$
by *blast*

lemma *QInl-mono*: $a \leq b \implies QInl(a) \subseteq QInl(b)$
by (*simp add: QInl-def subset-refl [THEN QPair-mono]*)

lemma *QInr-mono*: $a \leq b \implies QInr(a) \subseteq QInr(b)$
by (*simp add: QInr-def subset-refl [THEN QPair-mono]*)

lemma *qsum-mono*: $\llbracket A \leq C; B \leq D \rrbracket \implies A \langle + \rangle B \subseteq C \langle + \rangle D$
by *blast*

end

10 Injections, Surjections, Bijections, Composition

theory *Perm* **imports** *func* **begin**

definition

comp $:: [i, i] \Rightarrow i$ (**infixr** $\langle O \rangle$ 60) **where**
 $r \ O \ s \equiv \{xz \in domain(s) * range(r) .$
 $\exists x \ y \ z. xz = \langle x, z \rangle \wedge \langle x, y \rangle : s \wedge \langle y, z \rangle : r\}$

definition

id $:: i \Rightarrow i$ **where**
 $id(A) \equiv (\lambda x \in A. x)$

definition

inj :: $[i,i] \Rightarrow i$ **where**
inj(*A*,*B*) $\equiv \{ f \in A \rightarrow B. \forall w \in A. \forall x \in A. f'w = f'x \rightarrow w = x \}$

definition

surj :: $[i,i] \Rightarrow i$ **where**
surj(*A*,*B*) $\equiv \{ f \in A \rightarrow B. \forall y \in B. \exists x \in A. f'x = y \}$

definition

bij :: $[i,i] \Rightarrow i$ **where**
bij(*A*,*B*) $\equiv inj(A,B) \cap surj(A,B)$

10.1 Surjective Function Space

lemma *surj-is-fun*: $f \in surj(A,B) \Longrightarrow f \in A \rightarrow B$
unfolding *surj-def*
apply (*erule CollectD1*)
done

lemma *fun-is-surj*: $f \in Pi(A,B) \Longrightarrow f \in surj(A,range(f))$
unfolding *surj-def*
apply (*blast intro: apply-equality range-of-fun domain-type*)
done

lemma *surj-range*: $f \in surj(A,B) \Longrightarrow range(f) = B$
unfolding *surj-def*
apply (*best intro: apply-Pair elim: range-type*)
done

A function with a right inverse is a surjection

lemma *f-imp-surjective*:
 $\llbracket f \in A \rightarrow B; \bigwedge y. y \in B \Longrightarrow d(y): A; \bigwedge y. y \in B \Longrightarrow f'd(y) = y \rrbracket$
 $\Longrightarrow f \in surj(A,B)$
by (*simp add: surj-def, blast*)

lemma *lam-surjective*:
 $\llbracket \bigwedge x. x \in A \Longrightarrow c(x): B; \bigwedge y. y \in B \Longrightarrow d(y): A; \bigwedge y. y \in B \Longrightarrow c(d(y)) = y \rrbracket$
 $\Longrightarrow (\lambda x \in A. c(x)) \in surj(A,B)$
apply (*rule-tac d = d in f-imp-surjective*)
apply (*simp-all add: lam-type*)
done

Cantor's theorem revisited

lemma *cantor-surj*: $f \notin surj(A,Pow(A))$
apply (*unfold surj-def, safe*)

apply (*cut-tac cantor*)
apply (*best del: subsetI*)
done

10.2 Injective Function Space

lemma *inj-is-fun*: $f \in \text{inj}(A,B) \implies f \in A \rightarrow B$
unfolding *inj-def*
apply (*erule CollectD1*)
done

Good for dealing with sets of pairs, but a bit ugly in use [used in AC]

lemma *inj-equality*:
 $\llbracket \langle a,b \rangle : f; \langle c,b \rangle : f; f \in \text{inj}(A,B) \rrbracket \implies a=c$
unfolding *inj-def*
apply (*blast dest: Pair-mem-PiD*)
done

lemma *inj-apply-equality*: $\llbracket f \in \text{inj}(A,B); f'a=f'b; a \in A; b \in A \rrbracket \implies a=b$
by (*unfold inj-def, blast*)

A function with a left inverse is an injection

lemma *f-imp-injective*: $\llbracket f \in A \rightarrow B; \forall x \in A. d(f'x)=x \rrbracket \implies f \in \text{inj}(A,B)$
apply (*simp (no-asm-simp) add: inj-def*)
apply (*blast intro: subst-context [THEN box-equals]*)
done

lemma *lam-injective*:
 $\llbracket \bigwedge x. x \in A \implies c(x) : B;$
 $\bigwedge x. x \in A \implies d(c(x)) = x \rrbracket$
 $\implies (\lambda x \in A. c(x)) \in \text{inj}(A,B)$
apply (*rule-tac d = d in f-imp-injective*)
apply (*simp-all add: lam-type*)
done

10.3 Bijections

lemma *bij-is-inj*: $f \in \text{bij}(A,B) \implies f \in \text{inj}(A,B)$
unfolding *bij-def*
apply (*erule IntD1*)
done

lemma *bij-is-surj*: $f \in \text{bij}(A,B) \implies f \in \text{surj}(A,B)$
unfolding *bij-def*
apply (*erule IntD2*)
done

lemma *bij-is-fun*: $f \in \text{bij}(A,B) \implies f \in A \rightarrow B$
by (*rule bij-is-inj [THEN inj-is-fun]*)

lemma lam-bijective:

$$\llbracket \bigwedge x. x \in A \implies c(x): B;$$

$$\bigwedge y. y \in B \implies d(y): A;$$

$$\bigwedge x. x \in A \implies d(c(x)) = x;$$

$$\bigwedge y. y \in B \implies c(d(y)) = y$$

$$\rrbracket \implies (\lambda x \in A. c(x)) \in \text{bij}(A, B)$$
unfolding *bij-def*
apply (*blast intro!: lam-injective lam-surjective*)
done

lemma RepFun-bijective: $(\forall y \in x. \exists! y'. f(y') = f(y))$
 $\implies (\lambda z \in \{f(y). y \in x\}. \text{THE } y. f(y) = z) \in \text{bij}(\{f(y). y \in x\}, x)$
apply (*rule-tac d = f in lam-bijective*)
apply (*auto simp add: the-equality2*)
done

10.4 Identity Function

lemma idI [*intro!*]: $a \in A \implies \langle a, a \rangle \in \text{id}(A)$
unfolding *id-def*
apply (*erule lamI*)
done

lemma idE [*elim!*]: $\llbracket p \in \text{id}(A); \bigwedge x. \llbracket x \in A; p = \langle x, x \rangle \rrbracket \implies P \rrbracket \implies P$
by (*simp add: id-def lam-def, blast*)

lemma id-type: $\text{id}(A) \in A \rightarrow A$
unfolding *id-def*
apply (*rule lam-type, assumption*)
done

lemma id-conv [*simp*]: $x \in A \implies \text{id}(A) 'x = x$
unfolding *id-def*
apply (*simp (no-asm-simp)*)
done

lemma id-mono: $A \leq B \implies \text{id}(A) \subseteq \text{id}(B)$
unfolding *id-def*
apply (*erule lam-mono*)
done

lemma id-subset-inj: $A \leq B \implies \text{id}(A): \text{inj}(A, B)$
apply (*simp add: inj-def id-def*)
apply (*blast intro: lam-type*)
done

lemmas id-inj = subset-refl [*THEN id-subset-inj*]

lemma *id-surj*: $id(A): surj(A,A)$
unfolding *id-def surj-def*
apply (*simp (no-asm-simp)*)
done

lemma *id-bij*: $id(A): bij(A,A)$
unfolding *bij-def*
apply (*blast intro: id-inj id-surj*)
done

lemma *subset-iff-id*: $A \subseteq B \longleftrightarrow id(A) \in A \rightarrow B$
unfolding *id-def*
apply (*force intro!: lam-type dest: apply-type*)
done

id as the identity relation

lemma *id-iff* [*simp*]: $\langle x,y \rangle \in id(A) \longleftrightarrow x=y \wedge y \in A$
by *auto*

10.5 Converse of a Function

lemma *inj-converse-fun*: $f \in inj(A,B) \implies converse(f) \in range(f) \rightarrow A$
unfolding *inj-def*
apply (*simp (no-asm-simp) add: Pi-iff function-def*)
apply (*erule CollectE*)
apply (*simp (no-asm-simp) add: apply-iff*)
apply (*blast dest: fun-is-rel*)
done

Equations for $converse(f)$

The premises are equivalent to saying that f is injective...

lemma *left-inverse-lemma*:
 $\llbracket f \in A \rightarrow B; converse(f): C \rightarrow A; a \in A \rrbracket \implies converse(f) (f'a) = a$
by (*blast intro: apply-Pair apply-equality converseI*)

lemma *left-inverse* [*simp*]: $\llbracket f \in inj(A,B); a \in A \rrbracket \implies converse(f) (f'a) = a$
by (*blast intro: left-inverse-lemma inj-converse-fun inj-is-fun*)

lemma *left-inverse-eq*:
 $\llbracket f \in inj(A,B); f'x = y; x \in A \rrbracket \implies converse(f) 'y = x$
by *auto*

lemmas *left-inverse-bij = bij-is-inj* [*THEN left-inverse*]

lemma *right-inverse-lemma*:
 $\llbracket f \in A \rightarrow B; converse(f): C \rightarrow A; b \in C \rrbracket \implies f'(converse(f)'b) = b$
by (*rule apply-Pair* [*THEN converseD*] [*THEN apply-equality*], *auto*)

lemma *right-inverse* [*simp*]:

$\llbracket f \in \text{inj}(A,B); b \in \text{range}(f) \rrbracket \implies f(\text{converse}(f) \cdot b) = b$

by (*blast intro: right-inverse-lemma inj-converse-fun inj-is-fun*)

lemma *right-inverse-bij*: $\llbracket f \in \text{bij}(A,B); b \in B \rrbracket \implies f(\text{converse}(f) \cdot b) = b$

by (*force simp add: bij-def surj-range*)

10.6 Converses of Injections, Surjections, Bijections

lemma *inj-converse-inj*: $f \in \text{inj}(A,B) \implies \text{converse}(f): \text{inj}(\text{range}(f), A)$

apply (*rule f-imp-injective*)

apply (*erule inj-converse-fun, clarify*)

apply (*rule right-inverse*)

apply *assumption*

apply *blast*

done

lemma *inj-converse-surj*: $f \in \text{inj}(A,B) \implies \text{converse}(f): \text{surj}(\text{range}(f), A)$

by (*blast intro: f-imp-surjective inj-converse-fun left-inverse inj-is-fun range-of-fun [THEN apply-type]*)

Adding this as an intro! rule seems to cause looping

lemma *bij-converse-bij* [*TC*]: $f \in \text{bij}(A,B) \implies \text{converse}(f): \text{bij}(B,A)$

unfolding *bij-def*

apply (*fast elim: surj-range [THEN subst] inj-converse-inj inj-converse-surj*)

done

10.7 Composition of Two Relations

The inductive definition package could derive these theorems for $r \circ s$

lemma *compI* [*intro*]: $\llbracket \langle a,b \rangle : s; \langle b,c \rangle : r \rrbracket \implies \langle a,c \rangle \in r \circ s$

by (*unfold comp-def, blast*)

lemma *compE* [*elim!*]:

$\llbracket xz \in r \circ s;$

$\bigwedge x y z. \llbracket xz = \langle x,z \rangle; \langle x,y \rangle : s; \langle y,z \rangle : r \rrbracket \implies P \rrbracket$

$\implies P$

by (*unfold comp-def, blast*)

lemma *compEpair*:

$\llbracket \langle a,c \rangle \in r \circ s;$

$\bigwedge y. \llbracket \langle a,y \rangle : s; \langle y,c \rangle : r \rrbracket \implies P \rrbracket$

$\implies P$

by (*erule compE, simp*)

lemma *converse-comp*: $\text{converse}(R \circ S) = \text{converse}(S) \circ \text{converse}(R)$

by *blast*

10.8 Domain and Range – see Suppes, Section 3.1

Boyer et al., Set Theory in First-Order Logic, JAR 2 (1986), 287-327

lemma *range-comp*: $\text{range}(r \circ s) \subseteq \text{range}(r)$
by *blast*

lemma *range-comp-eq*: $\text{domain}(r) \subseteq \text{range}(s) \implies \text{range}(r \circ s) = \text{range}(r)$
by (*rule range-comp [THEN equalityI], blast*)

lemma *domain-comp*: $\text{domain}(r \circ s) \subseteq \text{domain}(s)$
by *blast*

lemma *domain-comp-eq*: $\text{range}(s) \subseteq \text{domain}(r) \implies \text{domain}(r \circ s) = \text{domain}(s)$
by (*rule domain-comp [THEN equalityI], blast*)

lemma *image-comp*: $(r \circ s)''A = r''(s''A)$
by *blast*

lemma *inj-inj-range*: $f \in \text{inj}(A, B) \implies f \in \text{inj}(A, \text{range}(f))$
by (*auto simp add: inj-def Pi-iff function-def*)

lemma *inj-bij-range*: $f \in \text{inj}(A, B) \implies f \in \text{bij}(A, \text{range}(f))$
by (*auto simp add: bij-def intro: inj-inj-range inj-is-fun fun-is-surj*)

10.9 Other Results

lemma *comp-mono*: $\llbracket r' \leq r; s' \leq s \rrbracket \implies (r' \circ s') \subseteq (r \circ s)$
by *blast*

composition preserves relations

lemma *comp-rel*: $\llbracket s \leq A * B; r \leq B * C \rrbracket \implies (r \circ s) \subseteq A * C$
by *blast*

associative law for composition

lemma *comp-assoc*: $(r \circ s) \circ t = r \circ (s \circ t)$
by *blast*

lemma *left-comp-id*: $r \leq A * B \implies \text{id}(B) \circ r = r$
by *blast*

lemma *right-comp-id*: $r \leq A * B \implies r \circ \text{id}(A) = r$
by *blast*

10.10 Composition Preserves Functions, Injections, and Surjections

lemma *comp-function*: $\llbracket \text{function}(g); \text{function}(f) \rrbracket \implies \text{function}(f \circ g)$

by (*unfold function-def*, *blast*)

Don't think the premises can be weakened much

```
lemma comp-fun:  $\llbracket g \in A \rightarrow B; f \in B \rightarrow C \rrbracket \implies (f \circ g) \in A \rightarrow C$   
apply (auto simp add: Pi-def comp-function Pow-iff comp-rel)  
apply (subst range-rel-subset [THEN domain-comp-eq], auto)  
done
```

```
lemma comp-fun-apply [simp]:  
   $\llbracket g \in A \rightarrow B; a \in A \rrbracket \implies (f \circ g) 'a = f '(g 'a)$   
apply (frule apply-Pair, assumption)  
apply (simp add: apply-def image-comp)  
apply (blast dest: apply-equality)  
done
```

Simplifies compositions of lambda-abstractions

```
lemma comp-lam:  
   $\llbracket \bigwedge x. x \in A \implies b(x): B \rrbracket$   
   $\implies (\lambda y \in B. c(y)) \circ (\lambda x \in A. b(x)) = (\lambda x \in A. c(b(x)))$   
apply (subgoal-tac  $(\lambda x \in A. b(x)) \in A \rightarrow B$ )  
apply (rule fun-extension)  
  apply (blast intro: comp-fun lam-funtype)  
apply (rule lam-funtype)  
apply simp  
apply (simp add: lam-type)  
done
```

```
lemma comp-inj:  
   $\llbracket g \in \text{inj}(A, B); f \in \text{inj}(B, C) \rrbracket \implies (f \circ g) \in \text{inj}(A, C)$   
apply (frule inj-is-fun [of g])  
apply (frule inj-is-fun [of f])  
apply (rule-tac  $d = \lambda y. \text{converse } (g) ' (\text{converse } (f) ' y)$  in f-imp-injective)  
  apply (blast intro: comp-fun, simp)  
done
```

```
lemma comp-surj:  
   $\llbracket g \in \text{surj}(A, B); f \in \text{surj}(B, C) \rrbracket \implies (f \circ g) \in \text{surj}(A, C)$   
  unfolding surj-def  
apply (blast intro!: comp-fun comp-fun-apply)  
done
```

```
lemma comp-bij:  
   $\llbracket g \in \text{bij}(A, B); f \in \text{bij}(B, C) \rrbracket \implies (f \circ g) \in \text{bij}(A, C)$   
  unfolding bij-def  
apply (blast intro: comp-inj comp-surj)  
done
```

10.11 Dual Properties of *inj* and *surj*

Useful for proofs from D Pastre. Automatic theorem proving in set theory. Artificial Intelligence, 10:1–27, 1978.

lemma *comp-mem-injD1*:

$\llbracket (f \circ g): \text{inj}(A,C); g \in A \rightarrow B; f \in B \rightarrow C \rrbracket \implies g \in \text{inj}(A,B)$
by (*unfold inj-def, force*)

lemma *comp-mem-injD2*:

$\llbracket (f \circ g): \text{inj}(A,C); g \in \text{surj}(A,B); f \in B \rightarrow C \rrbracket \implies f \in \text{inj}(B,C)$
apply (*unfold inj-def surj-def, safe*)
apply (*rule-tac x1 = x in bspec [THEN bexE]*)
apply (*erule-tac [3] x1 = w in bspec [THEN bexE], assumption+, safe*)
apply (*rule-tac t = (·) (g) in subst-context*)
apply (*erule asm-rl bspec [THEN bspec, THEN mp]+*)
apply (*simp (no-asm-simp)*)
done

lemma *comp-mem-surjD1*:

$\llbracket (f \circ g): \text{surj}(A,C); g \in A \rightarrow B; f \in B \rightarrow C \rrbracket \implies f \in \text{surj}(B,C)$
unfolding *surj-def*
apply (*blast intro!: comp-fun-apply [symmetric] apply-funtype*)
done

lemma *comp-mem-surjD2*:

$\llbracket (f \circ g): \text{surj}(A,C); g \in A \rightarrow B; f \in \text{inj}(B,C) \rrbracket \implies g \in \text{surj}(A,B)$
apply (*unfold inj-def surj-def, safe*)
apply (*drule-tac x = f'y in bspec, auto*)
apply (*blast intro: apply-funtype*)
done

10.11.1 Inverses of Composition

left inverse of composition; one inclusion is $f \in A \rightarrow B \implies \text{id}(A) \subseteq \text{converse}(f) \circ f$

lemma *left-comp-inverse*: $f \in \text{inj}(A,B) \implies \text{converse}(f) \circ f = \text{id}(A)$
apply (*unfold inj-def, clarify*)
apply (*rule equalityI*)
apply (*auto simp add: apply-iff, blast*)
done

right inverse of composition; one inclusion is $f \in A \rightarrow B \implies f \circ \text{converse}(f) \subseteq \text{id}(B)$

lemma *right-comp-inverse*:

$f \in \text{surj}(A,B) \implies f \circ \text{converse}(f) = \text{id}(B)$
apply (*simp add: surj-def, clarify*)
apply (*rule equalityI*)


```

apply (best elim: domain-type range-type dest: apply-equality2)
apply (blast intro: apply-Pair)
done

```

10.11.2 Proving that a Function is a Bijection

```

lemma comp-eq-id-iff:
   $\llbracket f \in A \rightarrow B; g \in B \rightarrow A \rrbracket \implies f \circ g = id(B) \iff (\forall y \in B. f'(g'y) = y)$ 
apply (unfold id-def, safe)
apply (drule-tac t =  $\lambda h. h'y$  in subst-context)
apply simp
apply (rule fun-extension)
apply (blast intro: comp-fun lam-type)
apply auto
done

```

```

lemma fg-imp-bijective:
   $\llbracket f \in A \rightarrow B; g \in B \rightarrow A; f \circ g = id(B); g \circ f = id(A) \rrbracket \implies f \in bij(A, B)$ 
unfolding bij-def
apply (simp add: comp-eq-id-iff)
apply (blast intro: f-imp-injective f-imp-surjective apply-funtype)
done

```

```

lemma nilpotent-imp-bijective:  $\llbracket f \in A \rightarrow A; f \circ f = id(A) \rrbracket \implies f \in bij(A, A)$ 
by (blast intro: fg-imp-bijective)

```

```

lemma invertible-imp-bijective:
   $\llbracket converse(f): B \rightarrow A; f \in A \rightarrow B \rrbracket \implies f \in bij(A, B)$ 
by (simp add: fg-imp-bijective comp-eq-id-iff
  left-inverse-lemma right-inverse-lemma)

```

10.11.3 Unions of Functions

See similar theorems in func.thy

Theorem by KG, proof by LCP

```

lemma inj-disjoint-Un:
   $\llbracket f \in inj(A, B); g \in inj(C, D); B \cap D = 0 \rrbracket$ 
   $\implies (\lambda a \in A \cup C. \text{if } a \in A \text{ then } f'a \text{ else } g'a) \in inj(A \cup C, B \cup D)$ 
apply (rule-tac d =  $\lambda z. \text{if } z \in B \text{ then } converse(f) 'z \text{ else } converse(g) 'z$ 
in lam-injective)
apply (auto simp add: inj-is-fun [THEN apply-type])
done

```

```

lemma surj-disjoint-Un:
   $\llbracket f \in surj(A, B); g \in surj(C, D); A \cap C = 0 \rrbracket$ 
   $\implies (f \cup g) \in surj(A \cup C, B \cup D)$ 
apply (simp add: surj-def fun-disjoint-Un)
apply (blast dest!: domain-of-fun)

```

intro!: *fun-disjoint-apply1 fun-disjoint-apply2*)

done

A simple, high-level proof; the version for injections follows from it, using $f \in \text{inj}(A, B) \longleftrightarrow f \in \text{bij}(A, \text{range}(f))$

lemma *bij-disjoint-Un*:

$\llbracket f \in \text{bij}(A,B); g \in \text{bij}(C,D); A \cap C = 0; B \cap D = 0 \rrbracket$
 $\implies (f \cup g) \in \text{bij}(A \cup C, B \cup D)$

apply (*rule invertible-imp-bijective*)

apply (*subst converse-Un*)

apply (*auto intro: fun-disjoint-Un bij-is-fun bij-converse-bij*)

done

10.11.4 Restrictions as Surjections and Bijections

lemma *surj-image*:

$f \in \text{Pi}(A,B) \implies f \in \text{surj}(A, f''A)$

apply (*simp add: surj-def*)

apply (*blast intro: apply-equality apply-Pair Pi-type*)

done

lemma *surj-image-eq*: $f \in \text{surj}(A, B) \implies f''A = B$

by (*auto simp add: surj-def image-fun*) (*blast dest: apply-type*)

lemma *restrict-image* [*simp*]: $\text{restrict}(f,A) '' B = f '' (A \cap B)$

by (*auto simp add: restrict-def*)

lemma *restrict-inj*:

$\llbracket f \in \text{inj}(A,B); C \leq A \rrbracket \implies \text{restrict}(f,C): \text{inj}(C,B)$

unfolding *inj-def*

apply (*safe elim!: restrict-type2, auto*)

done

lemma *restrict-surj*: $\llbracket f \in \text{Pi}(A,B); C \leq A \rrbracket \implies \text{restrict}(f,C): \text{surj}(C, f''C)$

apply (*insert restrict-type2 [THEN surj-image]*)

apply (*simp add: restrict-image*)

done

lemma *restrict-bij*:

$\llbracket f \in \text{inj}(A,B); C \leq A \rrbracket \implies \text{restrict}(f,C): \text{bij}(C, f''C)$

apply (*simp add: inj-def bij-def*)

apply (*blast intro: restrict-surj surj-is-fun*)

done

10.11.5 Lemmas for Ramsey's Theorem

lemma *inj-weaken-type*: $\llbracket f \in \text{inj}(A,B); B \leq D \rrbracket \implies f \in \text{inj}(A,D)$

unfolding *inj-def*

apply (*blast intro: fun-weaken-type*)

done

lemma *inj-succ-restrict*:

$\llbracket f \in \text{inj}(\text{succ}(m), A) \rrbracket \implies \text{restrict}(f, m) \in \text{inj}(m, A - \{f'm\})$

apply (*rule restrict-bij [THEN bij-is-inj, THEN inj-weaken-type], assumption, blast*)

unfolding *inj-def*

apply (*fast elim: range-type mem-irrefl dest: apply-equality*)

done

lemma *inj-extend*:

$\llbracket f \in \text{inj}(A, B); a \notin A; b \notin B \rrbracket$

$\implies \text{cons}(\langle a, b \rangle, f) \in \text{inj}(\text{cons}(a, A), \text{cons}(b, B))$

unfolding *inj-def*

apply (*force intro: apply-type simp add: fun-extend*)

done

end

11 Relations: Their General Properties and Transitive Closure

theory *Trancl* **imports** *Fixedpt Perm* **begin**

definition

refl $:: [i, i] \Rightarrow o$ **where**

$\text{refl}(A, r) \equiv (\forall x \in A. \langle x, x \rangle \in r)$

definition

irrefl $:: [i, i] \Rightarrow o$ **where**

$\text{irrefl}(A, r) \equiv \forall x \in A. \langle x, x \rangle \notin r$

definition

sym $:: i \Rightarrow o$ **where**

$\text{sym}(r) \equiv \forall x y. \langle x, y \rangle : r \longrightarrow \langle y, x \rangle : r$

definition

asym $:: i \Rightarrow o$ **where**

$\text{asym}(r) \equiv \forall x y. \langle x, y \rangle : r \longrightarrow \neg \langle y, x \rangle : r$

definition

antisym $:: i \Rightarrow o$ **where**

$\text{antisym}(r) \equiv \forall x y. \langle x, y \rangle : r \longrightarrow \langle y, x \rangle : r \longrightarrow x = y$

definition

trans $:: i \Rightarrow o$ **where**

$\text{trans}(r) \equiv \forall x y z. \langle x, y \rangle : r \longrightarrow \langle y, z \rangle : r \longrightarrow \langle x, z \rangle : r$

definition

$trans\text{-}on :: [i,i] \Rightarrow o \ (\langle trans[-]'(-)' \rangle)$ **where**
 $trans[A](r) \equiv \forall x \in A. \forall y \in A. \forall z \in A.$
 $\langle x,y \rangle: r \longrightarrow \langle y,z \rangle: r \longrightarrow \langle x,z \rangle: r$

definition

$r\text{tr}ancl :: i \Rightarrow i \ (\langle (-)\widehat{*} \rangle [100] 100)$ **where**
 $r\widehat{*} \equiv lfp(field(r)*field(r), \lambda s. id(field(r)) \cup (r \ O \ s))$

definition

$trancl :: i \Rightarrow i \ (\langle (-)\widehat{+} \rangle [100] 100)$ **where**
 $r\widehat{+} \equiv r \ O \ r\widehat{*}$

definition

$equiv :: [i,i] \Rightarrow o$ **where**
 $equiv(A,r) \equiv r \subseteq A*A \wedge refl(A,r) \wedge sym(r) \wedge trans(r)$

11.1 General properties of relations

11.1.1 irreflexivity

lemma *irreflI*:

$\llbracket \bigwedge x. x \in A \implies \langle x,x \rangle \notin r \rrbracket \implies irrefl(A,r)$

by (*simp add: irrefl-def*)

lemma *irreflE*: $\llbracket irrefl(A,r); x \in A \rrbracket \implies \langle x,x \rangle \notin r$

by (*simp add: irrefl-def*)

11.1.2 symmetry

lemma *symI*:

$\llbracket \bigwedge x y. \langle x,y \rangle: r \implies \langle y,x \rangle: r \rrbracket \implies sym(r)$

by (*unfold sym-def, blast*)

lemma *symE*: $\llbracket sym(r); \langle x,y \rangle: r \rrbracket \implies \langle y,x \rangle: r$

by (*unfold sym-def, blast*)

11.1.3 antisymmetry

lemma *antisymI*:

$\llbracket \bigwedge x y. \llbracket \langle x,y \rangle: r; \langle y,x \rangle: r \rrbracket \implies x=y \rrbracket \implies antisym(r)$

by (*simp add: antisym-def, blast*)

lemma *antisymE*: $\llbracket antisym(r); \langle x,y \rangle: r; \langle y,x \rangle: r \rrbracket \implies x=y$

by (*simp add: antisym-def, blast*)

11.1.4 transitivity

lemma *transD*: $\llbracket trans(r); \langle a,b \rangle: r; \langle b,c \rangle: r \rrbracket \implies \langle a,c \rangle: r$

by (*unfold trans-def, blast*)

lemma *trans-onD*:

$\llbracket \text{trans}[A](r); \langle a,b \rangle : r; \langle b,c \rangle : r; a \in A; b \in A; c \in A \rrbracket \implies \langle a,c \rangle : r$
by (*unfold trans-on-def, blast*)

lemma *trans-imp-trans-on*: $\text{trans}(r) \implies \text{trans}[A](r)$

by (*unfold trans-def trans-on-def, blast*)

lemma *trans-on-imp-trans*: $\llbracket \text{trans}[A](r); r \subseteq A * A \rrbracket \implies \text{trans}(r)$

by (*simp add: trans-on-def trans-def, blast*)

11.2 Transitive closure of a relation

lemma *rtrancl-bnd-mono*:

$\text{bnd-mono}(\text{field}(r) * \text{field}(r), \lambda s. \text{id}(\text{field}(r)) \cup (r \circ s))$

by (*rule bnd-monoI, blast+*)

lemma *rtrancl-mono*: $r \leq s \implies r^{\widehat{*}} \subseteq s^{\widehat{*}}$

unfolding *rtrancl-def*

apply (*rule lfp-mono*)

apply (*rule rtrancl-bnd-mono*)**+**

apply *blast*

done

lemmas *rtrancl-unfold* =

rtrancl-bnd-mono [*THEN* *rtrancl-def* [*THEN* *def-lfp-unfold*]]

lemmas *rtrancl-type* = *rtrancl-def* [*THEN* *def-lfp-subset*]

lemma *relation-rtrancl*: $\text{relation}(r^{\widehat{*}})$

apply (*simp add: relation-def*)

apply (*blast dest: rtrancl-type* [*THEN* *subsetD*])

done

lemma *rtrancl-refl*: $\llbracket a \in \text{field}(r) \rrbracket \implies \langle a,a \rangle \in r^{\widehat{*}}$

apply (*rule rtrancl-unfold* [*THEN* *ssubst*])

apply (*erule idI* [*THEN* *UnI1*])

done

lemma *rtrancl-into-rtrancl*: $\llbracket \langle a,b \rangle \in r^{\widehat{*}}; \langle b,c \rangle \in r \rrbracket \implies \langle a,c \rangle \in r^{\widehat{*}}$

apply (*rule rtrancl-unfold* [*THEN* *ssubst*])

apply (*rule compI* [*THEN* *UnI2*], *assumption*, *assumption*)

done

lemma *r-into-rtrancl*: $\langle a, b \rangle \in r \implies \langle a, b \rangle \in r^{\widehat{*}}$
by (*rule rtrancl-refl* [*THEN rtrancl-into-rtrancl*], *blast+*)

lemma *r-subset-rtrancl*: $\text{relation}(r) \implies r \subseteq r^{\widehat{*}}$
by (*simp add: relation-def*, *blast intro: r-into-rtrancl*)

lemma *rtrancl-field*: $\text{field}(r^{\widehat{*}}) = \text{field}(r)$
by (*blast intro: r-into-rtrancl dest!: rtrancl-type* [*THEN subsetD*])

lemma *rtrancl-full-induct* [*case-names initial step, consumes 1*]:

$$\begin{aligned} & \llbracket \langle a, b \rangle \in r^{\widehat{*}}; \\ & \quad \bigwedge x. x \in \text{field}(r) \implies P(\langle x, x \rangle); \\ & \quad \bigwedge x y z. \llbracket P(\langle x, y \rangle); \langle x, y \rangle: r^{\widehat{*}}; \langle y, z \rangle: r \rrbracket \implies P(\langle x, z \rangle) \rrbracket \\ & \implies P(\langle a, b \rangle) \end{aligned}$$

by (*erule def-induct* [*OF rtrancl-def rtrancl-bnd-mono*], *blast*)

lemma *rtrancl-induct* [*case-names initial step, induct set: rtrancl*]:

$$\begin{aligned} & \llbracket \langle a, b \rangle \in r^{\widehat{*}}; \\ & \quad P(a); \\ & \quad \bigwedge y z. \llbracket \langle a, y \rangle \in r^{\widehat{*}}; \langle y, z \rangle \in r; P(y) \rrbracket \implies P(z) \rrbracket \\ & \implies P(b) \end{aligned}$$

apply (*subgoal-tac* $\forall y. \langle a, b \rangle = \langle a, y \rangle \longrightarrow P(y)$)

apply (*erule spec* [*THEN mp*], *rule refl*)

apply (*erule rtrancl-full-induct*, *blast+*)
done

lemma *trans-rtrancl*: $\text{trans}(r^{\widehat{*}})$
unfolding *trans-def*
apply (*intro allI impI*)
apply (*erule-tac* $b = z$ **in** *rtrancl-induct*, *assumption*)
apply (*blast intro: rtrancl-into-rtrancl*)
done

lemmas *rtrancl-trans* = *trans-rtrancl* [*THEN transD*]

lemma *rtranclE*:

$$\llbracket \langle a, b \rangle \in r^{\widehat{*}}; (a=b) \rrbracket \implies P;$$

$\bigwedge y. [\langle a, y \rangle \in r^{\hat{*}}; \langle y, b \rangle \in r] \implies P$
 $\implies P$
apply (*subgoal-tac* $a = b \mid (\exists y. \langle a, y \rangle \in r^{\hat{*}} \wedge \langle y, b \rangle \in r)$)
apply *blast*
apply (*erule rtrancl-induct, blast+*)
done

lemma *trans-trancl*: $\text{trans}(r^{\hat{+}})$
unfolding *trans-def trancl-def*
apply (*blast intro: rtrancl-into-rtrancl*
 $\text{trans-rtrancl [THEN transD, THEN compI]}$)
done

lemmas *trans-on-trancl* = *trans-trancl [THEN trans-imp-trans-on]*

lemmas *trancl-trans* = *trans-trancl [THEN transD]*

lemma *trancl-into-rtrancl*: $\langle a, b \rangle \in r^{\hat{+}} \implies \langle a, b \rangle \in r^{\hat{*}}$
unfolding *trancl-def*
apply (*blast intro: rtrancl-into-rtrancl*)
done

lemma *r-into-trancl*: $\langle a, b \rangle \in r \implies \langle a, b \rangle \in r^{\hat{+}}$
unfolding *trancl-def*
apply (*blast intro!: rtrancl-refl*)
done

lemma *r-subset-trancl*: $\text{relation}(r) \implies r \subseteq r^{\hat{+}}$
by (*simp add: relation-def, blast intro: r-into-trancl*)

lemma *rtrancl-into-trancl1*: $[\langle a, b \rangle \in r^{\hat{*}}; \langle b, c \rangle \in r] \implies \langle a, c \rangle \in r^{\hat{+}}$
by (*unfold trancl-def, blast*)

lemma *rtrancl-into-trancl2*:
 $[\langle a, b \rangle \in r; \langle b, c \rangle \in r^{\hat{*}}] \implies \langle a, c \rangle \in r^{\hat{+}}$
apply (*erule rtrancl-induct*)
apply (*erule r-into-trancl*)

apply (*blast intro: r-into-trancl trancl-trans*)
done

lemma *trancl-induct* [*case-names initial step, induct set: trancl*]:

$\llbracket \langle a, b \rangle \in r^{\hat{+}};$
 $\quad \wedge y. \llbracket \langle a, y \rangle \in r \rrbracket \implies P(y);$
 $\quad \wedge y z. \llbracket \langle a, y \rangle \in r^{\hat{+}}; \langle y, z \rangle \in r; P(y) \rrbracket \implies P(z)$
 $\rrbracket \implies P(b)$

apply (*rule compEpair*)
apply (*unfold trancl-def, assumption*)

apply (*subgoal-tac* $\forall z. \langle y, z \rangle \in r \longrightarrow P(z)$)

apply *blast*
apply (*erule rtrancl-induct*)
apply (*blast intro: rtrancl-into-trancl1*)+
done

lemma *tranclE*:

$\llbracket \langle a, b \rangle \in r^{\hat{+}};$
 $\quad \langle a, b \rangle \in r \implies P;$
 $\quad \wedge y. \llbracket \langle a, y \rangle \in r^{\hat{+}}; \langle y, b \rangle \in r \rrbracket \implies P$
 $\rrbracket \implies P$

apply (*subgoal-tac* $\langle a, b \rangle \in r \mid (\exists y. \langle a, y \rangle \in r^{\hat{+}} \wedge \langle y, b \rangle \in r)$)

apply *blast*
apply (*rule compEpair*)
apply (*unfold trancl-def, assumption*)
apply (*erule rtranclE*)
apply (*blast intro: rtrancl-into-trancl1*)+
done

lemma *trancl-type*: $r^{\hat{+}} \subseteq \text{field}(r) * \text{field}(r)$

unfolding *trancl-def*
apply (*blast elim: rtrancl-type [THEN subsetD, THEN SigmaE2]*)
done

lemma *relation-trancl*: $\text{relation}(r^{\hat{+}})$

apply (*simp add: relation-def*)
apply (*blast dest: trancl-type [THEN subsetD]*)
done

lemma *trancl-subset-times*: $r \subseteq A * A \implies r^{\hat{+}} \subseteq A * A$

by (*insert trancl-type [of r], blast*)

lemma *trancl-mono*: $r \leq s \implies r^{\hat{+}} \subseteq s^{\hat{+}}$

by (*unfold trancl-def, intro comp-mono rtrancl-mono*)

lemma *trancl-eq-r*: $\llbracket \text{relation}(r); \text{trans}(r) \rrbracket \implies r^{\hat{+}} = r$
apply (*rule equalityI*)
prefer 2 **apply** (*erule r-subset-trancl, clarify*)
apply (*frule trancl-type [THEN subsetD], clarify*)
apply (*erule trancl-induct, assumption*)
apply (*blast dest: transD*)
done

lemma *rtrancl-idemp* [*simp*]: $(r^{\hat{*}})^{\hat{*}} = r^{\hat{*}}$
apply (*rule equalityI, auto*)
prefer 2
apply (*frule rtrancl-type [THEN subsetD]*)
apply (*blast intro: r-into-rtrancl*)

converse direction

apply (*frule rtrancl-type [THEN subsetD], clarify*)
apply (*erule rtrancl-induct*)
apply (*simp add: rtrancl-refl rtrancl-field*)
apply (*blast intro: rtrancl-trans*)
done

lemma *rtrancl-subset*: $\llbracket R \subseteq S; S \subseteq R^{\hat{*}} \rrbracket \implies S^{\hat{*}} = R^{\hat{*}}$
apply (*erule rtrancl-mono*)
apply (*erule rtrancl-mono, simp-all, blast*)
done

lemma *rtrancl-Un-rtrancl*:
 $\llbracket \text{relation}(r); \text{relation}(s) \rrbracket \implies (r^{\hat{*}} \cup s^{\hat{*}})^{\hat{*}} = (r \cup s)^{\hat{*}}$
apply (*rule rtrancl-subset*)
apply (*blast dest: r-subset-rtrancl*)
apply (*blast intro: rtrancl-mono [THEN subsetD]*)
done

lemma *rtrancl-converseD*: $\langle x, y \rangle : \text{converse}(r)^{\hat{*}} \implies \langle x, y \rangle : \text{converse}(r^{\hat{*}})$
apply (*rule converseI*)
apply (*frule rtrancl-type [THEN subsetD]*)
apply (*erule rtrancl-induct*)
apply (*blast intro: rtrancl-refl*)
apply (*blast intro: r-into-rtrancl rtrancl-trans*)
done

lemma *rtrancl-converseI*: $\langle x, y \rangle : \text{converse}(r^{\hat{*}}) \implies \langle x, y \rangle : \text{converse}(r)^{\hat{*}}$

```

apply (drule converseD)
apply (frule rtrancl-type [THEN subsetD])
apply (erule rtrancl-induct)
apply (blast intro: rtrancl-refl)
apply (blast intro: r-into-rtrancl rtrancl-trans)
done

```

```

lemma rtrancl-converse:  $\text{converse}(r)^{\hat{*}} = \text{converse}(r^{\hat{*}})$ 
apply (safe intro!: equalityI)
apply (frule rtrancl-type [THEN subsetD])
apply (safe dest!: rtrancl-converseD intro!: rtrancl-converseI)
done

```

```

lemma trancl-converseD:  $\langle a, b \rangle : \text{converse}(r)^{\hat{+}} \implies \langle a, b \rangle : \text{converse}(r^{\hat{+}})$ 
apply (erule trancl-induct)
apply (auto intro: r-into-trancl trancl-trans)
done

```

```

lemma trancl-converseI:  $\langle x, y \rangle : \text{converse}(r^{\hat{+}}) \implies \langle x, y \rangle : \text{converse}(r)^{\hat{+}}$ 
apply (drule converseD)
apply (erule trancl-induct)
apply (auto intro: r-into-trancl trancl-trans)
done

```

```

lemma trancl-converse:  $\text{converse}(r)^{\hat{+}} = \text{converse}(r^{\hat{+}})$ 
apply (safe intro!: equalityI)
apply (frule trancl-type [THEN subsetD])
apply (safe dest!: trancl-converseD intro!: trancl-converseI)
done

```

```

lemma converse-trancl-induct [case-names initial step, consumes 1]:

$$\begin{aligned} & \llbracket \langle a, b \rangle : r^{\hat{+}}; \bigwedge y. \langle y, b \rangle : r \implies P(y); \\ & \quad \bigwedge y z. \llbracket \langle y, z \rangle \in r; \langle z, b \rangle \in r^{\hat{+}}; P(z) \rrbracket \implies P(y) \rrbracket \\ & \implies P(a) \end{aligned}$$

apply (drule converseI)
apply (simp (no-asm-use) add: trancl-converse [symmetric])
apply (erule trancl-induct)
apply (auto simp add: trancl-converse)
done

```

end

12 Well-Founded Recursion

theory *WF* **imports** *Trancl* **begin**

definition

$wf \quad :: i \Rightarrow o$ **where**

$$wf(r) \equiv \forall Z. Z=0 \mid (\exists x \in Z. \forall y. \langle y, x \rangle : r \longrightarrow \neg y \in Z)$$

definition

$wf\text{-on} \quad :: [i, i] \Rightarrow o \quad (\langle wf[-]'(-)' \rangle)$ **where**

$$wf\text{-on}(A, r) \equiv wf(r \cap A * A)$$

definition

$is\text{-recfun} \quad :: [i, i, [i, i] \Rightarrow i, i] \Rightarrow o$ **where**

$$is\text{-recfun}(r, a, H, f) \equiv (f = (\lambda x \in r - \{a\}. H(x, restrict(f, r - \{x\}))))$$

definition

$the\text{-recfun} \quad :: [i, i, [i, i] \Rightarrow i] \Rightarrow i$ **where**

$$the\text{-recfun}(r, a, H) \equiv (THE f. is\text{-recfun}(r, a, H, f))$$

definition

$wftrec \quad :: [i, i, [i, i] \Rightarrow i] \Rightarrow i$ **where**

$$wftrec(r, a, H) \equiv H(a, the\text{-recfun}(r, a, H))$$

definition

$wfrec \quad :: [i, i, [i, i] \Rightarrow i] \Rightarrow i$ **where**

$$wfrec(r, a, H) \equiv wftrec(r^+, a, \lambda x f. H(x, restrict(f, r - \{x\})))$$

definition

$wfrec\text{-on} \quad :: [i, i, i, [i, i] \Rightarrow i] \Rightarrow i \quad (\langle wfrec[-]'(-, -, -)' \rangle)$ **where**

$$wfrec[A](r, a, H) \equiv wfrec(r \cap A * A, a, H)$$

12.1 Well-Founded Relations

12.1.1 Equivalences between wf and $wf\text{-on}$

lemma $wf\text{-imp-}wf\text{-on}$: $wf(r) \Longrightarrow wf[A](r)$

by ($unfold\ wf\text{-def}\ wf\text{-on-}def, force$)

lemma $wf\text{-on-imp-}wf$: $\llbracket wf[A](r); r \subseteq A * A \rrbracket \Longrightarrow wf(r)$

by ($simp\ add: wf\text{-on-}def\ subset\text{-Int-}iff$)

lemma $wf\text{-on-field-imp-}wf$: $wf[field(r)](r) \Longrightarrow wf(r)$

by ($unfold\ wf\text{-def}\ wf\text{-on-}def, fast$)

lemma $wf\text{-iff-}wf\text{-on-field}$: $wf(r) \longleftrightarrow wf[field(r)](r)$

by ($blast\ intro: wf\text{-imp-}wf\text{-on}\ wf\text{-on-field-imp-}wf$)

lemma $wf\text{-on-subset-}A$: $\llbracket wf[A](r); B \leq A \rrbracket \Longrightarrow wf[B](r)$

by ($unfold\ wf\text{-on-}def\ wf\text{-def}, fast$)

lemma $wf\text{-on-subset-}r$: $\llbracket wf[A](r); s \leq r \rrbracket \Longrightarrow wf[A](s)$

by (*unfold wf-on-def wf-def, fast*)

lemma *wf-subset*: $\llbracket wf(s); r \leq s \rrbracket \implies wf(r)$
by (*simp add: wf-def, fast*)

12.1.2 Introduction Rules for *wf-on*

If every non-empty subset of A has an r -minimal element then we have $wf[A](r)$.

lemma *wf-onI*:

assumes *prem*: $\bigwedge Z u. \llbracket Z \leq A; u \in Z; \forall x \in Z. \exists y \in Z. \langle y, x \rangle : r \rrbracket \implies False$

shows $wf[A](r)$

unfolding *wf-on-def wf-def*

apply (*rule equalsOI [THEN disjCI, THEN allI]*)

apply (*rule-tac Z = Z in prem, blast+*)

done

If r allows well-founded induction over A then we have $wf[A](r)$. Premise is equivalent to $\bigwedge B. \forall x \in A. (\forall y. \langle y, x \rangle \in r \longrightarrow y \in B) \longrightarrow x \in B \implies A \subseteq B$

lemma *wf-onI2*:

assumes *prem*: $\bigwedge y B. \llbracket \forall x \in A. (\forall y \in A. \langle y, x \rangle : r \longrightarrow y \in B) \longrightarrow x \in B; y \in A \rrbracket$
 $\implies y \in B$

shows $wf[A](r)$

apply (*rule wf-onI*)

apply (*rule-tac c=u in prem [THEN DiffE]*)

prefer 3 **apply** *blast*

apply *fast+*

done

12.1.3 Well-founded Induction

Consider the least z in $domain(r)$ such that $P(z)$ does not hold...

lemma *wf-induct-raw*:

$\llbracket wf(r);$
 $\bigwedge x. \llbracket \forall y. \langle y, x \rangle : r \longrightarrow P(y) \rrbracket \implies P(x) \rrbracket$
 $\implies P(a)$

unfolding *wf-def*

apply (*erule-tac x = {z ∈ domain(r). ¬ P(z)} in allE*)

apply *blast*

done

lemmas *wf-induct = wf-induct-raw [rule-format, consumes 1, case-names step, induct set: wf]*

The form of this rule is designed to match *wfI*

lemma *wf-induct2*:

$\llbracket wf(r); a \in A; field(r) \leq A;$
 $\quad \wedge x. \llbracket x \in A; \forall y. \langle y, x \rangle: r \longrightarrow P(y) \rrbracket \Longrightarrow P(x) \rrbracket$
 $\Longrightarrow P(a)$
apply (*erule-tac* $P=a \in A$ **in** *rev-mp*)
apply (*erule-tac* $a=a$ **in** *wf-induct, blast*)
done

lemma *field-Int-square*: $field(r \cap A * A) \subseteq A$
by *blast*

lemma *wf-on-induct-raw* [*consumes 2, induct set: wf-on*]:
 $\llbracket wf[A](r); a \in A;$
 $\quad \wedge x. \llbracket x \in A; \forall y \in A. \langle y, x \rangle: r \longrightarrow P(y) \rrbracket \Longrightarrow P(x) \rrbracket$
 $\llbracket \Longrightarrow P(a) \rrbracket$
unfolding *wf-on-def*
apply (*erule wf-induct2, assumption*)
apply (*rule field-Int-square, blast*)
done

lemma *wf-on-induct* [*consumes 2, case-names step, induct set: wf-on*]:
 $wf[A](r) \Longrightarrow a \in A \Longrightarrow (\wedge x. x \in A \Longrightarrow (\wedge y. y \in A \Longrightarrow \langle y, x \rangle \in r \Longrightarrow P(y)))$
 $\Longrightarrow P(x) \Longrightarrow P(a)$
using *wf-on-induct-raw [of A r a P] by simp*

If r allows well-founded induction then we have $wf(r)$.

lemma *wfI*:
 $\llbracket field(r) \leq A;$
 $\quad \wedge y B. \llbracket \forall x \in A. (\forall y \in A. \langle y, x \rangle: r \longrightarrow y \in B) \longrightarrow x \in B; y \in A \rrbracket$
 $\quad \Longrightarrow y \in B \rrbracket$
 $\Longrightarrow wf(r)$
apply (*rule wf-on-subset-A [THEN wf-on-field-imp-wf]*)
apply (*rule wf-onI2*)
prefer 2 apply blast
apply blast
done

12.2 Basic Properties of Well-Founded Relations

lemma *wf-not-refl*: $wf(r) \Longrightarrow \langle a, a \rangle \notin r$
by (*erule-tac* $a=a$ **in** *wf-induct, blast*)

lemma *wf-not-sym* [*rule-format*]: $wf(r) \Longrightarrow \forall x. \langle a, x \rangle: r \longrightarrow \langle x, a \rangle \notin r$
by (*erule-tac* $a=a$ **in** *wf-induct, blast*)

lemmas *wf-asy* = *wf-not-sym* [*THEN swap*]

lemma *wf-on-not-refl*: $\llbracket wf[A](r); a \in A \rrbracket \Longrightarrow \langle a, a \rangle \notin r$
by (*erule-tac* $a=a$ **in** *wf-on-induct, assumption, blast*)

lemma *wf-on-not-sym*:

[[$wf[A](r); a \in A$]] $\implies (\bigwedge b. b \in A \implies \langle a, b \rangle : r \implies \langle b, a \rangle \notin r)$
apply (*atomize (full), intro impI*)
apply (*erule-tac a=a in wf-on-induct, assumption, blast*)
done

lemma *wf-on-asy*:

[[$wf[A](r); \neg Z \implies \langle a, b \rangle \in r;$
 $\langle b, a \rangle \notin r \implies Z; \neg Z \implies a \in A; \neg Z \implies b \in A$]] $\implies Z$
by (*blast dest: wf-on-not-sym*)

lemma *wf-on-chain3*:

[[$wf[A](r); \langle a, b \rangle : r; \langle b, c \rangle : r; \langle c, a \rangle : r; a \in A; b \in A; c \in A$]] $\implies P$
apply (*subgoal-tac $\forall y \in A. \forall z \in A. \langle a, y \rangle : r \longrightarrow \langle y, z \rangle : r \longrightarrow \langle z, a \rangle : r \longrightarrow P,$*
blast)
apply (*erule-tac a=a in wf-on-induct, assumption, blast*)
done

transitive closure of a WF relation is WF provided A is downward closed

lemma *wf-on-trancl*:

[[$wf[A](r); r - \text{“} A \subseteq A$]] $\implies wf[A](r^{\wedge+})$
apply (*rule wf-onI2*)
apply (*frule bspec [THEN mp], assumption+*)
apply (*erule-tac a = y in wf-on-induct, assumption*)
apply (*blast elim: tranclE, blast*)
done

lemma *wf-trancl*: $wf(r) \implies wf(r^{\wedge+})$

apply (*simp add: wf-iff-wf-on-field*)
apply (*rule wf-on-subset-A*)
apply (*erule wf-on-trancl*)
apply *blast*
apply (*rule trancl-type [THEN field-rel-subset]*)
done

$r - \text{“} \{a\}$ is the set of everything under a in r

lemmas *underI = vimage-singleton-iff [THEN iffD2]*

lemmas *underD = vimage-singleton-iff [THEN iffD1]*

12.3 The Predicate *is-recfun*

lemma *is-recfun-type*: $is-recfun(r, a, H, f) \implies f \in r - \text{“} \{a\} -> range(f)$

unfolding *is-recfun-def*
apply (*erule ssubst*)
apply (*rule lamI [THEN rangeI, THEN lam-type], assumption*)
done

lemmas *is-recfun-imp-function = is-recfun-type [THEN fun-is-function]*

lemma *apply-recfun*:

$\llbracket is-recfun(r,a,H,f); \langle x,a \rangle:r \rrbracket \implies f'x = H(x, restrict(f,r-\{\{x\}\}))$

unfolding *is-recfun-def*

replace f only on the left-hand side

apply (*erule-tac* $P = \lambda x. t(x) = u$ **for** $t\ u$ **in** *ssubst*)

apply (*simp add: underI*)

done

lemma *is-recfun-equal [rule-format]*:

$\llbracket wf(r); trans(r); is-recfun(r,a,H,f); is-recfun(r,b,H,g) \rrbracket$

$\implies \langle x,a \rangle:r \longrightarrow \langle x,b \rangle:r \longrightarrow f'x=g'x$

apply (*frule-tac* $f = f$ **in** *is-recfun-type*)

apply (*frule-tac* $f = g$ **in** *is-recfun-type*)

apply (*simp add: is-recfun-def*)

apply (*erule-tac* $a=x$ **in** *wf-induct*)

apply (*intro impI*)

apply (*elim ssubst*)

apply (*simp (no-asm-simp) add: vimage-singleton-iff restrict-def*)

apply (*rule-tac* $t = \lambda z. H(x, z)$ **for** x **in** *subst-context*)

apply (*subgoal-tac* $\forall y \in r-\{\{x\}\}. \forall z. \langle y,z \rangle:f \longleftrightarrow \langle y,z \rangle:g$)

apply (*blast dest: transD*)

apply (*simp add: apply-iff*)

apply (*blast dest: transD intro: sym*)

done

lemma *is-recfun-cut*:

$\llbracket wf(r); trans(r);$

$is-recfun(r,a,H,f); is-recfun(r,b,H,g); \langle b,a \rangle:r \rrbracket$

$\implies restrict(f, r-\{\{b\}\}) = g$

apply (*frule-tac* $f = f$ **in** *is-recfun-type*)

apply (*rule fun-extension*)

apply (*blast dest: transD intro: restrict-type2*)

apply (*erule is-recfun-type, simp*)

apply (*blast dest: transD intro: is-recfun-equal*)

done

12.4 Recursion: Main Existence Lemma

lemma *is-recfun-functional*:

$\llbracket wf(r); trans(r); is-recfun(r,a,H,f); is-recfun(r,a,H,g) \rrbracket \implies f=g$

by (*blast intro: fun-extension is-recfun-type is-recfun-equal*)

lemma *the-recfun-eq*:

$\llbracket is-recfun(r,a,H,f); wf(r); trans(r) \rrbracket \implies the-recfun(r,a,H) = f$

unfolding *the-recfun-def*

apply (*blast intro: is-recfun-functional*)

done

lemma *is-the-recfun*:

[[*is-recfun*(r, a, H, f); *wf*(r); *trans*(r)]]
⇒ *is-recfun*($r, a, H, \text{the-recfun}(r, a, H)$)
by (*simp add: the-recfun-eq*)

lemma *unfold-the-recfun*:

[[*wf*(r); *trans*(r)]] ⇒ *is-recfun*($r, a, H, \text{the-recfun}(r, a, H)$)
apply (*rule-tac a=a in wf-induct, assumption*)
apply (*rename-tac a1*)
apply (*rule-tac f = λy∈r-“{a1}. wftrec (r,y,H) in is-the-recfun*)
apply *typecheck*
unfolding *is-recfun-def wftrec-def*
— Applying the substitution: must keep the quantified assumption!
apply (*rule lam-cong [OF refl]*)
apply (*drule underD*)
apply (*fold is-recfun-def*)
apply (*rule-tac t = λz. H(x, z) for x in subst-context*)
apply (*rule fun-extension*)
apply (*blast intro: is-recfun-type*)
apply (*rule lam-type [THEN restrict-type2]*)
apply *blast*
apply (*blast dest: transD*)
apply *atomize*
apply (*frule spec [THEN mp], assumption*)
apply (*subgoal-tac ⟨xa, a1⟩ ∈ r*)
apply (*drule-tac x1 = xa in spec [THEN mp], assumption*)
apply (*simp add: vimage-singleton-iff*
apply-recfun is-recfun-cut)
apply (*blast dest: transD*)
done

12.5 Unfolding *wftrec*(r, a, H)

lemma *the-recfun-cut*:

[[*wf*(r); *trans*(r); $\langle b, a \rangle : r$]]
⇒ *restrict*(*the-recfun*(r, a, H), $r - \{b\}$) = *the-recfun*(r, b, H)
by (*blast intro: is-recfun-cut unfold-the-recfun*)

lemma *wftrec*:

[[*wf*(r); *trans*(r)]] ⇒
wftrec(r, a, H) = $H(a, \lambda x \in r - \{a\}. \text{wftrec}(r, x, H))$
unfolding *wftrec-def*
apply (*subst unfold-the-recfun [unfolded is-recfun-def]*)
apply (*simp-all add: vimage-singleton-iff [THEN iff-sym] the-recfun-cut*)
done

12.5.1 Removal of the Premise $trans(r)$

lemma *wfrec*:

$wf(r) \implies wfrec(r,a,H) = H(a, \lambda x \in r - \{\{a\}\}. wfrec(r,x,H))$

unfolding *wfrec-def*

apply (*erule wf-trancl* [*THEN wfrec*, *THEN ssubst*])

apply (*rule trans-trancl*)

apply (*rule vimage-pair-mono* [*THEN restrict-lam-eq*, *THEN subst-context*])

apply (*erule r-into-trancl*)

apply (*rule subset-refl*)

done

lemma *def-wfrec*:

$\llbracket \bigwedge x. h(x) \equiv wfrec(r,x,H); wf(r) \rrbracket \implies$

$h(a) = H(a, \lambda x \in r - \{\{a\}\}. h(x))$

apply *simp*

apply (*elim wfrec*)

done

lemma *wfrec-type*:

$\llbracket wf(r); a \in A; field(r) \leq A;$

$\bigwedge x u. \llbracket x \in A; u \in Pi(r - \{\{x\}\}, B) \rrbracket \implies H(x,u) \in B(x)$

$\rrbracket \implies wfrec(r,a,H) \in B(a)$

apply (*rule-tac a = a in wf-induct2, assumption+*)

apply (*subst wfrec, assumption*)

apply (*simp add: lam-type underD*)

done

lemma *wfrec-on*:

$\llbracket wf[A](r); a \in A \rrbracket \implies$

$wfrec[A](r,a,H) = H(a, \lambda x \in (r - \{\{a\}\}) \cap A. wfrec[A](r,x,H))$

unfolding *wf-on-def wfrec-on-def*

apply (*erule wfrec* [*THEN trans*])

apply (*simp add: vimage-Int-square*)

done

Minimal-element characterization of well-foundedness

lemma *wf-eq-minimal*: $wf(r) \longleftrightarrow (\forall Q x. x \in Q \longrightarrow (\exists z \in Q. \forall y. \langle y,z \rangle : r \longrightarrow y \notin Q))$

unfolding *wf-def* by *blast*

end

13 Transitive Sets and Ordinals

theory *Ordinal* imports *WF Bool equalities* begin

definition

$Memrel$:: $i \Rightarrow i$ **where**
 $Memrel(A)$ $\equiv \{z \in A * A . \exists x y. z = \langle x, y \rangle \wedge x \in y\}$

definition

$Transset$:: $i \Rightarrow o$ **where**
 $Transset(i)$ $\equiv \forall x \in i. x <= i$

definition

Ord :: $i \Rightarrow o$ **where**
 $Ord(i)$ $\equiv Transset(i) \wedge (\forall x \in i. Transset(x))$

definition

lt :: $[i, i] \Rightarrow o$ (**infixl** $\langle < \rangle$ 50) **where**
 $i < j$ $\equiv i \in j \wedge Ord(j)$

definition

$Limit$:: $i \Rightarrow o$ **where**
 $Limit(i)$ $\equiv Ord(i) \wedge 0 < i \wedge (\forall y. y < i \longrightarrow succ(y) < i)$

abbreviation

le (**infixl** $\langle \leq \rangle$ 50) **where**
 $x \leq y$ $\equiv x < succ(y)$

13.1 Rules for Transset**13.1.1 Three Neat Characterisations of Transset**

lemma *Transset-iff-Pow*: $Transset(A) \langle - \rangle A \leq Pow(A)$
by (*unfold Transset-def, blast*)

lemma *Transset-iff-Union-succ*: $Transset(A) \langle - \rangle \bigcup (succ(A)) = A$
unfolding *Transset-def*
apply (*blast elim!: equalityE*)
done

lemma *Transset-iff-Union-subset*: $Transset(A) \langle - \rangle \bigcup(A) \subseteq A$
by (*unfold Transset-def, blast*)

13.1.2 Consequences of Downwards Closure

lemma *Transset-doubleton-D*:
 $\llbracket Transset(C); \{a, b\}: C \rrbracket \Longrightarrow a \in C \wedge b \in C$
by (*unfold Transset-def, blast*)

lemma *Transset-Pair-D*:
 $\llbracket Transset(C); \langle a, b \rangle \in C \rrbracket \Longrightarrow a \in C \wedge b \in C$
apply (*simp add: Pair-def*)
apply (*blast dest: Transset-doubleton-D*)
done

lemma *Transset-includes-domain*:
 $\llbracket \text{Transset}(C); A*B \subseteq C; b \in B \rrbracket \implies A \subseteq C$
by (*blast dest: Transset-Pair-D*)

lemma *Transset-includes-range*:
 $\llbracket \text{Transset}(C); A*B \subseteq C; a \in A \rrbracket \implies B \subseteq C$
by (*blast dest: Transset-Pair-D*)

13.1.3 Closure Properties

lemma *Transset-0*: $\text{Transset}(0)$
by (*unfold Transset-def, blast*)

lemma *Transset-Un*:
 $\llbracket \text{Transset}(i); \text{Transset}(j) \rrbracket \implies \text{Transset}(i \cup j)$
by (*unfold Transset-def, blast*)

lemma *Transset-Int*:
 $\llbracket \text{Transset}(i); \text{Transset}(j) \rrbracket \implies \text{Transset}(i \cap j)$
by (*unfold Transset-def, blast*)

lemma *Transset-succ*: $\text{Transset}(i) \implies \text{Transset}(\text{succ}(i))$
by (*unfold Transset-def, blast*)

lemma *Transset-Pow*: $\text{Transset}(i) \implies \text{Transset}(\text{Pow}(i))$
by (*unfold Transset-def, blast*)

lemma *Transset-Union*: $\text{Transset}(A) \implies \text{Transset}(\bigcup(A))$
by (*unfold Transset-def, blast*)

lemma *Transset-Union-family*:
 $\llbracket \bigwedge i. i \in A \implies \text{Transset}(i) \rrbracket \implies \text{Transset}(\bigcup(A))$
by (*unfold Transset-def, blast*)

lemma *Transset-Inter-family*:
 $\llbracket \bigwedge i. i \in A \implies \text{Transset}(i) \rrbracket \implies \text{Transset}(\bigcap(A))$
by (*unfold Inter-def Transset-def, blast*)

lemma *Transset-UN*:
 $(\bigwedge x. x \in A \implies \text{Transset}(B(x))) \implies \text{Transset}(\bigcup_{x \in A} B(x))$
by (*rule Transset-Union-family, auto*)

lemma *Transset-INT*:
 $(\bigwedge x. x \in A \implies \text{Transset}(B(x))) \implies \text{Transset}(\bigcap_{x \in A} B(x))$
by (*rule Transset-Inter-family, auto*)

13.2 Lemmas for Ordinals

lemma *OrdI*:

$\llbracket \text{Transset}(i); \bigwedge x. x \in i \implies \text{Transset}(x) \rrbracket \implies \text{Ord}(i)$
by (*simp add: Ord-def*)

lemma *Ord-is-Transset*: $\text{Ord}(i) \implies \text{Transset}(i)$
by (*simp add: Ord-def*)

lemma *Ord-contains-Transset*:
 $\llbracket \text{Ord}(i); j \in i \rrbracket \implies \text{Transset}(j)$
by (*unfold Ord-def, blast*)

lemma *Ord-in-Ord*: $\llbracket \text{Ord}(i); j \in i \rrbracket \implies \text{Ord}(j)$
by (*unfold Ord-def Transset-def, blast*)

lemma *Ord-in-Ord'*: $\llbracket j \in i; \text{Ord}(i) \rrbracket \implies \text{Ord}(j)$
by (*blast intro: Ord-in-Ord*)

lemmas *Ord-succD = Ord-in-Ord* [*OF - succI1*]

lemma *Ord-subset-Ord*: $\llbracket \text{Ord}(i); \text{Transset}(j); j \leq i \rrbracket \implies \text{Ord}(j)$
by (*simp add: Ord-def Transset-def, blast*)

lemma *OrdmemD*: $\llbracket j \in i; \text{Ord}(i) \rrbracket \implies j \leq i$
by (*unfold Ord-def Transset-def, blast*)

lemma *Ord-trans*: $\llbracket i \in j; j \in k; \text{Ord}(k) \rrbracket \implies i \in k$
by (*blast dest: OrdmemD*)

lemma *Ord-succ-subsetI*: $\llbracket i \in j; \text{Ord}(j) \rrbracket \implies \text{succ}(i) \subseteq j$
by (*blast dest: OrdmemD*)

13.3 The Construction of Ordinals: 0, succ, Union

lemma *Ord-0* [*iff, TC*]: $\text{Ord}(0)$
by (*blast intro: OrdI Transset-0*)

lemma *Ord-succ* [*TC*]: $\text{Ord}(i) \implies \text{Ord}(\text{succ}(i))$
by (*blast intro: OrdI Transset-succ Ord-is-Transset Ord-contains-Transset*)

lemmas *Ord-1 = Ord-0* [*THEN Ord-succ*]

lemma *Ord-succ-iff* [*iff*]: $\text{Ord}(\text{succ}(i)) \iff \text{Ord}(i)$
by (*blast intro: Ord-succ dest!: Ord-succD*)

lemma *Ord-Un* [*intro, simp, TC*]: $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies \text{Ord}(i \cup j)$
unfolding *Ord-def*
apply (*blast intro!: Transset-Un*)

done

lemma *Ord-Int* [TC]: $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies \text{Ord}(i \cap j)$
 unfolding *Ord-def*
apply (*blast intro!: Transset-Int*)
done

There is no set of all ordinals, for then it would contain itself

lemma *ON-class*: $\neg (\forall i. i \in X \iff \text{Ord}(i))$
proof (*rule notI*)
 assume $X: \forall i. i \in X \iff \text{Ord}(i)$
 have $\forall x y. x \in X \longrightarrow y \in x \longrightarrow y \in X$
 by (*simp add: X, blast intro: Ord-in-Ord*)
 hence *Transset*(X)
 by (*auto simp add: Transset-def*)
 moreover have $\bigwedge x. x \in X \implies \text{Transset}(x)$
 by (*simp add: X Ord-def*)
 ultimately have *Ord*(X) **by** (*rule OrdI*)
 hence $X \in X$ **by** (*simp add: X*)
 thus False **by** (*rule mem-irrefl*)
qed

13.4 < is 'less Than' for Ordinals

lemma *ltI*: $\llbracket i \in j; \text{Ord}(j) \rrbracket \implies i < j$
by (*unfold lt-def, blast*)

lemma *ltE*:
 $\llbracket i < j; \llbracket i \in j; \text{Ord}(i); \text{Ord}(j) \rrbracket \implies P \rrbracket \implies P$
 unfolding *lt-def*
apply (*blast intro: Ord-in-Ord*)
done

lemma *ltD*: $i < j \implies i \in j$
by (*erule ltE, assumption*)

lemma *not-lt0* [*simp*]: $\neg i < 0$
by (*unfold lt-def, blast*)

lemma *lt-Ord*: $j < i \implies \text{Ord}(j)$
by (*erule ltE, assumption*)

lemma *lt-Ord2*: $j < i \implies \text{Ord}(i)$
by (*erule ltE, assumption*)

lemmas *le-Ord2 = lt-Ord2* [*THEN Ord-succD*]

lemmas $lt0E = not\text{-}lt0$ [THEN notE, elim!]

lemma $lt\text{-}trans$ [trans]: $\llbracket i < j; j < k \rrbracket \implies i < k$
by (blast intro!: ltI elim!: ltE intro: Ord-trans)

lemma $lt\text{-}not\text{-}sym$: $i < j \implies \neg (j < i)$
unfolding $lt\text{-}def$
apply (blast elim: mem-asym)
done

lemmas $lt\text{-}asym = lt\text{-}not\text{-}sym$ [THEN swap]

lemma $lt\text{-}irrefl$ [elim!]: $i < i \implies P$
by (blast intro: lt-asym)

lemma $lt\text{-}not\text{-}refl$: $\neg i < i$
apply (rule notI)
apply (erule lt-irrefl)
done

Recall that $i \leq j$ abbreviates $i \leq j!$

lemma $le\text{-}iff$: $i \leq j \iff i < j \mid (i=j \wedge Ord(j))$
by (unfold lt-def, blast)

lemma leI : $i < j \implies i \leq j$
by (simp add: le-iff)

lemma $le\text{-}eqI$: $\llbracket i=j; Ord(j) \rrbracket \implies i \leq j$
by (simp add: le-iff)

lemmas $le\text{-}refl = refl$ [THEN le-eqI]

lemma $le\text{-}refl\text{-}iff$ [iff]: $i \leq i \iff Ord(i)$
by (simp (no-asm-simp) add: lt-not-refl le-iff)

lemma $leCI$: $(\neg (i=j \wedge Ord(j)) \implies i < j) \implies i \leq j$
by (simp add: le-iff, blast)

lemma leE :
 $\llbracket i \leq j; i < j \implies P; \llbracket i=j; Ord(j) \rrbracket \implies P \rrbracket \implies P$
by (simp add: le-iff, blast)

lemma $le\text{-}anti\text{-}sym$: $\llbracket i \leq j; j \leq i \rrbracket \implies i=j$
apply (simp add: le-iff)
apply (blast elim: lt-asym)
done

lemma *le0-iff* [*simp*]: $i \leq 0 \leftrightarrow i=0$
by (*blast elim!*: *leE*)

lemmas $le0D = le0-iff$ [*THEN iffD1*, *dest!*]

13.5 Natural Deduction Rules for Memrel

lemma *Memrel-iff* [*simp*]: $\langle a,b \rangle \in Memrel(A) \leftrightarrow a \in b \wedge a \in A \wedge b \in A$
by (*unfold Memrel-def*, *blast*)

lemma *MemrelI* [*intro!*]: $\llbracket a \in b; a \in A; b \in A \rrbracket \implies \langle a,b \rangle \in Memrel(A)$
by *auto*

lemma *MemrelE* [*elim!*]:
 $\llbracket \langle a,b \rangle \in Memrel(A);$
 $\llbracket a \in A; b \in A; a \in b \rrbracket \implies P$
 $\implies P$

by *auto*

lemma *Memrel-type*: $Memrel(A) \subseteq A * A$
by (*unfold Memrel-def*, *blast*)

lemma *Memrel-mono*: $A \leq B \implies Memrel(A) \subseteq Memrel(B)$
by (*unfold Memrel-def*, *blast*)

lemma *Memrel-0* [*simp*]: $Memrel(0) = 0$
by (*unfold Memrel-def*, *blast*)

lemma *Memrel-1* [*simp*]: $Memrel(1) = 0$
by (*unfold Memrel-def*, *blast*)

lemma *relation-Memrel*: $relation(Memrel(A))$
by (*simp add: relation-def Memrel-def*)

lemma *wf-Memrel*: $wf(Memrel(A))$

unfolding *wf-def*

apply (*rule foundation* [*THEN disjE*, *THEN allI*], *erule disjI1*, *blast*)
done

The premise $Ord(i)$ does not suffice.

lemma *trans-Memrel*:

$Ord(i) \implies trans(Memrel(i))$

by (*unfold Ord-def Transset-def trans-def*, *blast*)

However, the following premise is strong enough.

lemma *Transset-trans-Memrel*:

$\forall j \in i. Transset(j) \implies trans(Memrel(i))$

by (*unfold Transset-def trans-def*, *blast*)

lemma *Transset-Memrel-iff*:
 $Transset(A) \implies \langle a, b \rangle \in Memrel(A) \iff a \in b \wedge b \in A$
by (*unfold Transset-def, blast*)

13.6 Transfinite Induction

lemma *Transset-induct*:
 $\llbracket i \in k; Transset(k);$
 $\bigwedge x. \llbracket x \in k; \forall y \in x. P(y) \rrbracket \implies P(x) \rrbracket$
 $\implies P(i)$
apply (*simp add: Transset-def*)
apply (*erule wf-Memrel [THEN wf-induct2], blast+*)
done

lemma *Ord-induct* [*consumes 2*]:
 $i \in k \implies Ord(k) \implies (\bigwedge x. x \in k \implies (\bigwedge y. y \in x \implies P(y)) \implies P(x)) \implies P(i)$
using *Transset-induct [OF - Ord-is-Transset, of i k P]* **by** *simp*

lemma *trans-induct* [*consumes 1, case-names step*]:
 $Ord(i) \implies (\bigwedge x. Ord(x) \implies (\bigwedge y. y \in x \implies P(y)) \implies P(x)) \implies P(i)$
apply (*rule Ord-succ [THEN succI1 [THEN Ord-induct]], assumption*)
apply (*blast intro: Ord-succ [THEN Ord-in-Ord]*)
done

14 Fundamental properties of the epsilon ordering (< on ordinals)

14.0.1 Proving That < is a Linear Ordering on the Ordinals

lemma *Ord-linear*:
 $Ord(i) \implies Ord(j) \implies i \in j \mid i = j \mid j \in i$
proof (*induct i arbitrary: j rule: trans-induct*)
case (*step i*)
note *step-i = step*
show *?case using* $\langle Ord(j) \rangle$
proof (*induct j rule: trans-induct*)
case (*step j*)
thus *?case using step-i*
by (*blast dest: Ord-trans*)
qed

qed

The trichotomy law for ordinals

lemma *Ord-linear-lt*:
assumes *o: Ord(i) Ord(j)*


```

obtains (lt)  $i < j$  | (eq)  $i = j$  | (gt)  $j < i$ 
apply (simp add: lt-def)
apply (rule-tac  $i1 = i$  and  $j1 = j$  in Ord-linear [THEN disjE])
apply (blast intro: o)+
done

```

```

lemma Ord-linear2:
assumes o: Ord(i) Ord(j)
obtains (lt)  $i < j$  | (ge)  $j \leq i$ 
apply (rule-tac  $i = i$  and  $j = j$  in Ord-linear-lt)
apply (blast intro: leI le-egI sym o) +
done

```

```

lemma Ord-linear-le:
assumes o: Ord(i) Ord(j)
obtains (le)  $i \leq j$  | (ge)  $j \leq i$ 
apply (rule-tac  $i = i$  and  $j = j$  in Ord-linear-lt)
apply (blast intro: leI le-egI o) +
done

```

```

lemma le-imp-not-lt:  $j \leq i \implies \neg i < j$ 
by (blast elim!: leE elim: lt-asm)

```

```

lemma not-lt-imp-le:  $\llbracket \neg i < j; \text{Ord}(i); \text{Ord}(j) \rrbracket \implies j \leq i$ 
by (rule-tac  $i = i$  and  $j = j$  in Ord-linear2, auto)

```

14.0.2 Some Rewrite Rules for $<$, \leq

```

lemma Ord-mem-iff-lt: Ord(j)  $\implies i \in j \iff i < j$ 
by (unfold lt-def, blast)

```

```

lemma not-lt-iff-le:  $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies \neg i < j \iff j \leq i$ 
by (blast dest: le-imp-not-lt not-lt-imp-le)

```

```

lemma not-le-iff-lt:  $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies \neg i \leq j \iff j < i$ 
by (simp (no-asm-simp) add: not-lt-iff-le [THEN iff-sym])

```

```

lemma Ord-0-le: Ord(i)  $\implies 0 \leq i$ 
by (erule not-lt-iff-le [THEN iffD1], auto)

```

```

lemma Ord-0-lt:  $\llbracket \text{Ord}(i); i \neq 0 \rrbracket \implies 0 < i$ 
apply (erule not-le-iff-lt [THEN iffD1])
apply (rule Ord-0, blast)
done

```

```

lemma Ord-0-lt-iff: Ord(i)  $\implies i \neq 0 \iff 0 < i$ 
by (blast intro: Ord-0-lt)

```

14.1 Results about Less-Than or Equals

lemma *zero-le-succ-iff* [*iff*]: $0 \leq \text{succ}(x) \leftrightarrow \text{Ord}(x)$
by (*blast intro: Ord-0-le elim: ltE*)

lemma *subset-imp-le*: $\llbracket j <= i; \text{Ord}(i); \text{Ord}(j) \rrbracket \implies j \leq i$
apply (*rule not-lt-iff-le [THEN iffD1], assumption+*)
apply (*blast elim: ltE mem-irrefl*)
done

lemma *le-imp-subset*: $i \leq j \implies i <= j$
by (*blast dest: OrdmemD elim: ltE leE*)

lemma *le-subset-iff*: $j \leq i \leftrightarrow j <= i \wedge \text{Ord}(i) \wedge \text{Ord}(j)$
by (*blast dest: subset-imp-le le-imp-subset elim: ltE*)

lemma *le-succ-iff*: $i \leq \text{succ}(j) \leftrightarrow i \leq j \mid i = \text{succ}(j) \wedge \text{Ord}(i)$
apply (*simp (no-asm) add: le-iff*)
apply *blast*
done

lemma *all-lt-imp-le*: $\llbracket \text{Ord}(i); \text{Ord}(j); \bigwedge x. x < j \implies x < i \rrbracket \implies j \leq i$
by (*blast intro: not-lt-imp-le dest: lt-irrefl*)

14.1.1 Transitivity Laws

lemma *lt-trans1*: $\llbracket i \leq j; j < k \rrbracket \implies i < k$
by (*blast elim!: leE intro: lt-trans*)

lemma *lt-trans2*: $\llbracket i < j; j \leq k \rrbracket \implies i < k$
by (*blast elim!: leE intro: lt-trans*)

lemma *le-trans*: $\llbracket i \leq j; j \leq k \rrbracket \implies i \leq k$
by (*blast intro: lt-trans1*)

lemma *succ-leI*: $i < j \implies \text{succ}(i) \leq j$
apply (*rule not-lt-iff-le [THEN iffD1]*)
apply (*blast elim: ltE leE lt-asym+*)
done

lemma *succ-leE*: $\text{succ}(i) \leq j \implies i < j$
apply (*rule not-le-iff-lt [THEN iffD1]*)
apply (*blast elim: ltE leE lt-asym+*)
done

lemma *succ-le-iff* [*iff*]: $\text{succ}(i) \leq j \leftrightarrow i < j$
by (*blast intro: succ-leI succ-leE*)

lemma *succ-le-imp-le*: $\text{succ}(i) \leq \text{succ}(j) \implies i \leq j$
by (*blast dest!*: *succ-leE*)

lemma *lt-subset-trans*: $\llbracket i \subseteq j; j < k; \text{Ord}(i) \rrbracket \implies i < k$
apply (*rule subset-imp-le* [*THEN lt-trans1*])
apply (*blast intro*: *elim*: *ltE*) +
done

lemma *lt-imp-0-lt*: $j < i \implies 0 < i$
by (*blast intro*: *lt-trans1 Ord-0-le* [*OF lt-Ord*])

lemma *succ-lt-iff*: $\text{succ}(i) < j \iff i < j \wedge \text{succ}(i) \neq j$
apply *auto*
apply (*blast intro*: *lt-trans le-refl dest*: *lt-Ord*)
apply (*frule* *lt-Ord*)
apply (*rule not-le-iff-lt* [*THEN iffD1*])
apply (*blast intro*: *lt-Ord2*)
apply *blast*
apply (*simp add*: *lt-Ord lt-Ord2 le-iff*)
apply (*blast dest*: *lt-asym*)
done

lemma *Ord-succ-mem-iff*: $\text{Ord}(j) \implies \text{succ}(i) \in \text{succ}(j) \iff i \in j$
apply (*insert succ-le-iff* [*of i j*])
apply (*simp add*: *lt-def*)
done

14.1.2 Union and Intersection

lemma *Un-upper1-le*: $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies i \leq i \cup j$
by (*rule Un-upper1* [*THEN subset-imp-le*], *auto*)

lemma *Un-upper2-le*: $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies j \leq i \cup j$
by (*rule Un-upper2* [*THEN subset-imp-le*], *auto*)

lemma *Un-least-lt*: $\llbracket i < k; j < k \rrbracket \implies i \cup j < k$
apply (*rule-tac* $i = i$ **and** $j = j$ **in** *Ord-linear-le*)
apply (*auto simp add*: *Un-commute le-subset-iff subset-Un-iff lt-Ord*)
done

lemma *Un-least-lt-iff*: $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies i \cup j < k \iff i < k \wedge j < k$
apply (*safe intro!*: *Un-least-lt*)
apply (*rule-tac* [2] *Un-upper2-le* [*THEN lt-trans1*])
apply (*rule Un-upper1-le* [*THEN lt-trans1*], *auto*)
done

lemma *Un-least-mem-iff*:
 $\llbracket \text{Ord}(i); \text{Ord}(j); \text{Ord}(k) \rrbracket \implies i \cup j \in k \iff i \in k \wedge j \in k$

apply (*insert Un-least-lt-iff [of i j k]*)
apply (*simp add: lt-def*)
done

lemma *Int-greatest-lt*: $\llbracket i < k; j < k \rrbracket \implies i \cap j < k$
apply (*rule-tac i = i and j = j in Ord-linear-le*)
apply (*auto simp add: Int-commute le-subset-iff subset-Int-iff lt-Ord*)
done

lemma *Ord-Un-if*:
 $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies i \cup j = (\text{if } j < i \text{ then } i \text{ else } j)$
by (*simp add: not-lt-iff-le le-imp-subset leI*
subset-Un-iff [symmetric] subset-Un-iff2 [symmetric])

lemma *succ-Un-distrib*:
 $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies \text{succ}(i \cup j) = \text{succ}(i) \cup \text{succ}(j)$
by (*simp add: Ord-Un-if lt-Ord le-Ord2*)

lemma *lt-Un-iff*:
 $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies k < i \cup j \iff k < i \mid k < j$
apply (*simp add: Ord-Un-if not-lt-iff-le*)
apply (*blast intro: leI lt-trans2*)
done

lemma *le-Un-iff*:
 $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies k \leq i \cup j \iff k \leq i \mid k \leq j$
by (*simp add: succ-Un-distrib lt-Un-iff [symmetric]*)

lemma *Un-upper1-lt*: $\llbracket k < i; \text{Ord}(j) \rrbracket \implies k < i \cup j$
by (*simp add: lt-Un-iff lt-Ord2*)

lemma *Un-upper2-lt*: $\llbracket k < j; \text{Ord}(i) \rrbracket \implies k < i \cup j$
by (*simp add: lt-Un-iff lt-Ord2*)

lemma *Ord-Union-succ-eq*: $\text{Ord}(i) \implies \bigcup(\text{succ}(i)) = i$
by (*blast intro: Ord-trans*)

14.2 Results about Limits

lemma *Ord-Union [intro, simp, TC]*: $\llbracket \bigwedge i. i \in A \implies \text{Ord}(i) \rrbracket \implies \text{Ord}(\bigcup(A))$
apply (*rule Ord-is-Transset [THEN Transset-Union-family, THEN OrdI]*)
apply (*blast intro: Ord-contains-Transset*)
done

lemma *Ord-UN [intro, simp, TC]*:
 $\llbracket \bigwedge x. x \in A \implies \text{Ord}(B(x)) \rrbracket \implies \text{Ord}(\bigcup_{x \in A} B(x))$
by (*rule Ord-Union, blast*)

lemma *Ord-Inter* [*intro,simp,TC*]:
 $\llbracket \bigwedge i. i \in A \implies \text{Ord}(i) \rrbracket \implies \text{Ord}(\bigcap (A))$
apply (*rule Transset-Inter-family* [*THEN OrdI*])
apply (*blast intro: Ord-is-Transset*)
apply (*simp add: Inter-def*)
apply (*blast intro: Ord-contains-Transset*)
done

lemma *Ord-INT* [*intro,simp,TC*]:
 $\llbracket \bigwedge x. x \in A \implies \text{Ord}(B(x)) \rrbracket \implies \text{Ord}(\bigcap_{x \in A} B(x))$
by (*rule Ord-Inter, blast*)

lemma *UN-least-le*:
 $\llbracket \text{Ord}(i); \bigwedge x. x \in A \implies b(x) \leq i \rrbracket \implies (\bigcup_{x \in A} b(x)) \leq i$
apply (*rule le-imp-subset* [*THEN UN-least, THEN subset-imp-le*])
apply (*blast intro: Ord-UN elim: ltE*)
done

lemma *UN-succ-least-lt*:
 $\llbracket j < i; \bigwedge x. x \in A \implies b(x) < j \rrbracket \implies (\bigcup_{x \in A} \text{succ}(b(x))) < i$
apply (*rule ltE, assumption*)
apply (*rule UN-least-le* [*THEN lt-trans2*])
apply (*blast intro: succ-leI*)
done

lemma *UN-upper-lt*:
 $\llbracket a \in A; i < b(a); \text{Ord}(\bigcup_{x \in A} b(x)) \rrbracket \implies i < (\bigcup_{x \in A} b(x))$
by (*unfold lt-def, blast*)

lemma *UN-upper-le*:
 $\llbracket a \in A; i \leq b(a); \text{Ord}(\bigcup_{x \in A} b(x)) \rrbracket \implies i \leq (\bigcup_{x \in A} b(x))$
apply (*frule ltD*)
apply (*rule le-imp-subset* [*THEN subset-trans, THEN subset-imp-le*])
apply (*blast intro: lt-Ord UN-upper*)
done

lemma *lt-Union-iff*: $\forall i \in A. \text{Ord}(i) \implies (j < \bigcup (A)) \iff (\exists i \in A. j < i)$
by (*auto simp: lt-def Ord-Union*)

lemma *Union-upper-le*:
 $\llbracket j \in J; i \leq j; \text{Ord}(\bigcup (J)) \rrbracket \implies i \leq \bigcup J$
apply (*subst Union-eq-UN*)
apply (*rule UN-upper-le, auto*)
done

lemma *le-implies-UN-le-UN*:

$\llbracket \bigwedge x. x \in A \implies c(x) \leq d(x) \rrbracket \implies (\bigcup x \in A. c(x)) \leq (\bigcup x \in A. d(x))$
apply (*rule UN-least-le*)
apply (*rule-tac [2] UN-upper-le*)
apply (*blast intro: Ord-UN le-Ord2*)
done

lemma *Ord-equality*: $Ord(i) \implies (\bigcup y \in i. succ(y)) = i$
by (*blast intro: Ord-trans*)

lemma *Ord-Union-subset*: $Ord(i) \implies \bigcup(i) \subseteq i$
by (*blast intro: Ord-trans*)

14.3 Limit Ordinals – General Properties

lemma *Limit-Union-eq*: $Limit(i) \implies \bigcup(i) = i$
unfolding *Limit-def*
apply (*fast intro!: ltI elim!: ltE elim: Ord-trans*)
done

lemma *Limit-is-Ord*: $Limit(i) \implies Ord(i)$
unfolding *Limit-def*
apply (*erule conjunct1*)
done

lemma *Limit-has-0*: $Limit(i) \implies 0 < i$
unfolding *Limit-def*
apply (*erule conjunct2 [THEN conjunct1]*)
done

lemma *Limit-nonzero*: $Limit(i) \implies i \neq 0$
by (*drule Limit-has-0, blast*)

lemma *Limit-has-succ*: $\llbracket Limit(i); j < i \rrbracket \implies succ(j) < i$
by (*unfold Limit-def, blast*)

lemma *Limit-succ-lt-iff* [*simp*]: $Limit(i) \implies succ(j) < i \iff (j < i)$
apply (*safe intro!: Limit-has-succ*)
apply (*frule lt-Ord*)
apply (*blast intro: lt-trans*)
done

lemma *zero-not-Limit* [*iff*]: $\neg Limit(0)$
by (*simp add: Limit-def*)

lemma *Limit-has-1*: $Limit(i) \implies 1 < i$
by (*blast intro: Limit-has-0 Limit-has-succ*)

lemma *increasing-LimitI*: $\llbracket 0 < l; \forall x \in l. \exists y \in l. x < y \rrbracket \implies Limit(l)$

apply (*unfold Limit-def, simp add: lt-Ord2, clarify*)
apply (*drule-tac i=y in ltD*)
apply (*blast intro: lt-trans1 [OF - ltI] lt-Ord2*)
done

lemma non-succ-LimitI:
assumes $i: 0 < i$ **and** $nsucc: \bigwedge y. succ(y) \neq i$
shows $Limit(i)$
proof –
have $Oi: Ord(i)$ **using** i **by** (*simp add: lt-def*)
{ fix y
assume $yi: y < i$
hence $Osy: Ord(succ(y))$ **by** (*simp add: lt-Ord Ord-succ*)
have $\neg i \leq y$ **using** yi **by** (*blast dest: le-imp-not-lt*)
hence $succ(y) < i$ **using** $nsucc$ [*of y*]
by (*blast intro: Ord-linear-lt [OF Osy Oi]*) **}**
thus $?thesis$ **using** Oi **by** (*auto simp add: Limit-def*)
qed

lemma succ-LimitE [*elim!*]: $Limit(succ(i)) \implies P$
apply (*rule lt-irrefl*)
apply (*rule Limit-has-succ, assumption*)
apply (*erule Limit-is-Ord [THEN Ord-succD, THEN le-refl]*)
done

lemma not-succ-Limit [*simp*]: $\neg Limit(succ(i))$
by *blast*

lemma Limit-le-succD: $\llbracket Limit(i); i \leq succ(j) \rrbracket \implies i \leq j$
by (*blast elim!: leE*)

14.3.1 Traditional 3-Way Case Analysis on Ordinals

lemma Ord-cases-disj: $Ord(i) \implies i=0 \mid (\exists j. Ord(j) \wedge i=succ(j)) \mid Limit(i)$
by (*blast intro!: non-succ-LimitI Ord-0-lt*)

lemma Ord-cases:
assumes $i: Ord(i)$
obtains $(0) i=0 \mid (succ) j$ **where** $Ord(j) i=succ(j) \mid (limit) Limit(i)$
by (*insert Ord-cases-disj [OF i], auto*)

lemma trans-induct3-raw:
 $\llbracket Ord(i);$
 $P(0);$
 $\bigwedge x. \llbracket Ord(x); P(x) \rrbracket \implies P(succ(x));$
 $\bigwedge x. \llbracket Limit(x); \forall y \in x. P(y) \rrbracket \implies P(x)$
 $\rrbracket \implies P(i)$
apply (*erule trans-induct*)
apply (*erule Ord-cases, blast+*)

done

lemma *trans-induct3* [case-names 0 succ limit, consumes 1]:

$Ord(i) \implies P(0) \implies (\bigwedge x. Ord(x) \implies P(x) \implies P(succ(x))) \implies (\bigwedge x. Limit(x) \implies (\bigwedge y. y \in x \implies P(y)) \implies P(x)) \implies P(i)$
using *trans-induct3-raw* [of i P] **by** *simp*

A set of ordinals is either empty, contains its own union, or its union is a limit ordinal.

lemma *Union-le*: $\llbracket \bigwedge x. x \in I \implies x \leq j; Ord(j) \rrbracket \implies \bigcup(I) \leq j$
by (*auto simp add: le-subset-iff Union-least*)

lemma *Ord-set-cases*:

assumes $I: \forall i \in I. Ord(i)$
shows $I=0 \vee \bigcup(I) \in I \vee (\bigcup(I) \notin I \wedge Limit(\bigcup(I)))$

proof (*cases* $\bigcup(I)$ *rule: Ord-cases*)

show $Ord(\bigcup I)$ **using** I **by** (*blast intro: Ord-Union*)

next

assume $\bigcup I = 0$ **thus** *?thesis* **by** (*simp, blast intro: subst-elem*)

next

fix j

assume $j: Ord(j)$ **and** $UIj: \bigcup(I) = succ(j)$

{ **assume** $\forall i \in I. i \leq j$

hence $\bigcup(I) \leq j$

by (*simp add: Union-le j*)

hence *False*

by (*simp add: UIj lt-not-refl*) }

then obtain i **where** $i: i \in I$ $succ(j) \leq i$ **using** I j

by (*atomize, auto simp add: not-le-iff-lt*)

have $\bigcup(I) \leq succ(j)$ **using** UIj j **by** *auto*

hence $i \leq succ(j)$ **using** i

by (*simp add: le-subset-iff Union-subset-iff*)

hence $succ(j) = i$ **using** i

by (*blast intro: le-anti-sym*)

hence $succ(j) \in I$ **by** (*simp add: i*)

thus *?thesis* **by** (*simp add: UIj*)

next

assume $Limit(\bigcup I)$ **thus** *?thesis* **by** *auto*

qed

If the union of a set of ordinals is a successor, then it is an element of that set.

lemma *Ord-Union-eq-succD*: $\llbracket \forall x \in X. Ord(x); \bigcup X = succ(j) \rrbracket \implies succ(j) \in X$
by (*drule Ord-set-cases, auto*)

lemma *Limit-Union* [rule-format]: $\llbracket I \neq 0; (\bigwedge i. i \in I \implies Limit(i)) \rrbracket \implies Limit(\bigcup I)$

apply (*simp add: Limit-def lt-def*)

apply (*blast intro!: equalityI*)

done

end

15 Special quantifiers

theory *OrdQuant* imports *Ordinal* begin

15.1 Quantifiers and union operator for ordinals

definition

oall :: [*i*, *i* \Rightarrow *o*] \Rightarrow *o* **where**
oall(*A*, *P*) $\equiv \forall x. x < A \longrightarrow P(x)$

definition

oex :: [*i*, *i* \Rightarrow *o*] \Rightarrow *o* **where**
oex(*A*, *P*) $\equiv \exists x. x < A \wedge P(x)$

definition

OUnion :: [*i*, *i* \Rightarrow *i*] \Rightarrow *i* **where**
OUnion(*i*, *B*) $\equiv \{z: \bigcup_{x \in i}. B(x). \text{Ord}(i)\}$

syntax

-*oall* :: [*idt*, *i*, *o*] \Rightarrow *o* ($\langle (\exists \forall \text{-<-./ -} \rangle 10$)
-*oex* :: [*idt*, *i*, *o*] \Rightarrow *o* ($\langle (\exists \exists \text{-<-./ -} \rangle 10$)
-*OUNION* :: [*idt*, *i*, *i*] \Rightarrow *i* ($\langle (\exists \bigcup \text{-<-./ -} \rangle 10$)

translations

$\forall x < a. P \equiv \text{CONST } oall(a, \lambda x. P)$
 $\exists x < a. P \equiv \text{CONST } oex(a, \lambda x. P)$
 $\bigcup x < a. B \equiv \text{CONST } OUnion(a, \lambda x. B)$

15.1.1 simplification of the new quantifiers

lemma [*simp*]: $(\forall x < 0. P(x))$
by (*simp add: oall-def*)

lemma [*simp*]: $\neg(\exists x < 0. P(x))$
by (*simp add: oex-def*)

lemma [*simp*]: $(\forall x < succ(i). P(x)) \longleftrightarrow (\text{Ord}(i) \longrightarrow P(i) \wedge (\forall x < i. P(x)))$
apply (*simp add: oall-def le-iff*)
apply (*blast intro: lt-Ord2*)
done

lemma [*simp*]: $(\exists x < succ(i). P(x)) \longleftrightarrow (\text{Ord}(i) \wedge (P(i) \mid (\exists x < i. P(x))))$
apply (*simp add: oex-def le-iff*)
apply (*blast intro: lt-Ord2*)
done

15.1.2 Union over ordinals

lemma *Ord-OUN* [*intro,simp*]:

$$\llbracket \bigwedge x. x < A \implies \text{Ord}(B(x)) \rrbracket \implies \text{Ord}(\bigcup x < A. B(x))$$

by (*simp add: OUnion-def ltI Ord-UN*)

lemma *OUN-upper-lt*:

$$\llbracket a < A; i < b(a); \text{Ord}(\bigcup x < A. b(x)) \rrbracket \implies i < (\bigcup x < A. b(x))$$

by (*unfold OUnion-def lt-def, blast*)

lemma *OUN-upper-le*:

$$\llbracket a < A; i \leq b(a); \text{Ord}(\bigcup x < A. b(x)) \rrbracket \implies i \leq (\bigcup x < A. b(x))$$

apply (*unfold OUnion-def, auto*)

apply (*rule UN-upper-le*)

apply (*auto simp add: lt-def*)

done

lemma *Limit-OUN-eq*: *Limit*(*i*) \implies ($\bigcup x < i. x$) = *i*

by (*simp add: OUnion-def Limit-Union-eq Limit-is-Ord*)

lemma *OUN-least*:

$$(\bigwedge x. x < A \implies B(x) \subseteq C) \implies (\bigcup x < A. B(x)) \subseteq C$$

by (*simp add: OUnion-def UN-least ltI*)

lemma *OUN-least-le*:

$$\llbracket \text{Ord}(i); \bigwedge x. x < A \implies b(x) \leq i \rrbracket \implies (\bigcup x < A. b(x)) \leq i$$

by (*simp add: OUnion-def UN-least-le ltI Ord-0-le*)

lemma *le-implies-OUN-le-OUN*:

$$\llbracket \bigwedge x. x < A \implies c(x) \leq d(x) \rrbracket \implies (\bigcup x < A. c(x)) \leq (\bigcup x < A. d(x))$$

by (*blast intro: OUN-least-le OUN-upper-le le-Ord2 Ord-OUN*)

lemma *OUN-UN-eq*:

$$(\bigwedge x. x \in A \implies \text{Ord}(B(x)))$$

$$\implies (\bigcup z < (\bigcup x \in A. B(x)). C(z)) = (\bigcup x \in A. \bigcup z < B(x). C(z))$$

by (*simp add: OUnion-def*)

lemma *OUN-Union-eq*:

$$(\bigwedge x. x \in X \implies \text{Ord}(x))$$

$$\implies (\bigcup z < \bigcup(X). C(z)) = (\bigcup x \in X. \bigcup z < x. C(z))$$

by (*simp add: OUnion-def*)

lemma *atomize-oall* [*symmetric, rulify*]:

$$(\bigwedge x. x < A \implies P(x)) \equiv \text{Trueprop } (\forall x < A. P(x))$$

by (*simp add: oall-def atomize-all atomize-imp*)

15.1.3 universal quantifier for ordinals

lemma *oallI* [*intro!*]:

$$\llbracket \bigwedge x. x < A \implies P(x) \rrbracket \implies \forall x < A. P(x)$$

by (*simp add: oall-def*)

lemma *ospec*: $\llbracket \forall x < A. P(x); x < A \rrbracket \implies P(x)$

by (*simp add: oall-def*)

lemma *oallE*:

$$\llbracket \forall x < A. P(x); P(x) \implies Q; \neg x < A \implies Q \rrbracket \implies Q$$

by (*simp add: oall-def, blast*)

lemma *rev-oallE* [*elim*]:

$$\llbracket \forall x < A. P(x); \neg x < A \implies Q; P(x) \implies Q \rrbracket \implies Q$$

by (*simp add: oall-def, blast*)

lemma *oall-simp* [*simp*]: $(\forall x < a. \text{True}) <-> \text{True}$

by *blast*

lemma *oall-cong* [*cong*]:

$$\begin{aligned} & \llbracket a = a'; \bigwedge x. x < a' \implies P(x) <-> P'(x) \rrbracket \\ & \implies \text{oall}(a, \lambda x. P(x)) <-> \text{oall}(a', \lambda x. P'(x)) \end{aligned}$$

by (*simp add: oall-def*)

15.1.4 existential quantifier for ordinals

lemma *oexI* [*intro*]:

$$\llbracket P(x); x < A \rrbracket \implies \exists x < A. P(x)$$

apply (*simp add: oex-def, blast*)

done

lemma *oexCI*:

$$\llbracket \forall x < A. \neg P(x) \implies P(a); a < A \rrbracket \implies \exists x < A. P(x)$$

apply (*simp add: oex-def, blast*)

done

lemma *oexE* [*elim!*]:

$$\llbracket \exists x < A. P(x); \bigwedge x. \llbracket x < A; P(x) \rrbracket \implies Q \rrbracket \implies Q$$

apply (*simp add: oex-def, blast*)

done

lemma *oex-cong* [*cong*]:

$$\begin{aligned} & \llbracket a = a'; \bigwedge x. x < a' \implies P(x) <-> P'(x) \rrbracket \\ & \implies \text{oex}(a, \lambda x. P(x)) <-> \text{oex}(a', \lambda x. P'(x)) \end{aligned}$$

apply (*simp add: oex-def cong add: conj-cong*)

done

15.1.1.5 Rules for Ordinal-Indexed Unions

lemma *OUN-I* [*intro*]: $\llbracket a < i; b \in B(a) \rrbracket \Longrightarrow b: (\bigcup z < i. B(z))$
by (*unfold OUnion-def lt-def, blast*)

lemma *OUN-E* [*elim!*]:

$\llbracket b \in (\bigcup z < i. B(z)); \bigwedge a. \llbracket b \in B(a); a < i \rrbracket \Longrightarrow R \rrbracket \Longrightarrow R$

apply (*unfold OUnion-def lt-def, blast*)

done

lemma *OUN-iff*: $b \in (\bigcup x < i. B(x)) <-> (\exists x < i. b \in B(x))$

by (*unfold OUnion-def oex-def lt-def, blast*)

lemma *OUN-cong* [*cong*]:

$\llbracket i = j; \bigwedge x. x < j \Longrightarrow C(x) = D(x) \rrbracket \Longrightarrow (\bigcup x < i. C(x)) = (\bigcup x < j. D(x))$

by (*simp add: OUnion-def lt-def OUN-iff*)

lemma *lt-induct*:

$\llbracket i < k; \bigwedge x. \llbracket x < k; \forall y < x. P(y) \rrbracket \Longrightarrow P(x) \rrbracket \Longrightarrow P(i)$

apply (*simp add: lt-def oall-def*)

apply (*erule conjE*)

apply (*erule Ord-induct, assumption, blast*)

done

15.2 Quantification over a class

definition

rall :: $[i \Rightarrow o, i \Rightarrow o] \Rightarrow o$ **where**
 $rall(M, P) \equiv \forall x. M(x) \longrightarrow P(x)$

definition

rex :: $[i \Rightarrow o, i \Rightarrow o] \Rightarrow o$ **where**
 $rex(M, P) \equiv \exists x. M(x) \wedge P(x)$

syntax

-*rall* :: $[pttrn, i \Rightarrow o, o] \Rightarrow o$ ($\langle (\exists \forall \text{-}[-] / \text{-}) \rangle 10$)

-*rex* :: $[pttrn, i \Rightarrow o, o] \Rightarrow o$ ($\langle (\exists \exists \text{-}[-] / \text{-}) \rangle 10$)

translations

$\forall x[M]. P \equiv \text{CONST } rall(M, \lambda x. P)$

$\exists x[M]. P \equiv \text{CONST } rex(M, \lambda x. P)$

15.2.1 Relativized universal quantifier

lemma *rallI* [*intro!*]: $\llbracket \bigwedge x. M(x) \Longrightarrow P(x) \rrbracket \Longrightarrow \forall x[M]. P(x)$

by (*simp add: rall-def*)

lemma *rspec*: $\llbracket \forall x[M]. P(x); M(x) \rrbracket \Longrightarrow P(x)$

by (*simp add: rall-def*)

lemma *rev-rallE* [*elim*]:

$$\llbracket \forall x[M]. P(x); \neg M(x) \implies Q; P(x) \implies Q \rrbracket \implies Q$$

by (*simp add: rall-def, blast*)

lemma *rallE*: $\llbracket \forall x[M]. P(x); P(x) \implies Q; \neg M(x) \implies Q \rrbracket \implies Q$

by *blast*

lemma *rall-triv* [*simp*]: $(\forall x[M]. P) \longleftrightarrow ((\exists x. M(x)) \longrightarrow P)$

by (*simp add: rall-def*)

lemma *rall-cong* [*cong*]:

$$(\bigwedge x. M(x) \implies P(x) \longleftrightarrow P'(x)) \implies (\forall x[M]. P(x)) \longleftrightarrow (\forall x[M]. P'(x))$$

by (*simp add: rall-def*)

15.2.2 Relativized existential quantifier

lemma *rexI* [*intro*]: $\llbracket P(x); M(x) \rrbracket \implies \exists x[M]. P(x)$

by (*simp add: rex-def, blast*)

lemma *rev-rexI*: $\llbracket M(x); P(x) \rrbracket \implies \exists x[M]. P(x)$

by *blast*

lemma *rexCI*: $\llbracket \forall x[M]. \neg P(x) \implies P(a); M(a) \rrbracket \implies \exists x[M]. P(x)$

by *blast*

lemma *rexE* [*elim!*]: $\llbracket \exists x[M]. P(x); \bigwedge x. \llbracket M(x); P(x) \rrbracket \implies Q \rrbracket \implies Q$

by (*simp add: rex-def, blast*)

lemma *rex-triv* [*simp*]: $(\exists x[M]. P) \longleftrightarrow ((\exists x. M(x)) \wedge P)$

by (*simp add: rex-def*)

lemma *rex-cong* [*cong*]:

$$(\bigwedge x. M(x) \implies P(x) \longleftrightarrow P'(x)) \implies (\exists x[M]. P(x)) \longleftrightarrow (\exists x[M]. P'(x))$$

by (*simp add: rex-def cong: conj-cong*)

lemma *rall-is-ball* [*simp*]: $(\forall x[\lambda z. z \in A]. P(x)) \longleftrightarrow (\forall x \in A. P(x))$

by *blast*

lemma *rex-is-bex* [*simp*]: $(\exists x[\lambda z. z \in A]. P(x)) \longleftrightarrow (\exists x \in A. P(x))$

by *blast*

lemma *atomize-rall*: $(\bigwedge x. M(x) \implies P(x)) \equiv \text{Trueprop } (\forall x[M]. P(x))$

by (*simp add: rall-def atomize-all atomize-imp*)

declare *atomize-rall* [*symmetric, rulify*]

lemma *rall-simps1*:

$$\begin{aligned}(\forall x[M]. P(x) \wedge Q) &<-> (\forall x[M]. P(x)) \wedge ((\forall x[M]. False) \mid Q) \\(\forall x[M]. P(x) \mid Q) &<-> ((\forall x[M]. P(x)) \mid Q) \\(\forall x[M]. P(x) \longrightarrow Q) &<-> ((\exists x[M]. P(x)) \longrightarrow Q) \\(\neg(\forall x[M]. P(x))) &<-> (\exists x[M]. \neg P(x))\end{aligned}$$

by *blast+*

lemma *rall-simps2*:

$$\begin{aligned}(\forall x[M]. P \wedge Q(x)) &<-> ((\forall x[M]. False) \mid P) \wedge (\forall x[M]. Q(x)) \\(\forall x[M]. P \mid Q(x)) &<-> (P \mid (\forall x[M]. Q(x))) \\(\forall x[M]. P \longrightarrow Q(x)) &<-> (P \longrightarrow (\forall x[M]. Q(x)))\end{aligned}$$

by *blast+*

lemmas *rall-simps* [*simp*] = *rall-simps1 rall-simps2*

lemma *rall-conj-distrib*:

$$(\forall x[M]. P(x) \wedge Q(x)) <-> ((\forall x[M]. P(x)) \wedge (\forall x[M]. Q(x)))$$

by *blast*

lemma *rex-simps1*:

$$\begin{aligned}(\exists x[M]. P(x) \wedge Q) &<-> ((\exists x[M]. P(x)) \wedge Q) \\(\exists x[M]. P(x) \mid Q) &<-> (\exists x[M]. P(x)) \mid ((\exists x[M]. True) \wedge Q) \\(\exists x[M]. P(x) \longrightarrow Q) &<-> ((\forall x[M]. P(x)) \longrightarrow ((\exists x[M]. True) \wedge Q)) \\(\neg(\exists x[M]. P(x))) &<-> (\forall x[M]. \neg P(x))\end{aligned}$$

by *blast+*

lemma *rex-simps2*:

$$\begin{aligned}(\exists x[M]. P \wedge Q(x)) &<-> (P \wedge (\exists x[M]. Q(x))) \\(\exists x[M]. P \mid Q(x)) &<-> ((\exists x[M]. True) \wedge P) \mid (\exists x[M]. Q(x)) \\(\exists x[M]. P \longrightarrow Q(x)) &<-> (((\forall x[M]. False) \mid P) \longrightarrow (\exists x[M]. Q(x)))\end{aligned}$$

by *blast+*

lemmas *rex-simps* [*simp*] = *rex-simps1 rex-simps2*

lemma *rex-disj-distrib*:

$$(\exists x[M]. P(x) \mid Q(x)) <-> ((\exists x[M]. P(x)) \mid (\exists x[M]. Q(x)))$$

by *blast*

15.2.3 One-point rule for bounded quantifiers

lemma *rex-triv-one-point1* [*simp*]: $(\exists x[M]. x=a) <-> (M(a))$

by *blast*

lemma *rex-triv-one-point2* [*simp*]: $(\exists x[M]. a=x) <-> (M(a))$

by *blast*

lemma *rex-one-point1* [*simp*]: $(\exists x[M]. x=a \wedge P(x)) \leftrightarrow (M(a) \wedge P(a))$
by *blast*

lemma *rex-one-point2* [*simp*]: $(\exists x[M]. a=x \wedge P(x)) \leftrightarrow (M(a) \wedge P(a))$
by *blast*

lemma *rall-one-point1* [*simp*]: $(\forall x[M]. x=a \longrightarrow P(x)) \leftrightarrow (M(a) \longrightarrow P(a))$
by *blast*

lemma *rall-one-point2* [*simp*]: $(\forall x[M]. a=x \longrightarrow P(x)) \leftrightarrow (M(a) \longrightarrow P(a))$
by *blast*

15.2.4 Sets as Classes

definition

setclass :: $[i, i] \Rightarrow o$ ($\langle \#\#\rightarrow [40] 40 \rangle$) **where**
setclass(*A*) $\equiv \lambda x. x \in A$

lemma *setclass-iff* [*simp*]: $setclass(A, x) \leftrightarrow x \in A$
by (*simp add: setclass-def*)

lemma *rall-setclass-is-ball* [*simp*]: $(\forall x[\#\#A]. P(x)) \leftrightarrow (\forall x \in A. P(x))$
by *auto*

lemma *rex-setclass-is-bex* [*simp*]: $(\exists x[\#\#A]. P(x)) \leftrightarrow (\exists x \in A. P(x))$
by *auto*

ML

```
<
val Ord-atomize =
  atomize ([(const-name <oall>, @{thms ospec}), (const-name <rall>, @{thms rspec})]
@
  ZF-conn-pairs, ZF-mem-pairs);
>
declaration <fn - =>
  Simplifier.map-ss (Simplifier.set-mksimps (fn ctxt =>
    map mk-eq o Ord-atomize o Variable.gen-all ctxt))
>
```

Setting up the one-point-rule simproc

```
simproc-setup defined-rex  $(\exists x[M]. P(x) \wedge Q(x)) = <$ 
  K (Quantifier1.rearrange-Bex (fn ctxt => unfold-tac ctxt @{thms rex-def}))
>
```

```
simproc-setup defined-rall  $(\forall x[M]. P(x) \longrightarrow Q(x)) = <$ 
  K (Quantifier1.rearrange-Ball (fn ctxt => unfold-tac ctxt @{thms rall-def}))
>
```

end

16 The Natural numbers As a Least Fixed Point

theory *Nat* **imports** *OrdQuant Bool* **begin**

definition

nat :: *i* **where**
 $nat \equiv lfp(Inf, \lambda X. \{0\} \cup \{succ(i). i \in X\})$

definition

*quasi**nat* :: *i* \Rightarrow *o* **where**
 $quasi\,nat(n) \equiv n=0 \mid (\exists m. n = succ(m))$

definition

nat-case :: [*i*, *i* \Rightarrow *i*, *i* \Rightarrow *i*] **where**
 $nat\,case(a,b,k) \equiv THE\ y. k=0 \wedge y=a \mid (\exists x. k=succ(x) \wedge y=b(x))$

definition

nat-rec :: [*i*, *i*, [*i*, *i*] \Rightarrow *i*] **where**
 $nat\,rec(k,a,b) \equiv$
 $wfrec(Memrel(nat), k, \lambda n\ f. nat\,case(a, \lambda m. b(m, f\,m), n))$

definition

Le :: *i* **where**
 $Le \equiv \{\langle x,y \rangle : nat * nat. x \leq y\}$

definition

Lt :: *i* **where**
 $Lt \equiv \{\langle x, y \rangle : nat * nat. x < y\}$

definition

Ge :: *i* **where**
 $Ge \equiv \{\langle x,y \rangle : nat * nat. y \leq x\}$

definition

Gt :: *i* **where**
 $Gt \equiv \{\langle x,y \rangle : nat * nat. y < x\}$

definition

greater-than :: *i* **where**
 $greater\,than(n) \equiv \{i \in nat. n < i\}$

No need for a less-than operator: a natural number is its list of predecessors!

lemma *nat-bnd-mono*: $bnd\,mono(Inf, \lambda X. \{0\} \cup \{succ(i). i \in X\})$


```

apply (rule bnd-monoI)
apply (cut-tac infinity, blast, blast)
done

```

```

lemmas nat-unfold = nat-bnd-mono [THEN nat-def [THEN def-lfp-unfold]]

```

```

lemma nat-0I [iff,TC]: 0 ∈ nat
apply (subst nat-unfold)
apply (rule singletonI [THEN UnI1])
done

```

```

lemma nat-succI [intro!,TC]: n ∈ nat ⇒ succ(n) ∈ nat
apply (subst nat-unfold)
apply (erule RepFunI [THEN UnI2])
done

```

```

lemma nat-1I [iff,TC]: 1 ∈ nat
by (rule nat-0I [THEN nat-succI])

```

```

lemma nat-2I [iff,TC]: 2 ∈ nat
by (rule nat-1I [THEN nat-succI])

```

```

lemma bool-subset-nat: bool ⊆ nat
by (blast elim!: boolE)

```

```

lemmas bool-into-nat = bool-subset-nat [THEN subsetD]

```

16.1 Injectivity Properties and Induction

```

lemma nat-induct [case-names 0 succ, induct set: nat]:
  [[n ∈ nat; P(0); ∧x. [[x ∈ nat; P(x)] ⇒ P(succ(x)]] ⇒ P(n)
by (erule def-induct [OF nat-def nat-bnd-mono], blast)

```

```

lemma natE:
  assumes n ∈ nat
  obtains (0) n=0 | (succ) x where x ∈ nat n=succ(x)
using assms
by (rule nat-unfold [THEN equalityD1, THEN subsetD, THEN UnE]) auto

```

```

lemma nat-into-Ord [simp]: n ∈ nat ⇒ Ord(n)
by (erule nat-induct, auto)

```

```

lemmas nat-0-le = nat-into-Ord [THEN Ord-0-le]

```

lemmas *nat-le-refl = nat-into-Ord* [THEN *le-refl*]

lemma *Ord-nat* [iff]: *Ord(nat)*
apply (*rule OrdI*)
apply (*erule-tac* [2] *nat-into-Ord* [THEN *Ord-is-Transset*])
 unfolding *Transset-def*
apply (*rule ballI*)
apply (*erule nat-induct, auto*)
done

lemma *Limit-nat* [iff]: *Limit(nat)*
 unfolding *Limit-def*
apply (*safe intro!*: *ltI Ord-nat*)
apply (*erule ltD*)
done

lemma *naturals-not-limit*: $a \in \text{nat} \implies \neg \text{Limit}(a)$
by (*induct a rule: nat-induct, auto*)

lemma *succ-natD*: $\text{succ}(i): \text{nat} \implies i \in \text{nat}$
by (*rule Ord-trans* [OF *succI1*], *auto*)

lemma *nat-succ-iff* [iff]: $\text{succ}(n): \text{nat} \longleftrightarrow n \in \text{nat}$
by (*blast dest!*: *succ-natD*)

lemma *nat-le-Limit*: $\text{Limit}(i) \implies \text{nat} \leq i$
apply (*rule subset-imp-le*)
apply (*simp-all add: Limit-is-Ord*)
apply (*rule subsetI*)
apply (*erule nat-induct*)
 apply (*erule Limit-has-0* [THEN *ltD*])
apply (*blast intro: Limit-has-succ* [THEN *ltD*] *ltI Limit-is-Ord*)
done

lemmas *succ-in-naturalD = Ord-trans* [OF *succI1 - nat-into-Ord*]

lemma *lt-nat-in-nat*: $\llbracket m < n; n \in \text{nat} \rrbracket \implies m \in \text{nat}$
apply (*erule ltE*)
apply (*erule Ord-trans, assumption, simp*)
done

lemma *le-in-nat*: $\llbracket m \leq n; n \in \text{nat} \rrbracket \implies m \in \text{nat}$
by (*blast dest!*: *lt-nat-in-nat*)

16.2 Variations on Mathematical Induction

lemmas *complete-induct = Ord-induct* [OF - *Ord-nat, case-names less, consumes 1*]

lemma *complete-induct-rule* [*case-names less, consumes 1*]:
 $i \in \text{nat} \implies (\bigwedge x. x \in \text{nat} \implies (\bigwedge y. y \in x \implies P(y)) \implies P(x)) \implies P(i)$
using *complete-induct* [*of i P*] **by** *simp*

lemma *nat-induct-from*:

assumes $m \leq n$ $m \in \text{nat}$ $n \in \text{nat}$
and $P(m)$
and $\bigwedge x. \llbracket x \in \text{nat}; m \leq x; P(x) \rrbracket \implies P(\text{succ}(x))$
shows $P(n)$
proof –
from *assms*(3) **have** $m \leq n \longrightarrow P(m) \longrightarrow P(n)$
by (*rule nat-induct*) (*use assms*(5) **in** $\langle \text{simp-all add: distrib-simps le-succ-iff} \rangle$)
with *assms*(1,2,4) **show** *?thesis* **by** *blast*
qed

lemma *diff-induct* [*case-names 0 0-succ succ-succ, consumes 2*]:

$\llbracket m \in \text{nat}; n \in \text{nat};$
 $\bigwedge x. x \in \text{nat} \implies P(x,0);$
 $\bigwedge y. y \in \text{nat} \implies P(0,\text{succ}(y));$
 $\bigwedge x y. \llbracket x \in \text{nat}; y \in \text{nat}; P(x,y) \rrbracket \implies P(\text{succ}(x),\text{succ}(y)) \rrbracket$
 $\implies P(m,n)$
apply (*erule-tac* $x = m$ **in** *rev-bspec*)
apply (*erule nat-induct, simp*)
apply (*rule ballI*)
apply (*rename-tac i j*)
apply (*erule-tac* $n=j$ **in** *nat-induct, auto*)
done

lemma *succ-lt-induct-lemma* [*rule-format*]:

$m \in \text{nat} \implies P(m,\text{succ}(m)) \longrightarrow (\forall x \in \text{nat}. P(m,x) \longrightarrow P(m,\text{succ}(x))) \longrightarrow$
 $(\forall n \in \text{nat}. m < n \longrightarrow P(m,n))$
apply (*erule nat-induct*)
apply (*intro impI, rule nat-induct* [*THEN ballI*])
prefer 4 **apply** (*intro impI, rule nat-induct* [*THEN ballI*])
apply (*auto simp add: le-iff*)
done

lemma *succ-lt-induct*:

$\llbracket m < n; n \in \text{nat};$
 $P(m,\text{succ}(m));$
 $\bigwedge x. \llbracket x \in \text{nat}; P(m,x) \rrbracket \implies P(m,\text{succ}(x)) \rrbracket$
 $\implies P(m,n)$
by (*blast intro: succ-lt-induct-lemma lt-nat-in-nat*)

16.3 `quasinat`: to allow a case-split rule for `nat-case`

True if the argument is zero or any successor

lemma [*iff*]: `quasinat(0)`
by (*simp add: quasinat-def*)

lemma [*iff*]: `quasinat(succ(x))`
by (*simp add: quasinat-def*)

lemma `nat-imp-quasinat`: $n \in \text{nat} \implies \text{quasinat}(n)$
by (*erule natE, simp-all*)

lemma `non-nat-case`: $\neg \text{quasinat}(x) \implies \text{nat-case}(a,b,x) = 0$
by (*simp add: quasinat-def nat-case-def*)

lemma `nat-cases-disj`: $k=0 \mid (\exists y. k = \text{succ}(y)) \mid \neg \text{quasinat}(k)$
apply (*case-tac k=0, simp*)
apply (*case-tac $\exists m. k = \text{succ}(m)$*)
apply (*simp-all add: quasinat-def*)
done

lemma `nat-cases`:
 $\llbracket k=0 \implies P; \bigwedge y. k = \text{succ}(y) \implies P; \neg \text{quasinat}(k) \implies P \rrbracket \implies P$
by (*insert nat-cases-disj [of k], blast*)

lemma `nat-case-0` [*simp*]: $\text{nat-case}(a,b,0) = a$
by (*simp add: nat-case-def*)

lemma `nat-case-succ` [*simp*]: $\text{nat-case}(a,b,\text{succ}(n)) = b(n)$
by (*simp add: nat-case-def*)

lemma `nat-case-type` [*TC*]:
 $\llbracket n \in \text{nat}; a \in C(0); \bigwedge m. m \in \text{nat} \implies b(m): C(\text{succ}(m)) \rrbracket$
 $\implies \text{nat-case}(a,b,n) \in C(n)$
by (*erule nat-induct, auto*)

lemma `split-nat-case`:
 $P(\text{nat-case}(a,b,k)) \longleftrightarrow$
 $((k=0 \longrightarrow P(a)) \wedge (\forall x. k=\text{succ}(x) \longrightarrow P(b(x))) \wedge (\neg \text{quasinat}(k) \longrightarrow P(0)))$
apply (*rule nat-cases [of k]*)
apply (*auto simp add: non-nat-case*)
done

16.4 Recursion on the Natural Numbers

lemma `nat-rec-0`: $\text{nat-rec}(0,a,b) = a$
apply (*rule nat-rec-def [THEN def-wfrec, THEN trans]*)

```

  apply (rule wf-Memrel)
  apply (rule nat-case-0)
done

```

```

lemma nat-rec-succ:  $m \in \text{nat} \implies \text{nat-rec}(\text{succ}(m), a, b) = b(m, \text{nat-rec}(m, a, b))$ 
  apply (rule nat-rec-def [THEN def-wfrec, THEN trans])
  apply (rule wf-Memrel)
  apply (simp add: vimage-singleton-iff)
done

```

```

lemma Un-nat-type [TC]:  $[[i \in \text{nat}; j \in \text{nat}]] \implies i \cup j \in \text{nat}$ 
  apply (rule Un-least-lt [THEN ltD])
  apply (simp-all add: lt-def)
done

```

```

lemma Int-nat-type [TC]:  $[[i \in \text{nat}; j \in \text{nat}]] \implies i \cap j \in \text{nat}$ 
  apply (rule Int-greatest-lt [THEN ltD])
  apply (simp-all add: lt-def)
done

```

```

lemma nat-nonempty [simp]:  $\text{nat} \neq 0$ 
by blast

```

A natural number is the set of its predecessors

```

lemma nat-eq-Collect-lt:  $i \in \text{nat} \implies \{j \in \text{nat}. j < i\} = i$ 
  apply (rule equalityI)
  apply (blast dest: ltD)
  apply (auto simp add: Ord-mem-iff-lt)
  apply (blast intro: lt-trans)
done

```

```

lemma Le-iff [iff]:  $\langle x, y \rangle \in \text{Le} \longleftrightarrow x \leq y \wedge x \in \text{nat} \wedge y \in \text{nat}$ 
by (force simp add: Le-def)

```

end

17 Inductive and Coinductive Definitions

```

theory Inductive
imports Fixedpt QPair Nat
keywords
  inductive coinductive inductive-cases rep-datatype primrec :: thy-decl and
  domains intros monos con-defs type-intros type-elims
  elimination induction case-eqns recursor-eqns :: quasi-command
begin

```

lemma *def-swap-iff*: $a \equiv b \implies a = c \longleftrightarrow c = b$
by *blast*

lemma *def-trans*: $f \equiv g \implies g(a) = b \implies f(a) = b$
by *simp*

lemma *refl-thin*: $\bigwedge P. a = a \implies P \implies P$.

ML-file $\langle ind-syntax.ML \rangle$
ML-file $\langle Tools/ind-cases.ML \rangle$
ML-file $\langle Tools/cartprod.ML \rangle$
ML-file $\langle Tools/inductive-package.ML \rangle$
ML-file $\langle Tools/induct-tacs.ML \rangle$
ML-file $\langle Tools/primrec-package.ML \rangle$

ML \langle
structure *Lfp* =
struct
val *oper* = **Const** $\langle lfp \rangle$
val *bnd-mono* = **Const** $\langle bnd-mono \rangle$
val *bnd-monoI* = $\@ \{ thm\ bnd-monoI \}$
val *subs* = $\@ \{ thm\ def-lfp-subset \}$
val *Tarski* = $\@ \{ thm\ def-lfp-unfold \}$
val *induct* = $\@ \{ thm\ def-induct \}$
end;

structure *Standard-Prod* =
struct
val *sigma* = **Const** $\langle Sigma \rangle$
val *pair* = **Const** $\langle Pair \rangle$
val *split-name* = **const-name** $\langle split \rangle$
val *pair-iff* = $\@ \{ thm\ Pair-iff \}$
val *split-eq* = $\@ \{ thm\ split \}$
val *fsplitI* = $\@ \{ thm\ splitI \}$
val *fsplitD* = $\@ \{ thm\ splitD \}$
val *fsplitE* = $\@ \{ thm\ splitE \}$
end;

structure *Standard-CP* = *CartProd-Fun* (*Standard-Prod*);

structure *Standard-Sum* =
struct
val *sum* = **Const** $\langle sum \rangle$
val *inl* = **Const** $\langle Inl \rangle$
val *inr* = **Const** $\langle Inr \rangle$
val *elim* = **Const** $\langle case \rangle$
val *case-inl* = $\@ \{ thm\ case-Inl \}$
val *case-inr* = $\@ \{ thm\ case-Inr \}$
val *inl-iff* = $\@ \{ thm\ Inl-iff \}$

```

val inr-iff = @{thm Inr-iff}
val distinct = @{thm Inl-Inr-iff}
val distinct' = @{thm Inr-Inl-iff}
val free-SEs = Ind-Syntax.mk-free-SEs
                [distinct, distinct', inl-iff, inr-iff, Standard-Prod.pair-iff]
end;

```

```

structure Ind-Package =
  Add-inductive-def-Fun
  (structure Fp=Lfp and Pr=Standard-Prod and CP=Standard-CP
   and Su=Standard-Sum val coind = false);

```

```

structure Gfp =
  struct
    val oper = Const ⟨gfp⟩
    val bnd-mono = Const ⟨bnd-mono⟩
    val bnd-monoI = @{thm bnd-monoI}
    val subs = @{thm def-gfp-subset}
    val Tarski = @{thm def-gfp-unfold}
    val induct = @{thm def-Collect-coinduct}
  end;

```

```

structure Quine-Prod =
  struct
    val sigma = Const ⟨QSigma⟩
    val pair = Const ⟨QPair⟩
    val split-name = const-name ⟨qsplit⟩
    val pair-iff = @{thm QPair-iff}
    val split-eq = @{thm qsplit}
    val fsplitI = @{thm qsplitI}
    val fsplitD = @{thm qsplitD}
    val fsplitE = @{thm qsplitE}
  end;

```

```

structure Quine-CP = CartProd-Fun (Quine-Prod);

```

```

structure Quine-Sum =
  struct
    val sum = Const ⟨qsum⟩
    val inl = Const ⟨QInl⟩
    val inr = Const ⟨QInr⟩
    val elim = Const ⟨qcase⟩
    val case-inl = @{thm qcase-QInl}
    val case-inr = @{thm qcase-QInr}
    val inl-iff = @{thm QInl-iff}
    val inr-iff = @{thm QInr-iff}
    val distinct = @{thm QInl-QInr-iff}
  end;

```

```

val distinct' = @{thm QInr-QInl-iff}
val free-SEs = Ind-Syntax.mk-free-SEs
                [distinct, distinct', inl-iff, inr-iff, Quine-Prod.pair-iff]
end;

```

```

structure CoInd-Package =
  Add-inductive-def-Fun(structure Fp=Gfp and Pr=Quine-Prod and CP=Quine-CP
    and Su=Quine-Sum val coind = true);

```

```

>

```

```

end

```

18 Epsilon Induction and Recursion

```

theory Epsilon imports Nat begin

```

definition

```

eclose  :: i ⇒ i  where
  eclose(A) ≡ ⋃ n ∈ nat. nat-rec(n, A, λm r. ⋃(r))

```

definition

```

transrec  :: [i, [i,i] ⇒ i] ⇒ i  where
  transrec(a,H) ≡ wfrec(Memrel(eclose({a})), a, H)

```

definition

```

rank     :: i ⇒ i  where
  rank(a) ≡ transrec(a, λx f. ⋃ y ∈ x. succ(f'y))

```

definition

```

transrec2  :: [i, i, [i,i] ⇒ i] ⇒ i  where
  transrec2(k, a, b) ≡
    transrec(k,
      λi r. if(i=0, a,
        if(∃ j. i=succ(j),
          b(THE j. i=succ(j), r'(THE j. i=succ(j))),
          ⋃ j < i. r'j)))

```

definition

```

recursor  :: [i, [i,i] ⇒ i, i] ⇒ i  where
  recursor(a,b,k) ≡ transrec(k, λn f. nat-case(a, λm. b(m, f'm), n))

```

definition

```

rec  :: [i, i, [i,i] ⇒ i] ⇒ i  where
  rec(k,a,b) ≡ recursor(a,b,k)

```


18.1 Basic Closure Properties

lemma *arg-subset-eclose*: $A \subseteq \text{eclose}(A)$
 unfolding *eclose-def*
apply (*rule nat-rec-0* [*THEN equalityD2*, *THEN subset-trans*])
apply (*rule nat-0I* [*THEN UN-upper*])
done

lemmas *arg-into-eclose* = *arg-subset-eclose* [*THEN subsetD*]

lemma *Transset-eclose*: $\text{Transset}(\text{eclose}(A))$
 unfolding *eclose-def Transset-def*
apply (*rule subsetI* [*THEN ballI*])
apply (*erule UN-E*)
apply (*rule nat-succI* [*THEN UN-I*], *assumption*)
apply (*erule nat-rec-succ* [*THEN ssubst*])
apply (*erule UnionI*, *assumption*)
done

lemmas *eclose-subset* =
 Transset-eclose [*unfolded Transset-def*, *THEN bspec*]

lemmas *ecloseD* = *eclose-subset* [*THEN subsetD*]

lemmas *arg-in-eclose-sing* = *arg-subset-eclose* [*THEN singleton-subsetD*]
lemmas *arg-into-eclose-sing* = *arg-in-eclose-sing* [*THEN ecloseD*]

lemmas *eclose-induct* =
 Transset-induct [*OF - Transset-eclose*, *induct set: eclose*]

lemma *eps-induct*:
 $\llbracket \bigwedge x. \forall y \in x. P(y) \implies P(x) \rrbracket \implies P(a)$
by (*rule arg-in-eclose-sing* [*THEN eclose-induct*], *blast*)

18.2 Leastness of *eclose*

lemma *eclose-least-lemma*:
 $\llbracket \text{Transset}(X); A \leq X; n \in \text{nat} \rrbracket \implies \text{nat-rec}(n, A, \lambda m r. \bigcup(r)) \subseteq X$
 unfolding *Transset-def*
apply (*erule nat-induct*)
apply (*simp add: nat-rec-0*)
apply (*simp add: nat-rec-succ*, *blast*)
done

lemma *eclose-least*:

$\llbracket \text{Transset}(X); A \leq X \rrbracket \implies \text{eclose}(A) \subseteq X$
unfolding *eclose-def*
apply (rule *eclose-least-lemma* [THEN *UN-least*], *assumption+*)
done

lemma *eclose-induct-down* [*consumes 1*]:
 $\llbracket a \in \text{eclose}(b);$
 $\quad \bigwedge y. \llbracket y \in b \rrbracket \implies P(y);$
 $\quad \bigwedge y z. \llbracket y \in \text{eclose}(b); P(y); z \in y \rrbracket \implies P(z)$
 $\rrbracket \implies P(a)$
apply (rule *eclose-least* [THEN *subsetD*, THEN *CollectD2*, of *eclose(b)*])
prefer 3 **apply** *assumption*
unfolding *Transset-def*
apply (*blast intro: ecloseD*)
apply (*blast intro: arg-subset-eclose* [THEN *subsetD*])
done

lemma *Transset-eclose-eq-arg*: $\text{Transset}(X) \implies \text{eclose}(X) = X$
apply (*erule equalityI* [OF *eclose-least arg-subset-eclose*])
apply (*rule subset-refl*)
done

A transitive set either is empty or contains the empty set.

lemma *Transset-0-lemma* [*rule-format*]: $\text{Transset}(A) \implies x \in A \longrightarrow 0 \in A$
apply (*simp add: Transset-def*)
apply (*rule-tac a=x in eps-induct, clarify*)
apply (*drule bspec, assumption*)
apply (*case-tac x=0, auto*)
done

lemma *Transset-0-disj*: $\text{Transset}(A) \implies A=0 \mid 0 \in A$
by (*blast dest: Transset-0-lemma*)

18.3 Epsilon Recursion

lemma *mem-eclose-trans*: $\llbracket A \in \text{eclose}(B); B \in \text{eclose}(C) \rrbracket \implies A \in \text{eclose}(C)$
by (rule *eclose-least* [OF *Transset-eclose eclose-subset*, THEN *subsetD*],
assumption+)

lemma *mem-eclose-sing-trans*:
 $\llbracket A \in \text{eclose}(\{B\}); B \in \text{eclose}(\{C\}) \rrbracket \implies A \in \text{eclose}(\{C\})$
by (rule *eclose-least* [OF *Transset-eclose singleton-subsetI*, THEN *subsetD*],
assumption+)

lemma *under-Memrel*: $\llbracket \text{Transset}(i); j \in i \rrbracket \implies \text{Memrel}(i) - \{\{j\}\} = j$
by (*unfold Transset-def, blast*)

lemma *lt-Memrel*: $j < i \implies \text{Memrel}(i) - \{j\} = j$
by (*simp add: lt-def Ord-def under-Memrel*)

lemmas *under-Memrel-eclose* = *Transset-eclose* [THEN *under-Memrel*]

lemmas *wfrec-ssubst* = *wf-Memrel* [THEN *wfrec*, THEN *ssubst*]

lemma *wfrec-eclose-eq*:

$\llbracket k \in \text{eclose}(\{j\}); j \in \text{eclose}(\{i\}) \rrbracket \implies$
 $\text{wfrec}(\text{Memrel}(\text{eclose}(\{i\})), k, H) = \text{wfrec}(\text{Memrel}(\text{eclose}(\{j\})), k, H)$
apply (*erule eclose-induct*)
apply (*rule wfrec-ssubst*)
apply (*rule wfrec-ssubst*)
apply (*simp add: under-Memrel-eclose mem-eclose-sing-trans [of - j i]*)
done

lemma *wfrec-eclose-eq2*:

$k \in i \implies \text{wfrec}(\text{Memrel}(\text{eclose}(\{i\})), k, H) = \text{wfrec}(\text{Memrel}(\text{eclose}(\{k\})), k, H)$
apply (*rule arg-in-eclose-sing [THEN wfrec-eclose-eq]*)
apply (*erule arg-into-eclose-sing*)
done

lemma *transrec*: $\text{transrec}(a, H) = H(a, \lambda x \in a. \text{transrec}(x, H))$

unfolding *transrec-def*
apply (*rule wfrec-ssubst*)
apply (*simp add: wfrec-eclose-eq2 arg-in-eclose-sing under-Memrel-eclose*)
done

lemma *def-transrec*:

$\llbracket \bigwedge x. f(x) \equiv \text{transrec}(x, H) \rrbracket \implies f(a) = H(a, \lambda x \in a. f(x))$
apply *simp*
apply (*rule transrec*)
done

lemma *transrec-type*:

$\llbracket \bigwedge x u. \llbracket x \in \text{eclose}(\{a\}); u \in \text{Pi}(x, B) \rrbracket \implies H(x, u) \in B(x) \rrbracket$
 $\implies \text{transrec}(a, H) \in B(a)$
apply (*rule-tac i = a in arg-in-eclose-sing [THEN eclose-induct]*)
apply (*subst transrec*)
apply (*simp add: lam-type*)
done

lemma *eclose-sing-Ord*: $\text{Ord}(i) \implies \text{eclose}(\{i\}) \subseteq \text{succ}(i)$

apply (*erule Ord-is-Transset [THEN Transset-succ, THEN eclose-least]*)
apply (*rule succI1 [THEN singleton-subsetI]*)
done

lemma *succ-subset-eclose-sing*: $\text{succ}(i) \subseteq \text{eclose}(\{i\})$
apply (*insert arg-subset-eclose* [*of* $\{i\}$], *simp*)
apply (*frule* *eclose-subset*, *blast*)
done

lemma *eclose-sing-Ord-eq*: $\text{Ord}(i) \implies \text{eclose}(\{i\}) = \text{succ}(i)$
apply (*rule* *equalityI*)
apply (*erule* *eclose-sing-Ord*)
apply (*rule* *succ-subset-eclose-sing*)
done

lemma *Ord-transrec-type*:
assumes *jini*: $j \in i$
and *ordi*: $\text{Ord}(i)$
and *minor*: $\bigwedge x u. \llbracket x \in i; u \in Pi(x,B) \rrbracket \implies H(x,u) \in B(x)$
shows $\text{transrec}(j,H) \in B(j)$
apply (*rule* *transrec-type*)
apply (*insert jini ordi*)
apply (*blast intro!*: *minor*
intro: *Ord-trans*
dest: *Ord-in-Ord* [*THEN* *eclose-sing-Ord*, *THEN* *subsetD*])
done

18.4 Rank

lemma *rank*: $\text{rank}(a) = (\bigcup y \in a. \text{succ}(\text{rank}(y)))$
by (*subst* *rank-def* [*THEN* *def-transrec*], *simp*)

lemma *Ord-rank* [*simp*]: $\text{Ord}(\text{rank}(a))$
apply (*rule-tac* $a=a$ **in** *eps-induct*)
apply (*subst* *rank*)
apply (*rule* *Ord-succ* [*THEN* *Ord-UN*])
apply (*erule* *bspec*, *assumption*)
done

lemma *rank-of-Ord*: $\text{Ord}(i) \implies \text{rank}(i) = i$
apply (*erule* *trans-induct*)
apply (*subst* *rank*)
apply (*simp* *add*: *Ord-equality*)
done

lemma *rank-lt*: $a \in b \implies \text{rank}(a) < \text{rank}(b)$
apply (*rule-tac* $a1 = b$ **in** *rank* [*THEN* *ssubst*])
apply (*erule* *UN-I* [*THEN* *ltI*])
apply (*rule-tac* [*?*] *Ord-UN*, *auto*)
done

lemma *eclose-rank-lt*: $a \in \text{eclose}(b) \implies \text{rank}(a) < \text{rank}(b)$
apply (*erule* *eclose-induct-down*)

apply (*erule rank-lt*)
apply (*erule rank-lt* [*THEN lt-trans*], *assumption*)
done

lemma rank-mono: $a \leq b \implies \text{rank}(a) \leq \text{rank}(b)$
apply (*rule subset-imp-le*)
apply (*auto simp add: rank [of a] rank [of b]*)
done

lemma rank-Pow: $\text{rank}(\text{Pow}(a)) = \text{succ}(\text{rank}(a))$
apply (*rule rank [THEN trans]*)
apply (*rule le-anti-sym*)
apply (*rule-tac* [2] *UN-upper-le*)
apply (*rule UN-least-le*)
apply (*auto intro: rank-mono simp add: Ord-UN*)
done

lemma rank-0 [*simp*]: $\text{rank}(0) = 0$
by (*rule rank [THEN trans]*, *blast*)

lemma rank-succ [*simp*]: $\text{rank}(\text{succ}(x)) = \text{succ}(\text{rank}(x))$
apply (*rule rank [THEN trans]*)
apply (*rule equalityI [OF UN-least succI1 [THEN UN-upper]]*)
apply (*erule succE*, *blast*)
apply (*erule rank-lt [THEN leI, THEN succ-leI, THEN le-imp-subset]*)
done

lemma rank-Union: $\text{rank}(\bigcup(A)) = (\bigcup_{x \in A} \text{rank}(x))$
apply (*rule equalityI*)
apply (*rule-tac* [2] *rank-mono [THEN le-imp-subset, THEN UN-least]*)
apply (*erule-tac* [2] *Union-upper*)
apply (*subst rank*)
apply (*rule UN-least*)
apply (*erule UnionE*)
apply (*rule subset-trans*)
apply (*erule-tac* [2] *RepFunI [THEN Union-upper]*)
apply (*erule rank-lt [THEN succ-leI, THEN le-imp-subset]*)
done

lemma rank-eclose: $\text{rank}(\text{eclose}(a)) = \text{rank}(a)$
apply (*rule le-anti-sym*)
apply (*rule-tac* [2] *arg-subset-eclose [THEN rank-mono]*)
apply (*rule-tac* $a1 = \text{eclose}(a)$ **in** *rank [THEN ssubst]*)
apply (*rule Ord-rank [THEN UN-least-le]*)
apply (*erule eclose-rank-lt [THEN succ-leI]*)
done

lemma rank-pair1: $\text{rank}(a) < \text{rank}(\langle a, b \rangle)$
unfolding *Pair-def*

```

apply (rule consI1 [THEN rank-lt, THEN lt-trans])
apply (rule consI1 [THEN consI2, THEN rank-lt])
done

```

```

lemma rank-pair2: rank(b) < rank((a,b))
  unfolding Pair-def
apply (rule consI1 [THEN consI2, THEN rank-lt, THEN lt-trans])
apply (rule consI1 [THEN consI2, THEN rank-lt])
done

```

```

lemma the-equality-if:
   $P(a) \implies (THE\ x.\ P(x)) = (if\ (\exists!x.\ P(x))\ then\ a\ else\ 0)$ 
by (simp add: the-0 the-equality2)

```

```

lemma rank-apply:  $\llbracket i \in domain(f); function(f) \rrbracket \implies rank(f'i) < rank(f)$ 
apply clarify
apply (simp add: function-apply-equality)
apply (blast intro: lt-trans rank-lt rank-pair2)
done

```

18.5 Corollaries of Leastness

```

lemma mem-eclose-subset:  $A \in B \implies eclose(A) \leq eclose(B)$ 
apply (rule Transset-eclose [THEN eclose-least])
apply (erule arg-into-eclose [THEN eclose-subset])
done

```

```

lemma eclose-mono:  $A \leq B \implies eclose(A) \subseteq eclose(B)$ 
apply (rule Transset-eclose [THEN eclose-least])
apply (erule subset-trans)
apply (rule arg-subset-eclose)
done

```

```

lemma eclose-idem:  $eclose(eclose(A)) = eclose(A)$ 
apply (rule equalityI)
apply (rule eclose-least [OF Transset-eclose subset-refl])
apply (rule arg-subset-eclose)
done

```

```

lemma transrec2-0 [simp]:  $transrec2(0,a,b) = a$ 
by (rule transrec2-def [THEN def-transrec, THEN trans], simp)

```

```

lemma transrec2-succ [simp]:  $transrec2(succ(i),a,b) = b(i, transrec2(i,a,b))$ 

```

apply (rule *transrec2-def* [*THEN def-transrec, THEN trans*])
apply (*simp add: the-equality if-P*)
done

lemma *transrec2-Limit*:

$Limit(i) \implies transrec2(i,a,b) = (\bigcup_{j < i} transrec2(j,a,b))$
apply (rule *transrec2-def* [*THEN def-transrec, THEN trans*])
apply (*auto simp add: OUnion-def*)
done

lemma *def-transrec2*:

$(\bigwedge x. f(x) \equiv transrec2(x,a,b))$
 $\implies f(0) = a \wedge$
 $f(succ(i)) = b(i, f(i)) \wedge$
 $(Limit(K) \longrightarrow f(K) = (\bigcup_{j < K} f(j)))$
by (*simp add: transrec2-Limit*)

lemmas *recursor-lemma* = *recursor-def* [*THEN def-transrec, THEN trans*]

lemma *recursor-0*: $recursor(a,b,0) = a$
by (rule *nat-case-0* [*THEN recursor-lemma*])

lemma *recursor-succ*: $recursor(a,b,succ(m)) = b(m, recursor(a,b,m))$
by (rule *recursor-lemma, simp*)

lemma *rec-0* [*simp*]: $rec(0,a,b) = a$
unfolding *rec-def*
apply (rule *recursor-0*)
done

lemma *rec-succ* [*simp*]: $rec(succ(m),a,b) = b(m, rec(m,a,b))$
unfolding *rec-def*
apply (rule *recursor-succ*)
done

lemma *rec-type*:

$\llbracket n \in nat;$
 $a \in C(0);$
 $\bigwedge m z. \llbracket m \in nat; z \in C(m) \rrbracket \implies b(m,z) \in C(succ(m)) \rrbracket$
 $\implies rec(n,a,b) \in C(n)$
by (*erule nat-induct, auto*)

end

19 Partial and Total Orderings: Basic Definitions and Properties

theory *Order* imports *WF Perm* **begin**

We adopt the following convention: *ord* is used for strict orders and *order* is used for their reflexive counterparts.

definition

$part-ord :: [i,i] \Rightarrow o$ **where**
 $part-ord(A,r) \equiv irrefl(A,r) \wedge trans[A](r)$

definition

$linear :: [i,i] \Rightarrow o$ **where**
 $linear(A,r) \equiv (\forall x \in A. \forall y \in A. \langle x,y \rangle : r \mid x=y \mid \langle y,x \rangle : r)$

definition

$tot-ord :: [i,i] \Rightarrow o$ **where**
 $tot-ord(A,r) \equiv part-ord(A,r) \wedge linear(A,r)$

definition

$preorder-on(A, r) \equiv refl(A, r) \wedge trans[A](r)$

definition

$partial-order-on(A, r) \equiv preorder-on(A, r) \wedge antisym(r)$

abbreviation

$Preorder(r) \equiv preorder-on(field(r), r)$

abbreviation

$Partial-order(r) \equiv partial-order-on(field(r), r)$

definition

$well-ord :: [i,i] \Rightarrow o$ **where**
 $well-ord(A,r) \equiv tot-ord(A,r) \wedge wf[A](r)$

definition

$mono-map :: [i,i,i,i] \Rightarrow i$ **where**
 $mono-map(A,r,B,s) \equiv$
 $\{f \in A \rightarrow B. \forall x \in A. \forall y \in A. \langle x,y \rangle : r \longrightarrow \langle f'x, f'y \rangle : s\}$

definition

$ord-iso :: [i,i,i,i] \Rightarrow i$ ($\langle \langle -, - \rangle \cong / \langle -, - \rangle \rangle$ 51) **where**
 $\langle A,r \rangle \cong \langle B,s \rangle \equiv$
 $\{f \in bij(A,B). \forall x \in A. \forall y \in A. \langle x,y \rangle : r \longleftrightarrow \langle f'x, f'y \rangle : s\}$

definition

$pred \quad :: [i, i, i] \Rightarrow i \quad \text{where}$
 $pred(A, x, r) \equiv \{y \in A. \langle y, x \rangle : r\}$

definition

$ord\text{-}iso\text{-}map \quad :: [i, i, i, i] \Rightarrow i \quad \text{where}$
 $ord\text{-}iso\text{-}map(A, r, B, s) \equiv$
 $\bigcup_{x \in A}. \bigcup_{y \in B}. \bigcup_{f \in ord\text{-}iso(pred(A, x, r), r, pred(B, y, s), s)}. \{\langle x, y \rangle\}$

definition

$first \quad :: [i, i, i] \Rightarrow o \quad \text{where}$
 $first(u, X, R) \equiv u \in X \wedge (\forall v \in X. v \neq u \longrightarrow \langle u, v \rangle \in R)$

19.1 Immediate Consequences of the Definitions

lemma *part-ord-Imp-asm:*

$part\text{-}ord(A, r) \Longrightarrow asym(r \cap A * A)$

by (*unfold part-ord-def irrefl-def trans-on-def asym-def, blast*)

lemma *linearE:*

$\llbracket linear(A, r); x \in A; y \in A;$
 $\langle x, y \rangle : r \Longrightarrow P; x = y \Longrightarrow P; \langle y, x \rangle : r \Longrightarrow P \rrbracket$
 $\Longrightarrow P$

by (*simp add: linear-def, blast*)

lemma *well-ordI:*

$\llbracket wf[A](r); linear(A, r) \rrbracket \Longrightarrow well\text{-}ord(A, r)$

apply (*simp add: irrefl-def part-ord-def tot-ord-def*
trans-on-def well-ord-def wf-on-not-refl)

apply (*fast elim: linearE wf-on-asm wf-on-chain3*)

done

lemma *well-ord-is-wf:*

$well\text{-}ord(A, r) \Longrightarrow wf[A](r)$

by (*unfold well-ord-def, safe*)

lemma *well-ord-is-trans-on:*

$well\text{-}ord(A, r) \Longrightarrow trans[A](r)$

by (*unfold well-ord-def tot-ord-def part-ord-def, safe*)

lemma *well-ord-is-linear:* $well\text{-}ord(A, r) \Longrightarrow linear(A, r)$

by (*unfold well-ord-def tot-ord-def, blast*)

lemma *pred-iff:* $y \in pred(A, x, r) \longleftrightarrow \langle y, x \rangle : r \wedge y \in A$

by (unfold pred-def, blast)

lemmas predI = conjI [THEN pred-iff [THEN iffD2]]

lemma predE: $\llbracket y \in \text{pred}(A,x,r); \llbracket y \in A; \langle y,x \rangle : r \rrbracket \implies P \rrbracket \implies P$
by (simp add: pred-def)

lemma pred-subset-under: $\text{pred}(A,x,r) \subseteq r - \{x\}$
by (simp add: pred-def, blast)

lemma pred-subset: $\text{pred}(A,x,r) \subseteq A$
by (simp add: pred-def, blast)

lemma pred-pred-eq:
 $\text{pred}(\text{pred}(A,x,r), y, r) = \text{pred}(A,x,r) \cap \text{pred}(A,y,r)$
by (simp add: pred-def, blast)

lemma trans-pred-pred-eq:
 $\llbracket \text{trans}[A](r); \langle y,x \rangle : r; x \in A; y \in A \rrbracket$
 $\implies \text{pred}(\text{pred}(A,x,r), y, r) = \text{pred}(A,y,r)$
by (unfold trans-on-def pred-def, blast)

19.2 Restricting an Ordering's Domain

lemma part-ord-subset:
 $\llbracket \text{part-ord}(A,r); B \leq A \rrbracket \implies \text{part-ord}(B,r)$
by (unfold part-ord-def irrefl-def trans-on-def, blast)

lemma linear-subset:
 $\llbracket \text{linear}(A,r); B \leq A \rrbracket \implies \text{linear}(B,r)$
by (unfold linear-def, blast)

lemma tot-ord-subset:
 $\llbracket \text{tot-ord}(A,r); B \leq A \rrbracket \implies \text{tot-ord}(B,r)$
unfolding tot-ord-def
apply (fast elim!: part-ord-subset linear-subset)
done

lemma well-ord-subset:
 $\llbracket \text{well-ord}(A,r); B \leq A \rrbracket \implies \text{well-ord}(B,r)$
unfolding well-ord-def
apply (fast elim!: tot-ord-subset wf-on-subset-A)
done

lemma irrefl-Int-iff: $\text{irrefl}(A,r \cap A * A) \longleftrightarrow \text{irrefl}(A,r)$
by (unfold irrefl-def, blast)

lemma *trans-on-Int-iff*: $\text{trans}[A](r \cap A * A) \longleftrightarrow \text{trans}[A](r)$
by (*unfold trans-on-def, blast*)

lemma *part-ord-Int-iff*: $\text{part-ord}(A, r \cap A * A) \longleftrightarrow \text{part-ord}(A, r)$
unfolding *part-ord-def*
apply (*simp add: irrefl-Int-iff trans-on-Int-iff*)
done

lemma *linear-Int-iff*: $\text{linear}(A, r \cap A * A) \longleftrightarrow \text{linear}(A, r)$
by (*unfold linear-def, blast*)

lemma *tot-ord-Int-iff*: $\text{tot-ord}(A, r \cap A * A) \longleftrightarrow \text{tot-ord}(A, r)$
unfolding *tot-ord-def*
apply (*simp add: part-ord-Int-iff linear-Int-iff*)
done

lemma *wf-on-Int-iff*: $\text{wf}[A](r \cap A * A) \longleftrightarrow \text{wf}[A](r)$
apply (*unfold wf-on-def wf-def, fast*)
done

lemma *well-ord-Int-iff*: $\text{well-ord}(A, r \cap A * A) \longleftrightarrow \text{well-ord}(A, r)$
unfolding *well-ord-def*
apply (*simp add: tot-ord-Int-iff wf-on-Int-iff*)
done

19.3 Empty and Unit Domains

lemma *wf-on-any-0*: $\text{wf}[A](0)$
by (*simp add: wf-on-def wf-def, fast*)

19.3.1 Relations over the Empty Set

lemma *irrefl-0*: $\text{irrefl}(0, r)$
by (*unfold irrefl-def, blast*)

lemma *trans-on-0*: $\text{trans}[0](r)$
by (*unfold trans-on-def, blast*)

lemma *part-ord-0*: $\text{part-ord}(0, r)$
unfolding *part-ord-def*
apply (*simp add: irrefl-0 trans-on-0*)
done

lemma *linear-0*: $\text{linear}(0, r)$
by (*unfold linear-def, blast*)

lemma *tot-ord-0*: $\text{tot-ord}(0, r)$
unfolding *tot-ord-def*
apply (*simp add: part-ord-0 linear-0*)

done

lemma *wf-on-0*: $wf[0](r)$
by (*unfold wf-on-def wf-def, blast*)

lemma *well-ord-0*: $well-ord(0,r)$
 unfolding *well-ord-def*
apply (*simp add: tot-ord-0 wf-on-0*)
done

19.3.2 The Empty Relation Well-Orders the Unit Set

by Grabczewski

lemma *tot-ord-unit*: $tot-ord(\{a\},0)$
by (*simp add: irrefl-def trans-on-def part-ord-def linear-def tot-ord-def*)

lemma *well-ord-unit*: $well-ord(\{a\},0)$
 unfolding *well-ord-def*
apply (*simp add: tot-ord-unit wf-on-any-0*)
done

19.4 Order-Isomorphisms

Suppes calls them "similarities"

lemma *mono-map-is-fun*: $f \in mono-map(A,r,B,s) \implies f \in A \rightarrow B$
by (*simp add: mono-map-def*)

lemma *mono-map-is-inj*:
 $\llbracket linear(A,r); wf[B](s); f \in mono-map(A,r,B,s) \rrbracket \implies f \in inj(A,B)$
apply (*unfold mono-map-def inj-def, clarify*)
apply (*erule-tac x=w and y=x in linearE, assumption+*)
apply (*force intro: apply-type dest: wf-on-not-refl*)
done

lemma *ord-isoI*:
 $\llbracket f \in bij(A, B);$
 $\bigwedge x y. \llbracket x \in A; y \in A \rrbracket \implies \langle x, y \rangle \in r \longleftrightarrow \langle f'x, f'y \rangle \in s \rrbracket$
 $\implies f \in ord-iso(A,r,B,s)$
by (*simp add: ord-iso-def*)

lemma *ord-iso-is-mono-map*:
 $f \in ord-iso(A,r,B,s) \implies f \in mono-map(A,r,B,s)$
apply (*simp add: ord-iso-def mono-map-def*)
apply (*blast dest!: bij-is-fun*)
done

lemma *ord-iso-is-bij*:
 $f \in ord-iso(A,r,B,s) \implies f \in bij(A,B)$

by (*simp add: ord-iso-def*)

lemma *ord-iso-apply*:

$\llbracket f \in \text{ord-iso}(A,r,B,s); \langle x,y \rangle: r; x \in A; y \in A \rrbracket \implies \langle f'x, f'y \rangle \in s$
by (*simp add: ord-iso-def*)

lemma *ord-iso-converse*:

$\llbracket f \in \text{ord-iso}(A,r,B,s); \langle x,y \rangle: s; x \in B; y \in B \rrbracket$
 $\implies \langle \text{converse}(f)'x, \text{converse}(f)'y \rangle \in r$
apply (*simp add: ord-iso-def, clarify*)
apply (*erule bspec [THEN bspec, THEN iffD2]*)
apply (*erule asm-rl bij-converse-bij [THEN bij-is-fun, THEN apply-type]*)
apply (*auto simp add: right-inverse-bij*)
done

lemma *ord-iso-reft*: $\text{id}(A): \text{ord-iso}(A,r,A,r)$

by (*rule id-bij [THEN ord-isoI], simp*)

lemma *ord-iso-sym*: $f \in \text{ord-iso}(A,r,B,s) \implies \text{converse}(f): \text{ord-iso}(B,s,A,r)$

apply (*simp add: ord-iso-def*)
apply (*auto simp add: right-inverse-bij bij-converse-bij*
bij-is-fun [THEN apply-funtype])

done

lemma *mono-map-trans*:

$\llbracket g \in \text{mono-map}(A,r,B,s); f \in \text{mono-map}(B,s,C,t) \rrbracket$
 $\implies (f \circ g): \text{mono-map}(A,r,C,t)$
unfolding *mono-map-def*
apply (*auto simp add: comp-fun*)
done

lemma *ord-iso-trans*:

$\llbracket g \in \text{ord-iso}(A,r,B,s); f \in \text{ord-iso}(B,s,C,t) \rrbracket$
 $\implies (f \circ g): \text{ord-iso}(A,r,C,t)$
apply (*unfold ord-iso-def, clarify*)
apply (*frule bij-is-fun [of f]*)
apply (*frule bij-is-fun [of g]*)
apply (*auto simp add: comp-bij*)
done

lemma *mono-ord-isoI*:

[[$f \in \text{mono-map}(A,r,B,s)$; $g \in \text{mono-map}(B,s,A,r)$;
 $f \circ g = \text{id}(B)$; $g \circ f = \text{id}(A)$]] $\implies f \in \text{ord-iso}(A,r,B,s)$
apply (*simp add: ord-iso-def mono-map-def, safe*)
apply (*intro fg-imp-bijective, auto*)
apply (*subgoal-tac <g' (f'x), g' (f'y) > \in r*)
apply (*simp add: comp-eq-id-iff [THEN iffD1]*)
apply (*blast intro: apply-funtype*)
done

lemma *well-ord-mono-ord-isoI*:

[[*well-ord*(A,r); *well-ord*(B,s);
 $f \in \text{mono-map}(A,r,B,s)$; *converse*(f): *mono-map*(B,s,A,r)]]
 $\implies f \in \text{ord-iso}(A,r,B,s)$
apply (*intro mono-ord-isoI, auto*)
apply (*frule mono-map-is-fun [THEN fun-is-rel]*)
apply (*erule converse-converse [THEN subst], rule left-comp-inverse*)
apply (*blast intro: left-comp-inverse mono-map-is-inj well-ord-is-linear
well-ord-is-wf*)+
done

lemma *part-ord-ord-iso*:

[[*part-ord*(B,s); $f \in \text{ord-iso}(A,r,B,s)$]] $\implies \text{part-ord}(A,r)$
apply (*simp add: part-ord-def irrefl-def trans-on-def ord-iso-def*)
apply (*fast intro: bij-is-fun [THEN apply-type]*)
done

lemma *linear-ord-iso*:

[[*linear*(B,s); $f \in \text{ord-iso}(A,r,B,s)$]] $\implies \text{linear}(A,r)$
apply (*simp add: linear-def ord-iso-def, safe*)
apply (*drule-tac x1 = f'x and x = f'y in bspec [THEN bspec]*)
apply (*safe elim!: bij-is-fun [THEN apply-type]*)
apply (*drule-tac t = (') (converse (f)) in subst-context*)
apply (*simp add: left-inverse-bij*)
done

lemma *wf-on-ord-iso*:

[[*wf*[B](s); $f \in \text{ord-iso}(A,r,B,s)$]] $\implies \text{wf}[A](r)$
apply (*simp add: wf-on-def wf-def ord-iso-def, safe*)
apply (*drule-tac x = {f'z. z \in Z \cap A} in spec*)
apply (*safe intro!: equalityI*)
apply (*blast dest!: equalityD1 intro: bij-is-fun [THEN apply-type]*)+
done

lemma *well-ord-ord-iso*:

```

    [[well-ord(B,s); f ∈ ord-iso(A,r,B,s)] ⇒ well-ord(A,r)
  unfolding well-ord-def tot-ord-def
  apply (fast elim!: part-ord-ord-iso linear-ord-iso wf-on-ord-iso)
  done

```

19.5 Main results of Kunen, Chapter 1 section 6

```

lemma well-ord-iso-subset-lemma:
  [[well-ord(A,r); f ∈ ord-iso(A,r,A',r); A' ≤ A; y ∈ A]
  ⇒ ¬ <f'y, y>: r
  apply (simp add: well-ord-def ord-iso-def)
  apply (elim conjE CollectE)
  apply (rule-tac a=y in wf-on-induct, assumption+)
  apply (blast dest: bij-is-fun [THEN apply-type])
  done

```

```

lemma well-ord-iso-predE:
  [[well-ord(A,r); f ∈ ord-iso(A, r, pred(A,x,r), r); x ∈ A] ⇒ P
  apply (insert well-ord-iso-subset-lemma [of A r f pred(A,x,r) x])
  apply (simp add: pred-subset)

```

```

  apply (drule ord-iso-is-bij [THEN bij-is-fun, THEN apply-type], assumption)

```

```

  apply (simp add: well-ord-def pred-def)
  done

```

```

lemma well-ord-iso-pred-eq:
  [[well-ord(A,r); f ∈ ord-iso(pred(A,a,r), r, pred(A,c,r), r);
  a ∈ A; c ∈ A] ⇒ a=c
  apply (frule well-ord-is-trans-on)
  apply (frule well-ord-is-linear)
  apply (erule-tac x=a and y=c in linearE, assumption+)
  apply (drule ord-iso-sym)

```

```

  apply (auto elim!: well-ord-subset [OF - pred-subset, THEN well-ord-iso-predE]
  intro!: predI
  simp add: trans-pred-pred-eq)
  done

```

```

lemma ord-iso-image-pred:
  [[f ∈ ord-iso(A,r,B,s); a ∈ A] ⇒ f " pred(A,a,r) = pred(B, f'a, s)
  unfolding ord-iso-def pred-def
  apply (erule CollectE)
  apply (simp (no-asm-simp) add: image-fun [OF bij-is-fun Collect-subset])
  apply (rule equalityI)
  apply (safe elim!: bij-is-fun [THEN apply-type])

```

apply (*rule RepFun-eqI*)
apply (*blast intro!: right-inverse-bij [symmetric]*)
apply (*auto simp add: right-inverse-bij bij-is-fun [THEN apply-funtype]*)
done

lemma *ord-iso-restrict-image:*
 $\llbracket f \in \text{ord-iso}(A,r,B,s); C \leq A \rrbracket$
 $\implies \text{restrict}(f,C) \in \text{ord-iso}(C, r, f''C, s)$
apply (*simp add: ord-iso-def*)
apply (*blast intro: bij-is-inj restrict-bij*)
done

lemma *ord-iso-restrict-pred:*
 $\llbracket f \in \text{ord-iso}(A,r,B,s); a \in A \rrbracket$
 $\implies \text{restrict}(f, \text{pred}(A,a,r)) \in \text{ord-iso}(\text{pred}(A,a,r), r, \text{pred}(B, f'a, s), s)$
apply (*simp add: ord-iso-image-pred [symmetric]*)
apply (*blast intro: ord-iso-restrict-image elim: predE*)
done

lemma *well-ord-iso-preserving:*
 $\llbracket \text{well-ord}(A,r); \text{well-ord}(B,s); \langle a,c \rangle: r;$
 $f \in \text{ord-iso}(\text{pred}(A,a,r), r, \text{pred}(B,b,s), s);$
 $g \in \text{ord-iso}(\text{pred}(A,c,r), r, \text{pred}(B,d,s), s);$
 $a \in A; c \in A; b \in B; d \in B \rrbracket \implies \langle b,d \rangle: s$
apply (*frule ord-iso-is-bij [THEN bij-is-fun, THEN apply-type], (erule asm-rl predI predE)+*)
apply (*subgoal-tac b = g'a*)
apply (*simp (no-asm-simp)*)
apply (*rule well-ord-iso-pred-eq, auto*)
apply (*frule ord-iso-restrict-pred, (erule asm-rl predI)+*)
apply (*simp add: well-ord-is-trans-on trans-pred-pred-eq*)
apply (*erule ord-iso-sym [THEN ord-iso-trans], assumption*)
done

lemma *well-ord-iso-unique-lemma:*
 $\llbracket \text{well-ord}(A,r);$
 $f \in \text{ord-iso}(A,r, B,s); g \in \text{ord-iso}(A,r, B,s); y \in A \rrbracket$
 $\implies \neg \langle g'y, f'y \rangle \in s$
apply (*frule well-ord-iso-subset-lemma*)
apply (*rule-tac f = converse (f) and g = g in ord-iso-trans*)
apply *auto*
apply (*blast intro: ord-iso-sym*)
apply (*frule ord-iso-is-bij [of f]*)
apply (*frule ord-iso-is-bij [of g]*)
apply (*frule ord-iso-converse*)
apply (*blast intro!: bij-converse-bij*)


```

      intro: bij-is-fun apply-funtype)+
apply (erule notE)
apply (simp add: left-inverse-bij bij-is-fun comp-fun-apply [of - A B])
done

```

```

lemma well-ord-iso-unique:  $\llbracket$ well-ord( $A,r$ );
       $f \in \text{ord-iso}(A,r, B,s)$ ;  $g \in \text{ord-iso}(A,r, B,s)$  $\rrbracket \implies f = g$ 
apply (rule fun-extension)
apply (erule ord-iso-is-bij [THEN bij-is-fun])+
apply (subgoal-tac  $f'x \in B \wedge g'x \in B \wedge \text{linear}(B,s)$ )
  apply (simp add: linear-def)
  apply (blast dest: well-ord-iso-unique-lemma)
apply (blast intro: ord-iso-is-bij bij-is-fun apply-funtype
      well-ord-is-linear well-ord-ord-iso ord-iso-sym)
done

```

19.6 Towards Kunen's Theorem 6.3: Linearity of the Similarity Relation

```

lemma ord-iso-map-subset:  $\text{ord-iso-map}(A,r,B,s) \subseteq A*B$ 
by (unfold ord-iso-map-def, blast)

```

```

lemma domain-ord-iso-map:  $\text{domain}(\text{ord-iso-map}(A,r,B,s)) \subseteq A$ 
by (unfold ord-iso-map-def, blast)

```

```

lemma range-ord-iso-map:  $\text{range}(\text{ord-iso-map}(A,r,B,s)) \subseteq B$ 
by (unfold ord-iso-map-def, blast)

```

```

lemma converse-ord-iso-map:
       $\text{converse}(\text{ord-iso-map}(A,r,B,s)) = \text{ord-iso-map}(B,s,A,r)$ 
  unfolding ord-iso-map-def
apply (blast intro: ord-iso-sym)
done

```

```

lemma function-ord-iso-map:
       $\text{well-ord}(B,s) \implies \text{function}(\text{ord-iso-map}(A,r,B,s))$ 
  unfolding ord-iso-map-def function-def
apply (blast intro: well-ord-iso-pred-eq ord-iso-sym ord-iso-trans)
done

```

```

lemma ord-iso-map-fun:  $\text{well-ord}(B,s) \implies \text{ord-iso-map}(A,r,B,s)$ 
       $\in \text{domain}(\text{ord-iso-map}(A,r,B,s)) \rightarrow \text{range}(\text{ord-iso-map}(A,r,B,s))$ 
by (simp add: Pi-iff function-ord-iso-map
      ord-iso-map-subset [THEN domain-times-range])

```

```

lemma ord-iso-map-mono-map:
       $\llbracket$ well-ord( $A,r$ ); well-ord( $B,s$ ) $\rrbracket$ 

```

$\implies \text{ord-iso-map}(A,r,B,s)$
 $\in \text{mono-map}(\text{domain}(\text{ord-iso-map}(A,r,B,s)), r,$
 $\text{range}(\text{ord-iso-map}(A,r,B,s)), s)$
unfolding *mono-map-def*
apply (*simp (no-asm-simp) add: ord-iso-map-fun*)
apply *safe*
apply (*subgoal-tac $x \in A \wedge ya:A \wedge y \in B \wedge yb:B$*)
apply (*simp add: apply-equality [OF - ord-iso-map-fun]*)
unfolding *ord-iso-map-def*
apply (*blast intro: well-ord-iso-preserving, blast*)
done

lemma *ord-iso-map-ord-iso*:
 $\llbracket \text{well-ord}(A,r); \text{well-ord}(B,s) \rrbracket \implies \text{ord-iso-map}(A,r,B,s)$
 $\in \text{ord-iso}(\text{domain}(\text{ord-iso-map}(A,r,B,s)), r,$
 $\text{range}(\text{ord-iso-map}(A,r,B,s)), s)$
apply (*rule well-ord-mono-ord-isoI*)
prefer 4
apply (*rule converse-ord-iso-map [THEN subst]*)
apply (*simp add: ord-iso-map-mono-map*
 $\text{ord-iso-map-subset [THEN converse-converse]}$)
apply (*blast intro!: domain-ord-iso-map range-ord-iso-map*
 $\text{intro: well-ord-subset ord-iso-map-mono-map}$)
done

lemma *domain-ord-iso-map-subset*:
 $\llbracket \text{well-ord}(A,r); \text{well-ord}(B,s);$
 $a \in A; a \notin \text{domain}(\text{ord-iso-map}(A,r,B,s)) \rrbracket$
 $\implies \text{domain}(\text{ord-iso-map}(A,r,B,s)) \subseteq \text{pred}(A, a, r)$
unfolding *ord-iso-map-def*
apply (*safe intro!: predI*)

apply (*simp (no-asm-simp)*)
apply (*frule-tac $A = A$ in well-ord-is-linear*)
apply (*rename-tac $b y f$*)
apply (*erule-tac $x=b$ and $y=a$ in linearE, assumption+*)

apply *clarify*
apply *blast*

apply (*frule ord-iso-is-bij [THEN bij-is-fun, THEN apply-type],*
 $(\text{erule asm-rl predI predE})+$)
apply (*frule ord-iso-restrict-pred*)
apply (*simp add: pred-iff*)
apply (*simp split: split-if-asm*
 $\text{add: well-ord-is-trans-on trans-pred-pred-eq domain-UN domain-Union,}$
 blast)

done

lemma *domain-ord-iso-map-cases*:

$\llbracket \text{well-ord}(A,r); \text{well-ord}(B,s) \rrbracket$
 $\implies \text{domain}(\text{ord-iso-map}(A,r,B,s)) = A \mid$
 $(\exists x \in A. \text{domain}(\text{ord-iso-map}(A,r,B,s)) = \text{pred}(A,x,r))$

apply (*frule well-ord-is-wf*)

unfolding *wf-on-def wf-def*

apply (*drule-tac x = A-domain (ord-iso-map (A,r,B,s)) in spec*)

apply *safe*

apply (*rule domain-ord-iso-map [THEN equalityI]*)

apply (*erule Diff-eq-0-iff [THEN iffD1]*)

apply (*blast del: domainI subsetI*

elim!: predE

intro!: domain-ord-iso-map-subset

intro: subsetI)+

done

lemma *range-ord-iso-map-cases*:

$\llbracket \text{well-ord}(A,r); \text{well-ord}(B,s) \rrbracket$
 $\implies \text{range}(\text{ord-iso-map}(A,r,B,s)) = B \mid$
 $(\exists y \in B. \text{range}(\text{ord-iso-map}(A,r,B,s)) = \text{pred}(B,y,s))$

apply (*rule converse-ord-iso-map [THEN subst]*)

apply (*simp add: domain-ord-iso-map-cases*)

done

Kunen's Theorem 6.3: Fundamental Theorem for Well-Ordered Sets

theorem *well-ord-trichotomy*:

$\llbracket \text{well-ord}(A,r); \text{well-ord}(B,s) \rrbracket$
 $\implies \text{ord-iso-map}(A,r,B,s) \in \text{ord-iso}(A, r, B, s) \mid$
 $(\exists x \in A. \text{ord-iso-map}(A,r,B,s) \in \text{ord-iso}(\text{pred}(A,x,r), r, B, s)) \mid$
 $(\exists y \in B. \text{ord-iso-map}(A,r,B,s) \in \text{ord-iso}(A, r, \text{pred}(B,y,s), s))$

apply (*frule-tac B = B in domain-ord-iso-map-cases, assumption*)

apply (*frule-tac B = B in range-ord-iso-map-cases, assumption*)

apply (*drule ord-iso-map-ord-iso, assumption*)

apply (*elim disjE bexE*)

apply (*simp-all add: bexI*)

apply (*rule wf-on-not-refl [THEN notE]*)

apply (*erule well-ord-is-wf*)

apply *assumption*

apply (*subgoal-tac <x,y>: ord-iso-map (A,r,B,s)*)

apply (*drule rangeI*)

apply (*simp add: pred-def*)

apply (*unfold ord-iso-map-def, blast*)

done

19.7 Miscellaneous Results by Krzysztof Grabczewski

lemma *irrefl-converse*: $\text{irrefl}(A,r) \implies \text{irrefl}(A,\text{converse}(r))$
by (*unfold irrefl-def*, *blast*)

lemma *trans-on-converse*: $\text{trans}[A](r) \implies \text{trans}[A](\text{converse}(r))$
by (*unfold trans-on-def*, *blast*)

lemma *part-ord-converse*: $\text{part-ord}(A,r) \implies \text{part-ord}(A,\text{converse}(r))$
unfolding *part-ord-def*
apply (*blast intro!*: *irrefl-converse trans-on-converse*)
done

lemma *linear-converse*: $\text{linear}(A,r) \implies \text{linear}(A,\text{converse}(r))$
by (*unfold linear-def*, *blast*)

lemma *tot-ord-converse*: $\text{tot-ord}(A,r) \implies \text{tot-ord}(A,\text{converse}(r))$
unfolding *tot-ord-def*
apply (*blast intro!*: *part-ord-converse linear-converse*)
done

lemma *first-is-elem*: $\text{first}(b,B,r) \implies b \in B$
by (*unfold first-def*, *blast*)

lemma *well-ord-imp-ex1-first*:
 $\llbracket \text{well-ord}(A,r); B \leq A; B \neq 0 \rrbracket \implies (\exists ! b. \text{first}(b,B,r))$
unfolding *well-ord-def wf-on-def wf-def first-def*
apply (*elim conjE allE disjE*, *blast*)
apply (*erule bexE*)
apply (*rule-tac a = x in ex1I*, *auto*)
apply (*unfold tot-ord-def linear-def*, *blast*)
done

lemma *the-first-in*:
 $\llbracket \text{well-ord}(A,r); B \leq A; B \neq 0 \rrbracket \implies (\text{THE } b. \text{first}(b,B,r)) \in B$
apply (*drule well-ord-imp-ex1-first*, *assumption+*)
apply (*rule first-is-elem*)
apply (*erule theI*)
done

19.8 Lemmas for the Reflexive Orders

lemma *subset-vimage-vimage-iff*:
 $\llbracket \text{Preorder}(r); A \subseteq \text{field}(r); B \subseteq \text{field}(r) \rrbracket \implies$
 $r -'' A \subseteq r -'' B \iff (\forall a \in A. \exists b \in B. \langle a, b \rangle \in r)$
apply (*auto simp: subset-def preorder-on-def refl-def vimage-def image-def*)
apply *blast*

unfolding *trans-on-def*
apply (*erule-tac* $P = (\lambda x. \forall y \in \text{field}(r).$
 $\forall z \in \text{field}(r). \langle x, y \rangle \in r \longrightarrow \langle y, z \rangle \in r \longrightarrow \langle x, z \rangle \in r)$ **for** r **in** *rev-ballE*)
apply *best*
apply *blast*
done

lemma *subset-vimage1-vimage1-iff*:
 $\llbracket \text{Preorder}(r); a \in \text{field}(r); b \in \text{field}(r) \rrbracket \implies$
 $r - \{a\} \subseteq r - \{b\} \longleftrightarrow \langle a, b \rangle \in r$
by (*simp add: subset-vimage-vimage-iff*)

lemma *Refl-antisym-eq-Image1-Image1-iff*:
 $\llbracket \text{refl}(\text{field}(r), r); \text{antisym}(r); a \in \text{field}(r); b \in \text{field}(r) \rrbracket \implies$
 $r - \{a\} = r - \{b\} \longleftrightarrow a = b$
apply *rule*
apply (*frule equality-iffD*)
apply (*drule equality-iffD*)
apply (*simp add: antisym-def refl-def*)
apply *best*
apply (*simp add: antisym-def refl-def*)
done

lemma *Partial-order-eq-Image1-Image1-iff*:
 $\llbracket \text{Partial-order}(r); a \in \text{field}(r); b \in \text{field}(r) \rrbracket \implies$
 $r - \{a\} = r - \{b\} \longleftrightarrow a = b$
by (*simp add: partial-order-on-def preorder-on-def*
Refl-antisym-eq-Image1-Image1-iff)

lemma *Refl-antisym-eq-vimage1-vimage1-iff*:
 $\llbracket \text{refl}(\text{field}(r), r); \text{antisym}(r); a \in \text{field}(r); b \in \text{field}(r) \rrbracket \implies$
 $r - \{a\} = r - \{b\} \longleftrightarrow a = b$
apply *rule*
apply (*frule equality-iffD*)
apply (*drule equality-iffD*)
apply (*simp add: antisym-def refl-def*)
apply *best*
apply (*simp add: antisym-def refl-def*)
done

lemma *Partial-order-eq-vimage1-vimage1-iff*:
 $\llbracket \text{Partial-order}(r); a \in \text{field}(r); b \in \text{field}(r) \rrbracket \implies$
 $r - \{a\} = r - \{b\} \longleftrightarrow a = b$
by (*simp add: partial-order-on-def preorder-on-def*
Refl-antisym-eq-vimage1-vimage1-iff)

end

20 Combining Orderings: Foundations of Ordinal Arithmetic

theory *OrderArith* **imports** *Order Sum Ordinal* **begin**

definition

radd :: $[i, i, i, i] \Rightarrow i$ **where**
radd(A, r, B, s) \equiv
 $\{z: (A+B) * (A+B).$
 $(\exists x y. z = \langle \text{Inl}(x), \text{Inr}(y) \rangle) \mid$
 $(\exists x' x. z = \langle \text{Inl}(x'), \text{Inl}(x) \rangle \wedge \langle x', x \rangle : r) \mid$
 $(\exists y' y. z = \langle \text{Inr}(y'), \text{Inr}(y) \rangle \wedge \langle y', y \rangle : s)\}$

definition

rmult :: $[i, i, i, i] \Rightarrow i$ **where**
rmult(A, r, B, s) \equiv
 $\{z: (A*B) * (A*B).$
 $\exists x' y' x y. z = \langle \langle x', y' \rangle, \langle x, y \rangle \rangle \wedge$
 $(\langle x', x \rangle : r \mid (x' = x \wedge \langle y', y \rangle : s))\}$

definition

rvimage :: $[i, i, i] \Rightarrow i$ **where**
rvimage(A, f, r) $\equiv \{z \in A*A. \exists x y. z = \langle x, y \rangle \wedge \langle f'x, f'y \rangle : r\}$

definition

measure :: $[i, i \Rightarrow i] \Rightarrow i$ **where**
measure(A, f) $\equiv \{\langle x, y \rangle : A*A. f(x) < f(y)\}$

20.1 Addition of Relations – Disjoint Sum

20.1.1 Rewrite rules. Can be used to obtain introduction rules

lemma *radd-Inl-Inr-iff* [*iff*]:

$\langle \text{Inl}(a), \text{Inr}(b) \rangle \in \text{radd}(A, r, B, s) \longleftrightarrow a \in A \wedge b \in B$

by (*unfold radd-def, blast*)

lemma *radd-Inl-iff* [*iff*]:

$\langle \text{Inl}(a'), \text{Inl}(a) \rangle \in \text{radd}(A, r, B, s) \longleftrightarrow a' : A \wedge a \in A \wedge \langle a', a \rangle : r$

by (*unfold radd-def, blast*)

lemma *radd-Inr-iff* [*iff*]:

$\langle \text{Inr}(b'), \text{Inr}(b) \rangle \in \text{radd}(A, r, B, s) \longleftrightarrow b' : B \wedge b \in B \wedge \langle b', b \rangle : s$

by (*unfold radd-def, blast*)

lemma *radd-Inr-Inl-iff* [*simp*]:

$\langle \text{Inr}(b), \text{Inl}(a) \rangle \in \text{radd}(A, r, B, s) \longleftrightarrow \text{False}$

by (unfold radd-def, blast)

declare radd-Inr-Inl-iff [THEN iffD1, dest!]

20.1.2 Elimination Rule

lemma raddE:

$\llbracket \langle p', p \rangle \in \text{radd}(A, r, B, s);$
 $\bigwedge x y. \llbracket p' = \text{Inl}(x); x \in A; p = \text{Inr}(y); y \in B \rrbracket \implies Q;$
 $\bigwedge x' x. \llbracket p' = \text{Inl}(x'); p = \text{Inl}(x); \langle x', x \rangle: r; x' \in A; x \in A \rrbracket \implies Q;$
 $\bigwedge y' y. \llbracket p' = \text{Inr}(y'); p = \text{Inr}(y); \langle y', y \rangle: s; y' \in B; y \in B \rrbracket \implies Q$
 $\rrbracket \implies Q$
by (unfold radd-def, blast)

20.1.3 Type checking

lemma radd-type: $\text{radd}(A, r, B, s) \subseteq (A+B) * (A+B)$

unfolding radd-def

apply (rule Collect-subset)

done

lemmas field-radd = radd-type [THEN field-rel-subset]

20.1.4 Linearity

lemma linear-radd:

$\llbracket \text{linear}(A, r); \text{linear}(B, s) \rrbracket \implies \text{linear}(A+B, \text{radd}(A, r, B, s))$
by (unfold linear-def, blast)

20.1.5 Well-foundedness

lemma wf-on-radd: $\llbracket \text{wf}[A](r); \text{wf}[B](s) \rrbracket \implies \text{wf}[A+B](\text{radd}(A, r, B, s))$

apply (rule wf-onI2)

apply (subgoal-tac $\forall x \in A. \text{Inl}(x) \in Ba$)

— Proving the lemma, which is needed twice!

prefer 2

apply (erule-tac $V = y \in A + B$ in thin-rl)

apply (rule-tac ballI)

apply (erule-tac $r = r$ and $a = x$ in wf-on-induct, assumption)

apply blast

Returning to main part of proof

apply safe

apply blast

apply (erule-tac $r = s$ and $a = ya$ in wf-on-induct, assumption, blast)

done

lemma wf-radd: $\llbracket \text{wf}(r); \text{wf}(s) \rrbracket \implies \text{wf}(\text{radd}(\text{field}(r), r, \text{field}(s), s))$

apply (simp add: wf-iff-wf-on-field)

apply (rule wf-on-subset-A [OF - field-radd])

apply (*blast intro: wf-on-radd*)
done

lemma *well-ord-radd*:

$\llbracket \text{well-ord}(A,r); \text{well-ord}(B,s) \rrbracket \implies \text{well-ord}(A+B, \text{radd}(A,r,B,s))$

apply (*rule well-ordI*)

apply (*simp add: well-ord-def wf-on-radd*)

apply (*simp add: well-ord-def tot-ord-def linear-radd*)

done

20.1.6 An *ord-iso* congruence law

lemma *sum-bij*:

$\llbracket f \in \text{bij}(A,C); g \in \text{bij}(B,D) \rrbracket$

$\implies (\lambda z \in A+B. \text{case}(\lambda x. \text{Inl}(f'x), \lambda y. \text{Inr}(g'y), z)) \in \text{bij}(A+B, C+D)$

apply (*rule-tac d = case* ($\lambda x. \text{Inl}(\text{converse}(f)'x), \lambda y. \text{Inr}(\text{converse}(g)'y)$)
in *lam-bijective*)

apply (*typecheck add: bij-is-inj inj-is-fun*)

apply (*auto simp add: left-inverse-bij right-inverse-bij*)

done

lemma *sum-ord-iso-cong*:

$\llbracket f \in \text{ord-iso}(A,r,A',r'); g \in \text{ord-iso}(B,s,B',s') \rrbracket \implies$

$(\lambda z \in A+B. \text{case}(\lambda x. \text{Inl}(f'x), \lambda y. \text{Inr}(g'y), z))$

$\in \text{ord-iso}(A+B, \text{radd}(A,r,B,s), A'+B', \text{radd}(A',r',B',s'))$

unfolding *ord-iso-def*

apply (*safe intro!: sum-bij*)

apply (*auto cong add: conj-cong simp add: bij-is-fun [THEN apply-type]*)

done

lemma *sum-disjoint-bij*: $A \cap B = 0 \implies$

$(\lambda z \in A+B. \text{case}(\lambda x. x, \lambda y. y, z)) \in \text{bij}(A+B, A \cup B)$

apply (*rule-tac d = $\lambda z. \text{if } z \in A \text{ then } \text{Inl}(z) \text{ else } \text{Inr}(z)$* **in** *lam-bijective*)

apply *auto*

done

20.1.7 Associativity

lemma *sum-assoc-bij*:

$(\lambda z \in (A+B)+C. \text{case}(\text{case}(\text{Inl}, \lambda y. \text{Inr}(\text{Inl}(y))), \lambda y. \text{Inr}(\text{Inr}(y)), z))$

$\in \text{bij}((A+B)+C, A+(B+C))$

apply (*rule-tac d = case* ($\lambda x. \text{Inl}(\text{Inl}(x)), \text{case}(\lambda x. \text{Inl}(\text{Inr}(x)), \text{Inr})$)
in *lam-bijective*)

apply *auto*

done

lemma *sum-assoc-ord-iso*:

$(\lambda z \in (A+B)+C. \text{case}(\text{case}(\text{Inl}, \lambda y. \text{Inr}(\text{Inl}(y))), \lambda y. \text{Inr}(\text{Inr}(y)), z))$

$\in \text{ord-iso}((A+B)+C, \text{radd}(A+B, \text{radd}(A,r,B,s), C, t),$
 $A+(B+C), \text{radd}(A, r, B+C, \text{radd}(B,s,C,t)))$
by (*rule sum-assoc-bij [THEN ord-isoI], auto*)

20.2 Multiplication of Relations – Lexicographic Product

20.2.1 Rewrite rule. Can be used to obtain introduction rules

lemma *rmult-iff [iff]*:

$$\begin{aligned}
 \langle \langle a', b' \rangle, \langle a, b \rangle \rangle \in \text{rmult}(A, r, B, s) &\longleftrightarrow \\
 (\langle a', a \rangle: r \wedge a': A \wedge a \in A \wedge b': B \wedge b \in B) &| \\
 (\langle b', b \rangle: s \wedge a' = a \wedge a \in A \wedge b': B \wedge b \in B) &
 \end{aligned}$$

by (*unfold rmult-def, blast*)

lemma *rmultE*:

$$\begin{aligned}
 &\llbracket \langle \langle a', b' \rangle, \langle a, b \rangle \rangle \in \text{rmult}(A, r, B, s); \\
 &\quad \llbracket \langle a', a \rangle: r; a': A; a \in A; b': B; b \in B \rrbracket \Longrightarrow Q; \\
 &\quad \llbracket \langle b', b \rangle: s; a \in A; a' = a; b': B; b \in B \rrbracket \Longrightarrow Q \\
 &\rrbracket \Longrightarrow Q
 \end{aligned}$$

by *blast*

20.2.2 Type checking

lemma *rmult-type*: $\text{rmult}(A, r, B, s) \subseteq (A * B) * (A * B)$
by (*unfold rmult-def, rule Collect-subset*)

lemmas *field-rmult = rmult-type [THEN field-rel-subset]*

20.2.3 Linearity

lemma *linear-rmult*:

$$\llbracket \text{linear}(A, r); \text{linear}(B, s) \rrbracket \Longrightarrow \text{linear}(A * B, \text{rmult}(A, r, B, s))$$

by (*simp add: linear-def, blast*)

20.2.4 Well-foundedness

lemma *wf-on-rmult*: $\llbracket \text{wf}[A](r); \text{wf}[B](s) \rrbracket \Longrightarrow \text{wf}[A * B](\text{rmult}(A, r, B, s))$

apply (*rule wf-onI2*)

apply (*erule SigmaE*)

apply (*erule ssubst*)

apply (*subgoal-tac $\forall b \in B. \langle x, b \rangle: Ba$, blast*)

apply (*erule-tac $a = x$ in wf-on-induct, assumption*)

apply (*rule ballI*)

apply (*erule-tac $a = b$ in wf-on-induct, assumption*)

apply (*best elim!: rmultE bspec [THEN mp]*)

done

lemma *wf-rmult*: $\llbracket \text{wf}(r); \text{wf}(s) \rrbracket \Longrightarrow \text{wf}(\text{rmult}(\text{field}(r), r, \text{field}(s), s))$

apply (*simp add: wf-iff-wf-on-field*)
apply (*rule wf-on-subset-A [OF - field-rmult]*)
apply (*blast intro: wf-on-rmult*)
done

lemma *well-ord-rmult:*

$\llbracket \text{well-ord}(A,r); \text{well-ord}(B,s) \rrbracket \implies \text{well-ord}(A*B, \text{rmult}(A,r,B,s))$
apply (*rule well-ordI*)
apply (*simp add: well-ord-def wf-on-rmult*)
apply (*simp add: well-ord-def tot-ord-def linear-rmult*)
done

20.2.5 An *ord-iso* congruence law

lemma *prod-bij:*

$\llbracket f \in \text{bij}(A,C); g \in \text{bij}(B,D) \rrbracket$
 $\implies (\text{lam } \langle x,y \rangle : A*B. \langle f'x, g'y \rangle) \in \text{bij}(A*B, C*D)$
apply (*rule-tac d = $\lambda \langle x,y \rangle. \langle \text{converse } (f) 'x, \text{converse } (g) 'y$*
in *lam-bijective*)
apply (*typecheck add: bij-is-inj inj-is-fun*)
apply (*auto simp add: left-inverse-bij right-inverse-bij*)
done

lemma *prod-ord-iso-cong:*

$\llbracket f \in \text{ord-iso}(A,r,A',r'); g \in \text{ord-iso}(B,s,B',s') \rrbracket$
 $\implies (\text{lam } \langle x,y \rangle : A*B. \langle f'x, g'y \rangle)$
 $\in \text{ord-iso}(A*B, \text{rmult}(A,r,B,s), A'*B', \text{rmult}(A',r',B',s'))$
unfolding *ord-iso-def*
apply (*safe intro!: prod-bij*)
apply (*simp-all add: bij-is-fun [THEN apply-type]*)
apply (*blast intro: bij-is-inj [THEN inj-apply-equality]*)
done

lemma *singleton-prod-bij:* $(\lambda z \in A. \langle x, z \rangle) \in \text{bij}(A, \{x\}*A)$

by (*rule-tac d = snd in lam-bijective, auto*)

lemma *singleton-prod-ord-iso:*

$\text{well-ord}(\{x\}, xr) \implies$
 $(\lambda z \in A. \langle x, z \rangle) \in \text{ord-iso}(A, r, \{x\}*A, \text{rmult}(\{x\}, xr, A, r))$
apply (*rule singleton-prod-bij [THEN ord-isoI]*)
apply (*simp (no-asm-simp)*)
apply (*blast dest: well-ord-is-wf [THEN wf-on-not-refl]*)
done

lemma *prod-sum-singleton-bij:*

$a \notin C \implies$
 $(\lambda x \in C*B + D. \text{case}(\lambda x. x, \lambda y. \langle a, y \rangle, x))$

$\in \text{bij}(C*B + D, C*B \cup \{a\}*D)$
apply (rule *subst-elim*)
apply (rule *id-bij* [THEN *sum-bij*, THEN *comp-bij*])
apply (rule *singleton-prod-bij*)
apply (rule *sum-disjoint-bij*, *blast*)
apply (*simp* (*no-asm-simp*) *cong add: case-cong*)
apply (rule *comp-lam* [THEN *trans*, *symmetric*])
apply (*fast elim!*: *case-type*)
apply (*simp* (*no-asm-simp*) *add: case-case*)
done

lemma *prod-sum-singleton-ord-iso*:

$\llbracket a \in A; \text{well-ord}(A,r) \rrbracket \implies$
 $(\lambda x \in \text{pred}(A,a,r)*B + \text{pred}(B,b,s). \text{case}(\lambda x. x, \lambda y. \langle a,y \rangle, x))$
 $\in \text{ord-iso}(\text{pred}(A,a,r)*B + \text{pred}(B,b,s),$
 $\text{radd}(A*B, \text{rmult}(A,r,B,s), B, s),$
 $\text{pred}(A,a,r)*B \cup \{a\}*\text{pred}(B,b,s), \text{rmult}(A,r,B,s))$
apply (rule *prod-sum-singleton-bij* [THEN *ord-isoI*])
apply (*simp* (*no-asm-simp*) *add: pred-iff well-ord-is-wf* [THEN *wf-on-not-refl*])
apply (*auto elim!*: *well-ord-is-wf* [THEN *wf-on-asm*] *predE*)
done

20.2.6 Distributive law

lemma *sum-prod-distrib-bij*:

$(\text{lam } \langle x,z \rangle : (A+B)*C. \text{case}(\lambda y. \text{Inl}(\langle y,z \rangle), \lambda y. \text{Inr}(\langle y,z \rangle), x))$
 $\in \text{bij}((A+B)*C, (A*C)+(B*C))$
by (rule-tac $d = \text{case } (\lambda \langle x,y \rangle. \text{Inl } (x), y), \lambda \langle x,y \rangle. \text{Inr } (x), y)$)
in *lam-bijective, auto*)

lemma *sum-prod-distrib-ord-iso*:

$(\text{lam } \langle x,z \rangle : (A+B)*C. \text{case}(\lambda y. \text{Inl}(\langle y,z \rangle), \lambda y. \text{Inr}(\langle y,z \rangle), x))$
 $\in \text{ord-iso}((A+B)*C, \text{rmult}(A+B, \text{radd}(A,r,B,s), C, t),$
 $(A*C)+(B*C), \text{radd}(A*C, \text{rmult}(A,r,C,t), B*C, \text{rmult}(B,s,C,t)))$
by (rule *sum-prod-distrib-bij* [THEN *ord-isoI*], *auto*)

20.2.7 Associativity

lemma *prod-assoc-bij*:

$(\text{lam } \langle \langle x,y \rangle, z \rangle : (A*B)*C. \langle x, \langle y,z \rangle \rangle) \in \text{bij}((A*B)*C, A*(B*C))$
by (rule-tac $d = \lambda \langle x, \langle y,z \rangle \rangle. \langle \langle x,y \rangle, z \rangle$) **in** *lam-bijective, auto*)

lemma *prod-assoc-ord-iso*:

$(\text{lam } \langle \langle x,y \rangle, z \rangle : (A*B)*C. \langle x, \langle y,z \rangle \rangle)$
 $\in \text{ord-iso}((A*B)*C, \text{rmult}(A*B, \text{rmult}(A,r,B,s), C, t),$
 $A*(B*C), \text{rmult}(A, r, B*C, \text{rmult}(B,s,C,t)))$
by (rule *prod-assoc-bij* [THEN *ord-isoI*], *auto*)

20.3 Inverse Image of a Relation

20.3.1 Rewrite rule

lemma *rvimage-iff*: $\langle a, b \rangle \in \text{rvimage}(A, f, r) \iff \langle f'a, f'b \rangle: r \wedge a \in A \wedge b \in A$
by (*unfold rvimage-def, blast*)

20.3.2 Type checking

lemma *rvimage-type*: $\text{rvimage}(A, f, r) \subseteq A * A$
by (*unfold rvimage-def, rule Collect-subset*)

lemmas *field-rvimage = rvimage-type* [*THEN field-rel-subset*]

lemma *rvimage-converse*: $\text{rvimage}(A, f, \text{converse}(r)) = \text{converse}(\text{rvimage}(A, f, r))$
by (*unfold rvimage-def, blast*)

20.3.3 Partial Ordering Properties

lemma *irrefl-rvimage*:
 $\llbracket f \in \text{inj}(A, B); \text{irrefl}(B, r) \rrbracket \implies \text{irrefl}(A, \text{rvimage}(A, f, r))$
 unfolding *irrefl-def rvimage-def*
apply (*blast intro: inj-is-fun [THEN apply-type]*)
done

lemma *trans-on-rvimage*:
 $\llbracket f \in \text{inj}(A, B); \text{trans}[B](r) \rrbracket \implies \text{trans}[A](\text{rvimage}(A, f, r))$
 unfolding *trans-on-def rvimage-def*
apply (*blast intro: inj-is-fun [THEN apply-type]*)
done

lemma *part-ord-rvimage*:
 $\llbracket f \in \text{inj}(A, B); \text{part-ord}(B, r) \rrbracket \implies \text{part-ord}(A, \text{rvimage}(A, f, r))$
 unfolding *part-ord-def*
apply (*blast intro!: irrefl-rvimage trans-on-rvimage*)
done

20.3.4 Linearity

lemma *linear-rvimage*:
 $\llbracket f \in \text{inj}(A, B); \text{linear}(B, r) \rrbracket \implies \text{linear}(A, \text{rvimage}(A, f, r))$
apply (*simp add: inj-def linear-def rvimage-iff*)
apply (*blast intro: apply-funtype*)
done

lemma *tot-ord-rvimage*:
 $\llbracket f \in \text{inj}(A, B); \text{tot-ord}(B, r) \rrbracket \implies \text{tot-ord}(A, \text{rvimage}(A, f, r))$
 unfolding *tot-ord-def*
apply (*blast intro!: part-ord-rvimage linear-rvimage*)
done

20.3.5 Well-foundedness

lemma *wf-rvimage* [*intro!*]: $wf(r) \implies wf(rvimage(A, f, r))$
apply (*simp* (*no-asm-use*) *add: rvimage-def wf-eq-minimal*)
apply *clarify*
apply (*subgoal-tac* $\exists w. w \in \{w: \{f^x. x \in Q\}. \exists x. x \in Q \wedge (f^x = w)\}$)
apply (*erule* *allE*)
apply (*erule* *impE*)
apply *assumption*
apply *blast*
apply *blast*
done

But note that the combination of *wf-imp-wf-on* and *wf-rvimage* gives $wf(r) \implies wf[C](rvimage(A, f, r))$

lemma *wf-on-rvimage*: $\llbracket f \in A \rightarrow B; wf[B](r) \rrbracket \implies wf[A](rvimage(A, f, r))$
apply (*rule* *wf-onI2*)
apply (*subgoal-tac* $\forall z \in A. f^z = f^y \longrightarrow z \in Ba$)
apply *blast*
apply (*erule-tac* $a = f^y$ **in** *wf-on-induct*)
apply (*blast* *intro!*: *apply-funtype*)
apply (*blast* *intro!*: *apply-funtype* *dest!*: *rvimage-iff* [*THEN* *iffD1*])
done

lemma *well-ord-rvimage*:
 $\llbracket f \in inj(A, B); well-ord(B, r) \rrbracket \implies well-ord(A, rvimage(A, f, r))$
apply (*rule* *well-ordI*)
unfolding *well-ord-def tot-ord-def*
apply (*blast* *intro!*: *wf-on-rvimage inj-is-fun*)
apply (*blast* *intro!*: *linear-rvimage*)
done

lemma *ord-iso-rvimage*:
 $f \in bij(A, B) \implies f \in ord-iso(A, rvimage(A, f, s), B, s)$
unfolding *ord-iso-def*
apply (*simp* *add: rvimage-iff*)
done

lemma *ord-iso-rvimage-eq*:
 $f \in ord-iso(A, r, B, s) \implies rvimage(A, f, s) = r \cap A * A$
by (*unfold* *ord-iso-def rvimage-def*, *blast*)

20.4 Every well-founded relation is a subset of some inverse image of an ordinal

lemma *wf-rvimage-Ord*: $Ord(i) \implies wf(rvimage(A, f, Memrel(i)))$
by (*blast* *intro: wf-rvimage wf-Memrel*)

definition

$wfrank :: [i,i] \Rightarrow i$ **where**
 $wfrank(r,a) \equiv wfrec(r, a, \lambda x f. \bigcup y \in r - \{x\}. succ(f'y))$

definition

$wftype :: i \Rightarrow i$ **where**
 $wftype(r) \equiv \bigcup y \in range(r). succ(wfrank(r,y))$

lemma $wfrank$: $wf(r) \Longrightarrow wfrank(r,a) = (\bigcup y \in r - \{a\}. succ(wfrank(r,y)))$
by (*subst wfrank-def [THEN def-wfrec], simp-all*)

lemma $Ord-wfrank$: $wf(r) \Longrightarrow Ord(wfrank(r,a))$
apply (*rule-tac a=a in wf-induct, assumption*)
apply (*subst wfrank, assumption*)
apply (*rule Ord-succ [THEN Ord-UN], blast*)
done

lemma $wfrank-lt$: $\llbracket wf(r); \langle a,b \rangle \in r \rrbracket \Longrightarrow wfrank(r,a) < wfrank(r,b)$
apply (*rule-tac a1 = b in wfrank [THEN ssubst], assumption*)
apply (*rule UN-I [THEN ltI]*)
apply (*simp add: Ord-wfrank vimage-iff*)
done

lemma $Ord-wftype$: $wf(r) \Longrightarrow Ord(wftype(r))$
by (*simp add: wftype-def Ord-wfrank*)

lemma $wftypeI$: $\llbracket wf(r); x \in field(r) \rrbracket \Longrightarrow wfrank(r,x) \in wftype(r)$
apply (*simp add: wftype-def*)
apply (*blast intro: wfrank-lt [THEN ltD]*)
done

lemma $wf-imp-subset-rvimage$:

$\llbracket wf(r); r \subseteq A * A \rrbracket \Longrightarrow \exists i f. Ord(i) \wedge r \subseteq rvimage(A, f, Memrel(i))$
apply (*rule-tac x=wftype(r) in exI*)
apply (*rule-tac x= $\lambda x \in A. wfrank(r,x)$ in exI*)
apply (*simp add: Ord-wftype, clarify*)
apply (*frule subsetD, assumption, clarify*)
apply (*simp add: rvimage-iff wfrank-lt [THEN ltD]*)
apply (*blast intro: wftypeI*)
done

theorem $wf-iff-subset-rvimage$:

$relation(r) \Longrightarrow wf(r) \longleftrightarrow (\exists i f A. Ord(i) \wedge r \subseteq rvimage(A, f, Memrel(i)))$
by (*blast dest!: relation-field-times-field wf-imp-subset-rvimage
intro: wf-rvimage-Ord [THEN wf-subset]*)

20.5 Other Results

lemma *wf-times*: $A \cap B = 0 \implies wf(A*B)$
by (*simp add: wf-def, blast*)

Could also be used to prove *wf-radd*

lemma *wf-Un*:
 $\llbracket range(r) \cap domain(s) = 0; wf(r); wf(s) \rrbracket \implies wf(r \cup s)$
apply (*simp add: wf-def, clarify*)
apply (*rule equalityI*)
 prefer 2 **apply** *blast*
apply *clarify*
apply (*drule-tac x=Z in spec*)
apply (*drule-tac x=Z \cap domain(s) in spec*)
apply *simp*
apply (*blast intro: elim: equalityE*)
done

20.5.1 The Empty Relation

lemma *wf0*: $wf(0)$
by (*simp add: wf-def, blast*)

lemma *linear0*: $linear(0,0)$
by (*simp add: linear-def*)

lemma *well-ord0*: $well-ord(0,0)$
by (*blast intro: wf-imp-wf-on well-ordI wf0 linear0*)

20.5.2 The "measure" relation is useful with wfrec

lemma *measure-eq-rvimage-Memrel*:
 $measure(A,f) = rvimage(A,Lambda(A,f),Memrel(Collect(RepFun(A,f),Ord)))$
apply (*simp (no-asm) add: measure-def rvimage-def Memrel-iff*)
apply (*rule equalityI, auto*)
apply (*auto intro: Ord-in-Ord simp add: lt-def*)
done

lemma *wf-measure [iff]*: $wf(measure(A,f))$
by (*simp (no-asm) add: measure-eq-rvimage-Memrel wf-Memrel wf-rvimage*)

lemma *measure-iff [iff]*: $\langle x,y \rangle \in measure(A,f) \longleftrightarrow x \in A \wedge y \in A \wedge f(x) < f(y)$
by (*simp (no-asm) add: measure-def*)

lemma *linear-measure*:
 assumes *Ord**f*: $\bigwedge x. x \in A \implies Ord(f(x))$
 and *inj*: $\bigwedge x y. \llbracket x \in A; y \in A; f(x) = f(y) \rrbracket \implies x=y$
 shows $linear(A, measure(A,f))$
apply (*auto simp add: linear-def*)
apply (*rule-tac i=f(x) and j=f(y) in Ord-linear-lt*)

apply (*simp-all add: Ord*)
apply (*blast intro: inj*)
done

lemma *wf-on-measure*: $wf[B](measure(A,f))$
by (*rule wf-imp-wf-on [OF wf-measure]*)

lemma *well-ord-measure*:
assumes *Ord*: $\bigwedge x. x \in A \implies Ord(f(x))$
and *inj*: $\bigwedge x y. \llbracket x \in A; y \in A; f(x) = f(y) \rrbracket \implies x=y$
shows $well\text{-}ord(A, measure(A,f))$
apply (*rule well-ordI*)
apply (*rule wf-on-measure*)
apply (*blast intro: linear-measure Ord inj*)
done

lemma *measure-type*: $measure(A,f) \subseteq A * A$
by (*auto simp add: measure-def*)

20.5.3 Well-foundedness of Unions

lemma *wf-on-Union*:
assumes *wfA*: $wf[A](r)$
and *wfB*: $\bigwedge a. a \in A \implies wf[B(a)](s)$
and *ok*: $\bigwedge a u v. \llbracket \langle u,v \rangle \in s; v \in B(a); a \in A \rrbracket$
 $\implies (\exists a' \in A. \langle a',a \rangle \in r \wedge u \in B(a')) \mid u \in B(a)$
shows $wf[\bigcup a \in A. B(a)](s)$
apply (*rule wf-onI2*)
apply (*erule UN-E*)
apply (*subgoal-tac* $\forall z \in B(a). z \in Ba$, *blast*)
apply (*rule-tac* $a = a$ **in** *wf-on-induct [OF wfA]*, *assumption*)
apply (*rule ballI*)
apply (*rule-tac* $a = z$ **in** *wf-on-induct [OF wfB]*, *assumption*, *assumption*)
apply (*rename-tac u*)
apply (*erule-tac* $x=u$ **in** *bspec*, *blast*)
apply (*erule mp, clarify*)
apply (*erule ok, assumption+*, *blast*)
done

20.5.4 Bijections involving Powersets

lemma *Pow-sum-bij*:
 $(\lambda Z \in Pow(A+B). \{\{x \in A. Inl(x) \in Z\}, \{y \in B. Inr(y) \in Z\}\})$
 $\in bij(Pow(A+B), Pow(A)*Pow(B))$
apply (*rule-tac* $d = \lambda \langle X,Y \rangle. \{Inl(x). x \in X\} \cup \{Inr(y). y \in Y\}$
in *lam-bijective*)
apply *force+*
done

As a special case, we have $bij(Pow(A \times B), A \rightarrow Pow(B))$

lemma *Pow-Sigma-bij*:
 $(\lambda r \in \text{Pow}(\text{Sigma}(A,B)). \lambda x \in A. r \text{ `` } \{x\})$
 $\in \text{bij}(\text{Pow}(\text{Sigma}(A,B)), \prod x \in A. \text{Pow}(B(x)))$
apply (*rule-tac* $d = \lambda f. \bigcup x \in A. \bigcup y \in f'x. \{ \langle x,y \rangle \}$ **in** *lam-bijective*)
apply (*blast intro: lam-type*)
apply (*blast dest: apply-type, simp-all*)
apply *fast*
apply (*rule fun-extension, auto*)
by *blast*

end

21 Order Types and Ordinal Arithmetic

theory *OrderType* **imports** *OrderArith OrdQuant Nat* **begin**

The order type of a well-ordering is the least ordinal isomorphic to it. Ordinal arithmetic is traditionally defined in terms of order types, as it is here. But a definition by transfinite recursion would be much simpler!

definition

ordermap $:: [i,i] \Rightarrow i$ **where**
ordermap(A,r) $\equiv \lambda x \in A. \text{wfrec}[A](r, x, \lambda x f. f \text{ `` } \text{pred}(A,x,r))$

definition

ordertype $:: [i,i] \Rightarrow i$ **where**
ordertype(A,r) $\equiv \text{ordermap}(A,r) \text{ `` } A$

definition

Ord-alt $:: i \Rightarrow o$ **where**
Ord-alt(X) $\equiv \text{well-ord}(X, \text{Memrel}(X)) \wedge (\forall u \in X. u = \text{pred}(X, u, \text{Memrel}(X)))$

definition

ordify $:: i \Rightarrow i$ **where**
ordify(x) $\equiv \text{if } \text{Ord}(x) \text{ then } x \text{ else } 0$

definition

omult $:: [i,i] \Rightarrow i$ (**infixl** $\langle ** \rangle$ 70) **where**
 $i ** j \equiv \text{ordertype}(j * i, \text{rmult}(j, \text{Memrel}(j), i, \text{Memrel}(i)))$

definition

raw-oadd $:: [i,i] \Rightarrow i$ **where**
raw-oadd(i,j) $\equiv \text{ordertype}(i+j, \text{radd}(i, \text{Memrel}(i), j, \text{Memrel}(j)))$

definition

oadd :: $[i,i] \Rightarrow i$ (**infixl** $\langle ++ \rangle$ 65) **where**
i ++ j \equiv *raw-oadd*(*ordify*(*i*),*ordify*(*j*))

definition

odiff :: $[i,i] \Rightarrow i$ (**infixl** $\langle -- \rangle$ 65) **where**
i -- j \equiv *ordertype*(*i-j*, *Memrel*(*i*))

21.1 Proofs needing the combination of Ordinal.thy and Order.thy

lemma *le-well-ord-Memrel*: $j \leq i \Longrightarrow \text{well-ord}(j, \text{Memrel}(i))$
apply (*rule well-ordI*)
apply (*rule wf-Memrel [THEN wf-imp-wf-on]*)
apply (*simp add: ltD lt-Ord linear-def*
 ltI [THEN lt-trans2 [of - j i]])
apply (*intro ballI Ord-linear*)
apply (*blast intro: Ord-in-Ord lt-Ord*)
done

lemmas *well-ord-Memrel = le-reft [THEN le-well-ord-Memrel]*

lemma *lt-pred-Memrel*:
 $j < i \Longrightarrow \text{pred}(i, j, \text{Memrel}(i)) = j$
apply (*simp add: pred-def lt-def*)
apply (*blast intro: Ord-trans*)
done

lemma *pred-Memrel*:
 $x \in A \Longrightarrow \text{pred}(A, x, \text{Memrel}(A)) = A \cap x$
by (*unfold pred-def Memrel-def, blast*)

lemma *Ord-iso-implies-eq-lemma*:
 $\llbracket j < i; f \in \text{ord-iso}(i, \text{Memrel}(i), j, \text{Memrel}(j)) \rrbracket \Longrightarrow R$
apply (*frule lt-pred-Memrel*)
apply (*erule ltE*)
apply (*rule well-ord-Memrel [THEN well-ord-iso-predE, of i f j], auto*)
 unfolding *ord-iso-def*
apply (*simp (no-asm-simp)*)
apply (*blast intro: bij-is-fun [THEN apply-type] Ord-trans*)
done

lemma *Ord-iso-implies-eq*:
 $\llbracket \text{Ord}(i); \text{Ord}(j); f \in \text{ord-iso}(i, \text{Memrel}(i), j, \text{Memrel}(j)) \rrbracket$
 $\Longrightarrow i = j$

```

apply (rule-tac  $i = i$  and  $j = j$  in Ord-linear-lt)
apply (blast intro: ord-iso-sym Ord-iso-implies-eq-lemma)+
done

```

21.2 Ordermap and ordertype

```

lemma ordermap-type:
   $ordermap(A,r) \in A \rightarrow ordertype(A,r)$ 
  unfolding ordermap-def ordertype-def
apply (rule lam-type)
apply (rule lamI [THEN imageI], assumption+)
done

```

21.2.1 Unfolding of ordermap

```

lemma ordermap-eq-image:
   $\llbracket wf[A](r); x \in A \rrbracket$ 
   $\implies ordermap(A,r) \text{ ‘ } x = ordermap(A,r) \text{ ‘ } \text{‘ } pred(A,x,r)$ 
  unfolding ordermap-def pred-def
apply (simp (no-asm-simp))
apply (erule wfrec-on [THEN trans], assumption)
apply (simp (no-asm-simp) add: subset-iff image-lam vimage-singleton-iff)
done

```

```

lemma ordermap-pred-unfold:
   $\llbracket wf[A](r); x \in A \rrbracket$ 
   $\implies ordermap(A,r) \text{ ‘ } x = \{ ordermap(A,r) \text{ ‘ } y . y \in pred(A,x,r) \}$ 
by (simp add: ordermap-eq-image pred-subset ordermap-type [THEN image-fun])

```

```

lemmas ordermap-unfold = ordermap-pred-unfold [simplified pred-def]

```

21.2.2 Showing that ordermap, ordertype yield ordinals

```

lemma Ord-ordermap:
   $\llbracket well-ord(A,r); x \in A \rrbracket \implies Ord(ordermap(A,r) \text{ ‘ } x)$ 
apply (unfold well-ord-def tot-ord-def part-ord-def, safe)
apply (rule-tac  $a=x$  in wf-on-induct, assumption+)
apply (simp (no-asm-simp) add: ordermap-pred-unfold)
apply (rule OrdI [OF - Ord-is-Transset])
  unfolding pred-def Transset-def
apply (blast intro: trans-onD
  dest!: ordermap-unfold [THEN equalityD1])+)
done

```

```

lemma Ord-ordertype:
   $well-ord(A,r) \implies Ord(ordertype(A,r))$ 
  unfolding ordertype-def
apply (subst image-fun [OF ordermap-type subset-refl])

```

```

apply (rule OrdI [OF - Ord-is-Transset])
prefer 2 apply (blast intro: Ord-ordermap)
  unfolding Transset-def well-ord-def
apply (blast intro: trans-onD
  dest!: ordermap-unfold [THEN equalityD1])
done

```

21.2.3 ordermap preserves the orderings in both directions

```

lemma ordermap-mono:
   $\llbracket \langle w, x \rangle: r; \text{wf}[A](r); w \in A; x \in A \rrbracket$ 
   $\implies \text{ordermap}(A, r) 'w \in \text{ordermap}(A, r) 'x$ 
apply (erule-tac x1 = x in ordermap-unfold [THEN ssubst], assumption, blast)
done

```

```

lemma converse-ordermap-mono:
   $\llbracket \text{ordermap}(A, r) 'w \in \text{ordermap}(A, r) 'x; \text{well-ord}(A, r); w \in A; x \in A \rrbracket$ 
   $\implies \langle w, x \rangle: r$ 
apply (unfold well-ord-def tot-ord-def, safe)
apply (erule-tac x=w and y=x in linearE, assumption+)
apply (blast elim!: mem-not-refl [THEN notE])
apply (blast dest: ordermap-mono intro: mem-asymp)
done

```

```

lemma ordermap-surj:  $\text{ordermap}(A, r) \in \text{surj}(A, \text{ordertype}(A, r))$ 
  unfolding ordertype-def
  by (rule surj-image) (rule ordermap-type)

```

```

lemma ordermap-bij:
   $\text{well-ord}(A, r) \implies \text{ordermap}(A, r) \in \text{bij}(A, \text{ordertype}(A, r))$ 
  unfolding well-ord-def tot-ord-def bij-def inj-def
apply (force intro!: ordermap-type ordermap-surj
  elim: linearE dest: ordermap-mono
  simp add: mem-not-refl)
done

```

21.2.4 Isomorphisms involving ordertype

```

lemma ordertype-ord-iso:
   $\text{well-ord}(A, r)$ 
   $\implies \text{ordermap}(A, r) \in \text{ord-iso}(A, r, \text{ordertype}(A, r), \text{Memrel}(\text{ordertype}(A, r)))$ 
  unfolding ord-iso-def
apply (safe elim!: well-ord-is-wf
  intro!: ordermap-type [THEN apply-type] ordermap-mono ordermap-bij)
apply (blast dest!: converse-ordermap-mono)
done

```

```

lemma ordertype-eq:
   $\llbracket f \in \text{ord-iso}(A, r, B, s); \text{well-ord}(B, s) \rrbracket$ 

```

$\implies \text{ordertype}(A,r) = \text{ordertype}(B,s)$
apply (*frule well-ord-ord-iso, assumption*)
apply (*rule Ord-iso-implies-eq, (erule Ord-ordertype)+*)
apply (*blast intro: ord-iso-trans ord-iso-sym ordertype-ord-iso*)
done

lemma *ordertype-eq-imp-ord-iso*:
 $\llbracket \text{ordertype}(A,r) = \text{ordertype}(B,s); \text{well-ord}(A,r); \text{well-ord}(B,s) \rrbracket$
 $\implies \exists f. f \in \text{ord-iso}(A,r,B,s)$
apply (*rule exI*)
apply (*rule ordertype-ord-iso [THEN ord-iso-trans], assumption*)
apply (*erule ssubst*)
apply (*erule ordertype-ord-iso [THEN ord-iso-sym]*)
done

21.2.5 Basic equalities for ordertype

lemma *le-ordertype-Memrel*: $j \leq i \implies \text{ordertype}(j, \text{Memrel}(i)) = j$
apply (*rule Ord-iso-implies-eq [symmetric]*)
apply (*erule ltE, assumption*)
apply (*blast intro: le-well-ord-Memrel Ord-ordertype*)
apply (*rule ord-iso-trans*)
apply (*erule-tac [2] le-well-ord-Memrel [THEN ordertype-ord-iso]*)
apply (*rule id-bij [THEN ord-isoI]*)
apply (*simp (no-asm-simp)*)
apply (*fast elim: ltE Ord-in-Ord Ord-trans*)
done

lemmas *ordertype-Memrel = le-refl [THEN le-ordertype-Memrel]*

lemma *ordertype-0 [simp]*: $\text{ordertype}(0,r) = 0$
apply (*rule id-bij [THEN ord-isoI, THEN ordertype-eq, THEN trans]*)
apply (*erule emptyE*)
apply (*rule well-ord-0*)
apply (*rule Ord-0 [THEN ordertype-Memrel]*)
done

lemmas *bij-ordertype-vimage = ord-iso-rvimage [THEN ordertype-eq]*

21.2.6 A fundamental unfolding law for ordertype.

lemma *ordermap-pred-eq-ordermap*:
 $\llbracket \text{well-ord}(A,r); y \in A; z \in \text{pred}(A,y,r) \rrbracket$
 $\implies \text{ordermap}(\text{pred}(A,y,r), r) \text{ ` } z = \text{ordermap}(A, r) \text{ ` } z$
apply (*frule wf-on-subset-A [OF well-ord-is-wf pred-subset]*)
apply (*rule-tac a=z in wf-on-induct, assumption+*)
apply (*safe elim!: predE*)
apply (*simp (no-asm-simp) add: ordermap-pred-unfold well-ord-is-wf pred-iff*)

```

apply (simp (no-asm-simp) add: pred-pred-eq)
apply (simp add: pred-def)
apply (rule RepFun-cong [OF - refl])
apply (drule well-ord-is-trans-on)
apply (fast elim!: trans-onD)
done

```

```

lemma ordertype-unfold:
  ordertype(A,r) = {ordermap(A,r) 'y . y ∈ A}
  unfolding ordertype-def
apply (rule image-fun [OF ordermap-type subset-refl])
done

```

Theorems by Krzysztof Grabczewski; proofs simplified by lcp

```

lemma ordertype-pred-subset:  $\llbracket \text{well-ord}(A,r); x \in A \rrbracket \implies$ 
  ordertype(pred(A,x,r),r)  $\subseteq$  ordertype(A,r)
apply (simp add: ordertype-unfold well-ord-subset [OF - pred-subset])
apply (fast intro: ordermap-pred-eq-ordermap elim: predE)
done

```

```

lemma ordertype-pred-lt:
   $\llbracket \text{well-ord}(A,r); x \in A \rrbracket$ 
   $\implies$  ordertype(pred(A,x,r),r) < ordertype(A,r)
apply (rule ordertype-pred-subset [THEN subset-imp-le, THEN leE])
apply (simp-all add: Ord-ordertype well-ord-subset [OF - pred-subset])
apply (erule sym [THEN ordertype-eq-imp-ord-iso, THEN exE])
apply (erule-tac [3] well-ord-iso-predE)
apply (simp-all add: well-ord-subset [OF - pred-subset])
done

```

```

lemma ordertype-pred-unfold:
  well-ord(A,r)
   $\implies$  ordertype(A,r) = {ordertype(pred(A,x,r),r). x ∈ A}
apply (rule equalityI)
apply (safe intro!: ordertype-pred-lt [THEN ltD])
apply (auto simp add: ordertype-def well-ord-is-wf [THEN ordermap-eq-image]
  ordermap-type [THEN image-fun]
  ordermap-pred-eq-ordermap pred-subset)
done

```

21.3 Alternative definition of ordinal

```

lemma Ord-is-Ord-alt: Ord(i)  $\implies$  Ord-alt(i)
  unfolding Ord-alt-def
apply (rule conjI)
apply (erule well-ord-Memrel)
apply (unfold Ord-def Transset-def pred-def Memrel-def, blast)

```

done

lemma *Ord-alt-is-Ord*:

$Ord\text{-}alt(i) \implies Ord(i)$

apply (*unfold Ord-alt-def Ord-def Transset-def well-ord-def*
tot-ord-def part-ord-def trans-on-def)

apply (*simp add: pred-Memrel*)

apply (*blast elim!: equalityE*)

done

21.4 Ordinal Addition

21.4.1 Order Type calculations for radd

Addition with 0

lemma *bij-sum-0*: $(\lambda z \in A+0. case(\lambda x. x, \lambda y. y, z)) \in bij(A+0, A)$

apply (*rule-tac d = Inl in lam-bijective, safe*)

apply (*simp-all (no-asm-simp)*)

done

lemma *ordertype-sum-0-eq*:

$well\text{-}ord(A,r) \implies ordertype(A+0, radd(A,r,0,s)) = ordertype(A,r)$

apply (*rule bij-sum-0 [THEN ord-isoI, THEN ordertype-eq]*)

prefer 2 **apply** *assumption*

apply *force*

done

lemma *bij-0-sum*: $(\lambda z \in 0+A. case(\lambda x. x, \lambda y. y, z)) \in bij(0+A, A)$

apply (*rule-tac d = Inr in lam-bijective, safe*)

apply (*simp-all (no-asm-simp)*)

done

lemma *ordertype-0-sum-eq*:

$well\text{-}ord(A,r) \implies ordertype(0+A, radd(0,s,A,r)) = ordertype(A,r)$

apply (*rule bij-0-sum [THEN ord-isoI, THEN ordertype-eq]*)

prefer 2 **apply** *assumption*

apply *force*

done

Initial segments of radd. Statements by Grabczewski

lemma *pred-Inl-bij*:

$a \in A \implies (\lambda x \in pred(A,a,r). Inl(x))$

$\in bij(pred(A,a,r), pred(A+B, Inl(a), radd(A,r,B,s)))$

unfolding *pred-def*

apply (*rule-tac d = case (\lambda x. x, \lambda y. y) in lam-bijective*)

apply *auto*

done

lemma *ordertype-pred-Inl-eq*:
 $\llbracket a \in A; \text{well-ord}(A,r) \rrbracket$
 $\implies \text{ordertype}(\text{pred}(A+B, \text{Inl}(a), \text{radd}(A,r,B,s)), \text{radd}(A,r,B,s)) =$
 $\text{ordertype}(\text{pred}(A,a,r), r)$
apply (*rule pred-Inl-bij* [*THEN ord-isoI, THEN ord-iso-sym, THEN ordertype-eq*])
apply (*simp-all add: well-ord-subset* [*OF - pred-subset*])
apply (*simp add: pred-def*)
done

lemma *pred-Inr-bij*:
 $b \in B \implies$
 $\text{id}(A+\text{pred}(B,b,s))$
 $\in \text{bij}(A+\text{pred}(B,b,s), \text{pred}(A+B, \text{Inr}(b), \text{radd}(A,r,B,s)))$
unfolding *pred-def id-def*
apply (*rule-tac d = λz. z in lam-bijective, auto*)
done

lemma *ordertype-pred-Inr-eq*:
 $\llbracket b \in B; \text{well-ord}(A,r); \text{well-ord}(B,s) \rrbracket$
 $\implies \text{ordertype}(\text{pred}(A+B, \text{Inr}(b), \text{radd}(A,r,B,s)), \text{radd}(A,r,B,s)) =$
 $\text{ordertype}(A+\text{pred}(B,b,s), \text{radd}(A,r,\text{pred}(B,b,s),s))$
apply (*rule pred-Inr-bij* [*THEN ord-isoI, THEN ord-iso-sym, THEN ordertype-eq*])
prefer 2 **apply** (*force simp add: pred-def id-def, assumption*)
apply (*blast intro: well-ord-radd well-ord-subset* [*OF - pred-subset*])
done

21.4.2 ordify: trivial coercion to an ordinal

lemma *Ord-ordify* [*iff, TC*]: *Ord(ordify(x))*
by (*simp add: ordify-def*)

lemma *ordify-idem* [*simp*]: *ordify(ordify(x)) = ordify(x)*
by (*simp add: ordify-def*)

21.4.3 Basic laws for ordinal addition

lemma *Ord-raw-oadd*: $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies \text{Ord}(\text{raw-oadd}(i,j))$
by (*simp add: raw-oadd-def ordify-def Ord-ordertype well-ord-radd*
well-ord-Memrel)

lemma *Ord-oadd* [*iff, TC*]: *Ord(i++j)*
by (*simp add: oadd-def Ord-raw-oadd*)

Ordinal addition with zero

lemma *raw-oadd-0*: *Ord(i) \implies raw-oadd(i,0) = i*
by (*simp add: raw-oadd-def ordify-def ordertype-sum-0-eq*
ordertype-Memrel well-ord-Memrel)

lemma *oadd-0* [*simp*]: *Ord(i) \implies i++0 = i*

apply (*simp* (*no-asm-simp*) *add: oadd-def raw-oadd-0 ordify-def*)
done

lemma *raw-oadd-0-left*: $\text{Ord}(i) \implies \text{raw-oadd}(0,i) = i$
by (*simp add: raw-oadd-def ordify-def ordertype-0-sum-eq ordertype-Memrel well-ord-Memrel*)

lemma *oadd-0-left* [*simp*]: $\text{Ord}(i) \implies 0++i = i$
by (*simp add: oadd-def raw-oadd-0-left ordify-def*)

lemma *oadd-eq-if-raw-oadd*:
 $i++j = (\text{if } \text{Ord}(i) \text{ then } (\text{if } \text{Ord}(j) \text{ then } \text{raw-oadd}(i,j) \text{ else } i) \text{ else } (\text{if } \text{Ord}(j) \text{ then } j \text{ else } 0))$
by (*simp add: oadd-def ordify-def raw-oadd-0-left raw-oadd-0*)

lemma *raw-oadd-eq-oadd*: $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies \text{raw-oadd}(i,j) = i++j$
by (*simp add: oadd-def ordify-def*)

lemma *lt-oadd1*: $k < i \implies k < i++j$
apply (*simp add: oadd-def ordify-def lt-Ord2 raw-oadd-0, clarify*)
apply (*simp add: raw-oadd-def*)
apply (*rule ltE, assumption*)
apply (*rule ltI*)
apply (*force simp add: ordertype-pred-unfold well-ord-radd well-ord-Memrel ordertype-pred-Inl-eq lt-pred-Memrel leI [THEN le-ordertype-Memrel]*)
apply (*blast intro: Ord-ordertype well-ord-radd well-ord-Memrel*)
done

lemma *oadd-le-self*: $\text{Ord}(i) \implies i \leq i++j$
apply (*rule all-lt-imp-le*)
apply (*auto simp add: Ord-oadd lt-oadd1*)
done

Various other results

lemma *id-ord-iso-Memrel*: $A \leq B \implies \text{id}(A) \in \text{ord-iso}(A, \text{Memrel}(A), A, \text{Memrel}(B))$
apply (*rule id-bij [THEN ord-isoI]*)
apply (*simp (no-asm-simp)*)
apply *blast*
done

lemma *subset-ord-iso-Memrel*:
 $\llbracket f \in \text{ord-iso}(A, \text{Memrel}(B), C, r); A \leq B \rrbracket \implies f \in \text{ord-iso}(A, \text{Memrel}(A), C, r)$
apply (*frule ord-iso-is-bij [THEN bij-is-fun, THEN fun-is-rel]*)

apply (*frule ord-iso-trans* [*OF id-ord-iso-Memrel*], *assumption*)
apply (*simp add: right-comp-id*)
done

lemma *restrict-ord-iso*:

$\llbracket f \in \text{ord-iso}(i, \text{Memrel}(i), \text{Order.pred}(A, a, r), r); a \in A; j < i;$
 $\text{trans}[A](r) \rrbracket$

$\implies \text{restrict}(f, j) \in \text{ord-iso}(j, \text{Memrel}(j), \text{Order.pred}(A, f'j, r), r)$

apply (*frule ltD*)

apply (*frule ord-iso-is-bij* [*THEN bij-is-fun, THEN apply-type*], *assumption*)

apply (*frule ord-iso-restrict-pred*, *assumption*)

apply (*simp add: pred-iff trans-pred-pred-eq lt-pred-Memrel*)

apply (*blast intro!: subset-ord-iso-Memrel le-imp-subset* [*OF leI*])

done

lemma *restrict-ord-iso2*:

$\llbracket f \in \text{ord-iso}(\text{Order.pred}(A, a, r), r, i, \text{Memrel}(i)); a \in A;$
 $j < i; \text{trans}[A](r) \rrbracket$

$\implies \text{converse}(\text{restrict}(\text{converse}(f), j))$

$\in \text{ord-iso}(\text{Order.pred}(A, \text{converse}(f)'j, r), r, j, \text{Memrel}(j))$

by (*blast intro: restrict-ord-iso ord-iso-sym ltI*)

lemma *ordertype-sum-Memrel*:

$\llbracket \text{well-ord}(A, r); k < j \rrbracket$

$\implies \text{ordertype}(A+k, \text{radd}(A, r, k, \text{Memrel}(j))) =$
 $\text{ordertype}(A+k, \text{radd}(A, r, k, \text{Memrel}(k)))$

apply (*erule ltE*)

apply (*rule ord-iso-refl* [*THEN sum-ord-iso-cong, THEN ordertype-eq*])

apply (*erule OrdmemD* [*THEN id-ord-iso-Memrel, THEN ord-iso-sym*])

apply (*simp-all add: well-ord-radd well-ord-Memrel*)

done

lemma *oadd-lt-mono2*: $k < j \implies i++k < i++j$

apply (*simp add: oadd-def ordify-def raw-oadd-0-left lt-Ord lt-Ord2, clarify*)

apply (*simp add: raw-oadd-def*)

apply (*rule ltE, assumption*)

apply (*rule ordertype-pred-unfold* [*THEN equalityD2, THEN subsetD, THEN ltI*])

apply (*simp-all add: Ord-ordertype well-ord-radd well-ord-Memrel*)

apply (*rule beXI*)

apply (*erule-tac* [2] *InrI*)

apply (*simp add: ordertype-pred-Inr-eq well-ord-Memrel lt-pred-Memrel*

leI [*THEN le-ordertype-Memrel*] *ordertype-sum-Memrel*)

done

lemma *oadd-lt-cancel2*: $\llbracket i++j < i++k; \text{Ord}(j) \rrbracket \implies j < k$

apply (*simp (asm-lr) add: oadd-eq-if-raw-oadd split: split-if-asm*)

prefer 2

apply (*frule-tac* *i = i and j = j in oadd-le-self*)

apply (*simp (asm-lr) add: oadd-def ordify-def lt-Ord not-lt-iff-le* [*THEN iff-sym*])

apply (*rule Ord-linear-lt, auto*)
apply (*simp-all add: raw-oadd-eq-oadd*)
apply (*blast dest: oadd-lt-mono2 elim: lt-irrefl lt-asm*)
done

lemma *oadd-lt-iff2*: $\text{Ord}(j) \implies i++j < i++k \longleftrightarrow j < k$
by (*blast intro!: oadd-lt-mono2 dest!: oadd-lt-cancel2*)

lemma *oadd-inject*: $\llbracket i++j = i++k; \text{Ord}(j); \text{Ord}(k) \rrbracket \implies j=k$
apply (*simp add: oadd-eq-if-raw-oadd split: split-if-asm*)
apply (*simp add: raw-oadd-eq-oadd*)
apply (*rule Ord-linear-lt, auto*)
apply (*force dest: oadd-lt-mono2 [of concl: i] simp add: lt-not-refl*)
done

lemma *lt-oadd-disj*: $k < i++j \implies k < i \mid (\exists l \in j. k = i++l)$
apply (*simp add: Ord-in-Ord' [of - j] oadd-eq-if-raw-oadd*
split: split-if-asm)
prefer 2
apply (*simp add: Ord-in-Ord' [of - j] lt-def*)
apply (*simp add: ordertype-pred-unfold well-ord-radd well-ord-Memrel raw-oadd-def*)
apply (*erule ltD [THEN RepFunE]*)
apply (*force simp add: ordertype-pred-Inl-eq well-ord-Memrel ltI*
lt-pred-Memrel le-ordertype-Memrel leI
ordertype-pred-Inr-eq ordertype-sum-Memrel)
done

21.4.4 Ordinal addition with successor – via associativity!

lemma *oadd-assoc*: $(i++j)++k = i++(j++k)$
apply (*simp add: oadd-eq-if-raw-oadd Ord-raw-oadd raw-oadd-0 raw-oadd-0-left,*
clarify)
apply (*simp add: raw-oadd-def*)
apply (*rule ordertype-eq [THEN trans]*)
apply (*rule sum-ord-iso-cong [OF ordertype-ord-iso [THEN ord-iso-sym]*
ord-iso-refl])
apply (*simp-all add: Ord-ordertype well-ord-radd well-ord-Memrel*)
apply (*rule sum-assoc-ord-iso [THEN ordertype-eq, THEN trans]*)
apply (*rule-tac [2] ordertype-eq*)
apply (*rule-tac [2] sum-ord-iso-cong [OF ord-iso-refl ordertype-ord-iso]*)
apply (*blast intro: Ord-ordertype well-ord-radd well-ord-Memrel*)
done

lemma *oadd-unfold*: $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies i++j = i \cup (\bigcup_{k \in j. \{i++k\}}$)
apply (*rule subsetI [THEN equalityI]*)
apply (*erule ltI [THEN lt-oadd-disj, THEN disjE]*)
apply (*blast intro: Ord-oadd*)
apply (*blast elim!: ltE, blast*)
apply (*force intro: lt-oadd1 oadd-lt-mono2 simp add: Ord-mem-iff-lt*)

done

lemma *oadd-1*: $Ord(i) \implies i++1 = succ(i)$
apply (*simp* (*no-asm-simp*) *add: oadd-unfold Ord-1 oadd-0*)
apply *blast*
done

lemma *oadd-succ* [*simp*]: $Ord(j) \implies i++succ(j) = succ(i++j)$
apply (*simp* *add: oadd-eq-if-raw-oadd, clarify*)
apply (*simp* *add: raw-oadd-eq-oadd*)
apply (*simp* *add: oadd-1 [of j, symmetric] oadd-1 [of i++j, symmetric]*
oadd-assoc)

done

Ordinal addition with limit ordinals

lemma *oadd-UN*:
$$\llbracket \bigwedge x. x \in A \implies Ord(j(x)); a \in A \rrbracket$$
$$\implies i ++ (\bigcup_{x \in A} j(x)) = (\bigcup_{x \in A} i++j(x))$$
by (*blast intro: ltI Ord-UN Ord-oadd lt-oadd1 [THEN ltD]*
oadd-lt-mono2 [THEN ltD]
elim!: ltE dest!: ltI [THEN lt-oadd-disj])

lemma *oadd-Limit*: $Limit(j) \implies i++j = (\bigcup_{k \in j} i++k)$
apply (*frule Limit-has-0 [THEN ltD]*)
apply (*simp* *add: Limit-is-Ord [THEN Ord-in-Ord] oadd-UN [symmetric]*
Union-eq-UN [symmetric] Limit-Union-eq)

done

lemma *oadd-eq-0-iff*: $\llbracket Ord(i); Ord(j) \rrbracket \implies (i ++ j) = 0 \iff i=0 \wedge j=0$
apply (*erule trans-induct3 [of j]*)
apply (*simp-all* *add: oadd-Limit*)
apply (*simp* *add: Union-empty-iff Limit-def lt-def, blast*)
done

lemma *oadd-eq-lt-iff*: $\llbracket Ord(i); Ord(j) \rrbracket \implies 0 < (i ++ j) \iff 0 < i \mid 0 < j$
by (*simp* *add: Ord-0-lt-iff [symmetric] oadd-eq-0-iff*)

lemma *oadd-LimitI*: $\llbracket Ord(i); Limit(j) \rrbracket \implies Limit(i ++ j)$
apply (*simp* *add: oadd-Limit*)
apply (*frule Limit-has-1 [THEN ltD]*)
apply (*rule increasing-LimitI*)
apply (*rule Ord-0-lt*)
apply (*blast intro: Ord-in-Ord [OF Limit-is-Ord]*)
apply (*force simp* *add: Union-empty-iff oadd-eq-0-iff*
Limit-is-Ord [of j, THEN Ord-in-Ord], auto)
apply (*rule-tac* $x=succ(y)$ **in** *be1*)
apply (*simp* *add: ltI Limit-is-Ord [of j, THEN Ord-in-Ord]*)
apply (*simp* *add: Limit-def lt-def*)
done

Order/monotonicity properties of ordinal addition

lemma *oadd-le-self2*: $Ord(i) \implies i \leq j++i$
proof (*induct i rule: trans-induct3*)
 case 0 **thus** ?*case* **by** (*simp add: Ord-0-le*)
next
 case (*succ i*) **thus** ?*case* **by** (*simp add: oadd-succ succ-leI*)
next
 case (*limit l*)
 hence $l = (\bigcup_{x \in l} x)$
 by (*simp add: Union-eq-UN [symmetric] Limit-Union-eq*)
 also have $\dots \leq (\bigcup_{x \in l} j++x)$
 by (*rule le-implies-UN-le-UN*) (*rule limit.hyps*)
 finally have $l \leq (\bigcup_{x \in l} j++x)$.
 thus ?*case* **using** *limit.hyps* **by** (*simp add: oadd-Limit*)
qed

lemma *oadd-le-mono1*: $k \leq j \implies k++i \leq j++i$
apply (*frule lt-Ord*)
apply (*frule le-Ord2*)
apply (*simp add: oadd-eq-if-raw-oadd, clarify*)
apply (*simp add: raw-oadd-eq-oadd*)
apply (*erule-tac i = i in trans-induct3*)
apply (*simp (no-asm-simp)*)
apply (*simp (no-asm-simp) add: oadd-succ succ-le-iff*)
apply (*simp (no-asm-simp) add: oadd-Limit*)
apply (*rule le-implies-UN-le-UN, blast*)
done

lemma *oadd-lt-mono*: $\llbracket i' \leq i; j' < j \rrbracket \implies i'++j' < i++j$
by (*blast intro: lt-trans1 oadd-le-mono1 oadd-lt-mono2 Ord-succD elim: ltE*)

lemma *oadd-le-mono*: $\llbracket i' \leq i; j' \leq j \rrbracket \implies i'++j' \leq i++j$
by (*simp del: oadd-succ add: oadd-succ [symmetric] le-Ord2 oadd-lt-mono*)

lemma *oadd-le-iff2*: $\llbracket Ord(j); Ord(k) \rrbracket \implies i++j \leq i++k \iff j \leq k$
by (*simp del: oadd-succ add: oadd-lt-iff2 oadd-succ [symmetric] Ord-succ*)

lemma *oadd-lt-self*: $\llbracket Ord(i); 0 < j \rrbracket \implies i < i++j$
apply (*rule lt-trans2*)
apply (*erule le-refl*)
apply (*simp only: lt-Ord2 oadd-1 [of i, symmetric]*)
apply (*blast intro: succ-leI oadd-le-mono*)
done

Every ordinal is exceeded by some limit ordinal.

lemma *Ord-imp-greater-Limit*: $Ord(i) \implies \exists k. i < k \wedge Limit(k)$
apply (*rule-tac x=i ++ nat in exI*)
apply (*blast intro: oadd-LimitI oadd-lt-self Limit-nat [THEN Limit-has-0]*)
done

lemma *Ord2-imp-greater-Limit*: $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies \exists k. i < k \wedge j < k \wedge \text{Limit}(k)$
apply (*insert Ord-Un [of i j, THEN Ord-imp-greater-Limit]*)
apply (*simp add: Un-least-lt-iff*)
done

21.5 Ordinal Subtraction

The difference is $\text{ordertype}(j - i, \text{Memrel}(j))$. It's probably simpler to define the difference recursively!

lemma *bij-sum-Diff*:

$A <= B \implies (\lambda y \in B. \text{if}(y \in A, \text{Inl}(y), \text{Inr}(y))) \in \text{bij}(B, A + (B - A))$
apply (*rule-tac d = case ($\lambda x. x, \lambda y. y$) in lam-bijective*)
apply (*blast intro!: if-type*)
apply (*fast intro!: case-type*)
apply (*erule-tac [2] sumE*)
apply (*simp-all (no-asm-simp)*)
done

lemma *ordertype-sum-Diff*:

$i \leq j \implies$
 $\text{ordertype}(i + (j - i), \text{radd}(i, \text{Memrel}(j), j - i, \text{Memrel}(j))) =$
 $\text{ordertype}(j, \text{Memrel}(j))$
apply (*safe dest!: le-subset-iff [THEN iffD1]*)
apply (*rule bij-sum-Diff [THEN ord-isoI, THEN ord-iso-sym, THEN ordertype-eq]*)
apply (*erule-tac [3] well-ord-Memrel, assumption*)
apply (*simp (no-asm-simp)*)
apply (*frule-tac j = y in Ord-in-Ord, assumption*)
apply (*frule-tac j = x in Ord-in-Ord, assumption*)
apply (*simp (no-asm-simp) add: Ord-mem-iff-lt lt-Ord not-lt-iff-le*)
apply (*blast intro: lt-trans2 lt-trans*)
done

lemma *Ord-odiff [simp, TC]*:

$\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies \text{Ord}(i - j)$
unfolding *odiff-def*
apply (*blast intro: Ord-ordertype Diff-subset well-ord-subset well-ord-Memrel*)
done

lemma *raw-oadd-ordertype-Diff*:

$i \leq j$
 $\implies \text{raw-oadd}(i, j - i) = \text{ordertype}(i + (j - i), \text{radd}(i, \text{Memrel}(j), j - i, \text{Memrel}(j)))$
apply (*simp add: raw-oadd-def odiff-def*)
apply (*safe dest!: le-subset-iff [THEN iffD1]*)
apply (*rule sum-ord-iso-cong [THEN ordertype-eq]*)
apply (*erule id-ord-iso-Memrel*)
apply (*rule ordertype-ord-iso [THEN ord-iso-sym]*)
apply (*blast intro: well-ord-radd Diff-subset well-ord-subset well-ord-Memrel*)
done

done

lemma *oadd-odiff-inverse*: $i \leq j \implies i ++ (j -- i) = j$
by (*simp add: lt-Ord le-Ord2 oadd-def ordify-def raw-oadd-ordertype-Diff*
ordertype-sum-Diff ordertype-Memrel lt-Ord2 [THEN Ord-succD])

lemma *odiff-oadd-inverse*: $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies (i ++ j) -- i = j$
apply (*rule oadd-inject*)
apply (*blast intro: oadd-odiff-inverse oadd-le-self*)
apply (*blast intro: Ord-ordertype Ord-oadd Ord-odiff*) +
done

lemma *odiff-lt-mono2*: $\llbracket i < j; k \leq i \rrbracket \implies i -- k < j -- k$
apply (*rule-tac i = k in oadd-lt-cancel2*)
apply (*simp add: oadd-odiff-inverse*)
apply (*subst oadd-odiff-inverse*)
apply (*blast intro: le-trans leI, assumption*)
apply (*simp (no-asm-simp) add: lt-Ord le-Ord2*)
done

21.6 Ordinal Multiplication

lemma *Ord-omult* [*simp, TC*]:
 $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies \text{Ord}(i ** j)$
unfolding *omult-def*
apply (*blast intro: Ord-ordertype well-ord-rmult well-ord-Memrel*)
done

21.6.1 A useful unfolding law

lemma *pred-Pair-eq*:
 $\llbracket a \in A; b \in B \rrbracket \implies \text{pred}(A * B, \langle a, b \rangle, \text{rmult}(A, r, B, s)) =$
 $\text{pred}(A, a, r) * B \cup (\{a\} * \text{pred}(B, b, s))$
apply (*unfold pred-def, blast*)
done

lemma *ordertype-pred-Pair-eq*:
 $\llbracket a \in A; b \in B; \text{well-ord}(A, r); \text{well-ord}(B, s) \rrbracket \implies$
 $\text{ordertype}(\text{pred}(A * B, \langle a, b \rangle, \text{rmult}(A, r, B, s)), \text{rmult}(A, r, B, s)) =$
 $\text{ordertype}(\text{pred}(A, a, r) * B + \text{pred}(B, b, s),$
 $\text{radd}(A * B, \text{rmult}(A, r, B, s), B, s))$
apply (*simp (no-asm-simp) add: pred-Pair-eq*)
apply (*rule ordertype-eq [symmetric]*)
apply (*rule prod-sum-singleton-ord-iso*)
apply (*simp-all add: pred-subset well-ord-rmult [THEN well-ord-subset]*)
apply (*blast intro: pred-subset well-ord-rmult [THEN well-ord-subset]*
elim!: predE)
done

lemma *ordertype-pred-Pair-lemma*:
 $\llbracket i' < i; j' < j \rrbracket$
 $\implies \text{ordertype}(\text{pred}(i*j, \langle i', j' \rangle, \text{rmult}(i, \text{Memrel}(i), j, \text{Memrel}(j))),$
 $\quad \text{rmult}(i, \text{Memrel}(i), j, \text{Memrel}(j))) =$
 $\quad \text{raw-oadd}(j**i', j')$
unfolding *raw-oadd-def omult-def*
apply (*simp add: ordertype-pred-Pair-eq lt-pred-Memrel ltD lt-Ord2*
well-ord-Memrel)
apply (*rule trans*)
apply (*rule-tac [2] ordertype-ord-iso*
 $[THEN \text{sum-ord-iso-cong}, THEN \text{ordertype-eq}]$)
apply (*rule-tac [3] ord-iso-refl*)
apply (*rule id-bij [THEN ord-isoI, THEN ordertype-eq]*)
apply (*elim SigmaE sumE ltE ssubst*)
apply (*simp-all add: well-ord-rmult well-ord-radd well-ord-Memrel*
Ord-ordertype lt-Ord lt-Ord2)
apply (*blast intro: Ord-trans*)
done

lemma *lt-omult*:
 $\llbracket \text{Ord}(i); \text{Ord}(j); k < j**i \rrbracket$
 $\implies \exists j' i'. k = j**i' ++ j' \wedge j' < j \wedge i' < i$
unfolding *omult-def*
apply (*simp add: ordertype-pred-unfold well-ord-rmult well-ord-Memrel*)
apply (*safe elim!: ltE*)
apply (*simp add: ordertype-pred-Pair-lemma ltI raw-oadd-eq-oadd*
omult-def [symmetric] Ord-in-Ord' [of - i] Ord-in-Ord' [of - j])
apply (*blast intro: ltI*)
done

lemma *omult-oadd-lt*:
 $\llbracket j' < j; i' < i \rrbracket \implies j**i' ++ j' < j**i$
unfolding *omult-def*
apply (*rule ltI*)
prefer 2
apply (*simp add: Ord-ordertype well-ord-rmult well-ord-Memrel lt-Ord2*)
apply (*simp add: ordertype-pred-unfold well-ord-rmult well-ord-Memrel lt-Ord2*)
apply (*rule beXI [of - i']*)
apply (*rule beXI [of - j']*)
apply (*simp add: ordertype-pred-Pair-lemma ltI omult-def [symmetric]*)
apply (*simp add: lt-Ord lt-Ord2 raw-oadd-eq-oadd*)
apply (*simp-all add: lt-def*)
done

lemma *omult-unfold*:
 $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies j**i = (\bigcup j' \in j. \bigcup i' \in i. \{j**i' ++ j'\})$
apply (*rule subsetI [THEN equalityI]*)
apply (*rule lt-omult [THEN exE]*)
apply (*erule-tac [3] ltI*)


```

apply (simp-all add: Ord-omult)
apply (blast elim!: ltE)
apply (blast intro: omult-oadd-lt [THEN ltD] ltI)
done

```

21.6.2 Basic laws for ordinal multiplication

Ordinal multiplication by zero

```

lemma omult-0 [simp]:  $i**0 = 0$ 
  unfolding omult-def
apply (simp (no-asm-simp))
done

```

```

lemma omult-0-left [simp]:  $0**i = 0$ 
  unfolding omult-def
apply (simp (no-asm-simp))
done

```

Ordinal multiplication by 1

```

lemma omult-1 [simp]:  $Ord(i) \implies i**1 = i$ 
  unfolding omult-def
apply (rule-tac s1=Memrel(i)
  in ord-isoI [THEN ordertype-eq, THEN trans])
apply (rule-tac c = snd and d =  $\lambda z.\langle 0, z \rangle$  in lam-bijective)
apply (auto elim!: snd-type well-ord-Memrel ordertype-Memrel)
done

```

```

lemma omult-1-left [simp]:  $Ord(i) \implies 1**i = i$ 
  unfolding omult-def
apply (rule-tac s1=Memrel(i)
  in ord-isoI [THEN ordertype-eq, THEN trans])
apply (rule-tac c = fst and d =  $\lambda z.\langle z, 0 \rangle$  in lam-bijective)
apply (auto elim!: fst-type well-ord-Memrel ordertype-Memrel)
done

```

Distributive law for ordinal multiplication and addition

```

lemma oadd-omult-distrib:
   $\llbracket Ord(i); Ord(j); Ord(k) \rrbracket \implies i**(j++k) = (i**j)++(i**k)$ 
apply (simp add: oadd-eq-if-raw-oadd)
apply (simp add: omult-def raw-oadd-def)
apply (rule ordertype-eq [THEN trans])
apply (rule prod-ord-iso-cong [OF ordertype-ord-iso [THEN ord-iso-sym]
  ord-iso-refl])
apply (simp-all add: well-ord-rmult well-ord-radd well-ord-Memrel
  Ord-ordertype)
apply (rule sum-prod-distrib-ord-iso [THEN ordertype-eq, THEN trans])
apply (rule-tac [2] ordertype-eq)
apply (rule-tac [2] sum-ord-iso-cong [OF ordertype-ord-iso ordertype-ord-iso])

```

apply (*simp-all* *add: well-ord-rmult well-ord-radd well-ord-Memrel*
Ord-ordertype)
done

lemma *omult-succ*: $\llbracket \text{Ord}(i); \text{Ord}(j) \rrbracket \implies i**\text{succ}(j) = (i**j)++i$
by (*simp del: oadd-succ add: oadd-1 [of j, symmetric] oadd-omult-distrib*)

Associative law

lemma *omult-assoc*:
 $\llbracket \text{Ord}(i); \text{Ord}(j); \text{Ord}(k) \rrbracket \implies (i**j)**k = i**(j**k)$
unfolding *omult-def*
apply (*rule ordertype-eq [THEN trans]*)
apply (*rule prod-ord-iso-cong [OF ord-iso-refl*
ordertype-ord-iso [THEN ord-iso-sym]])
apply (*blast intro: well-ord-rmult well-ord-Memrel*)
apply (*rule prod-assoc-ord-iso*
 $[THEN \text{ord-iso-sym}, THEN \text{ordertype-eq}, THEN \text{trans}]$)
apply (*rule-tac [2] ordertype-eq*)
apply (*rule-tac [2] prod-ord-iso-cong [OF ordertype-ord-iso ord-iso-refl]*)
apply (*blast intro: well-ord-rmult well-ord-Memrel Ord-ordertype*)
done

Ordinal multiplication with limit ordinals

lemma *omult-UN*:
 $\llbracket \text{Ord}(i); \bigwedge x. x \in A \implies \text{Ord}(j(x)) \rrbracket$
 $\implies i ** (\bigcup_{x \in A} j(x)) = (\bigcup_{x \in A} i**j(x))$
by (*simp (no-asm-simp) add: Ord-UN omult-unfold, blast*)

lemma *omult-Limit*: $\llbracket \text{Ord}(i); \text{Limit}(j) \rrbracket \implies i**j = (\bigcup_{k \in j} i**k)$
by (*simp add: Limit-is-Ord [THEN Ord-in-Ord] omult-UN [symmetric]*
Union-eq-UN [symmetric] Limit-Union-eq)

21.6.3 Ordering/monotonicity properties of ordinal multiplication

lemma *lt-omult1*: $\llbracket k < i; 0 < j \rrbracket \implies k < i**j$
apply (*safe elim!: ltE intro!: ltI Ord-omult*)
apply (*force simp add: omult-unfold*)
done

lemma *omult-le-self*: $\llbracket \text{Ord}(i); 0 < j \rrbracket \implies i \leq i**j$
by (*blast intro: all-lt-imp-le Ord-omult lt-omult1 lt-Ord2*)

lemma *omult-le-mono1*:
assumes *kj: k ≤ j and i: Ord(i) shows k**i ≤ j**i*
proof –
have *o: Ord(k) Ord(j)* **by** (*rule lt-Ord [OF kj] le-Ord2 [OF kj]*)
show *?thesis using i*
proof (*induct i rule: trans-induct3*)

```

    case 0 thus ?case
      by simp
  next
    case (succ i) thus ?case
      by (simp add: o kj omult-succ oadd-le-mono)
  next
    case (limit l)
  thus ?case
    by (auto simp add: o kj omult-Limit le-implies-UN-le-UN)
qed

```

```

lemma omult-lt-mono2:  $\llbracket k < j; 0 < i \rrbracket \implies i**k < i**j$ 
  apply (rule ltI)
  apply (simp (no-asm-simp) add: omult-unfold lt-Ord2)
  apply (safe elim!: ltE intro!: Ord-omult)
  apply (force simp add: Ord-omult)
done

```

```

lemma omult-le-mono2:  $\llbracket k \leq j; \text{Ord}(i) \rrbracket \implies i**k \leq i**j$ 
  apply (rule subset-imp-le)
  apply (safe elim!: ltE dest!: Ord-succD intro!: Ord-omult)
  apply (simp add: omult-unfold)
  apply (blast intro: Ord-trans)
done

```

```

lemma omult-le-mono:  $\llbracket i' \leq i; j' \leq j \rrbracket \implies i'**j' \leq i**j$ 
  by (blast intro: le-trans omult-le-mono1 omult-le-mono2 Ord-succD elim: ltE)

```

```

lemma omult-lt-mono:  $\llbracket i' \leq i; j' < j; 0 < i \rrbracket \implies i'**j' < i**j$ 
  by (blast intro: lt-trans1 omult-le-mono1 omult-lt-mono2 Ord-succD elim: ltE)

```

```

lemma omult-le-self2:
  assumes i: Ord(i) and j: 0 < j shows i ≤ j**i
proof –
  have oj: Ord(j) by (rule lt-Ord2 [OF j])
  show ?thesis using i
  proof (induct i rule: trans-induct3)
    case 0 thus ?case
      by simp
  next
    case (succ i)
    have j ** i ++ 0 < j ** i ++ j
      by (rule oadd-lt-mono2 [OF j])
    with succ.hyps show ?case
      by (simp add: oj j omult-succ ) (rule lt-trans1)
  next
    case (limit l)
  hence l =  $(\bigcup x \in l. x)$ 

```

```

    by (simp add: Union-eq-UN [symmetric] Limit-Union-eq)
  also have ... ≤ (⋃ x∈l. j**x)
    by (rule le-implies-UN-le-UN) (rule limit.hyps)
  finally have l ≤ (⋃ x∈l. j**x) .
  thus ?case using limit.hyps by (simp add: oj omult-Limit)
qed
qed

```

Further properties of ordinal multiplication

```

lemma omult-inject:  $\llbracket i**j = i**k; 0 < i; \text{Ord}(j); \text{Ord}(k) \rrbracket \implies j=k$ 
apply (rule Ord-linear-lt)
prefer 4 apply assumption
apply auto
apply (force dest: omult-lt-mono2 simp add: lt-not-refl)+
done

```

21.7 The Relation Lt

```

lemma wf-Lt: wf(Lt)
apply (rule wf-subset)
apply (rule wf-Memrel)
apply (auto simp add: Lt-def Memrel-def lt-def)
done

```

```

lemma irrefl-Lt: irrefl(A,Lt)
by (auto simp add: Lt-def irrefl-def)

```

```

lemma trans-Lt: trans[A](Lt)
apply (simp add: Lt-def trans-on-def)
apply (blast intro: lt-trans)
done

```

```

lemma part-ord-Lt: part-ord(A,Lt)
by (simp add: part-ord-def irrefl-Lt trans-Lt)

```

```

lemma linear-Lt: linear(nat,Lt)
apply (auto dest!: not-lt-imp-le simp add: Lt-def linear-def le-iff)
apply (drule lt-asym, auto)
done

```

```

lemma tot-ord-Lt: tot-ord(nat,Lt)
by (simp add: tot-ord-def linear-Lt part-ord-Lt)

```

```

lemma well-ord-Lt: well-ord(nat,Lt)
by (simp add: well-ord-def wf-Lt wf-imp-wf-on tot-ord-Lt)

```

end

22 Finite Powerset Operator and Finite Function Space

theory *Finite* **imports** *Inductive Epsilon Nat* **begin**

rep-datatype

elimination *natE*
induction *nat-induct*
case-eqns *nat-case-0 nat-case-succ*
recursor-eqns *recursor-0 recursor-succ*

consts

Fin :: $i \Rightarrow i$
FiniteFun :: $[i, i] \Rightarrow i$ ($\langle (- \text{--} || > / -) \rangle [61, 60] 60$)

inductive

domains $Fin(A) \subseteq Pow(A)$
intros
emptyI: $0 \in Fin(A)$
consI: $\llbracket a \in A; b \in Fin(A) \rrbracket \Longrightarrow cons(a, b) \in Fin(A)$
type-intros *empty-subsetI cons-subsetI PowI*
type-elims *PowD [elim-format]*

inductive

domains $FiniteFun(A, B) \subseteq Fin(A * B)$
intros
emptyI: $0 \in A \text{--} || > B$
consI: $\llbracket a \in A; b \in B; h \in A \text{--} || > B; a \notin domain(h) \rrbracket$
 $\Longrightarrow cons(\langle a, b \rangle, h) \in A \text{--} || > B$
type-intros *Fin.intros*

22.1 Finite Powerset Operator

lemma *Fin-mono*: $A \leq B \Longrightarrow Fin(A) \subseteq Fin(B)$

unfolding *Fin.defs*
apply (*rule lfp-mono*)
apply (*rule Fin.bnd-mono*)
apply *blast*
done

lemmas *FinD = Fin.dom-subset [THEN subsetD, THEN PowD]*

lemma *Fin-induct* [*case-names 0 cons, induct set: Fin*]:

```

     $\llbracket b \in \text{Fin}(A);$ 
       $P(0);$ 
       $\bigwedge x y. \llbracket x \in A; y \in \text{Fin}(A); x \notin y; P(y) \rrbracket \implies P(\text{cons}(x,y))$ 
 $\rrbracket \implies P(b)$ 
apply (erule Fin.induct, simp)
apply (case-tac  $a \in b$ )
  apply (erule cons-absorb [THEN ssubst], assumption)
apply simp
done

```

```

declare Fin.intros [simp]

```

```

lemma Fin-0:  $\text{Fin}(0) = \{0\}$ 
by (blast intro: Fin.emptyI dest: FinD)

```

```

lemma Fin-UnI [simp]:  $\llbracket b \in \text{Fin}(A); c \in \text{Fin}(A) \rrbracket \implies b \cup c \in \text{Fin}(A)$ 
apply (erule Fin-induct)
apply (simp-all add: Un-cons)
done

```

```

lemma Fin-UnionI:  $C \in \text{Fin}(\text{Fin}(A)) \implies \bigcup(C) \in \text{Fin}(A)$ 
by (erule Fin-induct, simp-all)

```

```

lemma Fin-subset-lemma [rule-format]:  $b \in \text{Fin}(A) \implies \forall z. z \leq b \longrightarrow z \in \text{Fin}(A)$ 
apply (erule Fin-induct)
apply (simp add: subset-empty-iff)
apply (simp add: subset-cons-iff distrib-simps, safe)
apply (erule-tac  $b = z$  in cons-Diff [THEN subst], simp)
done

```

```

lemma Fin-subset:  $\llbracket c \leq b; b \in \text{Fin}(A) \rrbracket \implies c \in \text{Fin}(A)$ 
by (blast intro: Fin-subset-lemma)

```

```

lemma Fin-IntI1 [intro,simp]:  $b \in \text{Fin}(A) \implies b \cap c \in \text{Fin}(A)$ 
by (blast intro: Fin-subset)

```

```

lemma Fin-IntI2 [intro,simp]:  $c \in \text{Fin}(A) \implies b \cap c \in \text{Fin}(A)$ 
by (blast intro: Fin-subset)

```

```

lemma Fin-0-induct-lemma [rule-format]:
   $\llbracket c \in \text{Fin}(A); b \in \text{Fin}(A); P(b);$ 
     $\bigwedge x y. \llbracket x \in A; y \in \text{Fin}(A); x \in y; P(y) \rrbracket \implies P(y - \{x\})$ 
 $\rrbracket \implies c \leq b \longrightarrow P(b - c)$ 

```

apply (*erule Fin-induct, simp*)
apply (*subst Diff-cons*)
apply (*simp add: cons-subset-iff Diff-subset [THEN Fin-subset]*)
done

lemma *Fin-0-induct*:

$\llbracket b \in \text{Fin}(A);$
 $P(b);$
 $\bigwedge x y. \llbracket x \in A; y \in \text{Fin}(A); x \in y; P(y) \rrbracket \implies P(y - \{x\})$
 $\rrbracket \implies P(0)$
apply (*rule Diff-cancel [THEN subst]*)
apply (*blast intro: Fin-0-induct-lemma*)
done

lemma *nat-fun-subset-Fin*: $n \in \text{nat} \implies n \rightarrow A \subseteq \text{Fin}(\text{nat} * A)$

apply (*induct-tac n*)
apply (*simp add: subset-iff*)
apply (*simp add: succ-def mem-not-refl [THEN cons-fun-eq]*)
apply (*fast intro!: Fin.consI*)
done

22.2 Finite Function Space

lemma *FiniteFun-mono*:

$\llbracket A \leq C; B \leq D \rrbracket \implies A -||> B \subseteq C -||> D$
unfolding *FiniteFun.defs*
apply (*rule lfp-mono*)
apply (*rule FiniteFun.bnd-mono*)
apply (*intro Fin-mono Sigma-mono basic-monos, assumption*)
done

lemma *FiniteFun-mono1*: $A \leq B \implies A -||> A \subseteq B -||> B$
by (*blast dest: FiniteFun-mono*)

lemma *FiniteFun-is-fun*: $h \in A -||> B \implies h \in \text{domain}(h) \rightarrow B$

apply (*erule FiniteFun.induct, simp*)
apply (*simp add: fun-extend3*)
done

lemma *FiniteFun-domain-Fin*: $h \in A -||> B \implies \text{domain}(h) \in \text{Fin}(A)$

by (*erule FiniteFun.induct, simp, simp*)

lemmas *FiniteFun-apply-type = FiniteFun-is-fun [THEN apply-type]*

lemma *FiniteFun-subset-lemma* [*rule-format*]:

$b \in A -||> B \implies \forall z. z \leq b \implies z \in A -||> B$
apply (*erule FiniteFun.induct*)

```

apply (simp add: subset-empty-iff FiniteFun.intros)
apply (simp add: subset-cons-iff distrib-simps, safe)
apply (erule-tac  $b = z$  in cons-Diff [THEN subst])
apply (drule spec [THEN mp], assumption)
apply (fast intro!: FiniteFun.intros)
done

```

```

lemma FiniteFun-subset:  $\llbracket c \leq b; b \in A - || > B \rrbracket \implies c \in A - || > B$ 
by (blast intro: FiniteFun-subset-lemma)

```

```

lemma fun-FiniteFunI [rule-format]:  $A \in \text{Fin}(X) \implies \forall f. f \in A \rightarrow B \longrightarrow f \in A - || > B$ 
apply (erule Fin.induct)
  apply (simp add: FiniteFun.intros, clarify)
apply (case-tac  $a \in b$ )
  apply (simp add: cons-absorb)
apply (subgoal-tac restrict (f,b)  $\in b - || > B$ )
  prefer 2 apply (blast intro: restrict-type2)
apply (subst fun-cons-restrict-eq, assumption)
apply (simp add: restrict-def lam-def)
apply (blast intro: apply-funtype FiniteFun.intros
          FiniteFun-mono [THEN [2] rev-subsetD])
done

```

```

lemma lam-FiniteFun:  $A \in \text{Fin}(X) \implies (\lambda x \in A. b(x)) \in A - || > \{b(x). x \in A\}$ 
by (blast intro: fun-FiniteFunI lam-funtype)

```

```

lemma FiniteFun-Collect-iff:
   $f \in \text{FiniteFun}(A, \{y \in B. P(y)\})$ 
   $\iff f \in \text{FiniteFun}(A, B) \wedge (\forall x \in \text{domain}(f). P(f'x))$ 
apply auto
apply (blast intro: FiniteFun-mono [THEN [2] rev-subsetD])
apply (blast dest: Pair-mem-PiD FiniteFun-is-fun)
apply (rule-tac  $A1 = \text{domain}(f)$  in
          subset-refl [THEN [2] FiniteFun-mono, THEN subsetD])
  apply (fast dest: FiniteFun-domain-Fin Fin.dom-subset [THEN subsetD])
apply (rule fun-FiniteFunI)
apply (erule FiniteFun-domain-Fin)
apply (rule-tac  $B = \text{range}(f)$  in fun-weaken-type)
  apply (blast dest: FiniteFun-is-fun range-of-fun range-type apply-equality)+
done

```

22.3 The Contents of a Singleton Set

definition

```

contents ::  $i \Rightarrow i$  where
  contents(X)  $\equiv$  THE  $x. X = \{x\}$ 

```


lemma *contents-eq* [*simp*]: $\text{contents } (\{x\}) = x$
by (*simp add: contents-def*)

end

23 Cardinal Numbers Without the Axiom of Choice

theory *Cardinal* **imports** *OrderType Finite Nat Sum* **begin**

definition

Least :: $(i \Rightarrow o) \Rightarrow i$ (**binder** $\langle \mu \rangle$ 10) **where**
Least(P) \equiv *THE* $i. \text{Ord}(i) \wedge P(i) \wedge (\forall j. j < i \longrightarrow \neg P(j))$

definition

eqpoll :: $[i, i] \Rightarrow o$ (**infixl** $\langle \approx \rangle$ 50) **where**
 $A \approx B \equiv \exists f. f \in \text{bij}(A, B)$

definition

lepoll :: $[i, i] \Rightarrow o$ (**infixl** $\langle \lesssim \rangle$ 50) **where**
 $A \lesssim B \equiv \exists f. f \in \text{inj}(A, B)$

definition

lesspoll :: $[i, i] \Rightarrow o$ (**infixl** $\langle \prec \rangle$ 50) **where**
 $A \prec B \equiv A \lesssim B \wedge \neg(A \approx B)$

definition

cardinal :: $i \Rightarrow i$ (**infixl** $\langle |\cdot| \rangle$) **where**
 $|A| \equiv (\mu i. i \approx A)$

definition

Finite :: $i \Rightarrow o$ **where**
 $\text{Finite}(A) \equiv \exists n \in \text{nat}. A \approx n$

definition

Card :: $i \Rightarrow o$ **where**
 $\text{Card}(i) \equiv (i = |i|)$

23.1 The Schroeder-Bernstein Theorem

See Davey and Priestly, page 106

lemma *decomp-bnd-mono*: $\text{bnd-mono}(X, \lambda W. X - g''(Y - f''W))$
by (*rule bnd-monoI, blast+*)

lemma *Banach-last-equation*:

$g \in Y \rightarrow X$
 $\implies g''(Y - f'' \text{lfp}(X, \lambda W. X - g''(Y - f''W))) =$

$X - \text{lf}p(X, \lambda W. X - g''(Y - f''W))$
apply (*rule-tac* $P = \lambda u. v = X - u$ **for** v
in *decomp-bnd-mono* [*THEN* *lf}p-unfold*, *THEN* *ssubst*])
apply (*simp add: double-complement fun-is-rel* [*THEN* *image-subset*])
done

lemma *decomposition*:

$\llbracket f \in X \rightarrow Y; g \in Y \rightarrow X \rrbracket \implies$
 $\exists XA XB YA YB. (XA \cap XB = 0) \wedge (XA \cup XB = X) \wedge$
 $(YA \cap YB = 0) \wedge (YA \cup YB = Y) \wedge$
 $f''XA = YA \wedge g''YB = XB$

apply (*intro exI conjI*)
apply (*rule-tac* [6] *Banach-last-equation*)
apply (*rule-tac* [5] *refl*)
apply (*assumption* |
rule Diff-disjoint Diff-partition fun-is-rel image-subset lf}p-subset) +
done

lemma *schroeder-bernstein*:

$\llbracket f \in \text{inj}(X, Y); g \in \text{inj}(Y, X) \rrbracket \implies \exists h. h \in \text{bij}(X, Y)$
apply (*insert decomposition* [*of f X Y g*])
apply (*simp add: inj-is-fun*)
apply (*blast intro!: restrict-bij bij-disjoint-Un intro: bij-converse-bij*)

done

lemma *bij-imp-epoll*: $f \in \text{bij}(A, B) \implies A \approx B$

unfolding *epoll-def*
apply (*erule exI*)
done

lemmas *epoll-refl = id-bij* [*THEN* *bij-imp-epoll*, *simp*]

lemma *epoll-sym*: $X \approx Y \implies Y \approx X$

unfolding *epoll-def*
apply (*blast intro: bij-converse-bij*)
done

lemma *epoll-trans* [*trans*]:

$\llbracket X \approx Y; Y \approx Z \rrbracket \implies X \approx Z$
unfolding *epoll-def*
apply (*blast intro: comp-bij*)
done

lemma *subset-imp-lepoll*: $X \leq Y \implies X \lesssim Y$
unfolding *lepoll-def*
apply (*rule exI*)
apply (*erule id-subset-inj*)
done

lemmas *lepoll-refl* = *subset-refl* [*THEN subset-imp-lepoll, simp*]

lemmas *le-imp-lepoll* = *le-imp-subset* [*THEN subset-imp-lepoll*]

lemma *eqpoll-imp-lepoll*: $X \approx Y \implies X \lesssim Y$
by (*unfold eqpoll-def bij-def lepoll-def, blast*)

lemma *lepoll-trans* [*trans*]: $\llbracket X \lesssim Y; Y \lesssim Z \rrbracket \implies X \lesssim Z$
unfolding *lepoll-def*
apply (*blast intro: comp-inj*)
done

lemma *eq-lepoll-trans* [*trans*]: $\llbracket X \approx Y; Y \lesssim Z \rrbracket \implies X \lesssim Z$
by (*blast intro: eqpoll-imp-lepoll lepoll-trans*)

lemma *lepoll-eq-trans* [*trans*]: $\llbracket X \lesssim Y; Y \approx Z \rrbracket \implies X \lesssim Z$
by (*blast intro: eqpoll-imp-lepoll lepoll-trans*)

lemma *eqpollI*: $\llbracket X \lesssim Y; Y \lesssim X \rrbracket \implies X \approx Y$
unfolding *lepoll-def eqpoll-def*
apply (*elim exE*)
apply (*rule schroeder-bernstein, assumption+*)
done

lemma *eqpollE*:
 $\llbracket X \approx Y; \llbracket X \lesssim Y; Y \lesssim X \rrbracket \implies P \rrbracket \implies P$
by (*blast intro: eqpoll-imp-lepoll eqpoll-sym*)

lemma *eqpoll-iff*: $X \approx Y \iff X \lesssim Y \wedge Y \lesssim X$
by (*blast intro: eqpollI elim!: eqpollE*)

lemma *lepoll-0-is-0*: $A \lesssim 0 \implies A = 0$
unfolding *lepoll-def inj-def*
apply (*blast dest: apply-type*)
done

lemmas *empty-lepollI* = *empty-subsetI* [*THEN subset-imp-lepoll*]

lemma *lepoll-0-iff*: $A \lesssim 0 \iff A = 0$
by (*blast intro: lepoll-0-is-0 lepoll-refl*)

lemma *Un-lepoll-Un*:
 $\llbracket A \lesssim B; C \lesssim D; B \cap D = 0 \rrbracket \implies A \cup C \lesssim B \cup D$
unfolding *lepoll-def*
apply (*blast intro: inj-disjoint-Un*)
done

lemmas *eqpoll-0-is-0 = eqpoll-imp-lepoll [THEN lepoll-0-is-0]*

lemma *eqpoll-0-iff*: $A \approx 0 \iff A=0$
by (*blast intro: eqpoll-0-is-0 eqpoll-refl*)

lemma *eqpoll-disjoint-Un*:
 $\llbracket A \approx B; C \approx D; A \cap C = 0; B \cap D = 0 \rrbracket$
 $\implies A \cup C \approx B \cup D$
unfolding *eqpoll-def*
apply (*blast intro: bij-disjoint-Un*)
done

23.2 lesspoll: contributions by Krzysztof Grabczewski

lemma *lesspoll-not-refl*: $\neg (i < i)$
by (*simp add: lesspoll-def*)

lemma *lesspoll-irrefl [elim!]*: $i < i \implies P$
by (*simp add: lesspoll-def*)

lemma *lesspoll-imp-lepoll*: $A < B \implies A \lesssim B$
by (*unfold lesspoll-def, blast*)

lemma *lepoll-well-ord*: $\llbracket A \lesssim B; \text{well-ord}(B,r) \rrbracket \implies \exists s. \text{well-ord}(A,s)$
unfolding *lepoll-def*
apply (*blast intro: well-ord-rvimage*)
done

lemma *lepoll-iff-leqpoll*: $A \lesssim B \iff A < B \mid A \approx B$
unfolding *lesspoll-def*
apply (*blast intro!: eqpollI elim!: eqpollE*)
done

lemma *inj-not-surj-succ*:
assumes *fi*: $f \in \text{inj}(A, \text{succ}(m))$ **and** *fns*: $f \notin \text{surj}(A, \text{succ}(m))$
shows $\exists f. f \in \text{inj}(A,m)$
proof –
from *fi* [*THEN inj-is-fun*] *fns*
obtain *y* **where** $y \in \text{succ}(m) \wedge x. x \in A \implies f ' x \neq y$
by (*auto simp add: surj-def*)
show *?thesis*

```

proof
  show ( $\lambda z \in A. \text{if } f'z = m \text{ then } y \text{ else } f'z \in \text{inj}(A, m)$ ) using  $y \text{ fi}$ 
  by (simp add: inj-def)
      (auto intro!: if-type [THEN lam-type] intro: Pi-type dest: apply-funtype)
qed
qed

```

```

lemma lesspoll-trans [trans]:
   $\llbracket X \prec Y; Y \prec Z \rrbracket \implies X \prec Z$ 
  unfolding lesspoll-def
apply (blast elim!: eqpollE intro: eqpollI lepoll-trans)
done

```

```

lemma lesspoll-trans1 [trans]:
   $\llbracket X \lesssim Y; Y \prec Z \rrbracket \implies X \prec Z$ 
  unfolding lesspoll-def
apply (blast elim!: eqpollE intro: eqpollI lepoll-trans)
done

```

```

lemma lesspoll-trans2 [trans]:
   $\llbracket X \prec Y; Y \lesssim Z \rrbracket \implies X \prec Z$ 
  unfolding lesspoll-def
apply (blast elim!: eqpollE intro: eqpollI lepoll-trans)
done

```

```

lemma eq-lesspoll-trans [trans]:
   $\llbracket X \approx Y; Y \prec Z \rrbracket \implies X \prec Z$ 
  by (blast intro: eqpoll-imp-lepoll lesspoll-trans1)

```

```

lemma lesspoll-eq-trans [trans]:
   $\llbracket X \prec Y; Y \approx Z \rrbracket \implies X \prec Z$ 
  by (blast intro: eqpoll-imp-lepoll lesspoll-trans2)

```

```

lemma Least-equality:
   $\llbracket P(i); \text{Ord}(i); \bigwedge x. x < i \implies \neg P(x) \rrbracket \implies (\mu x. P(x)) = i$ 
  unfolding Least-def
apply (rule the-equality, blast)
apply (elim conjE)
apply (erule Ord-linear-lt, assumption, blast+)
done

```

```

lemma LeastI:
  assumes  $P: P(i)$  and  $i: \text{Ord}(i)$  shows  $P(\mu x. P(x))$ 
proof –

```

```

{ from i have P(i)  $\implies$  P( $\mu$  x. P(x))
  proof (induct i rule: trans-induct)
    case (step i)
    show ?case
      proof (cases P( $\mu$  a. P(a)))
        case True thus ?thesis .
      next
        case False
        hence  $\bigwedge x. x \in i \implies \neg P(x)$  using step
          by blast
        hence ( $\mu$  a. P(a)) = i using step
          by (blast intro: Least-equality ltD)
        thus ?thesis using step.prem
          by simp
      qed
    qed
  }
  thus ?thesis using P .
qed

```

The proof is almost identical to the one above!

lemma *Least-le*:

assumes $P: P(i)$ and $i: \text{Ord}(i)$ shows $(\mu x. P(x)) \leq i$

proof –

```

{ from i have P(i)  $\implies$  ( $\mu$  x. P(x))  $\leq$  i
  proof (induct i rule: trans-induct)
    case (step i)
    show ?case
      proof (cases ( $\mu$  a. P(a))  $\leq$  i)
        case True thus ?thesis .
      next
        case False
        hence  $\bigwedge x. x \in i \implies \neg (\mu a. P(a)) \leq i$  using step
          by blast
        hence ( $\mu$  a. P(a)) = i using step
          by (blast elim: ltE intro: ltI Least-equality lt-trans1)
        thus ?thesis using step
          by simp
      qed
    qed
  }
  thus ?thesis using P .
qed

```

lemma *less-LeastE*: $\llbracket P(i); i < (\mu x. P(x)) \rrbracket \implies Q$

apply (rule *Least-le* [THEN [2] *lt-trans2*, THEN *lt-irrefl*], *assumption+*)

apply (*simp add: lt-Ord*)

done

lemma *LeastI2*:

$\llbracket P(i); \text{Ord}(i); \bigwedge j. P(j) \implies Q(j) \rrbracket \implies Q(\mu j. P(j))$
by (*blast intro: LeastI*)

lemma *Least-0*:

$\llbracket \neg (\exists i. \text{Ord}(i) \wedge P(i)) \rrbracket \implies (\mu x. P(x)) = 0$
unfolding *Least-def*
apply (*rule the-0, blast*)
done

lemma *Ord-Least* [*intro,simp,TC*]: $\text{Ord}(\mu x. P(x))$

proof (*cases* $\exists i. \text{Ord}(i) \wedge P(i)$)

case *True*

then obtain *i* **where** $P(i) \wedge \text{Ord}(i)$ **by** *auto*

hence $(\mu x. P(x)) \leq i$ **by** (*rule Least-le*)

thus *?thesis*

by (*elim ltE*)

next

case *False*

hence $(\mu x. P(x)) = 0$ **by** (*rule Least-0*)

thus *?thesis*

by *auto*

qed

23.3 Basic Properties of Cardinals

lemma *Least-cong*: $(\bigwedge y. P(y) \longleftrightarrow Q(y)) \implies (\mu x. P(x)) = (\mu x. Q(x))$

by *simp*

lemma *cardinal-cong*: $X \approx Y \implies |X| = |Y|$

unfolding *eqpoll-def cardinal-def*

apply (*rule Least-cong*)

apply (*blast intro: comp-bij bij-converse-bij*)

done

lemma *well-ord-cardinal-reqpoll*:

assumes *r*: *well-ord*(*A,r*) **shows** $|A| \approx A$

proof (*unfold cardinal-def*)

show $(\mu i. i \approx A) \approx A$

by (*best intro: LeastI Ord-ordertype ordermap-bij bij-converse-bij bij-imp-reqpoll*
r)

qed

lemmas *Ord-cardinal-epoll* = *well-ord-Memrel* [*THEN well-ord-cardinal-epoll*]

lemma *Ord-cardinal-idem*: $\text{Ord}(A) \implies ||A|| = |A|$
by (*rule Ord-cardinal-epoll* [*THEN cardinal-cong*])

lemma *well-ord-cardinal-eqE*:

assumes *woX*: *well-ord*(*X*,*r*) **and** *woY*: *well-ord*(*Y*,*s*) **and** *eq*: $|X| = |Y|$
shows $X \approx Y$

proof –

have $X \approx |X|$ **by** (*blast intro: well-ord-cardinal-epoll* [*OF woX*] *epoll-sym*)

also have $\dots = |Y|$ **by** (*rule eq*)

also have $\dots \approx Y$ **by** (*rule well-ord-cardinal-epoll* [*OF woY*])

finally show *?thesis* .

qed

lemma *well-ord-cardinal-epoll-iff*:

$\llbracket \text{well-ord}(X,r); \text{well-ord}(Y,s) \rrbracket \implies |X| = |Y| \longleftrightarrow X \approx Y$
by (*blast intro: cardinal-cong well-ord-cardinal-eqE*)

lemma *Ord-cardinal-le*: $\text{Ord}(i) \implies |i| \leq i$

unfolding *cardinal-def*

apply (*erule eqpoll-refl* [*THEN Least-le*])

done

lemma *Card-cardinal-eq*: $\text{Card}(K) \implies |K| = K$

unfolding *Card-def*

apply (*erule sym*)

done

lemma *CardI*: $\llbracket \text{Ord}(i); \bigwedge j. j < i \implies \neg(j \approx i) \rrbracket \implies \text{Card}(i)$

unfolding *Card-def cardinal-def*

apply (*subst Least-equality*)

apply (*blast intro: eqpoll-refl*)⁺

done

lemma *Card-is-Ord*: $\text{Card}(i) \implies \text{Ord}(i)$

unfolding *Card-def cardinal-def*

apply (*erule ssubst*)

apply (*rule Ord-Least*)

done

lemma *Card-cardinal-le*: $\text{Card}(K) \implies K \leq |K|$

apply (*simp (no-asm-simp) add: Card-is-Ord Card-cardinal-eq*)

done


```

lemma Ord-cardinal [simp,intro!]:  $Ord(|A|)$ 
  unfolding cardinal-def
apply (rule Ord-Least)
done

```

The cardinals are the initial ordinals.

```

lemma Card-iff-initial:  $Card(K) \longleftrightarrow Ord(K) \wedge (\forall j. j < K \longrightarrow \neg j \approx K)$ 
proof –
  { fix j
    assume  $K: Card(K) \ j \approx K$ 
    assume  $j < K$ 
    also have  $\dots = (\mu \ i. \ i \approx K)$  using K
    by (simp add: Card-def cardinal-def)
    finally have  $j < (\mu \ i. \ i \approx K)$  .
    hence False using K
    by (best dest: less-LeastE)
  }
then show ?thesis
by (blast intro: CardI Card-is-Ord)
qed

```

```

lemma lt-Card-imp-lesspoll:  $\llbracket Card(a); i < a \rrbracket \Longrightarrow i \prec a$ 
  unfolding lesspoll-def
apply (drule Card-iff-initial [THEN iffD1])
apply (blast intro!: leI [THEN le-imp-lepoll])
done

```

```

lemma Card-0:  $Card(0)$ 
apply (rule Ord-0 [THEN CardI])
apply (blast elim!: ltE)
done

```

```

lemma Card-Un:  $\llbracket Card(K); Card(L) \rrbracket \Longrightarrow Card(K \cup L)$ 
apply (rule Ord-linear-le [of K L])
apply (simp-all add: subset-Un-iff [THEN iffD1] Card-is-Ord le-imp-subset
  subset-Un-iff2 [THEN iffD1])
done

```

```

lemma Card-cardinal [iff]:  $Card(|A|)$ 
proof (unfold cardinal-def)
  show  $Card(\mu \ i. \ i \approx A)$ 
    proof (cases  $\exists i. Ord(i) \wedge i \approx A$ )
      case False thus ?thesis — degenerate case
      by (simp add: Least-0 Card-0)
    next
      case True — real case: A is isomorphic to some ordinal
      then obtain i where  $i: Ord(i) \ i \approx A$  by blast

```

```

show ?thesis
proof (rule CardI [OF Ord-Least], rule notI)
  fix j
  assume j: j < (μ i. i ≈ A)
  assume j ≈ (μ i. i ≈ A)
  also have ... ≈ A using i by (auto intro: LeastI)
  finally have j ≈ A .
  thus False
  by (rule less-LeastE [OF - j])
qed
qed
qed

```

```

lemma cardinal-eq-lemma:
  assumes i: |i| ≤ j and j: j ≤ i shows |j| = |i|
proof (rule eqpollI [THEN cardinal-cong])
  show j ≲ i by (rule le-imp-lepoll [OF j])
next
  have Oi: Ord(i) using j by (rule le-Ord2)
  hence i ≈ |i|
  by (blast intro: Ord-cardinal-epoll eqpoll-sym)
  also have ... ≲ j
  by (blast intro: le-imp-lepoll i)
  finally show i ≲ j .
qed

```

```

lemma cardinal-mono:
  assumes ij: i ≤ j shows |i| ≤ |j|
using Ord-cardinal [of i] Ord-cardinal [of j]
proof (cases rule: Ord-linear-le)
  case le thus ?thesis .
next
  case ge
  have i: Ord(i) using ij
  by (simp add: lt-Ord)
  have ci: |i| ≤ j
  by (blast intro: Ord-cardinal-le ij le-trans i)
  have |i| = ||i||
  by (auto simp add: Ord-cardinal-idem i)
  also have ... = |j|
  by (rule cardinal-eq-lemma [OF ge ci])
  finally have |i| = |j| .
  thus ?thesis by simp
qed

```

Since we have $|\text{succ}(\text{nat})| \leq |\text{nat}|$, the converse of *cardinal-mono* fails!

```

lemma cardinal-lt-imp-lt: [|i| < |j|; Ord(i); Ord(j)] ⇒ i < j
apply (rule Ord-linear2 [of i j], assumption+)

```

apply (*erule lt-trans2* [*THEN lt-irrefl*])
apply (*erule cardinal-mono*)
done

lemma *Card-lt-imp-lt*: $\llbracket |i| < K; \text{Ord}(i); \text{Card}(K) \rrbracket \implies i < K$
by (*simp (no-asm-simp) add: cardinal-lt-imp-lt Card-is-Ord Card-cardinal-eq*)

lemma *Card-lt-iff*: $\llbracket \text{Ord}(i); \text{Card}(K) \rrbracket \implies (|i| < K) \longleftrightarrow (i < K)$
by (*blast intro: Card-lt-imp-lt Ord-cardinal-le* [*THEN lt-trans1*])

lemma *Card-le-iff*: $\llbracket \text{Ord}(i); \text{Card}(K) \rrbracket \implies (K \leq |i|) \longleftrightarrow (K \leq i)$
by (*simp add: Card-lt-iff Card-is-Ord Ord-cardinal not-lt-iff-le* [*THEN iff-sym*])

lemma *well-ord-lepoll-imp-cardinal-le*:
assumes *wB*: *well-ord*(*B*,*r*) **and** *AB*: $A \lesssim B$
shows $|A| \leq |B|$
using *Ord-cardinal* [*of A*] *Ord-cardinal* [*of B*]
proof (*cases rule: Ord-linear-le*)
case *le* **thus** ?*thesis* .
next
case *ge*
from *lepoll-well-ord* [*OF AB wB*]
obtain *s* **where** *s*: *well-ord*(*A*, *s*) **by** *blast*
have $B \approx |B|$ **by** (*blast intro: wB eqpoll-sym well-ord-cardinal-epoll*)
also have $\dots \lesssim |A|$ **by** (*rule le-imp-lepoll* [*OF ge*])
also have $\dots \approx A$ **by** (*rule well-ord-cardinal-epoll* [*OF s*])
finally have $B \lesssim A$.
hence $A \approx B$ **by** (*blast intro: eqpollI AB*)
hence $|A| = |B|$ **by** (*rule cardinal-cong*)
thus ?*thesis* **by** *simp*
qed

lemma *lepoll-cardinal-le*: $\llbracket A \lesssim i; \text{Ord}(i) \rrbracket \implies |A| \leq i$
apply (*rule le-trans*)
apply (*erule well-ord-Memrel* [*THEN well-ord-lepoll-imp-cardinal-le*], *assumption*)
apply (*erule Ord-cardinal-le*)
done

lemma *lepoll-Ord-imp-epoll*: $\llbracket A \lesssim i; \text{Ord}(i) \rrbracket \implies |A| \approx A$
by (*blast intro: lepoll-cardinal-le well-ord-Memrel well-ord-cardinal-epoll dest!: lepoll-well-ord*)

lemma *lesspoll-imp-epoll*: $\llbracket A \prec i; \text{Ord}(i) \rrbracket \implies |A| \approx A$
unfolding *lesspoll-def*
apply (*blast intro: lepoll-Ord-imp-epoll*)
done

lemma *cardinal-subset-Ord*: $\llbracket A \leq i; \text{Ord}(i) \rrbracket \implies |A| \subseteq i$

```

apply (drule subset-imp-lepoll [THEN lepoll-cardinal-le])
apply (auto simp add: lt-def)
apply (blast intro: Ord-trans)
done

```

23.4 The finite cardinals

```

lemma cons-lepoll-consD:
   $\llbracket \text{cons}(u,A) \lesssim \text{cons}(v,B); u \notin A; v \notin B \rrbracket \implies A \lesssim B$ 
apply (unfold lepoll-def inj-def, safe)
apply (rule-tac x =  $\lambda x \in A. \text{if } f'x=v \text{ then } f'u \text{ else } f'x$  in exI)
apply (rule CollectI)

```

```

apply (rule if-type [THEN lam-type])
apply (blast dest: apply-funtype)
apply (blast elim!: mem-irrefl dest: apply-funtype)

```

```

apply (simp (no-asm-simp))
apply blast
done

```

```

lemma cons-epoll-consD:  $\llbracket \text{cons}(u,A) \approx \text{cons}(v,B); u \notin A; v \notin B \rrbracket \implies A \approx B$ 
apply (simp add: epoll-iff)
apply (blast intro: cons-lepoll-consD)
done

```

```

lemma succ-lepoll-succD:  $\text{succ}(m) \lesssim \text{succ}(n) \implies m \lesssim n$ 
  unfolding succ-def
apply (erule cons-lepoll-consD)
apply (rule mem-not-refl)+
done

```

```

lemma nat-lepoll-imp-le:
   $m \in \text{nat} \implies n \in \text{nat} \implies m \lesssim n \implies m \leq n$ 
proof (induct m arbitrary: n rule: nat-induct)
  case 0 thus ?case by (blast intro!: nat-0-le)
next
  case (succ m)
  show ?case using  $\langle n \in \text{nat} \rangle$ 
  proof (cases rule: natE)
    case 0 thus ?thesis using succ
      by (simp add: lepoll-def inj-def)
  next
    case (succ n') thus ?thesis using succ.hyps  $\langle \text{succ}(m) \lesssim n \rangle$ 
      by (blast intro!: succ-leI dest!: succ-lepoll-succD)
  qed
qed

```

lemma *nat-epoll-iff*: $\llbracket m \in \text{nat}; n \in \text{nat} \rrbracket \implies m \approx n \longleftrightarrow m = n$
apply (*rule iffI*)
apply (*blast intro: nat-lepoll-imp-le le-anti-sym elim!: eqpollE*)
apply (*simp add: eqpoll-refl*)
done

lemma *nat-into-Card*:
assumes $n: n \in \text{nat}$ **shows** $\text{Card}(n)$
proof (*unfold Card-def cardinal-def, rule sym*)
have $\text{Ord}(n)$ **using** n **by** *auto*
moreover
{ **fix** i
assume $i < n$ $i \approx n$
hence *False* **using** n
by (*auto simp add: lt-nat-in-nat [THEN nat-epoll-iff]*)
}
ultimately show $(\mu i. i \approx n) = n$ **by** (*auto intro!: Least-equality*)
qed

lemmas *cardinal-0* = *nat-0I* [*THEN nat-into-Card, THEN Card-cardinal-eq, iff*]
lemmas *cardinal-1* = *nat-1I* [*THEN nat-into-Card, THEN Card-cardinal-eq, iff*]

lemma *succ-lepoll-natE*: $\llbracket \text{succ}(n) \lesssim n; n \in \text{nat} \rrbracket \implies P$
by (*rule nat-lepoll-imp-le [THEN lt-irrefl], auto*)

lemma *nat-lepoll-imp-ex-epoll-n*:
 $\llbracket n \in \text{nat}; \text{nat} \lesssim X \rrbracket \implies \exists Y. Y \subseteq X \wedge n \approx Y$
unfolding *lepoll-def eqpoll-def*
apply (*fast del: subsetI subsetCE*
intro!: subset-SIs
dest!: Ord-nat [THEN [2] OrdmemD, THEN [2] restrict-inj]
elim!: restrict-bij
inj-is-fun [THEN fun-is-rel, THEN image-subset])
done

lemma *lepoll-succ*: $i \lesssim \text{succ}(i)$
by (*blast intro: subset-imp-lepoll*)

lemma *lepoll-imp-lesspoll-succ*:
assumes $A: A \lesssim m$ **and** $m: m \in \text{nat}$
shows $A \prec \text{succ}(m)$
proof –

{ assume $A \approx \text{succ}(m)$
hence $\text{succ}(m) \approx A$ **by** (rule *eqpoll-sym*)
also have $\dots \lesssim m$ **by** (rule *A*)
finally have $\text{succ}(m) \lesssim m$.
hence *False* **by** (rule *succ-lepoll-natE*) (rule *m*) }
moreover have $A \lesssim \text{succ}(m)$ **by** (blast intro: *lepoll-trans A lepoll-succ*)
ultimately show *?thesis* **by** (auto simp add: *lesspoll-def*)
qed

lemma *lesspoll-succ-imp-lepoll*:
 $\llbracket A \prec \text{succ}(m); m \in \text{nat} \rrbracket \implies A \lesssim m$
unfolding *lesspoll-def lepoll-def eqpoll-def bij-def*
apply (auto dest: *inj-not-surj-succ*)
done

lemma *lesspoll-succ-iff*: $m \in \text{nat} \implies A \prec \text{succ}(m) \longleftrightarrow A \lesssim m$
by (blast intro!: *lepoll-imp-lesspoll-succ lesspoll-succ-imp-lepoll*)

lemma *lepoll-succ-disj*: $\llbracket A \lesssim \text{succ}(m); m \in \text{nat} \rrbracket \implies A \lesssim m \mid A \approx \text{succ}(m)$
apply (rule *disjCI*)
apply (rule *lesspoll-succ-imp-lepoll*)
prefer 2 **apply** *assumption*
apply (simp (no-asm-simp) add: *lesspoll-def*)
done

lemma *lesspoll-cardinal-lt*: $\llbracket A \prec i; \text{Ord}(i) \rrbracket \implies |A| < i$
apply (unfold *lesspoll-def*, *clarify*)
apply (frule *lepoll-cardinal-le*, *assumption*)
apply (blast intro: *well-ord-Memrel well-ord-cardinal-epoll [THEN eqpoll-sym]*
dest: *lepoll-well-ord elim!: leE*)
done

23.5 The first infinite cardinal: Omega, or nat

lemma *lt-not-lepoll*:
assumes $n < i \ n \in \text{nat}$ **shows** $\neg i \lesssim n$
proof –
{ assume $i: i \lesssim n$
have $\text{succ}(n) \lesssim i$ **using** *n*
by (*elim ltE*, blast intro: *Ord-succ-subsetI [THEN subset-imp-lepoll]*)
also have $\dots \lesssim n$ **by** (rule *i*)
finally have $\text{succ}(n) \lesssim n$.
hence *False* **by** (rule *succ-lepoll-natE*) (rule *n*) }
thus *?thesis* **by** *auto*
qed

A slightly weaker version of *nat-epoll-iff*

lemma *Ord-nat-epoll-iff*:
assumes $i: \text{Ord}(i)$ **and** $n: n \in \text{nat}$ **shows** $i \approx n \longleftrightarrow i = n$

```

using i nat-into-Ord [OF n]
proof (cases rule: Ord-linear-lt)
  case lt
    hence  $i \in \text{nat}$  by (rule lt-nat-in-nat) (rule n)
    thus ?thesis by (simp add: nat-epoll-iff n)
  next
    case eq
    thus ?thesis by (simp add: eqpoll-refl)
  next
    case gt
    hence  $\neg i \lesssim n$  using n by (rule lt-not-lepoll)
    hence  $\neg i \approx n$  using n by (blast intro: eqpoll-imp-lepoll)
    moreover have  $i \neq n$  using  $\langle n < i \rangle$  by auto
    ultimately show ?thesis by blast
qed

```

```

lemma Card-nat: Card(nat)
proof –
  { fix i
    assume  $i < \text{nat}$   $i \approx \text{nat}$ 
    hence  $\neg \text{nat} \lesssim i$ 
      by (simp add: lt-def lt-not-lepoll)
    hence False using i
      by (simp add: eqpoll-iff)
  }
  hence  $(\mu i. i \approx \text{nat}) = \text{nat}$  by (blast intro: Least-equality eqpoll-refl)
  thus ?thesis
  by (auto simp add: Card-def cardinal-def)
qed

```

```

lemma nat-le-cardinal: nat ≤ i ⇒ nat ≤ |i|
apply (rule Card-nat [THEN Card-cardinal-eq, THEN subst])
apply (erule cardinal-mono)
done

```

```

lemma n-lesspoll-nat: n ∈ nat ⇒ n < nat
  by (blast intro: Ord-nat Card-nat ltI lt-Card-imp-lesspoll)

```

23.6 Towards Cardinal Arithmetic

```

lemma cons-lepoll-cong:
   $\llbracket A \lesssim B; b \notin B \rrbracket \implies \text{cons}(a,A) \lesssim \text{cons}(b,B)$ 
apply (unfold lepoll-def, safe)
apply (rule-tac x = λy∈cons (a,A) . if y=a then b else f'y in exI)
apply (rule-tac d = λz. if z ∈ B then converse (f) 'z else a in lam-injective)
apply (safe elim!: consE')
  apply simp-all
apply (blast intro: inj-is-fun [THEN apply-type])+

```

done

lemma *cons-epoll-cong*:

$\llbracket A \approx B; a \notin A; b \notin B \rrbracket \implies \text{cons}(a,A) \approx \text{cons}(b,B)$
by (*simp add: epoll-iff cons-lepoll-cong*)

lemma *cons-lepoll-cons-iff*:

$\llbracket a \notin A; b \notin B \rrbracket \implies \text{cons}(a,A) \lesssim \text{cons}(b,B) \iff A \lesssim B$
by (*blast intro: cons-lepoll-cong cons-lepoll-consD*)

lemma *cons-epoll-cons-iff*:

$\llbracket a \notin A; b \notin B \rrbracket \implies \text{cons}(a,A) \approx \text{cons}(b,B) \iff A \approx B$
by (*blast intro: cons-epoll-cong cons-epoll-consD*)

lemma *singleton-epoll-1*: $\{a\} \approx 1$

unfolding *succ-def*

apply (*blast intro!: epoll-refl [THEN cons-epoll-cong]*)

done

lemma *cardinal-singleton*: $|\{a\}| = 1$

apply (*rule singleton-epoll-1 [THEN cardinal-cong, THEN trans]*)

apply (*simp (no-asm) add: nat-into-Card [THEN Card-cardinal-eq]*)

done

lemma *not-0-is-lepoll-1*: $A \neq 0 \implies 1 \lesssim A$

apply (*erule not-emptyE*)

apply (*rule-tac a = cons (x, A-{x}) in subst*)

apply (*rule-tac [2] a = cons(0,0) and P= $\lambda y. y \lesssim \text{cons}(x, A-\{x\})$ in subst*)

prefer 3 apply (*blast intro: cons-lepoll-cong subset-imp-lepoll, auto*)

done

lemma *succ-epoll-cong*: $A \approx B \implies \text{succ}(A) \approx \text{succ}(B)$

unfolding *succ-def*

apply (*simp add: cons-epoll-cong mem-not-refl*)

done

lemma *sum-epoll-cong*: $\llbracket A \approx C; B \approx D \rrbracket \implies A+B \approx C+D$

unfolding *epoll-def*

apply (*blast intro!: sum-bij*)

done

lemma *prod-epoll-cong*:

$\llbracket A \approx C; B \approx D \rrbracket \implies A*B \approx C*D$

unfolding *epoll-def*

apply (*blast intro!: prod-bij*)

done

lemma *inj-disjoint-epoll*:
 $\llbracket f \in \text{inj}(A,B); A \cap B = 0 \rrbracket \implies A \cup (B - \text{range}(f)) \approx B$
unfolding *epoll-def*
apply (*rule exI*)
apply (*rule-tac* $c = \lambda x. \text{if } x \in A \text{ then } f'x \text{ else } x$
and $d = \lambda y. \text{if } y \in \text{range}(f) \text{ then } \text{converse}(f) 'y \text{ else } y$
in *lam-bijective*)
apply (*blast intro!*: *if-type inj-is-fun [THEN apply-type]*)
apply (*simp* (*no-asm-simp*) *add: inj-converse-fun [THEN apply-funtype]*)
apply (*safe elim!*: *UnE'*)
apply (*simp-all* *add: inj-is-fun [THEN apply-rangeI]*)
apply (*blast intro: inj-converse-fun [THEN apply-type]*)
done

23.7 Lemmas by Krzysztof Grabczewski

If A has at most $n + 1$ elements and $a \in A$ then $A - \{a\}$ has at most n .

lemma *Diff-sing-lepoll*:
 $\llbracket a \in A; A \lesssim \text{succ}(n) \rrbracket \implies A - \{a\} \lesssim n$
unfolding *succ-def*
apply (*rule cons-lepoll-consD*)
apply (*rule-tac* [β] *mem-not-refl*)
apply (*erule cons-Diff [THEN ssubst], safe*)
done

If A has at least $n + 1$ elements then $A - \{a\}$ has at least n .

lemma *lepoll-Diff-sing*:
assumes $A: \text{succ}(n) \lesssim A$ **shows** $n \lesssim A - \{a\}$
proof –
have $\text{cons}(n,n) \lesssim A$ **using** A
by (*unfold succ-def*)
also have $\dots \lesssim \text{cons}(a, A - \{a\})$
by (*blast intro: subset-imp-lepoll*)
finally have $\text{cons}(n,n) \lesssim \text{cons}(a, A - \{a\})$.
thus *?thesis*
by (*blast intro: cons-lepoll-consD mem-irrefl*)
qed

lemma *Diff-sing-epoll*: $\llbracket a \in A; A \approx \text{succ}(n) \rrbracket \implies A - \{a\} \approx n$
by (*blast intro!*: *epollI*
elim!: *epollE*
intro: Diff-sing-lepoll lepoll-Diff-sing)

lemma *lepoll-1-is-sing*: $\llbracket A \lesssim 1; a \in A \rrbracket \implies A = \{a\}$
apply (*frule Diff-sing-lepoll, assumption*)
apply (*drule lepoll-0-is-0*)
apply (*blast elim: equalityE*)
done

lemma *Un-lepoll-sum*: $A \cup B \lesssim A+B$
unfolding *lepoll-def*
apply (*rule-tac* $x = \lambda x \in A \cup B$. *if* $x \in A$ *then* *Inl* (x) *else* *Inr* (x) **in** *exI*)
apply (*rule-tac* $d = \lambda z$. *snd* (z) **in** *lam-injective*)
apply *force*
apply (*simp add*: *Inl-def Inr-def*)
done

lemma *well-ord-Un*:
 $\llbracket \text{well-ord}(X,R); \text{well-ord}(Y,S) \rrbracket \implies \exists T. \text{well-ord}(X \cup Y, T)$
by (*erule well-ord-radd* [*THEN Un-lepoll-sum* [*THEN lepoll-well-ord*]],
assumption)

lemma *disj-Un-epoll-sum*: $A \cap B = 0 \implies A \cup B \approx A + B$
unfolding *epoll-def*
apply (*rule-tac* $x = \lambda a \in A \cup B$. *if* $a \in A$ *then* *Inl* (a) *else* *Inr* (a) **in** *exI*)
apply (*rule-tac* $d = \lambda z$. *case* ($\lambda x. x, \lambda x. x, z$) **in** *lam-bijective*)
apply *auto*
done

23.8 Finite and infinite sets

lemma *epoll-imp-Finite-iff*: $A \approx B \implies \text{Finite}(A) \longleftrightarrow \text{Finite}(B)$
unfolding *Finite-def*
apply (*blast intro*: *epoll-trans epoll-sym*)
done

lemma *Finite-0* [*simp*]: $\text{Finite}(0)$
unfolding *Finite-def*
apply (*blast intro!*: *epoll-refl nat-0I*)
done

lemma *Finite-cons*: $\text{Finite}(x) \implies \text{Finite}(\text{cons}(y,x))$
unfolding *Finite-def*
apply (*case-tac* $y \in x$)
apply (*simp add*: *cons-absorb*)
apply (*erule bexE*)
apply (*rule bexI*)
apply (*erule-tac* [2] *nat-succI*)
apply (*simp* (*no-asm-simp*) *add*: *succ-def cons-epoll-cong mem-not-refl*)
done

lemma *Finite-succ*: $\text{Finite}(x) \implies \text{Finite}(\text{succ}(x))$
unfolding *succ-def*
apply (*erule Finite-cons*)
done

lemma *lepoll-nat-imp-Finite*:
assumes $A: A \lesssim n$ **and** $n: n \in \text{nat}$ **shows** $\text{Finite}(A)$
proof –
have $A \lesssim n \implies \text{Finite}(A)$ **using** n
proof (*induct* n)
case 0
hence $A = 0$ **by** (*rule lepoll-0-is-0*)
thus *?case* **by** *simp*
next
case (*succ* n)
hence $A \lesssim n \vee A \approx \text{succ}(n)$ **by** (*blast dest: lepoll-succ-disj*)
thus *?case* **using** *succ* **by** (*auto simp add: Finite-def*)
qed
thus *?thesis* **using** A .
qed

lemma *lesspoll-nat-is-Finite*:
 $A < \text{nat} \implies \text{Finite}(A)$
unfolding *Finite-def*
apply (*blast dest: ltD lesspoll-cardinal-lt*
lesspoll-imp-epoll [THEN epoll-sym])
done

lemma *lepoll-Finite*:
assumes $Y: Y \lesssim X$ **and** $X: \text{Finite}(X)$ **shows** $\text{Finite}(Y)$
proof –
obtain n **where** $n: n \in \text{nat}$ $X \approx n$ **using** X
by (*auto simp add: Finite-def*)
have $Y \lesssim X$ **by** (*rule Y*)
also have $\dots \approx n$ **by** (*rule n*)
finally have $Y \lesssim n$.
thus *?thesis* **using** n **by** (*simp add: lepoll-nat-imp-Finite*)
qed

lemmas *subset-Finite = subset-imp-lepoll [THEN lepoll-Finite]*

lemma *Finite-cons-iff [iff]*: $\text{Finite}(\text{cons}(y,x)) \longleftrightarrow \text{Finite}(x)$
by (*blast intro: Finite-cons subset-Finite*)

lemma *Finite-succ-iff [iff]*: $\text{Finite}(\text{succ}(x)) \longleftrightarrow \text{Finite}(x)$
by (*simp add: succ-def*)

lemma *Finite-Int*: $\text{Finite}(A) \mid \text{Finite}(B) \implies \text{Finite}(A \cap B)$
by (*blast intro: subset-Finite*)

lemmas *Finite-Diff = Diff-subset [THEN subset-Finite]*

lemma *nat-le-infinite-Ord*:
 $\llbracket \text{Ord}(i); \neg \text{Finite}(i) \rrbracket \implies \text{nat} \leq i$

unfolding *Finite-def*
apply (*erule* *Ord-nat* [*THEN* [2] *Ord-linear2*])
prefer 2 **apply** *assumption*
apply (*blast* *intro!*: *eqpoll-refl* *elim!*: *ltE*)
done

lemma *Finite-imp-well-ord*:
 $Finite(A) \implies \exists r. well_ord(A,r)$
unfolding *Finite-def* *eqpoll-def*
apply (*blast* *intro*: *well-ord-rvimage* *bij-is-inj* *well-ord-Memrel* *nat-into-Ord*)
done

lemma *succ-lepoll-imp-not-empty*: $succ(x) \lesssim y \implies y \neq 0$
by (*fast* *dest!*: *lepoll-0-is-0*)

lemma *eqpoll-succ-imp-not-empty*: $x \approx succ(n) \implies x \neq 0$
by (*fast* *elim!*: *eqpoll-sym* [*THEN* *eqpoll-0-is-0*, *THEN* *succ-neq-0*])

lemma *Finite-Fin-lemma* [*rule-format*]:
 $n \in nat \implies \forall A. (A \approx n \wedge A \subseteq X) \longrightarrow A \in Fin(X)$
apply (*induct-tac* *n*)
apply (*rule* *allI*)
apply (*fast* *intro!*: *Fin.emptyI* *dest!*: *eqpoll-imp-lepoll* [*THEN* *lepoll-0-is-0*])
apply (*rule* *allI*)
apply (*rule* *impI*)
apply (*erule* *conjE*)
apply (*rule* *eqpoll-succ-imp-not-empty* [*THEN* *not-emptyE*], *assumption*)
apply (*erule* *Diff-sing-eqpoll*, *assumption*)
apply (*erule* *allE*)
apply (*erule* *impE*, *fast*)
apply (*erule* *subsetD*, *assumption*)
apply (*erule* *Fin.consI*, *assumption*)
apply (*simp* *add*: *cons-Diff*)
done

lemma *Finite-Fin*: $\llbracket Finite(A); A \subseteq X \rrbracket \implies A \in Fin(X)$
by (*unfold* *Finite-def*, *blast* *intro*: *Finite-Fin-lemma*)

lemma *Fin-lemma* [*rule-format*]: $n \in nat \implies \forall A. A \approx n \longrightarrow A \in Fin(A)$
apply (*induct-tac* *n*)
apply (*simp* *add*: *eqpoll-0-iff*, *clarify*)
apply (*subgoal-tac* $\exists u. u \in A$)
apply (*erule* *exE*)
apply (*rule* *Diff-sing-eqpoll* [*elim-format*])
prefer 2 **apply** *assumption*
apply *assumption*
apply (*rule-tac* $b = A$ **in** *cons-Diff* [*THEN* *subst*], *assumption*)
apply (*rule* *Fin.consI*, *blast*)
apply (*blast* *intro*: *subset-consI* [*THEN* *Fin-mono*, *THEN* *subsetD*])

unfolding *eqpoll-def*
apply (*blast intro: bij-converse-bij [THEN bij-is-fun, THEN apply-type]*)
done

lemma *Finite-into-Fin*: $Finite(A) \implies A \in Fin(A)$
unfolding *Finite-def*
apply (*blast intro: Fin-lemma*)
done

lemma *Fin-into-Finite*: $A \in Fin(U) \implies Finite(A)$
by (*fast intro!: Finite-0 Finite-cons elim: Fin-induct*)

lemma *Finite-Fin-iff*: $Finite(A) \longleftrightarrow A \in Fin(A)$
by (*blast intro: Finite-into-Fin Fin-into-Finite*)

lemma *Finite-Un*: $\llbracket Finite(A); Finite(B) \rrbracket \implies Finite(A \cup B)$
by (*blast intro!: Fin-into-Finite Fin-UnI*
dest!: Finite-into-Fin
intro: Un-upper1 [THEN Fin-mono, THEN subsetD]
Un-upper2 [THEN Fin-mono, THEN subsetD])

lemma *Finite-Un-iff [simp]*: $Finite(A \cup B) \longleftrightarrow (Finite(A) \wedge Finite(B))$
by (*blast intro: subset-Finite Finite-Un*)

The converse must hold too.

lemma *Finite-Union*: $\llbracket \forall y \in X. Finite(y); Finite(X) \rrbracket \implies Finite(\bigcup(X))$
apply (*simp add: Finite-Fin-iff*)
apply (*rule Fin-UnionI*)
apply (*erule Fin-induct, simp*)
apply (*blast intro: Fin.consI Fin-mono [THEN [2] rev-subsetD]*)
done

lemma *Finite-induct [case-names 0 cons, induct set: Finite]*:
 $\llbracket Finite(A); P(0);$
 $\quad \wedge x B. \llbracket Finite(B); x \notin B; P(B) \rrbracket \implies P(\text{cons}(x, B)) \rrbracket$
 $\implies P(A)$
apply (*erule Finite-into-Fin [THEN Fin-induct]*)
apply (*blast intro: Fin-into-Finite+*)
done

lemma *Diff-sing-Finite*: $Finite(A - \{a\}) \implies Finite(A)$
unfolding *Finite-def*
apply (*case-tac a \in A*)
apply (*subgoal-tac [2] A - \{a\} = A, auto*)
apply (*rule-tac x = succ (n) in bexI*)
apply (*subgoal-tac cons (a, A - \{a\}) = A \wedge cons (n, n) = succ (n)*)

```

apply (drule-tac  $a = a$  and  $b = n$  in cons-eqpoll-cong)
apply (auto dest: mem-irrefl)
done

```

```

lemma Diff-Finite [rule-format]:  $Finite(B) \implies Finite(A-B) \longrightarrow Finite(A)$ 
apply (erule Finite-induct, auto)
apply (case-tac  $x \in A$ )
  apply (subgoal-tac [2]  $A-cons(x, B) = A - B$ )
apply (subgoal-tac  $A - cons(x, B) = (A - B) - \{x\}$ , simp)
apply (drule Diff-sing-Finite, auto)
done

```

```

lemma Finite-RepFun:  $Finite(A) \implies Finite(RepFun(A,f))$ 
by (erule Finite-induct, simp-all)

```

```

lemma Finite-RepFun-iff-lemma [rule-format]:
   $\llbracket Finite(x); \bigwedge x y. f(x)=f(y) \implies x=y \rrbracket$ 
   $\implies \forall A. x = RepFun(A,f) \longrightarrow Finite(A)$ 
apply (erule Finite-induct)
  apply clarify
  apply (case-tac  $A=0$ , simp)
  apply (blast del: allE, clarify)
apply (subgoal-tac  $\exists z \in A. x = f(z)$ )
  prefer 2 apply (blast del: allE elim: equalityE, clarify)
apply (subgoal-tac  $B = \{f(u) . u \in A - \{z\}\}$ )
  apply (blast intro: Diff-sing-Finite)
apply (thin-tac  $\forall A. P(A) \longrightarrow Finite(A)$  for  $P$ )
apply (rule equalityI)
  apply (blast intro: elim: equalityE)
apply (blast intro: elim: equalityCE)
done

```

I don't know why, but if the premise is expressed using meta-connectives then the simplifier cannot prove it automatically in conditional rewriting.

```

lemma Finite-RepFun-iff:
   $(\forall x y. f(x)=f(y) \longrightarrow x=y) \implies Finite(RepFun(A,f)) \longleftrightarrow Finite(A)$ 
by (blast intro: Finite-RepFun Finite-RepFun-iff-lemma [of - f])

```

```

lemma Finite-Pow:  $Finite(A) \implies Finite(Pow(A))$ 
apply (erule Finite-induct)
apply (simp-all add: Pow-insert Finite-Un Finite-RepFun)
done

```

```

lemma Finite-Pow-imp-Finite:  $Finite(Pow(A)) \implies Finite(A)$ 
apply (subgoal-tac  $Finite(\{\{x\} . x \in A\})$ )
  apply (simp add: Finite-RepFun-iff)
apply (blast intro: subset-Finite)
done

```

lemma *Finite-Pow-iff* [*iff*]: $Finite(Pow(A)) \longleftrightarrow Finite(A)$
by (*blast intro: Finite-Pow Finite-Pow-imp-Finite*)

lemma *Finite-cardinal-iff*:
assumes $i: Ord(i)$ **shows** $Finite(|i|) \longleftrightarrow Finite(i)$
by (*auto simp add: Finite-def*) (*blast intro: eqpoll-trans eqpoll-sym Ord-cardinal-reqpoll [OF i]*)⁺

lemma *nat-wf-on-converse-Memrel*: $n \in nat \implies wf[n](converse(Memrel(n)))$
proof (*induct n rule: nat-induct*)
case 0 **thus** ?*case* **by** (*blast intro: wf-onI*)
next
case (*succ x*)
hence $wf x: \bigwedge Z. Z = 0 \vee (\exists z \in Z. \forall y. z \in y \wedge z \in x \wedge y \in x \wedge z \in x \longrightarrow y \notin Z)$
by (*simp add: wf-on-def wf-def*) — not easy to erase the duplicate $z \in x$!
show ?*case*
proof (*rule wf-onI*)
fix $Z u$
assume $Z: u \in Z \forall z \in Z. \exists y \in Z. \langle y, z \rangle \in converse(Memrel(succ(x)))$
show *False*
proof (*cases x \in Z*)
case *True* **thus** *False* **using** Z
by (*blast elim: mem-irrefl mem-asymp*)
next
case *False* **thus** *False* **using** $wf x$ [*of Z*] Z
by *blast*
qed
qed
qed

lemma *nat-well-ord-converse-Memrel*: $n \in nat \implies well_ord(n, converse(Memrel(n)))$
apply (*frule Ord-nat [THEN Ord-in-Ord, THEN well-ord-Memrel]*)
apply (*simp add: well-ord-def tot-ord-converse nat-wf-on-converse-Memrel*)
done

lemma *well-ord-converse*:
 $\llbracket well_ord(A, r); well_ord(ordertype(A, r), converse(Memrel(ordertype(A, r)))) \rrbracket$
 $\implies well_ord(A, converse(r))$
apply (*rule well-ord-Int-iff [THEN iffD1]*)
apply (*frule ordermap-bij [THEN bij-is-inj, THEN well-ord-rvimage], assumption*)
apply (*simp add: rvimage-converse converse-Int converse-prod ordertype-ord-iso [THEN ord-iso-rvimage-eq]*)
done

```

lemma ordertype-eq-n:
  assumes r: well-ord(A,r) and A:  $A \approx n$  and n:  $n \in \text{nat}$ 
  shows ordertype(A,r) = n
proof –
  have ordertype(A,r)  $\approx A$ 
    by (blast intro: bij-imp-epoll bij-converse-bij ordermap-bij r)
  also have ...  $\approx n$  by (rule A)
  finally have ordertype(A,r)  $\approx n$  .
  thus ?thesis
    by (simp add: Ord-nat-epoll-iff Ord-ordertype n r)
qed

lemma Finite-well-ord-converse:
   $\llbracket \text{Finite}(A); \text{well-ord}(A,r) \rrbracket \implies \text{well-ord}(A,\text{converse}(r))$ 
  unfolding Finite-def
apply (rule well-ord-converse, assumption)
apply (blast dest: ordertype-eq-n intro!: nat-well-ord-converse-Memrel)
done

lemma nat-into-Finite:  $n \in \text{nat} \implies \text{Finite}(n)$ 
  by (auto simp add: Finite-def intro: epoll-refl)

lemma nat-not-Finite:  $\neg \text{Finite}(\text{nat})$ 
proof –
  { fix n
    assume n:  $n \in \text{nat}$   $\text{nat} \approx n$ 
    have  $n \in \text{nat}$  by (rule n)
    also have ... = n using n
      by (simp add: Ord-nat-epoll-iff Ord-nat)
    finally have  $n \in n$  .
    hence False
      by (blast elim: mem-irrefl)
  }
  thus ?thesis
    by (auto simp add: Finite-def)
qed

end

```

24 The Cumulative Hierarchy and a Small Universe for Recursive Types

theory *Univ* **imports** *Epsilon Cardinal* **begin**

definition

*V*_{from} $:: [i,i] \Rightarrow i$ **where**
*V*_{from}(*A*,*i*) $\equiv \text{transrec}(i, \lambda x f. A \cup (\bigcup y \in x. \text{Pow}(f'y)))$

abbreviation

$Vset :: i \Rightarrow i$ **where**
 $Vset(x) \equiv Vfrom(0,x)$

definition

$Vrec :: [i, [i,i] \Rightarrow i] \Rightarrow i$ **where**
 $Vrec(a,H) \equiv transrec(rank(a), \lambda x g. \lambda z \in Vset(succ(x)).$
 $H(z, \lambda w \in Vset(x). g(rank(w)'w)) ' a$

definition

$Vrecursor :: [[i,i] \Rightarrow i, i] \Rightarrow i$ **where**
 $Vrecursor(H,a) \equiv transrec(rank(a), \lambda x g. \lambda z \in Vset(succ(x)).$
 $H(\lambda w \in Vset(x). g(rank(w)'w, z)) ' a$

definition

$univ :: i \Rightarrow i$ **where**
 $univ(A) \equiv Vfrom(A,nat)$

24.1 Immediate Consequences of the Definition of $Vfrom(A, i)$

NOT SUITABLE FOR REWRITING – RECURSIVE!

lemma $Vfrom$: $Vfrom(A,i) = A \cup (\bigcup j \in i. Pow(Vfrom(A,j)))$
by (*subst* $Vfrom-def$ [*THEN* $def-transrec$], *simp*)

24.1.1 Monotonicity

lemma $Vfrom-mono$ [*rule-format*]:

$A \leq B \implies \forall j. i \leq j \implies Vfrom(A,i) \subseteq Vfrom(B,j)$

apply (*rule-tac* $a=i$ **in** *eps-induct*)

apply (*rule* *impI* [*THEN* *allI*])

apply (*subst* $Vfrom$ [*of* A])

apply (*subst* $Vfrom$ [*of* B])

apply (*erule* *Un-mono*)

apply (*erule* *UN-mono*, *blast*)

done

lemma $VfromI$: $\llbracket a \in Vfrom(A,j); j < i \rrbracket \implies a \in Vfrom(A,i)$

by (*blast* *dest*: $Vfrom-mono$ [*OF* *subset-refl* *le-imp-subset* [*OF* *leI*]])

24.1.2 A fundamental equality: $Vfrom$ does not require ordinals!

lemma $Vfrom-rank-subset1$: $Vfrom(A,x) \subseteq Vfrom(A,rank(x))$

proof (*induct* x *rule*: *eps-induct*)

fix x

assume $\forall y \in x. Vfrom(A,y) \subseteq Vfrom(A,rank(y))$

thus $Vfrom(A, x) \subseteq Vfrom(A, rank(x))$

by (*simp add*: $Vfrom$ [of - x] $Vfrom$ [of - $rank(x)$],
blast intro!: $rank-lt$ [THEN ltD])

qed

lemma $Vfrom-rank-subset2$: $Vfrom(A,rank(x)) \subseteq Vfrom(A,x)$
apply (*rule-tac* $a=x$ **in** *eps-induct*)
apply (*subst* $Vfrom$)
apply (*subst* $Vfrom$, *rule subset-refl* [THEN $Un-mono$])
apply (*rule UN-least*)

expand $rank(x1) = (\bigcup y \in x1. succ(rank(y)))$ in assumptions

apply (*erule* $rank$ [THEN $equalityD1$, THEN $subsetD$, THEN $UN-E$])
apply (*rule subset-trans*)
apply (*erule-tac* [2] $UN-upper$)
apply (*rule subset-refl* [THEN $Vfrom-mono$, THEN $subset-trans$, THEN $Pow-mono$])
apply (*erule* ltI [THEN $le-imp-subset$])
apply (*rule Ord-rank* [THEN $Ord-succ$])
apply (*erule bspec*, *assumption*)
done

lemma $Vfrom-rank-eq$: $Vfrom(A,rank(x)) = Vfrom(A,x)$
apply (*rule equalityI*)
apply (*rule* $Vfrom-rank-subset2$)
apply (*rule* $Vfrom-rank-subset1$)
done

24.2 Basic Closure Properties

lemma $zero-in-Vfrom$: $y:x \implies 0 \in Vfrom(A,x)$
by (*subst* $Vfrom$, *blast*)

lemma $i-subset-Vfrom$: $i \subseteq Vfrom(A,i)$
apply (*rule-tac* $a=i$ **in** *eps-induct*)
apply (*subst* $Vfrom$, *blast*)
done

lemma $A-subset-Vfrom$: $A \subseteq Vfrom(A,i)$
apply (*subst* $Vfrom$)
apply (*rule Un-upper1*)
done

lemmas $A-into-Vfrom = A-subset-Vfrom$ [THEN $subsetD$]

lemma $subset-mem-Vfrom$: $a \subseteq Vfrom(A,i) \implies a \in Vfrom(A,succ(i))$
by (*subst* $Vfrom$, *blast*)

24.2.1 Finite sets and ordered pairs

lemma $singleton-in-Vfrom$: $a \in Vfrom(A,i) \implies \{a\} \in Vfrom(A,succ(i))$
by (*rule subset-mem-Vfrom*, *safe*)

lemma *doubleton-in-Vfrom*:

$\llbracket a \in Vfrom(A,i); b \in Vfrom(A,i) \rrbracket \implies \{a,b\} \in Vfrom(A,succ(i))$
by (*rule subset-mem-Vfrom, safe*)

lemma *Pair-in-Vfrom*:

$\llbracket a \in Vfrom(A,i); b \in Vfrom(A,i) \rrbracket \implies \langle a,b \rangle \in Vfrom(A,succ(succ(i)))$
unfolding *Pair-def*
apply (*blast intro: doubleton-in-Vfrom*)
done

lemma *succ-in-Vfrom*: $a \subseteq Vfrom(A,i) \implies succ(a) \in Vfrom(A,succ(succ(i)))$

apply (*intro subset-mem-Vfrom succ-subsetI, assumption*)
apply (*erule subset-trans*)
apply (*rule Vfrom-mono [OF subset-refl subset-succI]*)
done

24.3 0, Successor and Limit Equations for *Vfrom*

lemma *Vfrom-0*: $Vfrom(A,0) = A$

by (*subst Vfrom, blast*)

lemma *Vfrom-succ-lemma*: $Ord(i) \implies Vfrom(A,succ(i)) = A \cup Pow(Vfrom(A,i))$

apply (*rule Vfrom [THEN trans]*)
apply (*rule equalityI [THEN subst-context,*
OF - succI1 [THEN RepFunI, THEN Union-upper]])
apply (*rule UN-least*)
apply (*rule subset-refl [THEN Vfrom-mono, THEN Pow-mono]*)
apply (*erule ltI [THEN le-imp-subset]*)
apply (*erule Ord-succ*)
done

lemma *Vfrom-succ*: $Vfrom(A,succ(i)) = A \cup Pow(Vfrom(A,i))$

apply (*rule-tac x1 = succ (i) in Vfrom-rank-eq [THEN subst]*)
apply (*rule-tac x1 = i in Vfrom-rank-eq [THEN subst]*)
apply (*subst rank-succ*)
apply (*rule Ord-rank [THEN Vfrom-succ-lemma]*)
done

lemma *Vfrom-Union*: $y:X \implies Vfrom(A,\bigcup(X)) = (\bigcup y \in X. Vfrom(A,y))$

apply (*subst Vfrom*)
apply (*rule equalityI*)

first inclusion

apply (*rule Un-least*)
apply (*rule A-subset-Vfrom [THEN subset-trans]*)
apply (*rule UN-upper, assumption*)
apply (*rule UN-least*)
apply (*erule UnionE*)

apply (*rule subset-trans*)
apply (*erule-tac* [2] *UN-upper*,
subst Vfrom, erule subset-trans [OF UN-upper Un-upper2])

opposite inclusion

apply (*rule UN-least*)
apply (*subst Vfrom, blast*)
done

24.4 *Vfrom* applied to Limit Ordinals

lemma *Limit-Vfrom-eq*:

$Limit(i) \implies Vfrom(A,i) = (\bigcup y \in i. Vfrom(A,y))$

apply (*rule Limit-has-0 [THEN ltD, THEN Vfrom-Union, THEN subst]*, *assumption*)

apply (*simp add: Limit-Union-eq*)

done

lemma *Limit-VfromE*:

$\llbracket a \in Vfrom(A,i); \neg R \implies Limit(i);$
 $\bigwedge x. \llbracket x < i; a \in Vfrom(A,x) \rrbracket \implies R$

$\rrbracket \implies R$

apply (*rule classical*)

apply (*rule Limit-Vfrom-eq [THEN equalityD1, THEN subsetD, THEN UN-E]*)

prefer 2 **apply** *assumption*

apply *blast*

apply (*blast intro: ltI Limit-is-Ord*)

done

lemma *singleton-in-VLimit*:

$\llbracket a \in Vfrom(A,i); Limit(i) \rrbracket \implies \{a\} \in Vfrom(A,i)$

apply (*erule Limit-VfromE, assumption*)

apply (*erule singleton-in-Vfrom [THEN VfromI]*)

apply (*blast intro: Limit-has-succ*)

done

lemmas *Vfrom-UnI1 =*

Un-upper1 [THEN subset-refl [THEN Vfrom-mono, THEN subsetD]]

lemmas *Vfrom-UnI2 =*

Un-upper2 [THEN subset-refl [THEN Vfrom-mono, THEN subsetD]]

Hard work is finding a single $j:i$ such that $a,b \leq Vfrom(A,j)$

lemma *doubleton-in-VLimit*:

$\llbracket a \in Vfrom(A,i); b \in Vfrom(A,i); Limit(i) \rrbracket \implies \{a,b\} \in Vfrom(A,i)$

apply (*erule Limit-VfromE, assumption*)

apply (*erule Limit-VfromE, assumption*)

apply (*blast intro: VfromI [OF doubleton-in-Vfrom]*)

Vfrom-UnI1 Vfrom-UnI2 Limit-has-succ Un-least-lt)

done

lemma *Pair-in-VLimit*:

$\llbracket a \in Vfrom(A,i); b \in Vfrom(A,i); Limit(i) \rrbracket \implies \langle a,b \rangle \in Vfrom(A,i)$

Infer that a, b occur at ordinals x,xa < i.

apply (*erule Limit-VfromE, assumption*)

apply (*erule Limit-VfromE, assumption*)

Infer that $succ(succ(x \cup xa)) < i$

apply (*blast intro: VfromI [OF Pair-in-Vfrom]*)

Vfrom-UnI1 Vfrom-UnI2 Limit-has-succ Un-least-lt)

done

lemma *product-VLimit*: $Limit(i) \implies Vfrom(A,i) * Vfrom(A,i) \subseteq Vfrom(A,i)$

by (*blast intro: Pair-in-VLimit*)

lemmas *Sigma-subset-VLimit =*

subset-trans [OF Sigma-mono product-VLimit]

lemmas *nat-subset-VLimit =*

subset-trans [OF nat-le-Limit [THEN le-imp-subset] i-subset-Vfrom]

lemma *nat-into-VLimit*: $\llbracket n: nat; Limit(i) \rrbracket \implies n \in Vfrom(A,i)$

by (*blast intro: nat-subset-VLimit [THEN subsetD]*)

24.4.1 Closure under Disjoint Union

lemmas *zero-in-VLimit = Limit-has-0 [THEN ltD, THEN zero-in-Vfrom]*

lemma *one-in-VLimit*: $Limit(i) \implies 1 \in Vfrom(A,i)$

by (*blast intro: nat-into-VLimit*)

lemma *Inl-in-VLimit*:

$\llbracket a \in Vfrom(A,i); Limit(i) \rrbracket \implies Inl(a) \in Vfrom(A,i)$

unfolding *Inl-def*

apply (*blast intro: zero-in-VLimit Pair-in-VLimit*)

done

lemma *Inr-in-VLimit*:

$\llbracket b \in Vfrom(A,i); Limit(i) \rrbracket \implies Inr(b) \in Vfrom(A,i)$

unfolding *Inr-def*

apply (*blast intro: one-in-VLimit Pair-in-VLimit*)

done

lemma *sum-VLimit*: $Limit(i) \implies Vfrom(C,i) + Vfrom(C,i) \subseteq Vfrom(C,i)$

by (*blast intro!: Inl-in-VLimit Inr-in-VLimit*)

lemmas *sum-subset-VLimit = subset-trans [OF sum-mono sum-VLimit]*

24.5 Properties assuming $\text{Transset}(A)$

lemma *Transset-Vfrom*: $\text{Transset}(A) \implies \text{Transset}(\text{Vfrom}(A,i))$
apply (*rule-tac a=i in eps-induct*)
apply (*subst Vfrom*)
apply (*blast intro!: Transset-Union-family Transset-Un Transset-Pow*)
done

lemma *Transset-Vfrom-succ*:
 $\text{Transset}(A) \implies \text{Vfrom}(A, \text{succ}(i)) = \text{Pow}(\text{Vfrom}(A,i))$
apply (*rule Vfrom-succ [THEN trans]*)
apply (*rule equalityI [OF - Un-upper2]*)
apply (*rule Un-least [OF - subset-refl]*)
apply (*rule A-subset-Vfrom [THEN subset-trans]*)
apply (*erule Transset-Vfrom [THEN Transset-iff-Pow [THEN iffD1]]*)
done

lemma *Transset-Pair-subset*: $\llbracket \langle a,b \rangle \subseteq C; \text{Transset}(C) \rrbracket \implies a: C \wedge b: C$
by (*unfold Pair-def Transset-def, blast*)

lemma *Transset-Pair-subset-VLimit*:
 $\llbracket \langle a,b \rangle \subseteq \text{Vfrom}(A,i); \text{Transset}(A); \text{Limit}(i) \rrbracket$
 $\implies \langle a,b \rangle \in \text{Vfrom}(A,i)$
apply (*erule Transset-Pair-subset [THEN conjE]*)
apply (*erule Transset-Vfrom*)
apply (*blast intro: Pair-in-VLimit*)
done

lemma *Union-in-Vfrom*:
 $\llbracket X \in \text{Vfrom}(A,j); \text{Transset}(A) \rrbracket \implies \bigcup(X) \in \text{Vfrom}(A, \text{succ}(j))$
apply (*drule Transset-Vfrom*)
apply (*rule subset-mem-Vfrom*)
apply (*unfold Transset-def, blast*)
done

lemma *Union-in-VLimit*:
 $\llbracket X \in \text{Vfrom}(A,i); \text{Limit}(i); \text{Transset}(A) \rrbracket \implies \bigcup(X) \in \text{Vfrom}(A,i)$
apply (*rule Limit-VfromE, assumption+*)
apply (*blast intro: Limit-has-succ VfromI Union-in-Vfrom*)
done

General theorem for membership in $\text{Vfrom}(A,i)$ when i is a limit ordinal

lemma *in-VLimit*:
 $\llbracket a \in \text{Vfrom}(A,i); b \in \text{Vfrom}(A,i); \text{Limit}(i);$
 $\bigwedge x y j. \llbracket j < i; 1:j; x \in \text{Vfrom}(A,j); y \in \text{Vfrom}(A,j) \rrbracket$
 $\implies \exists k. h(x,y) \in \text{Vfrom}(A,k) \wedge k < i \rrbracket$
 $\implies h(a,b) \in \text{Vfrom}(A,i)$

Infer that a, b occur at ordinals $x, x_a < i$.

apply (*erule Limit-VfromE, assumption*)

apply (*erule Limit-VfromE, assumption, atomize*)
apply (*drule-tac x=a in spec*)
apply (*drule-tac x=b in spec*)
apply (*drule-tac x=x \cup xa \cup 2 in spec*)
apply (*simp add: Un-least-lt-iff lt-Ord Vfrom-UnI1 Vfrom-UnI2*)
apply (*blast intro: Limit-has-0 Limit-has-succ VfromI*)
done

24.5.1 Products

lemma *prod-in-Vfrom*:
 $\llbracket a \in Vfrom(A,j); b \in Vfrom(A,j); Transset(A) \rrbracket$
 $\implies a*b \in Vfrom(A, succ(succ(succ(j))))$
apply (*drule Transset-Vfrom*)
apply (*rule subset-mem-Vfrom*)
unfolding *Transset-def*
apply (*blast intro: Pair-in-Vfrom*)
done

lemma *prod-in-VLimit*:
 $\llbracket a \in Vfrom(A,i); b \in Vfrom(A,i); Limit(i); Transset(A) \rrbracket$
 $\implies a*b \in Vfrom(A,i)$
apply (*erule in-VLimit, assumption+*)
apply (*blast intro: prod-in-Vfrom Limit-has-succ*)
done

24.5.2 Disjoint Sums, or Quine Ordered Pairs

lemma *sum-in-Vfrom*:
 $\llbracket a \in Vfrom(A,j); b \in Vfrom(A,j); Transset(A); 1:j \rrbracket$
 $\implies a+b \in Vfrom(A, succ(succ(succ(j))))$
unfolding *sum-def*
apply (*drule Transset-Vfrom*)
apply (*rule subset-mem-Vfrom*)
unfolding *Transset-def*
apply (*blast intro: zero-in-Vfrom Pair-in-Vfrom i-subset-Vfrom [THEN subsetD]*)
done

lemma *sum-in-VLimit*:
 $\llbracket a \in Vfrom(A,i); b \in Vfrom(A,i); Limit(i); Transset(A) \rrbracket$
 $\implies a+b \in Vfrom(A,i)$
apply (*erule in-VLimit, assumption+*)
apply (*blast intro: sum-in-Vfrom Limit-has-succ*)
done

24.5.3 Function Space!

lemma *fun-in-Vfrom*:
 $\llbracket a \in Vfrom(A,j); b \in Vfrom(A,j); Transset(A) \rrbracket \implies$
 $a \rightarrow b \in Vfrom(A, succ(succ(succ(succ(j))))))$

unfolding *Pi-def*
apply (*drule* *Transset-Vfrom*)
apply (*rule* *subset-mem-Vfrom*)
apply (*rule* *Collect-subset* [*THEN* *subset-trans*])
apply (*subst* *Vfrom*)
apply (*rule* *subset-trans* [*THEN* *subset-trans*])
apply (*rule-tac* [3] *Un-upper2*)
apply (*rule-tac* [2] *succI1* [*THEN* *UN-upper*])
apply (*rule* *Pow-mono*)
unfolding *Transset-def*
apply (*blast* *intro: Pair-in-Vfrom*)
done

lemma *fun-in-VLimit*:
 $\llbracket a \in Vfrom(A,i); b \in Vfrom(A,i); Limit(i); Transset(A) \rrbracket$
 $\implies a \rightarrow b \in Vfrom(A,i)$
apply (*erule* *in-VLimit, assumption+*)
apply (*blast* *intro: fun-in-Vfrom Limit-has-succ*)
done

lemma *Pow-in-Vfrom*:
 $\llbracket a \in Vfrom(A,j); Transset(A) \rrbracket \implies Pow(a) \in Vfrom(A, succ(succ(j)))$
apply (*drule* *Transset-Vfrom*)
apply (*rule* *subset-mem-Vfrom*)
unfolding *Transset-def*
apply (*subst* *Vfrom, blast*)
done

lemma *Pow-in-VLimit*:
 $\llbracket a \in Vfrom(A,i); Limit(i); Transset(A) \rrbracket \implies Pow(a) \in Vfrom(A,i)$
by (*blast elim: Limit-VfromE intro: Limit-has-succ Pow-in-Vfrom VfromI*)

24.6 The Set $Vset(i)$

lemma *Vset*: $Vset(i) = (\bigcup j \in i. Pow(Vset(j)))$
by (*subst* *Vfrom, blast*)

lemmas *Vset-succ = Transset-0* [*THEN* *Transset-Vfrom-succ*]
lemmas *Transset-Vset = Transset-0* [*THEN* *Transset-Vfrom*]

24.6.1 Characterisation of the elements of $Vset(i)$

lemma *VsetD* [*rule-format*]: $Ord(i) \implies \forall b. b \in Vset(i) \longrightarrow rank(b) < i$
apply (*erule* *trans-induct*)
apply (*subst* *Vset, safe*)
apply (*subst* *rank*)
apply (*blast* *intro: ltI UN-succ-least-lt*)
done

lemma *VsetI-lemma* [*rule-format*]:


```

    Ord(i)  $\implies \forall b. \text{rank}(b) \in i \longrightarrow b \in \text{Vset}(i)$ 
  apply (erule trans-induct)
  apply (rule allI)
  apply (subst Vset)
  apply (blast intro!: rank-lt [THEN ltD])
done

```

```

lemma VsetI: rank(x) < i  $\implies x \in \text{Vset}(i)$ 
by (blast intro: VsetI-lemma elim: ltE)

```

Merely a lemma for the next result

```

lemma Vset-Ord-rank-iff: Ord(i)  $\implies b \in \text{Vset}(i) \longleftrightarrow \text{rank}(b) < i$ 
by (blast intro: VsetD VsetI)

```

```

lemma Vset-rank-iff [simp]: b  $\in \text{Vset}(a) \longleftrightarrow \text{rank}(b) < \text{rank}(a)$ 
apply (rule Vfrom-rank-eq [THEN subst])
apply (rule Ord-rank [THEN Vset-Ord-rank-iff])
done

```

This is $\text{rank}(\text{rank}(a)) = \text{rank}(a)$

```

declare Ord-rank [THEN rank-of-Ord, simp]

```

```

lemma rank-Vset: Ord(i)  $\implies \text{rank}(\text{Vset}(i)) = i$ 
apply (subst rank)
apply (rule equalityI, safe)
apply (blast intro: VsetD [THEN ltD])
apply (blast intro: VsetD [THEN ltD] Ord-trans)
apply (blast intro: i-subset-Vfrom [THEN subsetD]
        Ord-in-Ord [THEN rank-of-Ord, THEN ssubst])
done

```

```

lemma Finite-Vset: i  $\in \text{nat} \implies \text{Finite}(\text{Vset}(i))$ 
apply (erule nat-induct)
  apply (simp add: Vfrom-0)
apply (simp add: Vset-succ)
done

```

24.6.2 Reasoning about Sets in Terms of Their Elements' Ranks

```

lemma arg-subset-Vset-rank: a  $\subseteq \text{Vset}(\text{rank}(a))$ 
apply (rule subsetI)
apply (erule rank-lt [THEN VsetI])
done

```

```

lemma Int-Vset-subset:
   $\llbracket \bigwedge i. \text{Ord}(i) \implies a \cap \text{Vset}(i) \subseteq b \rrbracket \implies a \subseteq b$ 
apply (rule subset-trans)
apply (rule Int-greatest [OF subset-refl arg-subset-Vset-rank])
apply (blast intro: Ord-rank)

```

done

24.6.3 Set Up an Environment for Simplification

lemma *rank-Inl*: $rank(a) < rank(Inl(a))$
 unfolding *Inl-def*
apply (*rule rank-pair2*)
done

lemma *rank-Inr*: $rank(a) < rank(Inr(a))$
 unfolding *Inr-def*
apply (*rule rank-pair2*)
done

lemmas *rank-rls* = *rank-Inl rank-Inr rank-pair1 rank-pair2*

24.6.4 Recursion over Vset Levels!

NOT SUITABLE FOR REWRITING: recursive!

lemma *Vrec*: $Vrec(a,H) = H(a, \lambda x \in Vset(rank(a)). Vrec(x,H))$
 unfolding *Vrec-def*
apply (*subst transrec, simp*)
apply (*rule refl [THEN lam-cong, THEN subst-context], simp add: lt-def*)
done

This form avoids giant explosions in proofs. NOTE the form of the premise!

lemma *def-Vrec*:
 $\llbracket \bigwedge x. h(x) \equiv Vrec(x,H) \rrbracket \implies$
 $h(a) = H(a, \lambda x \in Vset(rank(a)). h(x))$
apply *simp*
apply (*rule Vrec*)
done

NOT SUITABLE FOR REWRITING: recursive!

lemma *Vrecursor*:
 $Vrecursor(H,a) = H(\lambda x \in Vset(rank(a)). Vrecursor(H,x), a)$
 unfolding *Vrecursor-def*
apply (*subst transrec, simp*)
apply (*rule refl [THEN lam-cong, THEN subst-context], simp add: lt-def*)
done

This form avoids giant explosions in proofs. NOTE the form of the premise!

lemma *def-Vrecursor*:
 $h \equiv Vrecursor(H) \implies h(a) = H(\lambda x \in Vset(rank(a)). h(x), a)$
apply *simp*
apply (*rule Vrecursor*)
done

24.7 The Datatype Universe: $univ(A)$

lemma *univ-mono*: $A \leq B \implies univ(A) \subseteq univ(B)$
 unfolding *univ-def*
apply (*erule Vfrom-mono*)
apply (*rule subset-refl*)
done

lemma *Transset-univ*: $Transset(A) \implies Transset(univ(A))$
 unfolding *univ-def*
apply (*erule Transset-Vfrom*)
done

24.7.1 The Set $univ(A)$ as a Limit

lemma *univ-eq-UN*: $univ(A) = (\bigcup i \in nat. Vfrom(A, i))$
 unfolding *univ-def*
apply (*rule Limit-nat [THEN Limit-Vfrom-eq]*)
done

lemma *subset-univ-eq-Int*: $c \subseteq univ(A) \implies c = (\bigcup i \in nat. c \cap Vfrom(A, i))$
apply (*rule subset-UN-iff-eq [THEN iffD1]*)
apply (*erule univ-eq-UN [THEN subst]*)
done

lemma *univ-Int-Vfrom-subset*:
 $\llbracket a \subseteq univ(X);$
 $\bigwedge i. i : nat \implies a \cap Vfrom(X, i) \subseteq b \rrbracket$
 $\implies a \subseteq b$
apply (*subst subset-univ-eq-Int, assumption*)
apply (*rule UN-least, simp*)
done

lemma *univ-Int-Vfrom-eq*:
 $\llbracket a \subseteq univ(X); \quad b \subseteq univ(X);$
 $\bigwedge i. i : nat \implies a \cap Vfrom(X, i) = b \cap Vfrom(X, i) \rrbracket$
 $\implies a = b$
apply (*rule equalityI*)
apply (*rule univ-Int-Vfrom-subset, assumption*)
apply (*blast elim: equalityCE*)
apply (*rule univ-Int-Vfrom-subset, assumption*)
apply (*blast elim: equalityCE*)
done

24.8 Closure Properties for $univ(A)$

lemma *zero-in-univ*: $0 \in univ(A)$
 unfolding *univ-def*
apply (*rule nat-0I [THEN zero-in-Vfrom]*)
done

lemma *zero-subset-univ*: $\{0\} \subseteq \text{univ}(A)$
by (*blast intro: zero-in-univ*)

lemma *A-subset-univ*: $A \subseteq \text{univ}(A)$
unfolding *univ-def*
apply (*rule A-subset-Vfrom*)
done

lemmas *A-into-univ = A-subset-univ [THEN subsetD]*

24.8.1 Closure under Unordered and Ordered Pairs

lemma *singleton-in-univ*: $a: \text{univ}(A) \implies \{a\} \in \text{univ}(A)$
unfolding *univ-def*
apply (*blast intro: singleton-in-VLimit Limit-nat*)
done

lemma *doubleton-in-univ*:
 $\llbracket a: \text{univ}(A); b: \text{univ}(A) \rrbracket \implies \{a,b\} \in \text{univ}(A)$
unfolding *univ-def*
apply (*blast intro: doubleton-in-VLimit Limit-nat*)
done

lemma *Pair-in-univ*:
 $\llbracket a: \text{univ}(A); b: \text{univ}(A) \rrbracket \implies \langle a,b \rangle \in \text{univ}(A)$
unfolding *univ-def*
apply (*blast intro: Pair-in-VLimit Limit-nat*)
done

lemma *Union-in-univ*:
 $\llbracket X: \text{univ}(A); \text{Transset}(A) \rrbracket \implies \bigcup(X) \in \text{univ}(A)$
unfolding *univ-def*
apply (*blast intro: Union-in-VLimit Limit-nat*)
done

lemma *product-univ*: $\text{univ}(A) * \text{univ}(A) \subseteq \text{univ}(A)$
unfolding *univ-def*
apply (*rule Limit-nat [THEN product-VLimit]*)
done

24.8.2 The Natural Numbers

lemma *nat-subset-univ*: $\text{nat} \subseteq \text{univ}(A)$
unfolding *univ-def*
apply (*rule i-subset-Vfrom*)
done

lemma *nat-into-univ*: $n \in \text{nat} \implies n \in \text{univ}(A)$
by (*rule nat-subset-univ [THEN subsetD]*)

24.8.3 Instances for 1 and 2

lemma *one-in-univ*: $1 \in \text{univ}(A)$
 unfolding *univ-def*
apply (*rule Limit-nat* [*THEN one-in-VLimit*])
done

unused!

lemma *two-in-univ*: $2 \in \text{univ}(A)$
by (*blast intro: nat-into-univ*)

lemma *bool-subset-univ*: $\text{bool} \subseteq \text{univ}(A)$
 unfolding *bool-def*
apply (*blast intro!: zero-in-univ one-in-univ*)
done

lemmas *bool-into-univ = bool-subset-univ* [*THEN subsetD*]

24.8.4 Closure under Disjoint Union

lemma *Inl-in-univ*: $a: \text{univ}(A) \implies \text{Inl}(a) \in \text{univ}(A)$
 unfolding *univ-def*
apply (*erule Inl-in-VLimit* [*OF - Limit-nat*])
done

lemma *Inr-in-univ*: $b: \text{univ}(A) \implies \text{Inr}(b) \in \text{univ}(A)$
 unfolding *univ-def*
apply (*erule Inr-in-VLimit* [*OF - Limit-nat*])
done

lemma *sum-univ*: $\text{univ}(C) + \text{univ}(C) \subseteq \text{univ}(C)$
 unfolding *univ-def*
apply (*rule Limit-nat* [*THEN sum-VLimit*])
done

lemmas *sum-subset-univ = subset-trans* [*OF sum-mono sum-univ*]

lemma *Sigma-subset-univ*:
 $\llbracket A \subseteq \text{univ}(D); \bigwedge x. x \in A \implies B(x) \subseteq \text{univ}(D) \rrbracket \implies \text{Sigma}(A, B) \subseteq \text{univ}(D)$
apply (*simp add: univ-def*)
apply (*blast intro: Sigma-subset-VLimit del: subsetI*)
done

24.9 Finite Branching Closure Properties

24.9.1 Closure under Finite Powerset

lemma *Fin-Vfrom-lemma*:
 $\llbracket b: \text{Fin}(V\text{from}(A, i)); \text{Limit}(i) \rrbracket \implies \exists j. b \subseteq V\text{from}(A, j) \wedge j < i$
apply (*erule Fin-induct*)

```

apply (blast dest!: Limit-has-0, safe)
apply (erule Limit-VfromE, assumption)
apply (blast intro!: Un-least-lt intro: Vfrom-UnI1 Vfrom-UnI2)
done

```

```

lemma Fin-VLimit: Limit(i)  $\implies$  Fin(Vfrom(A,i))  $\subseteq$  Vfrom(A,i)
apply (rule subsetI)
apply (drule Fin-Vfrom-lemma, safe)
apply (rule Vfrom [THEN ssubst])
apply (blast dest!: ltD)
done

```

```

lemmas Fin-subset-VLimit = subset-trans [OF Fin-mono Fin-VLimit]

```

```

lemma Fin-univ: Fin(univ(A))  $\subseteq$  univ(A)
  unfolding univ-def
apply (rule Limit-nat [THEN Fin-VLimit])
done

```

24.9.2 Closure under Finite Powers: Functions from a Natural Number

```

lemma nat-fun-VLimit:
   $\llbracket n: \text{nat}; \text{Limit}(i) \rrbracket \implies n \rightarrow Vfrom(A,i) \subseteq Vfrom(A,i)$ 
apply (erule nat-fun-subset-Fin [THEN subset-trans])
apply (blast del: subsetI
  intro: subset-refl Fin-subset-VLimit Sigma-subset-VLimit nat-subset-VLimit)
done

```

```

lemmas nat-fun-subset-VLimit = subset-trans [OF Pi-mono nat-fun-VLimit]

```

```

lemma nat-fun-univ: n: nat  $\implies$  n  $\rightarrow$  univ(A)  $\subseteq$  univ(A)
  unfolding univ-def
apply (erule nat-fun-VLimit [OF - Limit-nat])
done

```

24.9.3 Closure under Finite Function Space

General but seldom-used version; normally the domain is fixed

```

lemma FiniteFun-VLimit1:
  Limit(i)  $\implies$  Vfrom(A,i)  $\dashv\vdash$  Vfrom(A,i)  $\subseteq$  Vfrom(A,i)
apply (rule FiniteFun.dom-subset [THEN subset-trans])
apply (blast del: subsetI
  intro: Fin-subset-VLimit Sigma-subset-VLimit subset-refl)
done

```

```

lemma FiniteFun-univ1: univ(A)  $\dashv\vdash$  univ(A)  $\subseteq$  univ(A)
  unfolding univ-def
apply (rule Limit-nat [THEN FiniteFun-VLimit1])

```

done

Version for a fixed domain

lemma *FiniteFun-VLimit*:

$\llbracket W \subseteq Vfrom(A,i); Limit(i) \rrbracket \implies W -||> Vfrom(A,i) \subseteq Vfrom(A,i)$

apply (*rule subset-trans*)

apply (*erule FiniteFun-mono [OF - subset-refl]*)

apply (*erule FiniteFun-VLimit1*)

done

lemma *FiniteFun-univ*:

$W \subseteq univ(A) \implies W -||> univ(A) \subseteq univ(A)$

unfolding *univ-def*

apply (*erule FiniteFun-VLimit [OF - Limit-nat]*)

done

lemma *FiniteFun-in-univ*:

$\llbracket f: W -||> univ(A); W \subseteq univ(A) \rrbracket \implies f \in univ(A)$

by (*erule FiniteFun-univ [THEN subsetD], assumption*)

Remove \subseteq from the rule above

lemmas *FiniteFun-in-univ' = FiniteFun-in-univ [OF - subsetI]*

24.10 * For QUniv. Properties of Vfrom analogous to the "take-lemma" *

Intersecting $a*b$ with Vfrom...

This version says a, b exist one level down, in the smaller set $Vfrom(X,i)$

lemma *doubleton-in-Vfrom-D*:

$\llbracket \{a,b\} \in Vfrom(X,succ(i)); Transset(X) \rrbracket$

$\implies a \in Vfrom(X,i) \wedge b \in Vfrom(X,i)$

by (*drule Transset-Vfrom-succ [THEN equalityD1, THEN subsetD, THEN PowD], assumption, fast*)

This weaker version says a, b exist at the same level

lemmas *Vfrom-doubleton-D = Transset-Vfrom [THEN Transset-doubleton-D]*

lemma *Pair-in-Vfrom-D*:

$\llbracket \langle a,b \rangle \in Vfrom(X,succ(i)); Transset(X) \rrbracket$

$\implies a \in Vfrom(X,i) \wedge b \in Vfrom(X,i)$

unfolding *Pair-def*

apply (*blast dest!: doubleton-in-Vfrom-D Vfrom-doubleton-D*)

done

lemma *product-Int-Vfrom-subset*:

```

    Transset(X)  $\implies$ 
    (a*b)  $\cap$  Vfrom(X, succ(i))  $\subseteq$  (a  $\cap$  Vfrom(X,i)) * (b  $\cap$  Vfrom(X,i))
  by (blast dest!: Pair-in-Vfrom-D)

```

ML

```

<
val rank-ss =
  simpset-of (context addsimps [@{thm VsetI}]
    addsimps [@{thms rank-rls} @ (@{thms rank-rls} RLN (2, [@{thm lt-trans}]));)
>

```

end

25 A Small Universe for Lazy Recursive Types

theory QUniv imports Univ QPair begin

rep-datatype

```

  elimination sumE
  induction TrueI
  case-eqns case-Inl case-Inr

```

rep-datatype

```

  elimination qsumE
  induction TrueI
  case-eqns qcase-QInl qcase-QInr

```

definition

```

  quniv :: i  $\Rightarrow$  i where
  quniv(A)  $\equiv$  Pow(univ(eclose(A)))

```

25.1 Properties involving Transset and Sum

lemma Transset-includes-summands:

```

   $\llbracket$ Transset(C); A+B  $\subseteq$  C $\rrbracket \implies A \subseteq C \wedge B \subseteq C$ 

```

apply (simp add: sum-def Un-subset-iff)

apply (blast dest: Transset-includes-range)

done

lemma Transset-sum-Int-subset:

```

  Transset(C)  $\implies (A+B) \cap C \subseteq (A \cap C) + (B \cap C)$ 

```

apply (simp add: sum-def Int-Un-distrib2)

apply (blast dest: Transset-Pair-D)

done

25.2 Introduction and Elimination Rules

lemma *qunivI*: $X \subseteq \text{univ}(\text{eclose}(A)) \implies X \in \text{quniv}(A)$
by (*simp add: quniv-def*)

lemma *qunivD*: $X \in \text{quniv}(A) \implies X \subseteq \text{univ}(\text{eclose}(A))$
by (*simp add: quniv-def*)

lemma *quniv-mono*: $A \leq B \implies \text{quniv}(A) \subseteq \text{quniv}(B)$
unfolding *quniv-def*
apply (*erule eclose-mono [THEN univ-mono, THEN Pow-mono]*)
done

25.3 Closure Properties

lemma *univ-eclose-subset-quniv*: $\text{univ}(\text{eclose}(A)) \subseteq \text{quniv}(A)$
apply (*simp add: quniv-def Transset-iff-Pow [symmetric]*)
apply (*rule Transset-eclose [THEN Transset-univ]*)
done

lemma *univ-subset-quniv*: $\text{univ}(A) \subseteq \text{quniv}(A)$
apply (*rule arg-subset-eclose [THEN univ-mono, THEN subset-trans]*)
apply (*rule univ-eclose-subset-quniv*)
done

lemmas *univ-into-quniv* = *univ-subset-quniv* [*THEN subsetD*]

lemma *Pow-univ-subset-quniv*: $\text{Pow}(\text{univ}(A)) \subseteq \text{quniv}(A)$
unfolding *quniv-def*
apply (*rule arg-subset-eclose [THEN univ-mono, THEN Pow-mono]*)
done

lemmas *univ-subset-into-quniv* =
PowI [*THEN Pow-univ-subset-quniv [THEN subsetD]*]

lemmas *zero-in-quniv* = *zero-in-univ* [*THEN univ-into-quniv*]

lemmas *one-in-quniv* = *one-in-univ* [*THEN univ-into-quniv*]

lemmas *two-in-quniv* = *two-in-univ* [*THEN univ-into-quniv*]

lemmas *A-subset-quniv* = *subset-trans* [*OF A-subset-univ univ-subset-quniv*]

lemmas *A-into-quniv* = *A-subset-quniv* [*THEN subsetD*]

lemma *QPair-subset-univ*:
 $\llbracket a \subseteq \text{univ}(A); b \subseteq \text{univ}(A) \rrbracket \implies \langle a; b \rangle \subseteq \text{univ}(A)$
by (*simp add: QPair-def sum-subset-univ*)

25.4 Quine Disjoint Sum

lemma *QInl-subset-univ*: $a \subseteq \text{univ}(A) \implies \text{QInl}(a) \subseteq \text{univ}(A)$
unfolding *QInl-def*
apply (*erule empty-subsetI* [*THEN QPair-subset-univ*])
done

lemmas *naturals-subset-nat* =
Ord-nat [*THEN Ord-is-Transset*, *unfolded Transset-def*, *THEN bspec*]

lemmas *naturals-subset-univ* =
subset-trans [*OF naturals-subset-nat nat-subset-univ*]

lemma *QInr-subset-univ*: $a \subseteq \text{univ}(A) \implies \text{QInr}(a) \subseteq \text{univ}(A)$
unfolding *QInr-def*
apply (*erule nat-1I* [*THEN naturals-subset-univ*, *THEN QPair-subset-univ*])
done

25.5 Closure for Quine-Inspired Products and Sums

lemma *QPair-in-quniv*:
 $\llbracket a: \text{quniv}(A); b: \text{quniv}(A) \rrbracket \implies \langle a; b \rangle \in \text{quniv}(A)$
by (*simp add: quniv-def QPair-def sum-subset-univ*)

lemma *QSigma-quniv*: $\text{quniv}(A) \langle * \rangle \text{quniv}(A) \subseteq \text{quniv}(A)$
by (*blast intro: QPair-in-quniv*)

lemmas *QSigma-subset-quniv* = *subset-trans* [*OF QSigma-mono QSigma-quniv*]

lemma *quniv-QPair-D*:
 $\langle a; b \rangle \in \text{quniv}(A) \implies a: \text{quniv}(A) \wedge b: \text{quniv}(A)$
unfolding *quniv-def QPair-def*
apply (*rule Transset-includes-summands* [*THEN conjE*])
apply (*rule Transset-eclose* [*THEN Transset-univ*])
apply (*erule PowD*, *blast*)
done

lemmas *quniv-QPair-E* = *quniv-QPair-D* [*THEN conjE*]

lemma *quniv-QPair-iff*: $\langle a; b \rangle \in \text{quniv}(A) \longleftrightarrow a: \text{quniv}(A) \wedge b: \text{quniv}(A)$
by (*blast intro: QPair-in-quniv dest: quniv-QPair-D*)

25.6 Quine Disjoint Sum

lemma *QInl-in-quniv*: $a: \text{quniv}(A) \implies \text{QInl}(a) \in \text{quniv}(A)$
by (*simp add: QInl-def zero-in-quniv QPair-in-quniv*)

lemma *QInr-in-quniv*: $b: \text{quniv}(A) \implies \text{QInr}(b) \in \text{quniv}(A)$
by (*simp add: QInr-def one-in-quniv QPair-in-quniv*)

lemma *qsum-quniv*: $quniv(C) <+> quniv(C) \subseteq quniv(C)$
by (*blast intro: QInl-in-quniv QInr-in-quniv*)

lemmas *qsum-subset-quniv* = *subset-trans* [*OF qsum-mono qsum-quniv*]

25.7 The Natural Numbers

lemmas *nat-subset-quniv* = *subset-trans* [*OF nat-subset-univ univ-subset-quniv*]

lemmas *nat-into-quniv* = *nat-subset-quniv* [*THEN subsetD*]

lemmas *bool-subset-quniv* = *subset-trans* [*OF bool-subset-univ univ-subset-quniv*]

lemmas *bool-into-quniv* = *bool-subset-quniv* [*THEN subsetD*]

lemma *QPair-Int-Vfrom-succ-subset*:

Transset(*X*) \implies
 $\langle a; b \rangle \cap Vfrom(X, succ(i)) \subseteq \langle a \cap Vfrom(X, i); b \cap Vfrom(X, i) \rangle$
by (*simp add: QPair-def sum-def Int-Un-distrib2 Un-mono*
product-Int-Vfrom-subset [*THEN subset-trans*]
Sigma-mono [*OF Int-lower1 subset-refl*])

25.8 "Take-Lemma" Rules

lemma *QPair-Int-Vfrom-subset*:

Transset(*X*) \implies
 $\langle a; b \rangle \cap Vfrom(X, i) \subseteq \langle a \cap Vfrom(X, i); b \cap Vfrom(X, i) \rangle$
unfolding *QPair-def*
apply (*erule Transset-Vfrom* [*THEN Transset-sum-Int-subset*])
done

lemmas *QPair-Int-Vset-subset-trans* =
subset-trans [*OF Transset-0* [*THEN QPair-Int-Vfrom-subset*] *QPair-mono*]

lemma *QPair-Int-Vset-subset-UN*:

Ord(*i*) $\implies \langle a; b \rangle \cap Vset(i) \subseteq (\bigcup j \in i. \langle a \cap Vset(j); b \cap Vset(j) \rangle)$
apply (*erule Ord-cases*)

apply (*simp add: Vfrom-0*)

apply (*erule ssubst*)

apply (*rule Transset-0* [*THEN QPair-Int-Vfrom-succ-subset*, *THEN subset-trans*])

apply (*rule succI1* [*THEN UN-upper*])

```

apply (simp del: UN-simps
         add: Limit-Vfrom-eq Int-UN-distrib UN-mono QPair-Int-Vset-subset-trans)
done

end

```

26 Datatype and CoDatatype Definitions

```

theory Datatype
imports Inductive Univ QUniv
keywords datatype codatatype :: thy-decl
begin

```

ML-file \langle Tools/datatype-package.ML \rangle

ML \langle

*(*Typechecking rules for most datatypes involving univ*)*

structure Data-Arg =

struct

val intrs =

[@{thm SigmaI}, @{thm InlI}, @{thm InrI},

@{thm Pair-in-univ}, @{thm Inl-in-univ}, @{thm Inr-in-univ},

@{thm zero-in-univ}, @{thm A-into-univ}, @{thm nat-into-univ}, @{thm

UnCI}];

*val elims = [make-elim @{thm InlD}, make-elim @{thm InrD}, (*for mutual recursion*)*

@{thm SigmaE}, @{thm sumE}];

*(*allows * and + in*

spec)*

end;

structure Data-Package =

Add-datatype-def-Fun

(structure Fp=Lfp and Pr=Standard-Prod and CP=Standard-CP

and Su=Standard-Sum

and Ind-Package = Ind-Package

and Datatype-Arg = Data-Arg

val coind = false);

*(*Typechecking rules for most codatatypes involving quniv*)*

structure CoData-Arg =

struct

val intrs =

[@{thm QSigmaI}, @{thm QInlI}, @{thm QInrI},

@{thm QPair-in-quniv}, @{thm QInl-in-quniv}, @{thm QInr-in-quniv},

@{thm zero-in-quniv}, @{thm A-into-quniv}, @{thm nat-into-quniv}, @{thm

```

UnCI}}];

  val elims = [make-elim @{thm QInlD}, make-elim @{thm QInrD}, (*for mutual
recursion*)
              @{thm QSigmaE}, @{thm qsumE}];          (*allows * and +
in spec*)
  end;

structure CoData-Package =
  Add-datatype-def-Fun
  (structure Fp=Gfp and Pr=Quine-Prod and CP=Quine-CP
   and Su=Quine-Sum
   and Ind-Package = CoInd-Package
   and Datatype-Arg = CoData-Arg
   val coind = true);

(*Simploc for freeness reasoning: compare datatype constructors for equality*)
structure DataFree =
  struct
    val trace = Unsynchronized.ref false;

    fun mk-new ([],[]) = Const ⟨True⟩
      | mk-new (largs,rargs) =
          Balanced-Tree.make FOLogic.mk-conj
            (map FOLogic.mk-eq (ListPair.zip (largs,rargs)));

    val datatype-ss = simpset-of context;

    fun proc ctxt ct =
      let val old = Thm.term-of ct
          val thy = Proof-Context.theory-of ctxt
          val - =
            if !trace then writeln (data-free: OLD = ^ Syntax.string-of-term ctxt old)
            else ()
          val (lhs,rhs) = FOLogic.dest-eq old
          val (lhead, largs) = strip-comb lhs
          and (rhead, rargs) = strip-comb rhs
          val lname = #1 (dest-Const lhead) handle TERM - => raise Match;
          val rname = #1 (dest-Const rhead) handle TERM - => raise Match;
          val lcon-info = the (Symtab.lookup (ConstructorsData.get thy) lname)
            handle Option.Option => raise Match;
          val rcon-info = the (Symtab.lookup (ConstructorsData.get thy) rname)
            handle Option.Option => raise Match;
          val new =
            if #big-rec-name lcon-info = #big-rec-name rcon-info
            andalso not (null (#free-iffs lcon-info)) then
              if lname = rname then mk-new (largs, rargs)

```

```

      else Const ⟨False⟩
    else raise Match
  val - =
    if !trace then writeln (NEW = ^Syntax.string-of-term ctxt new)
    else ();
  val goal = Logic.mk-equals (old, new)
  val thm = Goal.prove ctxt [] [] goal
    (fn - => resolve-tac ctxt @{thms iff-reflection} 1 THEN
      simp-tac (put-simpset datatype-ss ctxt addsimps
        (map (Thm.transfer thy) (#free-iffs lcon-info))) 1)
    handle ERROR msg =>
      (warning (msg ^\ndata-free simproc:\nfailed to prove ^Syntax.string-of-term
        ctxt goal);
        raise Match)
  in SOME thm end
  handle Match => NONE;

  val conv =
    Simplifier.make-simproc context data-free
      {lhss = [term ⟨(x::i) = y⟩], proc = K proc};

end;
>

setup ⟨
  Simplifier.map-theory-simpset (fn ctxt => ctxt addsimprocs [DataFree.conv])
>

end

```

27 Arithmetic Operators and Their Definitions

theory *Arith* **imports** *Univ* **begin**

Proofs about elementary arithmetic: addition, multiplication, etc.

definition

```

pred :: i ⇒ i    where
  pred(y) ≡ nat-case(0, λx. x, y)

```

definition

```

natify :: i ⇒ i    where
  natify ≡ Vrecursor(λf a. if a = succ(pred(a)) then succ(f pred(a))
    else 0)

```

consts

```

raw-add :: [i, i] ⇒ i
raw-diff :: [i, i] ⇒ i
raw-mult :: [i, i] ⇒ i

```

primrec

$raw-add\ 0, n = n$
 $raw-add\ (succ(m), n) = succ(raw-add(m, n))$

primrec

$raw-diff-0: raw-diff(m, 0) = m$
 $raw-diff-succ: raw-diff(m, succ(n)) =$
 $nat-case(0, \lambda x. x, raw-diff(m, n))$

primrec

$raw-mult(0, n) = 0$
 $raw-mult(succ(m), n) = raw-add(n, raw-mult(m, n))$

definition

$add :: [i, i] \Rightarrow i$ (infixl <#> 65) **where**
 $m \#+ n \equiv raw-add(natify(m), natify(n))$

definition

$diff :: [i, i] \Rightarrow i$ (infixl <#> 65) **where**
 $m \#- n \equiv raw-diff(natify(m), natify(n))$

definition

$mult :: [i, i] \Rightarrow i$ (infixl <#> 70) **where**
 $m \#* n \equiv raw-mult(natify(m), natify(n))$

definition

$raw-div :: [i, i] \Rightarrow i$ **where**
 $raw-div(m, n) \equiv$
 $transrec(m, \lambda j f. if\ j < n \mid n=0\ then\ 0\ else\ succ(f'(j\#-n)))$

definition

$raw-mod :: [i, i] \Rightarrow i$ **where**
 $raw-mod(m, n) \equiv$
 $transrec(m, \lambda j f. if\ j < n \mid n=0\ then\ j\ else\ f'(j\#-n))$

definition

$div :: [i, i] \Rightarrow i$ (infixl <div> 70) **where**
 $m\ div\ n \equiv raw-div(natify(m), natify(n))$

definition

$mod :: [i, i] \Rightarrow i$ (infixl <mod> 70) **where**
 $m\ mod\ n \equiv raw-mod(natify(m), natify(n))$

declare *rec-type* [simp]

nat-0-le [simp]

lemma *zero-lt-lemma*: $\llbracket 0 < k; k \in nat \rrbracket \implies \exists j \in nat. k = succ(j)$

apply (*erule rev-mp*)
apply (*induct-tac k, auto*)
done

lemmas *zero-lt-natE* = *zero-lt-lemma* [*THEN bexE*]

27.1 *natify*, the Coercion to *nat*

lemma *pred-succ-eq* [*simp*]: $\text{pred}(\text{succ}(y)) = y$
by (*unfold pred-def, auto*)

lemma *natify-succ*: $\text{natify}(\text{succ}(x)) = \text{succ}(\text{natify}(x))$
by (*rule natify-def* [*THEN def-Vrecursor, THEN trans*], *auto*)

lemma *natify-0* [*simp*]: $\text{natify}(0) = 0$
by (*rule natify-def* [*THEN def-Vrecursor, THEN trans*], *auto*)

lemma *natify-non-succ*: $\forall z. x \neq \text{succ}(z) \implies \text{natify}(x) = 0$
by (*rule natify-def* [*THEN def-Vrecursor, THEN trans*], *auto*)

lemma *natify-in-nat* [*iff, TC*]: $\text{natify}(x) \in \text{nat}$
apply (*rule-tac a=x in eps-induct*)
apply (*case-tac $\exists z. x = \text{succ}(z)$*)
apply (*auto simp add: natify-succ natify-non-succ*)
done

lemma *natify-ident* [*simp*]: $n \in \text{nat} \implies \text{natify}(n) = n$
apply (*induct-tac n*)
apply (*auto simp add: natify-succ*)
done

lemma *natify-eqE*: $\llbracket \text{natify}(x) = y; x \in \text{nat} \rrbracket \implies x=y$
by *auto*

lemma *natify-idem* [*simp*]: $\text{natify}(\text{natify}(x)) = \text{natify}(x)$
by *simp*

lemma *add-natify1* [*simp*]: $\text{natify}(m) \# + n = m \# + n$
by (*simp add: add-def*)

lemma *add-natify2* [*simp*]: $m \# + \text{natify}(n) = m \# + n$
by (*simp add: add-def*)

lemma *mult-natify1* [*simp*]: $\text{natify}(m) \#* n = m \#* n$
by (*simp add: mult-def*)

lemma *mult-natify2* [*simp*]: $m \#* \text{natify}(n) = m \#* n$
by (*simp add: mult-def*)

lemma *diff-natify1* [*simp*]: $\text{natify}(m) \#- n = m \#- n$
by (*simp add: diff-def*)

lemma *diff-natify2* [*simp*]: $m \#- \text{natify}(n) = m \#- n$
by (*simp add: diff-def*)

lemma *mod-natify1* [*simp*]: $\text{natify}(m) \bmod n = m \bmod n$
by (*simp add: mod-def*)

lemma *mod-natify2* [*simp*]: $m \bmod \text{natify}(n) = m \bmod n$
by (*simp add: mod-def*)

lemma *div-natify1* [*simp*]: $\text{natify}(m) \text{ div } n = m \text{ div } n$
by (*simp add: div-def*)

lemma *div-natify2* [*simp*]: $m \text{ div } \text{natify}(n) = m \text{ div } n$
by (*simp add: div-def*)

27.2 Typing rules

lemma *raw-add-type*: $\llbracket m \in \text{nat}; n \in \text{nat} \rrbracket \implies \text{raw-add } (m, n) \in \text{nat}$
by (*induct-tac m, auto*)

lemma *add-type* [*iff, TC*]: $m \#+ n \in \text{nat}$
by (*simp add: add-def raw-add-type*)

lemma *raw-mult-type*: $\llbracket m \in \text{nat}; n \in \text{nat} \rrbracket \implies \text{raw-mult } (m, n) \in \text{nat}$
apply (*induct-tac m*)
apply (*simp-all add: raw-add-type*)
done

lemma *mult-type* [*iff, TC*]: $m \#* n \in \text{nat}$

by (*simp add: mult-def raw-mult-type*)

lemma *raw-diff-type*: $\llbracket m \in \text{nat}; n \in \text{nat} \rrbracket \implies \text{raw-diff } (m, n) \in \text{nat}$
by (*induct-tac n, auto*)

lemma *diff-type* [*iff, TC*]: $m \#- n \in \text{nat}$
by (*simp add: diff-def raw-diff-type*)

lemma *diff-0-eq-0* [*simp*]: $0 \#- n = 0$
unfolding *diff-def*
apply (*rule natify-in-nat [THEN nat-induct], auto*)
done

lemma *diff-succ-succ* [*simp*]: $\text{succ}(m) \#- \text{succ}(n) = m \#- n$
apply (*simp add: natify-succ diff-def*)
apply (*rule-tac x1 = n in natify-in-nat [THEN nat-induct], auto*)
done

declare *raw-diff-succ* [*simp del*]

lemma *diff-0* [*simp*]: $m \#- 0 = \text{natify}(m)$
by (*simp add: diff-def*)

lemma *diff-le-self*: $m \in \text{nat} \implies (m \#- n) \leq m$
apply (*subgoal-tac (m \#- natify (n)) \le m*)
apply (*rule-tac [2] m = m and n = natify (n) in diff-induct*)
apply (*erule-tac [6] leE*)
apply (*simp-all add: le-iff*)
done

27.3 Addition

lemma *add-0-natify* [*simp*]: $0 \#+ m = \text{natify}(m)$
by (*simp add: add-def*)

lemma *add-succ* [*simp*]: $\text{succ}(m) \#+ n = \text{succ}(m \#+ n)$
by (*simp add: natify-succ add-def*)

lemma *add-0*: $m \in \text{nat} \implies 0 \#+ m = m$
by *simp*

lemma *add-assoc*: $(m \#+ n) \#+ k = m \#+ (n \#+ k)$

```

apply (subgoal-tac (natify(m) #+ natify(n)) #+ natify(k) =
          natify(m) #+ (natify(n) #+ natify(k)))
apply (rule-tac [2] n = natify(m) in nat-induct)
apply auto
done

```

```

lemma add-0-right-natify [simp]: m #+ 0 = natify(m)
apply (subgoal-tac natify(m) #+ 0 = natify(m))
apply (rule-tac [2] n = natify(m) in nat-induct)
apply auto
done

```

```

lemma add-succ-right [simp]: m #+ succ(n) = succ(m #+ n)
  unfolding add-def
apply (rule-tac n = natify(m) in nat-induct)
apply (auto simp add: natify-succ)
done

```

```

lemma add-0-right: m ∈ nat ⇒ m #+ 0 = m
by auto

```

```

lemma add-commute: m #+ n = n #+ m
apply (subgoal-tac natify(m) #+ natify(n) = natify(n) #+ natify(m) )
apply (rule-tac [2] n = natify(m) in nat-induct)
apply auto
done

```

```

lemma add-left-commute: m#+(n#+k)=n#+(m#+k)
apply (rule add-commute [THEN trans])
apply (rule add-assoc [THEN trans])
apply (rule add-commute [THEN subst-context])
done

```

lemmas add-ac = add-assoc add-commute add-left-commute

```

lemma raw-add-left-cancel:
  [[raw-add(k, m) = raw-add(k, n); k ∈ nat]] ⇒ m = n
apply (erule rev-mp)
apply (induct-tac k, auto)
done

```

```

lemma add-left-cancel-natify: k #+ m = k #+ n ⇒ natify(m) = natify(n)
  unfolding add-def
apply (drule raw-add-left-cancel, auto)

```

done

lemma *add-left-cancel*:

$\llbracket i = j; i \# + m = j \# + n; m \in \text{nat}; n \in \text{nat} \rrbracket \implies m = n$
by (*force dest!*: *add-left-cancel-natify*)

lemma *add-le-elim1-natify*: $k \# + m \leq k \# + n \implies \text{natify}(m) \leq \text{natify}(n)$

apply (*rule-tac* $P = \text{natify}(k) \# + m \leq \text{natify}(k) \# + n$ in *rev-mp*)

apply (*rule-tac* [2] $n = \text{natify}(k)$ in *nat-induct*)

apply *auto*

done

lemma *add-le-elim1*: $\llbracket k \# + m \leq k \# + n; m \in \text{nat}; n \in \text{nat} \rrbracket \implies m \leq n$

by (*drule* *add-le-elim1-natify*, *auto*)

lemma *add-lt-elim1-natify*: $k \# + m < k \# + n \implies \text{natify}(m) < \text{natify}(n)$

apply (*rule-tac* $P = \text{natify}(k) \# + m < \text{natify}(k) \# + n$ in *rev-mp*)

apply (*rule-tac* [2] $n = \text{natify}(k)$ in *nat-induct*)

apply *auto*

done

lemma *add-lt-elim1*: $\llbracket k \# + m < k \# + n; m \in \text{nat}; n \in \text{nat} \rrbracket \implies m < n$

by (*drule* *add-lt-elim1-natify*, *auto*)

lemma *zero-less-add*: $\llbracket n \in \text{nat}; m \in \text{nat} \rrbracket \implies 0 < m \# + n \longleftrightarrow (0 < m \mid 0 < n)$

by (*induct-tac* *n*, *auto*)

27.4 Monotonicity of Addition

lemma *add-lt-mono1*: $\llbracket i < j; j \in \text{nat} \rrbracket \implies i \# + k < j \# + k$

apply (*frule* *lt-nat-in-nat*, *assumption*)

apply (*erule* *succ-lt-induct*)

apply (*simp-all* *add*: *leI*)

done

strict, in second argument

lemma *add-lt-mono2*: $\llbracket i < j; j \in \text{nat} \rrbracket \implies k \# + i < k \# + j$

by (*simp* *add*: *add-commute* [of *k*] *add-lt-mono1*)

A [clumsy] way of lifting $<$ monotonicity to \leq monotonicity

lemma *Ord-lt-mono-imp-le-mono*:

assumes *lt-mono*: $\bigwedge i j. \llbracket i < j; j:k \rrbracket \implies f(i) < f(j)$

and *ford*: $\bigwedge i. i:k \implies \text{Ord}(f(i))$

and *leij*: $i \leq j$

and *jink*: $j:k$

shows $f(i) \leq f(j)$

apply (*insert* *leij* *jink*)

apply (*blast* *intro!*: *leCI* *lt-mono* *ford* *elim!*: *leE*)

done

\leq monotonicity, 1st argument

lemma *add-le-mono1*: $\llbracket i \leq j; j \in \text{nat} \rrbracket \implies i \# + k \leq j \# + k$
apply (*rule-tac* $f = \lambda j. j \# + k$ **in** *Ord-lt-mono-imp-le-mono*, *typecheck*)
apply (*blast intro*: *add-lt-mono1 add-type [THEN nat-into-Ord]*)
done

\leq monotonicity, both arguments

lemma *add-le-mono*: $\llbracket i \leq j; k \leq l; j \in \text{nat}; l \in \text{nat} \rrbracket \implies i \# + k \leq j \# + l$
apply (*rule add-le-mono1 [THEN le-trans]*, *assumption+*)
apply (*subst add-commute, subst add-commute, rule add-le-mono1, assumption+*)
done

Combinations of less-than and less-than-or-equals

lemma *add-lt-le-mono*: $\llbracket i < j; k \leq l; j \in \text{nat}; l \in \text{nat} \rrbracket \implies i \# + k < j \# + l$
apply (*rule add-lt-mono1 [THEN lt-trans2]*, *assumption+*)
apply (*subst add-commute, subst add-commute, rule add-le-mono1, assumption+*)
done

lemma *add-le-lt-mono*: $\llbracket i \leq j; k < l; j \in \text{nat}; l \in \text{nat} \rrbracket \implies i \# + k < j \# + l$
by (*subst add-commute, subst add-commute, erule add-lt-le-mono, assumption+*)

Less-than: in other words, strict in both arguments

lemma *add-lt-mono*: $\llbracket i < j; k < l; j \in \text{nat}; l \in \text{nat} \rrbracket \implies i \# + k < j \# + l$
apply (*rule add-lt-le-mono*)
apply (*auto intro: leI*)
done

lemma *diff-add-inverse*: $(n \# + m) \# - n = \text{natify}(m)$
apply (*subgoal-tac* ($\text{natify}(n) \# + m$) $\# - \text{natify}(n) = \text{natify}(m)$)
apply (*rule-tac [2] n = natify(n) in nat-induct*)
apply *auto*
done

lemma *diff-add-inverse2*: $(m \# + n) \# - n = \text{natify}(m)$
by (*simp add: add-commute [of m] diff-add-inverse*)

lemma *diff-cancel*: $(k \# + m) \# - (k \# + n) = m \# - n$
apply (*subgoal-tac* ($\text{natify}(k) \# + \text{natify}(m)$) $\# - (\text{natify}(k) \# + \text{natify}(n)) =$
 $\text{natify}(m) \# - \text{natify}(n)$)
apply (*rule-tac [2] n = natify(k) in nat-induct*)
apply *auto*
done

lemma *diff-cancel2*: $(m \# + k) \# - (n \# + k) = m \# - n$

by (*simp add: add-commute [of - k] diff-cancel*)

lemma *diff-add-0*: $n \# - (n \# + m) = 0$
apply (*subgoal-tac natify(n) # - (natify(n) # + natify(m)) = 0*)
apply (*rule-tac [2] n = natify(n) in nat-induct*)
apply *auto*
done

lemma *pred-0 [simp]*: $\text{pred}(0) = 0$
by (*simp add: pred-def*)

lemma *eq-succ-imp-eq-m1*: $\llbracket i = \text{succ}(j); i \in \text{nat} \rrbracket \implies j = i \# - 1 \wedge j \in \text{nat}$
by *simp*

lemma *pred-Un-distrib*:
 $\llbracket i \in \text{nat}; j \in \text{nat} \rrbracket \implies \text{pred}(i \cup j) = \text{pred}(i) \cup \text{pred}(j)$
apply (*erule-tac n=i in natE, simp*)
apply (*erule-tac n=j in natE, simp*)
apply (*simp add: succ-Un-distrib [symmetric]*)
done

lemma *pred-type [TC, simp]*:
 $i \in \text{nat} \implies \text{pred}(i) \in \text{nat}$
by (*simp add: pred-def split: split-nat-case*)

lemma *nat-diff-pred*: $\llbracket i \in \text{nat}; j \in \text{nat} \rrbracket \implies i \# - \text{succ}(j) = \text{pred}(i \# - j)$
apply (*rule-tac m=i and n=j in diff-induct*)
apply (*auto simp add: pred-def nat-imp-quasinat split: split-nat-case*)
done

lemma *diff-succ-eq-pred*: $i \# - \text{succ}(j) = \text{pred}(i \# - j)$
apply (*insert nat-diff-pred [of natify(i) natify(j)]*)
apply (*simp add: natify-succ [symmetric]*)
done

lemma *nat-diff-Un-distrib*:
 $\llbracket i \in \text{nat}; j \in \text{nat}; k \in \text{nat} \rrbracket \implies (i \cup j) \# - k = (i \# - k) \cup (j \# - k)$
apply (*rule-tac n=k in nat-induct*)
apply (*simp-all add: diff-succ-eq-pred pred-Un-distrib*)
done

lemma *diff-Un-distrib*:
 $\llbracket i \in \text{nat}; j \in \text{nat} \rrbracket \implies (i \cup j) \# - k = (i \# - k) \cup (j \# - k)$
by (*insert nat-diff-Un-distrib [of i j natify(k)], simp*)

We actually prove $i \# - j \# - k = i \# - (j \# + k)$

lemma *diff-diff-left [simplified]*:
 $\text{natify}(i) \# - \text{natify}(j) \# - k = \text{natify}(i) \# - (\text{natify}(j) \# + k)$
by (*rule-tac m=natify(i) and n=natify(j) in diff-induct, auto*)

```

lemma eq-add-iff: (u #+ m = u #+ n)  $\longleftrightarrow$  (0 #+ m = natify(n))
apply auto
apply (blast dest: add-left-cancel-natify)
apply (simp add: add-def)
done

```

```

lemma less-add-iff: (u #+ m < u #+ n)  $\longleftrightarrow$  (0 #+ m < natify(n))
apply (auto simp add: add-lt-elim1-natify)
apply (drule add-lt-mono1)
apply (auto simp add: add-commute [of u])
done

```

```

lemma diff-add-eq: ((u #+ m) #- (u #+ n)) = ((0 #+ m) #- n)
by (simp add: diff-cancel)

```

```

lemma eq-cong2: u = u'  $\implies$  (t $\equiv$ u)  $\equiv$  (t $\equiv$ u')
by auto

```

```

lemma iff-cong2: u  $\longleftrightarrow$  u'  $\implies$  (t $\equiv$ u)  $\equiv$  (t $\equiv$ u')
by auto

```

27.5 Multiplication

```

lemma mult-0 [simp]: 0 #* m = 0
by (simp add: mult-def)

```

```

lemma mult-succ [simp]: succ(m) #* n = n #+ (m #* n)
by (simp add: add-def mult-def natify-succ raw-mult-type)

```

```

lemma mult-0-right [simp]: m #* 0 = 0
  unfolding mult-def
apply (rule-tac n = natify(m) in nat-induct)
apply auto
done

```

```

lemma mult-succ-right [simp]: m #* succ(n) = m #+ (m #* n)
apply (subgoal-tac natify(m) #* succ (natify(n)) =
  natify(m) #+ (natify(m) #* natify(n)))
apply (simp (no-asm-use) add: natify-succ add-def mult-def)
apply (rule-tac n = natify(m) in nat-induct)
apply (simp-all add: add-ac)
done

```

lemma *mult-1-natify* [*simp*]: $1 \#* n = \text{natify}(n)$
by *auto*

lemma *mult-1-right-natify* [*simp*]: $n \#* 1 = \text{natify}(n)$
by *auto*

lemma *mult-1*: $n \in \text{nat} \implies 1 \#* n = n$
by *simp*

lemma *mult-1-right*: $n \in \text{nat} \implies n \#* 1 = n$
by *simp*

lemma *mult-commute*: $m \#* n = n \#* m$
apply (*subgoal-tac* $\text{natify}(m) \#* \text{natify}(n) = \text{natify}(n) \#* \text{natify}(m)$)
apply (*rule-tac* [2] $n = \text{natify}(m)$ **in** *nat-induct*)
apply *auto*
done

lemma *add-mult-distrib*: $(m \#+ n) \#* k = (m \#* k) \#+ (n \#* k)$
apply (*subgoal-tac* $\text{natify}(m) \#+ \text{natify}(n) \#* \text{natify}(k) =$
 $\text{natify}(m) \#* \text{natify}(k) \#+ \text{natify}(n) \#* \text{natify}(k)$)
apply (*rule-tac* [2] $n = \text{natify}(m)$ **in** *nat-induct*)
apply (*simp-all* *add: add-assoc* [*symmetric*])
done

lemma *add-mult-distrib-left*: $k \#* (m \#+ n) = (k \#* m) \#+ (k \#* n)$
apply (*subgoal-tac* $\text{natify}(k) \#* (\text{natify}(m) \#+ \text{natify}(n)) =$
 $\text{natify}(k) \#* \text{natify}(m) \#+ \text{natify}(k) \#* \text{natify}(n)$)
apply (*rule-tac* [2] $n = \text{natify}(m)$ **in** *nat-induct*)
apply (*simp-all* *add: add-ac*)
done

lemma *mult-assoc*: $(m \#* n) \#* k = m \#* (n \#* k)$
apply (*subgoal-tac* $\text{natify}(m) \#* \text{natify}(n) \#* \text{natify}(k) =$
 $\text{natify}(m) \#* (\text{natify}(n) \#* \text{natify}(k))$)
apply (*rule-tac* [2] $n = \text{natify}(m)$ **in** *nat-induct*)
apply (*simp-all* *add: add-mult-distrib*)
done

lemma *mult-left-commute*: $m \#* (n \#* k) = n \#* (m \#* k)$
apply (*rule* *mult-commute* [*THEN trans*])
apply (*rule* *mult-assoc* [*THEN trans*])
apply (*rule* *mult-commute* [*THEN subst-context*])

done

lemmas *mult-ac = mult-assoc mult-commute mult-left-commute*

lemma *lt-succ-eq-0-disj*:

$\llbracket m \in \text{nat}; n \in \text{nat} \rrbracket$

$\implies (m < \text{succ}(n)) \longleftrightarrow (m = 0 \mid (\exists j \in \text{nat}. m = \text{succ}(j) \wedge j < n))$

by (*induct-tac m, auto*)

lemma *less-diff-conv* [*rule-format*]:

$\llbracket j \in \text{nat}; k \in \text{nat} \rrbracket \implies \forall i \in \text{nat}. (i < j \#- k) \longleftrightarrow (i \#+ k < j)$

by (*erule-tac m = k in diff-induct, auto*)

lemmas *nat-typechecks = rec-type nat-0I nat-1I nat-succI Ord-nat*

end

28 Arithmetic with simplification

theory *ArithSimp*

imports *Arith*

begin

ML-file $\langle \sim\sim / \text{src} / \text{Provers} / \text{Arith} / \text{cancel-numerals.ML} \rangle$

ML-file $\langle \sim\sim / \text{src} / \text{Provers} / \text{Arith} / \text{combine-numerals.ML} \rangle$

ML-file $\langle \text{arith-data.ML} \rangle$

28.1 Difference

lemma *diff-self-eq-0* [*simp*]: $m \#- m = 0$

apply (*subgoal-tac natify (m) \#- natify (m) = 0*)

apply (*rule-tac [2] natify-in-nat [THEN nat-induct], auto*)

done

lemma *add-diff-inverse*: $\llbracket n \leq m; m : \text{nat} \rrbracket \implies n \#+ (m \#- n) = m$

apply (*frule lt-nat-in-nat, erule nat-succI*)

apply (*erule rev-mp*)

apply (*rule-tac m = m and n = n in diff-induct, auto*)

done

lemma *add-diff-inverse2*: $\llbracket n \leq m; m : \text{nat} \rrbracket \implies (m \#- n) \#+ n = m$

apply (*frule lt-nat-in-nat, erule nat-succI*)

apply (*simp (no-asm-simp) add: add-commute add-diff-inverse*)

done

```

lemma diff-succ:  $\llbracket n \leq m; m:\text{nat} \rrbracket \implies \text{succ}(m) \#- n = \text{succ}(m\#-n)$ 
apply (frule lt-nat-in-nat, erule nat-succI)
apply (erule rev-mp)
apply (rule-tac m = m and n = n in diff-induct)
apply (simp-all (no-asm-simp))
done

```

```

lemma zero-less-diff [simp]:
 $\llbracket m:\text{nat}; n:\text{nat} \rrbracket \implies 0 < (n \#- m) \iff m < n$ 
apply (rule-tac m = m and n = n in diff-induct)
apply (simp-all (no-asm-simp))
done

```

```

lemma diff-mult-distrib:  $(m \#- n) \#* k = (m \#* k) \#- (n \#* k)$ 
apply (subgoal-tac (natify (m) \#- natify (n)) \#* natify (k) = (natify (m) \#* natify (k)) \#- (natify (n) \#* natify (k)))
apply (rule-tac [2] m = natify (m) and n = natify (n) in diff-induct)
apply (simp-all add: diff-cancel)
done

```

```

lemma diff-mult-distrib2:  $k \#* (m \#- n) = (k \#* m) \#- (k \#* n)$ 
apply (simp (no-asm) add: mult-commute [of k] diff-mult-distrib)
done

```

28.2 Remainder

```

lemma div-termination:  $\llbracket 0 < n; n \leq m; m:\text{nat} \rrbracket \implies m \#- n < m$ 
apply (frule lt-nat-in-nat, erule nat-succI)
apply (erule rev-mp)
apply (erule rev-mp)
apply (rule-tac m = m and n = n in diff-induct)
apply (simp-all (no-asm-simp) add: diff-le-self)
done

```

```

lemmas div-rls =
  nat-typechecks Ord-transrec-type apply-funtype
  div-termination [THEN ltD]
  nat-into-Ord not-lt-iff-le [THEN iffD1]

```

```

lemma raw-mod-type:  $\llbracket m:\text{nat}; n:\text{nat} \rrbracket \implies \text{raw-mod} (m, n) \in \text{nat}$ 
unfolding raw-mod-def
apply (rule Ord-transrec-type)
apply (auto simp add: nat-into-Ord [THEN Ord-0-lt-iff])
apply (blast intro: div-rls)

```

done

lemma *mod-type* [*TC,iff*]: $m \bmod n \in \text{nat}$
 unfolding *mod-def*
apply (*simp* (*no-asm*) *add: mod-def raw-mod-type*)
done

lemma *DIVISION-BY-ZERO-DIV*: $a \text{ div } 0 = 0$
 unfolding *div-def*
apply (*rule raw-div-def* [*THEN def-transrec, THEN trans*])
apply (*simp* (*no-asm-simp*))
done

lemma *DIVISION-BY-ZERO-MOD*: $a \bmod 0 = \text{nafify}(a)$
 unfolding *mod-def*
apply (*rule raw-mod-def* [*THEN def-transrec, THEN trans*])
apply (*simp* (*no-asm-simp*))
done

lemma *raw-mod-less*: $m < n \implies \text{raw-mod } (m, n) = m$
apply (*rule raw-mod-def* [*THEN def-transrec, THEN trans*])
apply (*simp* (*no-asm-simp*) *add: div-termination* [*THEN ltD*])
done

lemma *mod-less* [*simp*]: $\llbracket m < n; n \in \text{nat} \rrbracket \implies m \bmod n = m$
apply (*frule lt-nat-in-nat, assumption*)
apply (*simp* (*no-asm-simp*) *add: mod-def raw-mod-less*)
done

lemma *raw-mod-geq*:
 $\llbracket 0 < n; n \leq m; m : \text{nat} \rrbracket \implies \text{raw-mod } (m, n) = \text{raw-mod } (m \# -n, n)$
apply (*frule lt-nat-in-nat, erule nat-succI*)
apply (*rule raw-mod-def* [*THEN def-transrec, THEN trans*])
apply (*simp* (*no-asm-simp*) *add: div-termination* [*THEN ltD*] *not-lt-iff-le* [*THEN iffD2*], *blast*)
done

lemma *mod-geq*: $\llbracket n \leq m; m : \text{nat} \rrbracket \implies m \bmod n = (m \# -n) \bmod n$
apply (*frule lt-nat-in-nat, erule nat-succI*)
apply (*case-tac n=0*)
 apply (*simp* *add: DIVISION-BY-ZERO-MOD*)
apply (*simp* *add: mod-def raw-mod-geq nat-into-Ord* [*THEN Ord-0-lt-iff*])
done

28.3 Division

lemma *raw-div-type*: $\llbracket m:\text{nat}; n:\text{nat} \rrbracket \implies \text{raw-div } (m, n) \in \text{nat}$
unfolding *raw-div-def*
apply (*rule Ord-transrec-type*)
apply (*auto simp add: nat-into-Ord [THEN Ord-0-lt-iff]*)
apply (*blast intro: div-rls*)
done

lemma *div-type [TC,iff]*: $m \text{ div } n \in \text{nat}$
unfolding *div-def*
apply (*simp (no-asm) add: div-def raw-div-type*)
done

lemma *raw-div-less*: $m < n \implies \text{raw-div } (m, n) = 0$
apply (*rule raw-div-def [THEN def-transrec, THEN trans]*)
apply (*simp (no-asm-simp) add: div-termination [THEN ltD]*)
done

lemma *div-less [simp]*: $\llbracket m < n; n \in \text{nat} \rrbracket \implies m \text{ div } n = 0$
apply (*frule lt-nat-in-nat, assumption*)
apply (*simp (no-asm-simp) add: div-def raw-div-less*)
done

lemma *raw-div-geq*: $\llbracket 0 < n; n \leq m; m:\text{nat} \rrbracket \implies \text{raw-div}(m, n) = \text{succ}(\text{raw-div}(m \# -n, n))$
apply (*subgoal-tac n \neq 0*)
prefer 2 **apply** *blast*
apply (*frule lt-nat-in-nat, erule nat-succI*)
apply (*rule raw-div-def [THEN def-transrec, THEN trans]*)
apply (*simp (no-asm-simp) add: div-termination [THEN ltD] not-lt-iff-le [THEN iffD2]*)
done

lemma *div-geq [simp]*:
 $\llbracket 0 < n; n \leq m; m:\text{nat} \rrbracket \implies m \text{ div } n = \text{succ}((m \# -n) \text{ div } n)$
apply (*frule lt-nat-in-nat, erule nat-succI*)
apply (*simp (no-asm-simp) add: div-def raw-div-geq*)
done

declare *div-less [simp] div-geq [simp]*

lemma *mod-div-lemma*: $\llbracket m:\text{nat}; n:\text{nat} \rrbracket \implies (m \text{ div } n) \# * n \# + m \text{ mod } n = m$
apply (*case-tac n=0*)
apply (*simp add: DIVISION-BY-ZERO-MOD*)
apply (*simp add: nat-into-Ord [THEN Ord-0-lt-iff]*)
apply (*erule complete-induct*)
apply (*case-tac x < n*)

```

case x < n
apply (simp (no-asm-simp))

case n ≤ x
apply (simp add: not-lt-iff-le add-assoc mod-geq div-termination [THEN ltD] add-diff-inverse)
done

lemma mod-div-equality-natify: (m div n) #* n #+ m mod n = natify(m)
apply (subgoal-tac (natify (m) div natify (n)) #* natify (n) #+ natify (m) mod
natify (n) = natify (m) )
apply force
apply (subst mod-div-lemma, auto)
done

lemma mod-div-equality: m: nat ⇒ (m div n) #* n #+ m mod n = m
apply (simp (no-asm-simp) add: mod-div-equality-natify)
done

```

28.4 Further Facts about Remainder

(mainly for mutilated chess board)

```

lemma mod-succ-lemma:
  [[0 < n; m: nat; n: nat]]
  ⇒ succ(m) mod n = (if succ(m mod n) = n then 0 else succ(m mod n))
apply (erule complete-induct)
apply (case-tac succ (x) < n)

case succ(x) < n
  apply (simp (no-asm-simp) add: nat-le-refl [THEN lt-trans] succ-neq-self)
  apply (simp add: ltD [THEN mem-imp-not-eq])

case n ≤ succ(x)
  apply (simp add: mod-geq not-lt-iff-le)
  apply (erule leE)
  apply (simp (no-asm-simp) add: mod-geq div-termination [THEN ltD] diff-succ)

equality case
apply (simp add: diff-self-eq-0)
done

lemma mod-succ:
  n: nat ⇒ succ(m) mod n = (if succ(m mod n) = n then 0 else succ(m mod n))
apply (case-tac n=0)
  apply (simp (no-asm-simp) add: natify-succ DIVISION-BY-ZERO-MOD)
  apply (subgoal-tac natify (succ (m)) mod n = (if succ (natify (m) mod n) = n
then 0 else succ (natify (m) mod n)))
  prefer 2
  apply (subst natify-succ)

```

```

apply (rule mod-succ-lemma)
apply (auto simp del: natify-succ simp add: nat-into-Ord [THEN Ord-0-lt-iff])
done

```

```

lemma mod-less-divisor:  $\llbracket 0 < n; n:\text{nat} \rrbracket \implies m \bmod n < n$ 
apply (subgoal-tac natify (m) mod n < n)
apply (rule-tac [2] i = natify (m) in complete-induct)
apply (case-tac [3] x < n, auto)

```

case $n \leq x$

```

apply (simp add: mod-geq not-lt-iff-le div-termination [THEN ltD])
done

```

```

lemma mod-1-eq [simp]:  $m \bmod 1 = 0$ 
by (cut-tac n = 1 in mod-less-divisor, auto)

```

```

lemma mod2-cases:  $b < 2 \implies k \bmod 2 = b \mid k \bmod 2 = (\text{if } b=1 \text{ then } 0 \text{ else } 1)$ 
apply (subgoal-tac k mod 2: 2)
prefer 2 apply (simp add: mod-less-divisor [THEN ltD])
apply (drule ltD, auto)
done

```

```

lemma mod2-succ-succ [simp]:  $\text{succ}(\text{succ}(m)) \bmod 2 = m \bmod 2$ 
apply (subgoal-tac m mod 2: 2)
prefer 2 apply (simp add: mod-less-divisor [THEN ltD])
apply (auto simp add: mod-succ)
done

```

```

lemma mod2-add-more [simp]:  $(m \# + m \# + n) \bmod 2 = n \bmod 2$ 
apply (subgoal-tac (natify (m) \# + natify (m) \# + n) mod 2 = n mod 2)
apply (rule-tac [2] n = natify (m) in nat-induct)
apply auto
done

```

```

lemma mod2-add-self [simp]:  $(m \# + m) \bmod 2 = 0$ 
by (cut-tac n = 0 in mod2-add-more, auto)

```

28.5 Additional theorems about \leq

```

lemma add-le-self:  $m:\text{nat} \implies m \leq (m \# + n)$ 
apply (simp (no-asm-simp))
done

```

```

lemma add-le-self2:  $m:\text{nat} \implies m \leq (n \# + m)$ 
apply (simp (no-asm-simp))
done

```

```

lemma mult-le-mono1:  $\llbracket i \leq j; j:\text{nat} \rrbracket \implies (i \# * k) \leq (j \# * k)$ 

```

```

apply (subgoal-tac natify (i) #*natify (k) ≤ j#*natify (k) )
apply (frule-tac [2] lt-nat-in-nat)
apply (rule-tac [3] n = natify (k) in nat-induct)
apply (simp-all add: add-le-mono)
done

```

```

lemma mult-le-mono:  $\llbracket i \leq j; k \leq l; j:\text{nat}; l:\text{nat} \rrbracket \implies i\#*k \leq j\#*l$ 
apply (rule mult-le-mono1 [THEN le-trans], assumption+)
apply (subst mult-commute, subst mult-commute, rule mult-le-mono1, assumption+)
done

```

```

lemma mult-lt-mono2:  $\llbracket i < j; 0 < k; j:\text{nat}; k:\text{nat} \rrbracket \implies k\#*i < k\#*j$ 
apply (erule zero-lt-natE)
apply (frule-tac [2] lt-nat-in-nat)
apply (simp-all (no-asm-simp))
apply (induct-tac x)
apply (simp-all (no-asm-simp) add: add-lt-mono)
done

```

```

lemma mult-lt-mono1:  $\llbracket i < j; 0 < k; j:\text{nat}; k:\text{nat} \rrbracket \implies i\#*k < j\#*k$ 
apply (simp (no-asm-simp) add: mult-lt-mono2 mult-commute [of - k])
done

```

```

lemma add-eq-0-iff [iff]:  $m\#+n = 0 \iff \text{natify}(m)=0 \wedge \text{natify}(n)=0$ 
apply (subgoal-tac natify (m) #+ natify (n) = 0  $\iff$  natify (m) = 0  $\wedge$  natify (n) = 0)
apply (rule-tac [2] n = natify (m) in natE)
apply (rule-tac [4] n = natify (n) in natE)
apply auto
done

```

```

lemma zero-lt-mult-iff [iff]:  $0 < m\#*n \iff 0 < \text{natify}(m) \wedge 0 < \text{natify}(n)$ 
apply (subgoal-tac  $0 < \text{natify} (m) \#*\text{natify} (n) \iff 0 < \text{natify} (m) \wedge 0 < \text{natify} (n)$ )
apply (rule-tac [2] n = natify (m) in natE)
apply (rule-tac [4] n = natify (n) in natE)
apply (rule-tac [3] n = natify (n) in natE)
apply auto
done

```

```

lemma mult-eq-1-iff [iff]:  $m\#*n = 1 \iff \text{natify}(m)=1 \wedge \text{natify}(n)=1$ 
apply (subgoal-tac natify (m) #* natify (n) = 1  $\iff$  natify (m) = 1  $\wedge$  natify (n) = 1)
apply (rule-tac [2] n = natify (m) in natE)
apply (rule-tac [4] n = natify (n) in natE)
apply auto

```

done

lemma *mult-is-zero*: $\llbracket m: \text{nat}; n: \text{nat} \rrbracket \implies (m \#* n = 0) \longleftrightarrow (m = 0 \mid n = 0)$
apply *auto*
apply (*erule natE*)
apply (*erule-tac* [2] *natE, auto*)
done

lemma *mult-is-zero-natify* [*iff*]:
 $(m \#* n = 0) \longleftrightarrow (\text{natify}(m) = 0 \mid \text{natify}(n) = 0)$
apply (*cut-tac* $m = \text{natify}(m)$ **and** $n = \text{natify}(n)$ **in** *mult-is-zero*)
apply *auto*
done

28.6 Cancellation Laws for Common Factors in Comparisons

lemma *mult-less-cancel-lemma*:
 $\llbracket k: \text{nat}; m: \text{nat}; n: \text{nat} \rrbracket \implies (m \#* k < n \#* k) \longleftrightarrow (0 < k \wedge m < n)$
apply (*safe intro!*: *mult-lt-mono1*)
apply (*erule natE, auto*)
apply (*rule not-le-iff-lt* [*THEN iffD1*])
apply (*drule-tac* [3] *not-le-iff-lt* [*THEN* [2] *rev-iffD2*])
prefer 5 **apply** (*blast intro: mult-le-mono1, auto*)
done

lemma *mult-less-cancel2* [*simp*]:
 $(m \#* k < n \#* k) \longleftrightarrow (0 < \text{natify}(k) \wedge \text{natify}(m) < \text{natify}(n))$
apply (*rule iff-trans*)
apply (*rule-tac* [2] *mult-less-cancel-lemma, auto*)
done

lemma *mult-less-cancel1* [*simp*]:
 $(k \#* m < k \#* n) \longleftrightarrow (0 < \text{natify}(k) \wedge \text{natify}(m) < \text{natify}(n))$
apply (*simp* (*no-asm*) *add: mult-less-cancel2 mult-commute* [*of k*])
done

lemma *mult-le-cancel2* [*simp*]: $(m \#* k \leq n \#* k) \longleftrightarrow (0 < \text{natify}(k) \longrightarrow \text{natify}(m) \leq \text{natify}(n))$
apply (*simp* (*no-asm-simp*) *add: not-lt-iff-le* [*THEN iff-sym*])
apply *auto*
done

lemma *mult-le-cancel1* [*simp*]: $(k \#* m \leq k \#* n) \longleftrightarrow (0 < \text{natify}(k) \longrightarrow \text{natify}(m) \leq \text{natify}(n))$
apply (*simp* (*no-asm-simp*) *add: not-lt-iff-le* [*THEN iff-sym*])
apply *auto*
done

lemma *mult-le-cancel-le1*: $k \in \text{nat} \implies k \#* m \leq k \longleftrightarrow (0 < k \longrightarrow \text{nativify}(m) \leq 1)$

by (*cut-tac* $k = k$ **and** $m = m$ **and** $n = 1$ **in** *mult-le-cancel1*, *auto*)

lemma *Ord-eq-iff-le*: $\llbracket \text{Ord}(m); \text{Ord}(n) \rrbracket \implies m = n \longleftrightarrow (m \leq n \wedge n \leq m)$

by (*blast intro*: *le-anti-sym*)

lemma *mult-cancel2-lemma*:

$\llbracket k: \text{nat}; m: \text{nat}; n: \text{nat} \rrbracket \implies (m \#* k = n \#* k) \longleftrightarrow (m = n \mid k = 0)$

apply (*simp* (*no-asm-simp*) *add*: *Ord-eq-iff-le* [*of* $m \#* k$] *Ord-eq-iff-le* [*of* m])

apply (*auto simp add*: *Ord-0-lt-iff*)

done

lemma *mult-cancel2* [*simp*]:

$(m \#* k = n \#* k) \longleftrightarrow (\text{nativify}(m) = \text{nativify}(n) \mid \text{nativify}(k) = 0)$

apply (*rule iff-trans*)

apply (*rule-tac* [2] *mult-cancel2-lemma*, *auto*)

done

lemma *mult-cancel1* [*simp*]:

$(k \#* m = k \#* n) \longleftrightarrow (\text{nativify}(m) = \text{nativify}(n) \mid \text{nativify}(k) = 0)$

apply (*simp* (*no-asm*) *add*: *mult-cancel2* *mult-commute* [*of* k])

done

lemma *div-cancel-raw*:

$\llbracket 0 < n; 0 < k; k: \text{nat}; m: \text{nat}; n: \text{nat} \rrbracket \implies (k \#* m) \text{ div } (k \#* n) = m \text{ div } n$

apply (*erule-tac* $i = m$ **in** *complete-induct*)

apply (*case-tac* $x < n$)

apply (*simp add*: *div-less zero-lt-mult-iff mult-lt-mono2*)

apply (*simp add*: *not-lt-iff-le zero-lt-mult-iff le-refl* [*THEN mult-le-mono*] *div-geq diff-mult-distrib2* [*symmetric*] *div-termination* [*THEN ltD*])

done

lemma *div-cancel*:

$\llbracket 0 < \text{nativify}(n); 0 < \text{nativify}(k) \rrbracket \implies (k \#* m) \text{ div } (k \#* n) = m \text{ div } n$

apply (*cut-tac* $k = \text{nativify}(k)$ **and** $m = \text{nativify}(m)$ **and** $n = \text{nativify}(n)$ **in** *div-cancel-raw*)

apply *auto*

done

28.7 More Lemmas about Remainder

lemma *mult-mod-distrib-raw*:

$\llbracket k: \text{nat}; m: \text{nat}; n: \text{nat} \rrbracket \implies (k \#* m) \text{ mod } (k \#* n) = k \#* (m \text{ mod } n)$

apply (*case-tac* $k = 0$)

apply (*simp add*: *DIVISION-BY-ZERO-MOD*)

```

apply (case-tac  $n=0$ )
  apply (simp add: DIVISION-BY-ZERO-MOD)
apply (simp add: nat-into-Ord [THEN Ord-0-lt-iff])
apply (erule-tac  $i = m$  in complete-induct)
apply (case-tac  $x < n$ )
  apply (simp (no-asm-simp) add: mod-less zero-lt-mult-iff mult-lt-mono2)
apply (simp add: not-lt-iff-le zero-lt-mult-iff le-refl [THEN mult-le-mono]
  mod-geq diff-mult-distrib2 [symmetric] div-termination [THEN ltD])
done

```

```

lemma mod-mult-distrib2:  $k \#* (m \bmod n) = (k\#*m) \bmod (k\#*n)$ 
apply (cut-tac  $k = \text{natty}(k)$  and  $m = \text{natty}(m)$  and  $n = \text{natty}(n)$ 
  in mult-mod-distrib-raw)
apply auto
done

```

```

lemma mult-mod-distrib:  $(m \bmod n) \#* k = (m\#*k) \bmod (n\#*k)$ 
apply (simp (no-asm) add: mult-commute mod-mult-distrib2)
done

```

```

lemma mod-add-self2-raw:  $n \in \text{nat} \implies (m \#+ n) \bmod n = m \bmod n$ 
apply (subgoal-tac  $(n \#+ m) \bmod n = (n \#+ m \#- n) \bmod n$ )
apply (simp add: add-commute)
apply (subst mod-geq [symmetric], auto)
done

```

```

lemma mod-add-self2 [simp]:  $(m \#+ n) \bmod n = m \bmod n$ 
apply (cut-tac  $n = \text{natty}(n)$  in mod-add-self2-raw)
apply auto
done

```

```

lemma mod-add-self1 [simp]:  $(n\#+m) \bmod n = m \bmod n$ 
apply (simp (no-asm-simp) add: add-commute mod-add-self2)
done

```

```

lemma mod-mult-self1-raw:  $k \in \text{nat} \implies (m \#+ k\#*n) \bmod n = m \bmod n$ 
apply (erule nat-induct)
apply (simp-all (no-asm-simp) add: add-left-commute [of - n])
done

```

```

lemma mod-mult-self1 [simp]:  $(m \#+ k\#*n) \bmod n = m \bmod n$ 
apply (cut-tac  $k = \text{natty}(k)$  in mod-mult-self1-raw)
apply auto
done

```

```

lemma mod-mult-self2 [simp]:  $(m \#+ n\#*k) \bmod n = m \bmod n$ 
apply (simp (no-asm) add: mult-commute mod-mult-self1)
done

```

```

lemma mult-eq-self-implies-10:  $m = m \# * n \implies \text{nativify}(n) = 1 \mid m = 0$ 
apply (subgoal-tac  $m: \text{nat}$ )
prefer 2
apply (erule ssubst)
apply simp
apply (rule disjCI)
apply (drule sym)
apply (rule Ord-linear-lt [of natify( $n$ ) 1])
apply simp-all
apply (subgoal-tac  $m \# * n = 0$ , simp)
apply (subst mult-nativify2 [symmetric])
apply (simp del: mult-nativify2)
apply (drule nat-into-Ord [THEN Ord-0-lt, THEN [2] mult-lt-mono2], auto)
done

```

```

lemma less-imp-succ-add [rule-format]:
   $\llbracket m < n; n: \text{nat} \rrbracket \implies \exists k \in \text{nat}. n = \text{succ}(m \# + k)$ 
apply (frule lt-nat-in-nat, assumption)
apply (erule rev-mp)
apply (induct-tac  $n$ )
apply (simp-all (no-asm) add: le-iff)
apply (blast elim!: leE intro!: add-0-right [symmetric] add-succ-right [symmetric])
done

```

```

lemma less-iff-succ-add:
   $\llbracket m: \text{nat}; n: \text{nat} \rrbracket \implies (m < n) \longleftrightarrow (\exists k \in \text{nat}. n = \text{succ}(m \# + k))$ 
by (auto intro: less-imp-succ-add)

```

```

lemma add-lt-elim2:
   $\llbracket a \# + d = b \# + c; a < b; b \in \text{nat}; c \in \text{nat}; d \in \text{nat} \rrbracket \implies c < d$ 
by (drule less-imp-succ-add, auto)

```

```

lemma add-le-elim2:
   $\llbracket a \# + d = b \# + c; a \leq b; b \in \text{nat}; c \in \text{nat}; d \in \text{nat} \rrbracket \implies c \leq d$ 
by (drule less-imp-succ-add, auto)

```

28.7.1 More Lemmas About Difference

```

lemma diff-is-0-lemma:
   $\llbracket m: \text{nat}; n: \text{nat} \rrbracket \implies m \# - n = 0 \longleftrightarrow m \leq n$ 
apply (rule-tac  $m = m$  and  $n = n$  in diff-induct, simp-all)
done

```

```

lemma diff-is-0-iff:  $m \# - n = 0 \longleftrightarrow \text{nativify}(m) \leq \text{nativify}(n)$ 
by (simp add: diff-is-0-lemma [symmetric])

```

```

lemma nat-lt-imp-diff-eq-0:
   $\llbracket a: \text{nat}; b: \text{nat}; a < b \rrbracket \implies a \# - b = 0$ 

```

by (simp add: diff-is-0-iff le-iff)

lemma raw-nat-diff-split:

```
  [[a:nat; b:nat]] ==>
    (P(a #- b)) <-> ((a < b -> P(0)) & (forall d in nat. a = b #+ d -> P(d)))
  apply (case-tac a < b)
  apply (force simp add: nat-lt-imp-diff-eq-0)
  apply (rule iffI, force, simp)
  apply (drule-tac x=a#-b in bspec)
  apply (simp-all add: Ordinal.not-lt-iff-le add-diff-inverse)
  done
```

lemma nat-diff-split:

```
  (P(a #- b)) <->
    (natify(a) < natify(b) -> P(0)) & (forall d in nat. natify(a) = b #+ d -> P(d))
  apply (cut-tac P=P and a=natify(a) and b=natify(b) in raw-nat-diff-split)
  apply simp-all
  done
```

Difference and less-than

```
lemma diff-lt-imp-lt: [[(k#-i) < (k#-j); i in nat; j in nat; k in nat]] ==> j < i
  apply (erule rev-mp)
  apply (simp split: nat-diff-split, auto)
  apply (blast intro: add-le-self lt-trans1)
  apply (rule not-le-iff-lt [THEN iffD1], auto)
  apply (subgoal-tac i #+ da < j #+ d, force)
  apply (blast intro: add-le-lt-mono)
  done
```

```
lemma lt-imp-diff-lt: [[j < i; i <= k; k in nat]] ==> (k#-i) < (k#-j)
  apply (frule le-in-nat, assumption)
  apply (frule lt-nat-in-nat, assumption)
  apply (simp split: nat-diff-split, auto)
  apply (blast intro: lt-asymp lt-trans2)
  apply (blast intro: lt-irrefl lt-trans2)
  apply (rule not-le-iff-lt [THEN iffD1], auto)
  apply (subgoal-tac j #+ d < i #+ da, force)
  apply (blast intro: add-lt-le-mono)
  done
```

```
lemma diff-lt-iff-lt: [[i <= k; j in nat; k in nat]] ==> (k#-i) < (k#-j) <-> j < i
  apply (frule le-in-nat, assumption)
  apply (blast intro: lt-imp-diff-lt diff-lt-imp-lt)
  done
```

end

29 Lists in Zermelo-Fraenkel Set Theory

theory *List* imports *Datatype ArithSimp* begin

consts

list :: $i \Rightarrow i$

datatype

list(A) = *Nil* | *Cons* ($a \in A, l \in \text{list}(A)$)

syntax

-*Nil* :: $i \langle \langle \rangle \rangle$

-*List* :: $is \Rightarrow i \langle \langle (-) \rangle \rangle$

translations

$[x, xs]$ == *CONST Cons*($x, [xs]$)

$[x]$ == *CONST Cons*($x, []$)

$[]$ == *CONST Nil*

consts

length :: $i \Rightarrow i$

hd :: $i \Rightarrow i$

tl :: $i \Rightarrow i$

primrec

length($[]$) = 0

length(*Cons*(a, l)) = *succ*(*length*(l))

primrec

hd($[]$) = 0

hd(*Cons*(a, l)) = a

primrec

tl($[]$) = $[]$

tl(*Cons*(a, l)) = l

consts

map :: $[i \Rightarrow i, i] \Rightarrow i$

set-of-list :: $i \Rightarrow i$

app :: $[i, i] \Rightarrow i$

(**infixr** $\langle @ \rangle$ 60)

primrec

map($f, []$) = $[]$

map($f, \text{Cons}(a, l)$) = *Cons*($f(a), \text{map}(f, l)$)

primrec

$set-of-list([]) = 0$
 $set-of-list(Cons(a,l)) = cons(a, set-of-list(l))$

primrec

$app-Nil: [] @ ys = ys$
 $app-Cons: (Cons(a,l)) @ ys = Cons(a, l @ ys)$

consts

$rev :: i \Rightarrow i$
 $flat :: i \Rightarrow i$
 $list-add :: i \Rightarrow i$

primrec

$rev([]) = []$
 $rev(Cons(a,l)) = rev(l) @ [a]$

primrec

$flat([]) = []$
 $flat(Cons(l,ls)) = l @ flat(ls)$

primrec

$list-add([]) = 0$
 $list-add(Cons(a,l)) = a \#+ list-add(l)$

consts

$drop :: [i,i] \Rightarrow i$

primrec

$drop-0: drop(0,l) = l$
 $drop-succ: drop(succ(i), l) = tl(drop(i,l))$

definition

$take :: [i,i] \Rightarrow i$ **where**
 $take(n, as) \equiv list-rec(\lambda n \in nat. [],$
 $\lambda a l r. \lambda n \in nat. nat-case([], \lambda m. Cons(a, r'm), n), as) 'n$

definition

$nth :: [i, i] \Rightarrow i$ **where**
— returns the (n+1)th element of a list, or 0 if the list is too short.
 $nth(n, as) \equiv list-rec(\lambda n \in nat. 0,$
 $\lambda a l r. \lambda n \in nat. nat-case(a, \lambda m. r'm, n), as) 'n$

definition

list-update :: [i, i, i] ⇒ i **where**
list-update(xs, i, v) ≡ *list-rec*(λn ∈ nat. Nil,
λu us vs. λn ∈ nat. nat-case(Cons(v, us), λm. Cons(u, vs‘m), n), xs)‘i

consts

filter :: [i ⇒ o, i] ⇒ i
upt :: [i, i] ⇒ i

primrec

filter(P, Nil) = Nil
filter(P, Cons(x, xs)) =
(if P(x) then Cons(x, *filter*(P, xs)) else *filter*(P, xs))

primrec

upt(i, 0) = Nil
upt(i, succ(j)) = (if i ≤ j then *upt*(i, j)@[j] else Nil)

definition

min :: [i, i] ⇒ i **where**
min(x, y) ≡ (if x ≤ y then x else y)

definition

max :: [i, i] ⇒ i **where**
max(x, y) ≡ (if x ≤ y then y else x)

declare *list.intros* [simp, TC]

inductive-cases *ConsE*: Cons(a, l) ∈ list(A)

lemma *Cons-type-iff* [simp]: Cons(a, l) ∈ list(A) ⟷ a ∈ A ∧ l ∈ list(A)
by (blast elim: *ConsE*)

lemma *Cons-iff*: Cons(a, l) = Cons(a', l') ⟷ a = a' ∧ l = l'
by auto

lemma *Nil-Cons-iff*: ¬ Nil = Cons(a, l)
by auto

lemma *list-unfold*: list(A) = {0} + (A * list(A))
by (blast intro!: *list.intros* [unfolded *list.con-defs*]
elim: *list.cases* [unfolded *list.con-defs*])

```

lemma list-mono:  $A \leq B \implies \text{list}(A) \subseteq \text{list}(B)$ 
  unfolding list.defs
apply (rule lfp-mono)
apply (simp-all add: list.bnd-mono)
apply (assumption | rule univ-mono basic-monos)+
done

```

```

lemma list-univ:  $\text{list}(\text{univ}(A)) \subseteq \text{univ}(A)$ 
  unfolding list.defs list.con-defs
apply (rule lfp-lowerbound)
apply (rule-tac [2] A-subset-univ [THEN univ-mono])
apply (blast intro!: zero-in-univ Inl-in-univ Inr-in-univ Pair-in-univ)
done

```

```

lemmas list-subset-univ = subset-trans [OF list-mono list-univ]

```

```

lemma list-into-univ:  $\llbracket l \in \text{list}(A); A \subseteq \text{univ}(B) \rrbracket \implies l \in \text{univ}(B)$ 
by (blast intro: list-subset-univ [THEN subsetD])

```

```

lemma list-case-type:
   $\llbracket l \in \text{list}(A);$ 
   $c \in C(\text{Nil});$ 
   $\bigwedge x y. \llbracket x \in A; y \in \text{list}(A) \rrbracket \implies h(x,y): C(\text{Cons}(x,y))$ 
 $\rrbracket \implies \text{list-case}(c,h,l) \in C(l)$ 
by (erule list.induct, auto)

```

```

lemma list-0-triv:  $\text{list}(0) = \{\text{Nil}\}$ 
apply (rule equalityI, auto)
apply (induct-tac x, auto)
done

```

```

lemma tl-type:  $l \in \text{list}(A) \implies \text{tl}(l) \in \text{list}(A)$ 
apply (induct-tac l)
apply (simp-all (no-asm-simp) add: list.intros)
done

```

```

lemma drop-Nil [simp]:  $i \in \text{nat} \implies \text{drop}(i, \text{Nil}) = \text{Nil}$ 
apply (induct-tac i)
apply (simp-all (no-asm-simp))
done

```

```

lemma drop-succ-Cons [simp]:  $i \in \text{nat} \implies \text{drop}(\text{succ}(i), \text{Cons}(a,l)) = \text{drop}(i,l)$ 

```



```

apply (rule sym)
apply (induct-tac i)
apply (simp (no-asm))
apply (simp (no-asm-simp))
done

```

```

lemma drop-type [simp,TC]:  $\llbracket i \in \text{nat}; l \in \text{list}(A) \rrbracket \implies \text{drop}(i,l) \in \text{list}(A)$ 
apply (induct-tac i)
apply (simp-all (no-asm-simp) add: tl-type)
done

```

```

declare drop-succ [simp del]

```

```

lemma list-rec-type [TC]:
   $\llbracket l \in \text{list}(A);$ 
     $c \in C(\text{Nil});$ 
     $\bigwedge x y r. \llbracket x \in A; y \in \text{list}(A); r \in C(y) \rrbracket \implies h(x,y,r) \in C(\text{Cons}(x,y))$ 
 $\rrbracket \implies \text{list-rec}(c,h,l) \in C(l)$ 
by (induct-tac l, auto)

```

```

lemma map-type [TC]:
   $\llbracket l \in \text{list}(A); \bigwedge x. x \in A \implies h(x) \in B \rrbracket \implies \text{map}(h,l) \in \text{list}(B)$ 
apply (simp add: map-list-def)
apply (typecheck add: list.intros list-rec-type, blast)
done

```

```

lemma map-type2 [TC]:  $l \in \text{list}(A) \implies \text{map}(h,l) \in \text{list}(\{h(u). u \in A\})$ 
apply (erule map-type)
apply (erule RepFunI)
done

```

```

lemma length-type [TC]:  $l \in \text{list}(A) \implies \text{length}(l) \in \text{nat}$ 
by (simp add: length-list-def)

```

```

lemma lt-length-in-nat:
   $\llbracket x < \text{length}(xs); xs \in \text{list}(A) \rrbracket \implies x \in \text{nat}$ 
by (frule lt-nat-in-nat, typecheck)

```

```

lemma app-type [TC]:  $\llbracket xs: \text{list}(A); ys: \text{list}(A) \rrbracket \implies xs@ys \in \text{list}(A)$ 
by (simp add: app-list-def)

```

lemma *rev-type* [TC]: $xs: list(A) \implies rev(xs) \in list(A)$
by (*simp add: rev-list-def*)

lemma *flat-type* [TC]: $ls: list(list(A)) \implies flat(ls) \in list(A)$
by (*simp add: flat-list-def*)

lemma *set-of-list-type* [TC]: $l \in list(A) \implies set-of-list(l) \in Pow(A)$
unfolding *set-of-list-list-def*
apply (*erule list-rec-type, auto*)
done

lemma *set-of-list-append*:
 $xs: list(A) \implies set-of-list (xs@ys) = set-of-list(xs) \cup set-of-list(ys)$
apply (*erule list.induct*)
apply (*simp-all (no-asm-simp) add: Un-cons*)
done

lemma *list-add-type* [TC]: $xs: list(nat) \implies list-add(xs) \in nat$
by (*simp add: list-add-list-def*)

lemma *map-ident* [*simp*]: $l \in list(A) \implies map(\lambda u. u, l) = l$
apply (*induct-tac l*)
apply (*simp-all (no-asm-simp)*)
done

lemma *map-compose*: $l \in list(A) \implies map(h, map(j,l)) = map(\lambda u. h(j(u)), l)$
apply (*induct-tac l*)
apply (*simp-all (no-asm-simp)*)
done

lemma *map-app-distrib*: $xs: list(A) \implies map(h, xs@ys) = map(h,xs) @ map(h,ys)$
apply (*induct-tac xs*)
apply (*simp-all (no-asm-simp)*)
done

lemma *map-flat*: $ls: list(list(A)) \implies map(h, flat(ls)) = flat(map(map(h), ls))$
apply (*induct-tac* *ls*)
apply (*simp-all* (*no-asm-simp*) *add: map-app-distrib*)
done

lemma *list-rec-map*:
 $l \in list(A) \implies$
 $list-rec(c, d, map(h, l)) =$
 $list-rec(c, \lambda x xs r. d(h(x), map(h, xs), r), l)$
apply (*induct-tac* *l*)
apply (*simp-all* (*no-asm-simp*))
done

lemmas *list-CollectD* = *Collect-subset* [*THEN list-mono*, *THEN subsetD*]

lemma *map-list-Collect*: $l \in list(\{x \in A. h(x)=j(x)\}) \implies map(h, l) = map(j, l)$
apply (*induct-tac* *l*)
apply (*simp-all* (*no-asm-simp*))
done

lemma *length-map* [*simp*]: $xs: list(A) \implies length(map(h, xs)) = length(xs)$
by (*induct-tac* *xs*, *simp-all*)

lemma *length-app* [*simp*]:
 $\llbracket xs: list(A); ys: list(A) \rrbracket$
 $\implies length(xs@ys) = length(xs) \# + length(ys)$
by (*induct-tac* *xs*, *simp-all*)

lemma *length-rev* [*simp*]: $xs: list(A) \implies length(rev(xs)) = length(xs)$
apply (*induct-tac* *xs*)
apply (*simp-all* (*no-asm-simp*) *add: length-app*)
done

lemma *length-flat*:
 $ls: list(list(A)) \implies length(flat(ls)) = list-add(map(length, ls))$
apply (*induct-tac* *ls*)
apply (*simp-all* (*no-asm-simp*) *add: length-app*)
done

lemma *drop-length-Cons* [*rule-format*]:

$xs: list(A) \implies$
 $\forall x. \exists z zs. drop(length(xs), Cons(x,xs)) = Cons(z,zs)$
by (erule list.induct, simp-all)

lemma drop-length [rule-format]:
 $l \in list(A) \implies \forall i \in length(l). (\exists z zs. drop(i,l) = Cons(z,zs))$
apply (erule list.induct, simp-all, safe)
apply (erule drop-length-Cons)
apply (rule natE)
apply (erule Ord-trans [OF asm-rl length-type Ord-nat], assumption, simp-all)
apply (blast intro: succ-in-naturalD length-type)
done

lemma app-right-Nil [simp]: $xs: list(A) \implies xs@Nil=xs$
by (erule list.induct, simp-all)

lemma app-assoc: $xs: list(A) \implies (xs@ys)@zs = xs@(ys@zs)$
by (induct-tac xs, simp-all)

lemma flat-app-distrib: $ls: list(list(A)) \implies flat(ls@ms) = flat(ls)@flat(ms)$
apply (induct-tac ls)
apply (simp-all (no-asm-simp) add: app-assoc)
done

lemma rev-map-distrib: $l \in list(A) \implies rev(map(h,l)) = map(h,rev(l))$
apply (induct-tac l)
apply (simp-all (no-asm-simp) add: map-app-distrib)
done

lemma rev-app-distrib:
 $\llbracket xs: list(A); ys: list(A) \rrbracket \implies rev(xs@ys) = rev(ys)@rev(xs)$
apply (erule list.induct)
apply (simp-all add: app-assoc)
done

lemma rev-rev-ident [simp]: $l \in list(A) \implies rev(rev(l))=l$
apply (induct-tac l)
apply (simp-all (no-asm-simp) add: rev-app-distrib)
done

lemma rev-flat: $ls: list(list(A)) \implies rev(flat(ls)) = flat(map(rev,rev(ls)))$
apply (induct-tac ls)
apply (simp-all add: map-app-distrib flat-app-distrib rev-app-distrib)

done

lemma *list-add-app*:

$\llbracket xs: \text{list}(\text{nat}); ys: \text{list}(\text{nat}) \rrbracket$

$\implies \text{list-add}(xs@ys) = \text{list-add}(ys) \#+ \text{list-add}(xs)$

apply (*induct-tac* *xs*, *simp-all*)

done

lemma *list-add-rev*: $l \in \text{list}(\text{nat}) \implies \text{list-add}(\text{rev}(l)) = \text{list-add}(l)$

apply (*induct-tac* *l*)

apply (*simp-all* (*no-asm-simp*) *add*: *list-add-app*)

done

lemma *list-add-flat*:

$ls: \text{list}(\text{list}(\text{nat})) \implies \text{list-add}(\text{flat}(ls)) = \text{list-add}(\text{map}(\text{list-add}, ls))$

apply (*induct-tac* *ls*)

apply (*simp-all* (*no-asm-simp*) *add*: *list-add-app*)

done

lemma *list-append-induct* [*case-names Nil snoc*, *consumes 1*]:

$\llbracket l \in \text{list}(A);$

$P(\text{Nil});$

$\bigwedge x y. \llbracket x \in A; y \in \text{list}(A); P(y) \rrbracket \implies P(y @ [x])$

$\rrbracket \implies P(l)$

apply (*subgoal-tac* $P(\text{rev}(\text{rev}(l)))$, *simp*)

apply (*erule rev-type* [*THEN list.induct*], *simp-all*)

done

lemma *list-complete-induct-lemma* [*rule-format*]:

assumes *ih*:

$\bigwedge l. \llbracket l \in \text{list}(A);$

$\forall l' \in \text{list}(A). \text{length}(l') < \text{length}(l) \longrightarrow P(l') \rrbracket$

$\implies P(l)$

shows $n \in \text{nat} \implies \forall l \in \text{list}(A). \text{length}(l) < n \longrightarrow P(l)$

apply (*induct-tac* *n*, *simp*)

apply (*blast intro*: *ih elim*!: *leE*)

done

theorem *list-complete-induct*:

$\llbracket l \in \text{list}(A);$

$\bigwedge l. \llbracket l \in \text{list}(A);$

$\forall l' \in \text{list}(A). \text{length}(l') < \text{length}(l) \longrightarrow P(l') \rrbracket$

$\implies P(l)$

$\rrbracket \implies P(l)$

```

apply (rule list-complete-induct-lemma [of A])
  prefer 4 apply (rule le-refl, simp)
  apply blast
  apply simp
apply assumption
done

```

```

lemma min-sym:  $\llbracket i \in \text{nat}; j \in \text{nat} \rrbracket \implies \text{min}(i,j) = \text{min}(j,i)$ 
  unfolding min-def
apply (auto dest!: not-lt-imp-le dest: lt-not-sym intro: le-anti-sym)
done

```

```

lemma min-type [simp,TC]:  $\llbracket i \in \text{nat}; j \in \text{nat} \rrbracket \implies \text{min}(i,j) : \text{nat}$ 
by (unfold min-def, auto)

```

```

lemma min-0 [simp]:  $i \in \text{nat} \implies \text{min}(0,i) = 0$ 
  unfolding min-def
apply (auto dest: not-lt-imp-le)
done

```

```

lemma min-02 [simp]:  $i \in \text{nat} \implies \text{min}(i, 0) = 0$ 
  unfolding min-def
apply (auto dest: not-lt-imp-le)
done

```

```

lemma lt-min-iff:  $\llbracket i \in \text{nat}; j \in \text{nat}; k \in \text{nat} \rrbracket \implies i < \text{min}(j,k) \iff i < j \wedge i < k$ 
  unfolding min-def
apply (auto dest!: not-lt-imp-le intro: lt-trans2 lt-trans)
done

```

```

lemma min-succ-succ [simp]:
   $\llbracket i \in \text{nat}; j \in \text{nat} \rrbracket \implies \text{min}(\text{succ}(i), \text{succ}(j)) = \text{succ}(\text{min}(i, j))$ 
apply (unfold min-def, auto)
done

```

```

lemma filter-append [simp]:
   $xs : \text{list}(A) \implies \text{filter}(P, xs @ ys) = \text{filter}(P, xs) @ \text{filter}(P, ys)$ 
by (induct-tac xs, auto)

```

```

lemma filter-type [simp,TC]:  $xs : \text{list}(A) \implies \text{filter}(P, xs) : \text{list}(A)$ 

```

by (*induct-tac xs, auto*)

lemma *length-filter*: $xs:list(A) \implies length(filter(P, xs)) \leq length(xs)$
apply (*induct-tac xs, auto*)
apply (*rule-tac j = length (l) in le-trans*)
apply (*auto simp add: le-iff*)
done

lemma *filter-is-subset*: $xs:list(A) \implies set-of-list(filter(P,xs)) \subseteq set-of-list(xs)$
by (*induct-tac xs, auto*)

lemma *filter-False* [*simp*]: $xs:list(A) \implies filter(\lambda p. False, xs) = Nil$
by (*induct-tac xs, auto*)

lemma *filter-True* [*simp*]: $xs:list(A) \implies filter(\lambda p. True, xs) = xs$
by (*induct-tac xs, auto*)

lemma *length-is-0-iff* [*simp*]: $xs:list(A) \implies length(xs)=0 \longleftrightarrow xs=Nil$
by (*erule list.induct, auto*)

lemma *length-is-0-iff2* [*simp*]: $xs:list(A) \implies 0 = length(xs) \longleftrightarrow xs=Nil$
by (*erule list.induct, auto*)

lemma *length-tl* [*simp*]: $xs:list(A) \implies length(tl(xs)) = length(xs) \#- 1$
by (*erule list.induct, auto*)

lemma *length-greater-0-iff*: $xs:list(A) \implies 0 < length(xs) \longleftrightarrow xs \neq Nil$
by (*erule list.induct, auto*)

lemma *length-succ-iff*: $xs:list(A) \implies length(xs)=succ(n) \longleftrightarrow (\exists y ys. xs=Cons(y, ys) \wedge length(ys)=n)$
by (*erule list.induct, auto*)

lemma *append-is-Nil-iff* [*simp*]:
 $xs:list(A) \implies (xs@ys = Nil) \longleftrightarrow (xs=Nil \wedge ys = Nil)$
by (*erule list.induct, auto*)

lemma *append-is-Nil-iff2* [*simp*]:
 $xs:list(A) \implies (Nil = xs@ys) \longleftrightarrow (xs=Nil \wedge ys = Nil)$
by (*erule list.induct, auto*)

lemma *append-left-is-self-iff* [*simp*]:
 $xs:list(A) \implies (xs@ys = xs) \longleftrightarrow (ys = Nil)$
by (*erule list.induct, auto*)

lemma *append-left-is-self-iff2* [*simp*]:
 $xs: \text{list}(A) \implies (xs = xs@ys) \longleftrightarrow (ys = \text{Nil})$
by (*erule list.induct, auto*)

lemma *append-left-is-Nil-iff* [*rule-format*]:
 $\llbracket xs: \text{list}(A); ys: \text{list}(A); zs: \text{list}(A) \rrbracket \implies$
 $\text{length}(ys) = \text{length}(zs) \longrightarrow (xs@ys = zs \longleftrightarrow (xs = \text{Nil} \wedge ys = zs))$
apply (*erule list.induct*)
apply (*auto simp add: length-app*)
done

lemma *append-left-is-Nil-iff2* [*rule-format*]:
 $\llbracket xs: \text{list}(A); ys: \text{list}(A); zs: \text{list}(A) \rrbracket \implies$
 $\text{length}(ys) = \text{length}(zs) \longrightarrow (zs = ys@xs \longleftrightarrow (xs = \text{Nil} \wedge ys = zs))$
apply (*erule list.induct*)
apply (*auto simp add: length-app*)
done

lemma *append-eq-append-iff* [*rule-format*]:
 $xs: \text{list}(A) \implies \forall ys \in \text{list}(A).$
 $\text{length}(xs) = \text{length}(ys) \longrightarrow (xs@us = ys@vs) \longleftrightarrow (xs = ys \wedge us = vs)$
apply (*erule list.induct*)
apply (*simp (no-asm-simp)*)
apply *clarify*
apply (*erule-tac a = ys in list.cases, auto*)
done
declare *append-eq-append-iff* [*simp*]

lemma *append-eq-append* [*rule-format*]:
 $xs: \text{list}(A) \implies$
 $\forall ys \in \text{list}(A). \forall us \in \text{list}(A). \forall vs \in \text{list}(A).$
 $\text{length}(us) = \text{length}(vs) \longrightarrow (xs@us = ys@vs) \longrightarrow (xs = ys \wedge us = vs)$
apply (*induct-tac xs*)
apply (*force simp add: length-app, clarify*)
apply (*erule-tac a = ys in list.cases, simp*)
apply (*subgoal-tac Cons (a, l) @ us = vs*)
apply (*drule rev-iffD1 [OF - append-left-is-Nil-iff], simp-all, blast*)
done

lemma *append-eq-append-iff2* [*simp*]:
 $\llbracket xs: \text{list}(A); ys: \text{list}(A); us: \text{list}(A); vs: \text{list}(A); \text{length}(us) = \text{length}(vs) \rrbracket$
 $\implies xs@us = ys@vs \longleftrightarrow (xs = ys \wedge us = vs)$
apply (*rule iffI*)
apply (*rule append-eq-append, auto*)
done

lemma *append-self-iff* [*simp*]:

$\llbracket xs:\text{list}(A); ys:\text{list}(A); zs:\text{list}(A) \rrbracket \implies xs@ys = xs@zs \longleftrightarrow ys = zs$
by *simp*

lemma *append-self-iff2* [*simp*]:

$\llbracket xs:\text{list}(A); ys:\text{list}(A); zs:\text{list}(A) \rrbracket \implies ys@xs = zs@xs \longleftrightarrow ys = zs$
by *simp*

lemma *append1-eq-iff* [*rule-format*]:

$xs:\text{list}(A) \implies \forall ys \in \text{list}(A). xs@[x] = ys@[y] \longleftrightarrow (xs = ys \wedge x=y)$
apply (*erule list.induct*)
apply *clarify*
apply (*erule list.cases*)
apply *simp-all*

Inductive step

apply *clarify*
apply (*erule-tac a=ys in list.cases, simp-all*)
done
declare *append1-eq-iff* [*simp*]

lemma *append-right-is-self-iff* [*simp*]:

$\llbracket xs:\text{list}(A); ys:\text{list}(A) \rrbracket \implies (xs@ys = ys) \longleftrightarrow (xs = \text{Nil})$
by (*simp (no-asm-simp) add: append-left-is-Nil-iff*)

lemma *append-right-is-self-iff2* [*simp*]:

$\llbracket xs:\text{list}(A); ys:\text{list}(A) \rrbracket \implies (ys = xs@ys) \longleftrightarrow (xs = \text{Nil})$
apply (*rule iff1*)
apply (*drule sym, auto*)
done

lemma *hd-append* [*rule-format*]:

$xs:\text{list}(A) \implies xs \neq \text{Nil} \longrightarrow \text{hd}(xs @ ys) = \text{hd}(xs)$
by (*induct-tac xs, auto*)
declare *hd-append* [*simp*]

lemma *tl-append* [*rule-format*]:

$xs:\text{list}(A) \implies xs \neq \text{Nil} \longrightarrow \text{tl}(xs @ ys) = \text{tl}(xs)@ys$
by (*induct-tac xs, auto*)
declare *tl-append* [*simp*]

lemma *rev-is-Nil-iff* [*simp*]: $xs:\text{list}(A) \implies (\text{rev}(xs) = \text{Nil}) \longleftrightarrow xs = \text{Nil}$
by (*erule list.induct, auto*)

lemma *Nil-is-rev-iff* [*simp*]: $xs:\text{list}(A) \implies (\text{Nil} = \text{rev}(xs)) \longleftrightarrow xs = \text{Nil}$
by (*erule list.induct, auto*)

lemma *rev-is-rev-iff* [*rule-format*]:

```

     $xs: \text{list}(A) \implies \forall ys \in \text{list}(A). \text{rev}(xs) = \text{rev}(ys) \iff xs = ys$ 
apply (erule list.induct, force, clarify)
apply (erule-tac a = ys in list.cases, auto)
done
declare rev-is-rev-iff [simp]

```

```

lemma rev-list-elim [rule-format]:
     $xs: \text{list}(A) \implies$ 
     $(xs = \text{Nil} \longrightarrow P) \longrightarrow (\forall ys \in \text{list}(A). \forall y \in A. xs = ys @ [y] \longrightarrow P) \longrightarrow P$ 
by (erule list-append-induct, auto)

```

```

lemma length-drop [rule-format]:
     $n \in \text{nat} \implies \forall xs \in \text{list}(A). \text{length}(\text{drop}(n, xs)) = \text{length}(xs) \# - n$ 
apply (erule nat-induct)
apply (auto elim: list.cases)
done
declare length-drop [simp]

```

```

lemma drop-all [rule-format]:
     $n \in \text{nat} \implies \forall xs \in \text{list}(A). \text{length}(xs) \leq n \longrightarrow \text{drop}(n, xs) = \text{Nil}$ 
apply (erule nat-induct)
apply (auto elim: list.cases)
done
declare drop-all [simp]

```

```

lemma drop-append [rule-format]:
     $n \in \text{nat} \implies$ 
     $\forall xs \in \text{list}(A). \text{drop}(n, xs @ ys) = \text{drop}(n, xs) @ \text{drop}(n \# - \text{length}(xs), ys)$ 
apply (induct-tac n)
apply (auto elim: list.cases)
done

```

```

lemma drop-drop:
     $m \in \text{nat} \implies \forall xs \in \text{list}(A). \forall n \in \text{nat}. \text{drop}(n, \text{drop}(m, xs)) = \text{drop}(n \# + m, xs)$ 
apply (induct-tac m)
apply (auto elim: list.cases)
done

```

```

lemma take-0 [simp]:  $xs: \text{list}(A) \implies \text{take}(0, xs) = \text{Nil}$ 
    unfolding take-def
apply (erule list.induct, auto)
done

```

```

lemma take-succ-Cons [simp]:

```

$n \in \text{nat} \implies \text{take}(\text{succ}(n), \text{Cons}(a, xs)) = \text{Cons}(a, \text{take}(n, xs))$
by (*simp add: take-def*)

lemma *take-Nil* [*simp*]: $n \in \text{nat} \implies \text{take}(n, \text{Nil}) = \text{Nil}$
by (*unfold take-def, auto*)

lemma *take-all* [*rule-format*]:
 $n \in \text{nat} \implies \forall xs \in \text{list}(A). \text{length}(xs) \leq n \longrightarrow \text{take}(n, xs) = xs$
apply (*erule nat-induct*)
apply (*auto elim: list.cases*)
done
declare *take-all* [*simp*]

lemma *take-type* [*rule-format*]:
 $xs:\text{list}(A) \implies \forall n \in \text{nat}. \text{take}(n, xs):\text{list}(A)$
apply (*erule list.induct, simp, clarify*)
apply (*erule natE, auto*)
done
declare *take-type* [*simp, TC*]

lemma *take-append* [*rule-format*]:
 $xs:\text{list}(A) \implies$
 $\forall ys \in \text{list}(A). \forall n \in \text{nat}. \text{take}(n, xs @ ys) =$
 $\text{take}(n, xs) @ \text{take}(n \# - \text{length}(xs), ys)$
apply (*erule list.induct, simp, clarify*)
apply (*erule natE, auto*)
done
declare *take-append* [*simp*]

lemma *take-take* [*rule-format*]:
 $m \in \text{nat} \implies$
 $\forall xs \in \text{list}(A). \forall n \in \text{nat}. \text{take}(n, \text{take}(m, xs)) = \text{take}(\text{min}(n, m), xs)$
apply (*induct-tac m, auto*)
apply (*erule-tac a = xs in list.cases*)
apply (*auto simp add: take-Nil*)
apply (*erule-tac n=n in natE*)
apply (*auto intro: take-0 take-type*)
done

lemma *nth-0* [*simp*]: $\text{nth}(0, \text{Cons}(a, l)) = a$
by (*simp add: nth-def*)

lemma *nth-Cons* [*simp*]: $n \in \text{nat} \implies \text{nth}(\text{succ}(n), \text{Cons}(a, l)) = \text{nth}(n, l)$
by (*simp add: nth-def*)

lemma *nth-empty* [*simp*]: $\text{nth}(n, \text{Nil}) = 0$

by (*simp add: nth-def*)

lemma *nth-type* [*rule-format*]:

$xs: \text{list}(A) \implies \forall n. n < \text{length}(xs) \longrightarrow \text{nth}(n, xs) \in A$

apply (*erule list.induct, simp, clarify*)

apply (*subgoal-tac n ∈ nat*)

apply (*erule natE, auto dest!: le-in-nat*)

done

declare *nth-type* [*simp, TC*]

lemma *nth-eq-0* [*rule-format*]:

$xs: \text{list}(A) \implies \forall n \in \text{nat}. \text{length}(xs) \leq n \longrightarrow \text{nth}(n, xs) = 0$

apply (*erule list.induct, simp, clarify*)

apply (*erule natE, auto*)

done

lemma *nth-append* [*rule-format*]:

$xs: \text{list}(A) \implies$

$\forall n \in \text{nat}. \text{nth}(n, xs @ ys) = (\text{if } n < \text{length}(xs) \text{ then } \text{nth}(n, xs) \\ \text{else } \text{nth}(n \# - \text{length}(xs), ys))$

apply (*induct-tac xs, simp, clarify*)

apply (*erule natE, auto*)

done

lemma *set-of-list-conv-nth*:

$xs: \text{list}(A)$

$\implies \text{set-of-list}(xs) = \{x \in A. \exists i \in \text{nat}. i < \text{length}(xs) \wedge x = \text{nth}(i, xs)\}$

apply (*induct-tac xs, simp-all*)

apply (*rule equalityI, auto*)

apply (*rule-tac x = 0 in bexI, auto*)

apply (*erule natE, auto*)

done

lemma *nth-take-lemma* [*rule-format*]:

$k \in \text{nat} \implies$

$\forall xs \in \text{list}(A). (\forall ys \in \text{list}(A). k \leq \text{length}(xs) \longrightarrow k \leq \text{length}(ys) \longrightarrow$

$(\forall i \in \text{nat}. i < k \longrightarrow \text{nth}(i, xs) = \text{nth}(i, ys)) \longrightarrow \text{take}(k, xs) = \text{take}(k, ys))$

apply (*induct-tac k*)

apply (*simp-all (no-asm-simp) add: lt-succ-eq-0-disj all-conj-distrib*)

apply *clarify*

apply (*erule-tac a=xs in list.cases, simp*)

apply (*erule-tac a=ys in list.cases, clarify*)

apply (*simp (no-asm-use)*)

apply *clarify*

apply (*simp (no-asm-simp)*)

apply (*rule conjI, force*)

apply (*rename-tac* y ys z zs)
apply (*drule-tac* $x = zs$ **and** $x1 = ys$ **in** *bspec* [*THEN* *bspec*], *auto*)
done

lemma *nth-equalityI* [*rule-format*]:
 $\llbracket xs: \text{list}(A); ys: \text{list}(A); \text{length}(xs) = \text{length}(ys);$
 $\forall i \in \text{nat}. i < \text{length}(xs) \longrightarrow \text{nth}(i, xs) = \text{nth}(i, ys) \rrbracket$
 $\implies xs = ys$
apply (*subgoal-tac* $\text{length}(xs) \leq \text{length}(ys)$)
apply (*cut-tac* $k = \text{length}(xs)$ **and** $xs = xs$ **and** $ys = ys$ **in** *nth-take-lemma*)
apply (*simp-all* *add: take-all*)
done

lemma *take-equalityI* [*rule-format*]:
 $\llbracket xs: \text{list}(A); ys: \text{list}(A); (\forall i \in \text{nat}. \text{take}(i, xs) = \text{take}(i, ys)) \rrbracket$
 $\implies xs = ys$
apply (*case-tac* $\text{length}(xs) \leq \text{length}(ys)$)
apply (*drule-tac* $x = \text{length}(ys)$ **in** *bspec*)
apply (*drule-tac* [3] *not-lt-imp-le*)
apply (*subgoal-tac* [5] $\text{length}(ys) \leq \text{length}(xs)$)
apply (*rule-tac* [6] $j = \text{succ}(\text{length}(ys))$ **in** *le-trans*)
apply (*rule-tac* [6] *leI*)
apply (*drule-tac* [5] $x = \text{length}(xs)$ **in** *bspec*)
apply (*simp-all* *add: take-all*)
done

lemma *nth-drop* [*rule-format*]:
 $n \in \text{nat} \implies \forall i \in \text{nat}. \forall xs \in \text{list}(A). \text{nth}(i, \text{drop}(n, xs)) = \text{nth}(n \# + i, xs)$
apply (*induct-tac* n , *simp-all*, *clarify*)
apply (*erule* *list.cases*, *auto*)
done

lemma *take-succ* [*rule-format*]:
 $xs \in \text{list}(A)$
 $\implies \forall i. i < \text{length}(xs) \longrightarrow \text{take}(\text{succ}(i), xs) = \text{take}(i, xs) @ [\text{nth}(i, xs)]$
apply (*induct-tac* xs , *auto*)
apply (*subgoal-tac* $i \in \text{nat}$)
apply (*erule* *natE*)
apply (*auto simp* *add: le-in-nat*)
done

lemma *take-add* [*rule-format*]:
 $\llbracket xs \in \text{list}(A); j \in \text{nat} \rrbracket$
 $\implies \forall i \in \text{nat}. \text{take}(i \# + j, xs) = \text{take}(i, xs) @ \text{take}(j, \text{drop}(i, xs))$
apply (*induct-tac* xs , *simp-all*, *clarify*)
apply (*erule-tac* $n = i$ **in** *natE*, *simp-all*)
done

lemma *length-take*:
 $l \in \text{list}(A) \implies \forall n \in \text{nat}. \text{length}(\text{take}(n,l)) = \min(n, \text{length}(l))$
apply (*induct-tac l, safe, simp-all*)
apply (*erule natE, simp-all*)
done

29.1 The function zip

Crafty definition to eliminate a type argument

consts
 $\text{zip-aux} \quad :: [i,i] \Rightarrow i$

primrec
 $\text{zip-aux}(B, []) =$
 $(\lambda ys \in \text{list}(B). \text{list-case}([], \lambda y l. [], ys))$

$\text{zip-aux}(B, \text{Cons}(x,l)) =$
 $(\lambda ys \in \text{list}(B). \text{list-case}(\text{Nil}, \lambda y zs. \text{Cons}(\langle x,y \rangle, \text{zip-aux}(B,l) 'zs), ys))$

definition
 $\text{zip} :: [i, i] \Rightarrow i$ **where**
 $\text{zip}(xs, ys) \equiv \text{zip-aux}(\text{set-of-list}(ys), xs) 'ys$

lemma *list-on-set-of-list*: $xs \in \text{list}(A) \implies xs \in \text{list}(\text{set-of-list}(xs))$
apply (*induct-tac xs, simp-all*)
apply (*blast intro: list-mono [THEN subsetD]*)
done

lemma *zip-Nil* [*simp*]: $ys \in \text{list}(A) \implies \text{zip}(\text{Nil}, ys) = \text{Nil}$
apply (*simp add: zip-def list-on-set-of-list [of - A]*)
apply (*erule list.cases, simp-all*)
done

lemma *zip-Nil2* [*simp*]: $xs \in \text{list}(A) \implies \text{zip}(xs, \text{Nil}) = \text{Nil}$
apply (*simp add: zip-def list-on-set-of-list [of - A]*)
apply (*erule list.cases, simp-all*)
done

lemma *zip-aux-unique* [*rule-format*]:
 $\llbracket B \leq C; xs \in \text{list}(A) \rrbracket$
 $\implies \forall ys \in \text{list}(B). \text{zip-aux}(C, xs) 'ys = \text{zip-aux}(B, xs) 'ys$
apply (*induct-tac xs*)
apply *simp-all*
apply (*blast intro: list-mono [THEN subsetD], clarify*)

```

apply (erule-tac a=ys in list.cases, auto)
apply (blast intro: list-mono [THEN subsetD])
done

```

```

lemma zip-Cons-Cons [simp]:
   $\llbracket xs: \text{list}(A); ys: \text{list}(B); x \in A; y \in B \rrbracket \implies$ 
   $\text{zip}(\text{Cons}(x, xs), \text{Cons}(y, ys)) = \text{Cons}(\langle x, y \rangle, \text{zip}(xs, ys))$ 
apply (simp add: zip-def, auto)
apply (rule zip-aux-unique, auto)
apply (simp add: list-on-set-of-list [of - B])
apply (blast intro: list-on-set-of-list list-mono [THEN subsetD])
done

```

```

lemma zip-type [rule-format]:
   $xs: \text{list}(A) \implies \forall ys \in \text{list}(B). \text{zip}(xs, ys): \text{list}(A * B)$ 
apply (induct-tac xs)
apply (simp (no-asm))
apply clarify
apply (erule-tac a = ys in list.cases, auto)
done
declare zip-type [simp, TC]

```

```

lemma length-zip [rule-format]:
   $xs: \text{list}(A) \implies \forall ys \in \text{list}(B). \text{length}(\text{zip}(xs, ys)) =$ 
   $\text{min}(\text{length}(xs), \text{length}(ys))$ 
unfolding min-def
apply (induct-tac xs, simp-all, clarify)
apply (erule-tac a = ys in list.cases, auto)
done
declare length-zip [simp]

```

```

lemma zip-append1 [rule-format]:
   $\llbracket ys: \text{list}(A); zs: \text{list}(B) \rrbracket \implies$ 
   $\forall xs \in \text{list}(A). \text{zip}(xs @ ys, zs) =$ 
   $\text{zip}(xs, \text{take}(\text{length}(xs), zs)) @ \text{zip}(ys, \text{drop}(\text{length}(xs), zs))$ 
apply (induct-tac zs, force, clarify)
apply (erule-tac a = xs in list.cases, simp-all)
done

```

```

lemma zip-append2 [rule-format]:
   $\llbracket xs: \text{list}(A); zs: \text{list}(B) \rrbracket \implies \forall ys \in \text{list}(B). \text{zip}(xs, ys @ zs) =$ 
   $\text{zip}(\text{take}(\text{length}(ys), xs), ys) @ \text{zip}(\text{drop}(\text{length}(ys), xs), zs)$ 
apply (induct-tac xs, force, clarify)
apply (erule-tac a = ys in list.cases, auto)
done

```

```

lemma zip-append [simp]:
   $\llbracket \text{length}(xs) = \text{length}(us); \text{length}(ys) = \text{length}(vs) \rrbracket$ 

```

$xs: \text{list}(A); us: \text{list}(B); ys: \text{list}(A); vs: \text{list}(B)$
 $\implies \text{zip}(xs @ ys, us @ vs) = \text{zip}(xs, us) @ \text{zip}(ys, vs)$
by (*simp* (*no-asm-simp*) *add*: *zip-append1 drop-append diff-self-eq-0*)

lemma *zip-rev* [*rule-format*]:
 $ys: \text{list}(B) \implies \forall xs \in \text{list}(A).$
 $\text{length}(xs) = \text{length}(ys) \longrightarrow \text{zip}(\text{rev}(xs), \text{rev}(ys)) = \text{rev}(\text{zip}(xs, ys))$
apply (*induct-tac* *ys*, *force*, *clarify*)
apply (*erule-tac* $a = xs$ **in** *list.cases*)
apply (*auto simp add*: *length-rev*)
done
declare *zip-rev* [*simp*]

lemma *nth-zip* [*rule-format*]:
 $ys: \text{list}(B) \implies \forall i \in \text{nat}. \forall xs \in \text{list}(A).$
 $i < \text{length}(xs) \longrightarrow i < \text{length}(ys) \longrightarrow$
 $\text{nth}(i, \text{zip}(xs, ys)) = \langle \text{nth}(i, xs), \text{nth}(i, ys) \rangle$
apply (*induct-tac* *ys*, *force*, *clarify*)
apply (*erule-tac* $a = xs$ **in** *list.cases*, *simp*)
apply (*auto elim*: *natE*)
done
declare *nth-zip* [*simp*]

lemma *set-of-list-zip* [*rule-format*]:
 $\llbracket xs: \text{list}(A); ys: \text{list}(B); i \in \text{nat} \rrbracket$
 $\implies \text{set-of-list}(\text{zip}(xs, ys)) =$
 $\{ \langle x, y \rangle : A * B. \exists i \in \text{nat}. i < \min(\text{length}(xs), \text{length}(ys))$
 $\wedge x = \text{nth}(i, xs) \wedge y = \text{nth}(i, ys) \}$
by (*force intro!*: *Collect-cong simp add*: *lt-min-iff set-of-list-conv-nth*)

lemma *list-update-Nil* [*simp*]: $i \in \text{nat} \implies \text{list-update}(\text{Nil}, i, v) = \text{Nil}$
by (*unfold list-update-def*, *auto*)

lemma *list-update-Cons-0* [*simp*]: $\text{list-update}(\text{Cons}(x, xs), 0, v) = \text{Cons}(v, xs)$
by (*unfold list-update-def*, *auto*)

lemma *list-update-Cons-succ* [*simp*]:
 $n \in \text{nat} \implies$
 $\text{list-update}(\text{Cons}(x, xs), \text{succ}(n), v) = \text{Cons}(x, \text{list-update}(xs, n, v))$
apply (*unfold list-update-def*, *auto*)
done

lemma *list-update-type* [*rule-format*]:
 $\llbracket xs: \text{list}(A); v \in A \rrbracket \implies \forall n \in \text{nat}. \text{list-update}(xs, n, v): \text{list}(A)$
apply (*induct-tac* *xs*)
apply (*simp* (*no-asm*))


```

apply clarify
apply (erule natE, auto)
done
declare list-update-type [simp,TC]

lemma length-list-update [rule-format]:
  xs:list(A)  $\implies \forall i \in \text{nat}. \text{length}(\text{list-update}(xs, i, v)) = \text{length}(xs)$ 
apply (induct-tac xs)
apply (simp (no-asm))
apply clarify
apply (erule natE, auto)
done
declare length-list-update [simp]

lemma nth-list-update [rule-format]:
   $\llbracket xs:\text{list}(A) \rrbracket \implies \forall i \in \text{nat}. \forall j \in \text{nat}. i < \text{length}(xs) \longrightarrow$ 
   $\text{nth}(j, \text{list-update}(xs, i, x)) = (\text{if } i=j \text{ then } x \text{ else } \text{nth}(j, xs))$ 
apply (induct-tac xs)
apply simp-all
apply clarify
apply (rename-tac i j)
apply (erule-tac n=i in natE)
apply (erule-tac [2] n=j in natE)
apply (erule-tac n=j in natE, simp-all, force)
done

lemma nth-list-update-eq [simp]:
   $\llbracket i < \text{length}(xs); xs:\text{list}(A) \rrbracket \implies \text{nth}(i, \text{list-update}(xs, i, x)) = x$ 
by (simp (no-asm-simp) add: lt-length-in-nat nth-list-update)

lemma nth-list-update-neq [rule-format]:
  xs:list(A)  $\implies$ 
   $\forall i \in \text{nat}. \forall j \in \text{nat}. i \neq j \longrightarrow \text{nth}(j, \text{list-update}(xs, i, x)) = \text{nth}(j, xs)$ 
apply (induct-tac xs)
apply (simp (no-asm))
apply clarify
apply (erule natE)
apply (erule-tac [2] natE, simp-all)
apply (erule natE, simp-all)
done
declare nth-list-update-neq [simp]

lemma list-update-overwrite [rule-format]:
  xs:list(A)  $\implies \forall i \in \text{nat}. i < \text{length}(xs)$ 
   $\longrightarrow \text{list-update}(\text{list-update}(xs, i, x), i, y) = \text{list-update}(xs, i, y)$ 
apply (induct-tac xs)
apply (simp (no-asm))
apply clarify

```

apply (*erule natE*, *auto*)
done
declare *list-update-overwrite* [*simp*]

lemma *list-update-same-conv* [*rule-format*]:
 $xs: \text{list}(A) \implies$
 $\forall i \in \text{nat}. i < \text{length}(xs) \longrightarrow$
 $(\text{list-update}(xs, i, x) = xs) \longleftrightarrow (\text{nth}(i, xs) = x)$
apply (*induct-tac xs*)
apply (*simp (no-asm)*)
apply *clarify*
apply (*erule natE*, *auto*)
done

lemma *update-zip* [*rule-format*]:
 $ys: \text{list}(B) \implies$
 $\forall i \in \text{nat}. \forall xy \in A * B. \forall xs \in \text{list}(A).$
 $\text{length}(xs) = \text{length}(ys) \longrightarrow$
 $\text{list-update}(\text{zip}(xs, ys), i, xy) = \text{zip}(\text{list-update}(xs, i, \text{fst}(xy)),$
 $\text{list-update}(ys, i, \text{snd}(xy)))$
apply (*induct-tac ys*)
apply *auto*
apply (*erule-tac a = xs in list.cases*)
apply (*auto elim: natE*)
done

lemma *set-update-subset-cons* [*rule-format*]:
 $xs: \text{list}(A) \implies$
 $\forall i \in \text{nat}. \text{set-of-list}(\text{list-update}(xs, i, x)) \subseteq \text{cons}(x, \text{set-of-list}(xs))$
apply (*induct-tac xs*)
apply *simp*
apply (*rule ballI*)
apply (*erule natE, simp-all, auto*)
done

lemma *set-of-list-update-subsetI*:
 $\llbracket \text{set-of-list}(xs) \subseteq A; xs: \text{list}(A); x \in A; i \in \text{nat} \rrbracket$
 $\implies \text{set-of-list}(\text{list-update}(xs, i, x)) \subseteq A$
apply (*rule subset-trans*)
apply (*rule set-update-subset-cons, auto*)
done

lemma *upt-rec*:
 $j \in \text{nat} \implies \text{upt}(i, j) = (\text{if } i < j \text{ then } \text{Cons}(i, \text{upt}(\text{succ}(i), j)) \text{ else } \text{Nil})$
apply (*induct-tac j, auto*)
apply (*erule not-lt-imp-le*)
apply (*auto simp: lt-Ord intro: le-anti-sym*)

done

lemma *upt-conv-Nil* [*simp*]: $\llbracket j \leq i; j \in \text{nat} \rrbracket \implies \text{upt}(i,j) = \text{Nil}$
apply (*subst upt-rec, auto*)
apply (*auto simp add: le-iff*)
apply (*drule lt-asym [THEN notE], auto*)
done

lemma *upt-succ-append*:
 $\llbracket i \leq j; j \in \text{nat} \rrbracket \implies \text{upt}(i, \text{succ}(j)) = \text{upt}(i, j) @ [j]$
by *simp*

lemma *upt-conv-Cons*:
 $\llbracket i < j; j \in \text{nat} \rrbracket \implies \text{upt}(i,j) = \text{Cons}(i, \text{upt}(\text{succ}(i), j))$
apply (*rule trans*)
apply (*rule upt-rec, auto*)
done

lemma *upt-type* [*simp, TC*]: $j \in \text{nat} \implies \text{upt}(i,j): \text{list}(\text{nat})$
by (*induct-tac j, auto*)

lemma *upt-add-eq-append*:
 $\llbracket i \leq j; j \in \text{nat}; k \in \text{nat} \rrbracket \implies \text{upt}(i, j \# + k) = \text{upt}(i,j) @ \text{upt}(j, j \# + k)$
apply (*induct-tac k*)
apply (*auto simp add: app-assoc app-type*)
apply (*rule-tac j = j in le-trans, auto*)
done

lemma *length-upt* [*simp*]: $\llbracket i \in \text{nat}; j \in \text{nat} \rrbracket \implies \text{length}(\text{upt}(i,j)) = j \# - i$
apply (*induct-tac j*)
apply (*rule-tac [2] sym*)
apply (*auto dest!: not-lt-imp-le simp add: diff-succ diff-is-0-iff*)
done

lemma *nth-upt* [*simp*]:
 $\llbracket i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; i \# + k < j \rrbracket \implies \text{nth}(k, \text{upt}(i,j)) = i \# + k$
apply (*rotate-tac -1, erule rev-mp*)
apply (*induct-tac j, simp*)
apply (*auto dest!: not-lt-imp-le*
simp add: nth-append le-iff less-diff-conv add-commute)
done

lemma *take-upt* [*rule-format*]:
 $\llbracket m \in \text{nat}; n \in \text{nat} \rrbracket \implies$
 $\forall i \in \text{nat}. i \# + m \leq n \longrightarrow \text{take}(m, \text{upt}(i,n)) = \text{upt}(i, i \# + m)$
apply (*induct-tac m*)
apply (*simp (no-asm-simp) add: take-0*)

```

apply clarify
apply (subst upt-rec, simp)
apply (rule sym)
apply (subst upt-rec, simp)
apply (simp-all del: upt.simps)
apply (rule-tac j = succ (i #+ x) in lt-trans2)
apply auto
done
declare take-upt [simp]

```

```

lemma map-succ-upt:
   $\llbracket m \in \text{nat}; n \in \text{nat} \rrbracket \implies \text{map}(\text{succ}, \text{upt}(m,n)) = \text{upt}(\text{succ}(m), \text{succ}(n))$ 
apply (induct-tac n)
apply (auto simp add: map-app-distrib)
done

```

```

lemma nth-map [rule-format]:
   $xs:\text{list}(A) \implies$ 
   $\forall n \in \text{nat}. n < \text{length}(xs) \longrightarrow \text{nth}(n, \text{map}(f, xs)) = f(\text{nth}(n, xs))$ 
apply (induct-tac xs, simp)
apply (rule ballI)
apply (induct-tac n, auto)
done
declare nth-map [simp]

```

```

lemma nth-map-upt [rule-format]:
   $\llbracket m \in \text{nat}; n \in \text{nat} \rrbracket \implies$ 
   $\forall i \in \text{nat}. i < n \#- m \longrightarrow \text{nth}(i, \text{map}(f, \text{upt}(m,n))) = f(m \#+ i)$ 
apply (rule-tac n = m and m = n in diff-induct, typecheck, simp, simp)
apply (subst map-succ-upt [symmetric], simp-all, clarify)
apply (subgoal-tac i < length (upt (0, x)))
prefer 2
apply (simp add: less-diff-conv)
apply (rule-tac j = succ (i #+ y) in lt-trans2)
apply simp
apply simp
apply (subgoal-tac i < length (upt (y, x)))
apply (simp-all add: add-commute less-diff-conv)
done

```

definition

```

sublist :: [i, i] => i where
  sublist(xs, A) ≡
    map(fst, (filter(λp. snd(p): A, zip(xs, upt(0, length(xs))))))

```

```

lemma sublist-0 [simp]:  $xs:\text{list}(A) \implies \text{sublist}(xs, 0) = \text{Nil}$ 
by (unfold sublist-def, auto)

```

lemma *sublist-Nil* [*simp*]: $\text{sublist}(\text{Nil}, A) = \text{Nil}$
by (*unfold sublist-def, auto*)

lemma *sublist-shift-lemma*:
 $\llbracket xs:\text{list}(B); i \in \text{nat} \rrbracket \implies$
 $\text{map}(\text{fst}, \text{filter}(\lambda p. \text{snd}(p):A, \text{zip}(xs, \text{upt}(i, i \# + \text{length}(xs)))))) =$
 $\text{map}(\text{fst}, \text{filter}(\lambda p. \text{snd}(p):\text{nat} \wedge \text{snd}(p) \# + i \in A, \text{zip}(xs, \text{upt}(0, \text{length}(xs))))))$
apply (*erule list-append-induct*)
apply (*simp (no-asm-simp)*)
apply (*auto simp add: add-commute length-app filter-append map-app-distrib*)
done

lemma *sublist-type* [*simp, TC*]:
 $xs:\text{list}(B) \implies \text{sublist}(xs, A):\text{list}(B)$
unfolding *sublist-def*
apply (*induct-tac xs*)
apply (*auto simp add: filter-append map-app-distrib*)
done

lemma *upt-add-eq-append2*:
 $\llbracket i \in \text{nat}; j \in \text{nat} \rrbracket \implies \text{upt}(0, i \# + j) = \text{upt}(0, i) @ \text{upt}(i, i \# + j)$
by (*simp add: upt-add-eq-append [of 0] nat-0-le*)

lemma *sublist-append*:
 $\llbracket xs:\text{list}(B); ys:\text{list}(B) \rrbracket \implies$
 $\text{sublist}(xs @ ys, A) = \text{sublist}(xs, A) @ \text{sublist}(ys, \{j \in \text{nat}. j \# + \text{length}(xs): A\})$
unfolding *sublist-def*
apply (*erule-tac l = ys in list-append-induct, simp*)
apply (*simp (no-asm-simp) add: upt-add-eq-append2 app-assoc [symmetric]*)
apply (*auto simp add: sublist-shift-lemma length-type map-app-distrib app-assoc*)
apply (*simp-all add: add-commute*)
done

lemma *sublist-Cons*:
 $\llbracket xs:\text{list}(B); x \in B \rrbracket \implies$
 $\text{sublist}(\text{Cons}(x, xs), A) =$
 $(\text{if } 0 \in A \text{ then } [x] \text{ else } []) @ \text{sublist}(xs, \{j \in \text{nat}. \text{succ}(j) \in A\})$
apply (*erule-tac l = xs in list-append-induct*)
apply (*simp (no-asm-simp) add: sublist-def*)
apply (*simp del: app-Cons add: app-Cons [symmetric] sublist-append, simp*)
done

lemma *sublist-singleton* [*simp*]:
 $\text{sublist}([x], A) = (\text{if } 0 \in A \text{ then } [x] \text{ else } [])$
by (*simp add: sublist-Cons*)

lemma *sublist-upt-eq-take* [*rule-format*]:

```

    xs:list(A)  $\implies \forall n \in \text{nat}. \text{sublist}(xs, n) = \text{take}(n, xs)$ 
apply (erule list.induct, simp)
apply (clarify)
apply (erule natE)
apply (simp-all add: nat-eq-Collect-lt Ord-mem-iff-lt sublist-Cons)
done
declare sublist-upt-eq-take [simp]

```

```

lemma sublist-Int-eq:
    xs  $\in$  list(B)  $\implies \text{sublist}(xs, A \cap \text{nat}) = \text{sublist}(xs, A)$ 
apply (erule list.induct)
apply (simp-all add: sublist-Cons)
done

```

Repetition of a List Element

```

consts repeat :: [i, i]  $\Rightarrow$  i
primrec
    repeat(a, 0) = []

    repeat(a, succ(n)) = Cons(a, repeat(a, n))

```

```

lemma length-repeat: n  $\in$  nat  $\implies \text{length}(\text{repeat}(a, n)) = n$ 
by (induct-tac n, auto)

```

```

lemma repeat-succ-app: n  $\in$  nat  $\implies \text{repeat}(a, \text{succ}(n)) = \text{repeat}(a, n) @ [a]$ 
apply (induct-tac n)
apply (simp-all del: app-Cons add: app-Cons [symmetric])
done

```

```

lemma repeat-type [TC]: [a  $\in$  A; n  $\in$  nat]  $\implies \text{repeat}(a, n) \in \text{list}(A)$ 
by (induct-tac n, auto)

```

end

30 Equivalence Relations

theory EquivClass **imports** Trancl Perm **begin**

```

definition
    quotient :: [i, i]  $\Rightarrow$  i (infixl <'/'> 90) where
        A//r  $\equiv \{r^{-1}\{x\} . x \in A\}$ 

```

```

definition
    congruent :: [i, i  $\Rightarrow$  i]  $\Rightarrow$  o where
        congruent(r, b)  $\equiv \forall y z. \langle y, z \rangle : r \longrightarrow b(y) = b(z)$ 

```

```

definition
    congruent2 :: [i, i, [i, i]  $\Rightarrow$  i]  $\Rightarrow$  o where
        congruent2(r1, r2, b)  $\equiv \forall y1 z1 y2 z2.$ 

```

$$\langle y1, z1 \rangle : r1 \longrightarrow \langle y2, z2 \rangle : r2 \longrightarrow b(y1, y2) = b(z1, z2)$$

abbreviation

RESPECTS :: [$i \Rightarrow i, i$] $\Rightarrow o$ (**infixr** $\langle respects \rangle$ 80) **where**
f respects r \equiv *congruent(r, f)*

abbreviation

RESPECTS2 :: [$i \Rightarrow i \Rightarrow i, i$] $\Rightarrow o$ (**infixr** $\langle respects2 \rangle$ 80) **where**
f respects2 r \equiv *congruent2(r, r, f)*

— Abbreviation for the common case where the relations are identical

30.1 Suppes, Theorem 70: *r* is an equiv relation iff *converse(r)*

$$O \ r = r$$

lemma *sym-trans-comp-subset*:

$$\llbracket sym(r); trans(r) \rrbracket \Longrightarrow converse(r) \ O \ r \subseteq r$$

by (*unfold trans-def sym-def, blast*)

lemma *refl-comp-subset*:

$$\llbracket refl(A, r); r \subseteq A * A \rrbracket \Longrightarrow r \subseteq converse(r) \ O \ r$$

by (*unfold refl-def, blast*)

lemma *equiv-comp-eq*:

$$equiv(A, r) \Longrightarrow converse(r) \ O \ r = r$$

unfolding *equiv-def*

apply (*blast del: subsetI intro!: sym-trans-comp-subset refl-comp-subset*)

done

lemma *comp-equivI*:

$$\llbracket converse(r) \ O \ r = r; domain(r) = A \rrbracket \Longrightarrow equiv(A, r)$$

unfolding *equiv-def refl-def sym-def trans-def*

apply (*erule equalityE*)

apply (*subgoal-tac* $\forall x \ y. \langle x, y \rangle \in r \longrightarrow \langle y, x \rangle \in r$, *blast+*)

done

lemma *equiv-class-subset*:

$$\llbracket sym(r); trans(r); \langle a, b \rangle : r \rrbracket \Longrightarrow r''\{a\} \subseteq r''\{b\}$$

by (*unfold trans-def sym-def, blast*)

lemma *equiv-class-eq*:

$$\llbracket equiv(A, r); \langle a, b \rangle : r \rrbracket \Longrightarrow r''\{a\} = r''\{b\}$$

unfolding *equiv-def*

apply (*safe del: subsetI intro!: equalityI equiv-class-subset*)

apply (*unfold sym-def, blast*)

done

lemma *equiv-class-self*:

$\llbracket \text{equiv}(A,r); a \in A \rrbracket \implies a \in r^{\{\{a\}}$
by (*unfold equiv-def refl-def, blast*)

lemma *subset-equiv-class*:

$\llbracket \text{equiv}(A,r); r^{\{\{b\}} \subseteq r^{\{\{a\}}; b \in A \rrbracket \implies \langle a,b \rangle: r$
by (*unfold equiv-def refl-def, blast*)

lemma *eq-equiv-class*: $\llbracket r^{\{\{a\}} = r^{\{\{b\}}; \text{equiv}(A,r); b \in A \rrbracket \implies \langle a,b \rangle: r$
by (*assumption | rule equalityD2 subset-equiv-class*)⁺

lemma *equiv-class-nondisjoint*:

$\llbracket \text{equiv}(A,r); x: (r^{\{\{a\}} \cap r^{\{\{b\}}) \rrbracket \implies \langle a,b \rangle: r$
by (*unfold equiv-def trans-def sym-def, blast*)

lemma *equiv-type*: $\text{equiv}(A,r) \implies r \subseteq A * A$
by (*unfold equiv-def, blast*)

lemma *equiv-class-eq-iff*:

$\text{equiv}(A,r) \implies \langle x,y \rangle: r \iff r^{\{\{x\}} = r^{\{\{y\}} \wedge x \in A \wedge y \in A$
by (*blast intro: eq-equiv-class equiv-class-eq dest: equiv-type*)

lemma *eq-equiv-class-iff*:

$\llbracket \text{equiv}(A,r); x \in A; y \in A \rrbracket \implies r^{\{\{x\}} = r^{\{\{y\}} \iff \langle x,y \rangle: r$
by (*blast intro: eq-equiv-class equiv-class-eq dest: equiv-type*)

lemma *quotientI* [TC]: $x \in A \implies r^{\{\{x\}}: A // r$

unfolding *quotient-def*
apply (*erule RepFunI*)
done

lemma *quotientE*:

$\llbracket X \in A // r; \bigwedge x. \llbracket X = r^{\{\{x\}}; x \in A \rrbracket \implies P \rrbracket \implies P$
by (*unfold quotient-def, blast*)

lemma *Union-quotient*:

$\text{equiv}(A,r) \implies \bigcup (A // r) = A$
by (*unfold equiv-def refl-def quotient-def, blast*)

lemma *quotient-disj*:

$\llbracket \text{equiv}(A,r); X \in A // r; Y \in A // r \rrbracket \implies X=Y \mid (X \cap Y \subseteq \emptyset)$
unfolding *quotient-def*

apply (*safe intro!*: *equiv-class-eq*, *assumption*)
apply (*unfold equiv-def trans-def sym-def*, *blast*)
done

30.2 Defining Unary Operations upon Equivalence Classes

lemma *UN-equiv-class*:

$\llbracket \text{equiv}(A,r); b \text{ respects } r; a \in A \rrbracket \implies (\bigcup_{x \in r^{-1}\{a\}} b(x)) = b(a)$
apply (*subgoal-tac* $\forall x \in r^{-1}\{a\}. b(x) = b(a)$)
apply *simp*
apply (*blast intro*: *equiv-class-self*)
apply (*unfold equiv-def sym-def congruent-def*, *blast*)
done

lemma *UN-equiv-class-type*:

$\llbracket \text{equiv}(A,r); b \text{ respects } r; X \in A//r; \bigwedge x. x \in A \implies b(x) \in B \rrbracket$
 $\implies (\bigcup_{x \in X} b(x)) \in B$
apply (*unfold quotient-def*, *safe*)
apply (*simp* (*no-asm-simp*) *add*: *UN-equiv-class*)
done

lemma *UN-equiv-class-inject*:

$\llbracket \text{equiv}(A,r); b \text{ respects } r; (\bigcup_{x \in X} b(x)) = (\bigcup_{y \in Y} b(y)); X \in A//r; Y \in A//r; \bigwedge x y. \llbracket x \in A; y \in A; b(x) = b(y) \rrbracket \implies \langle x, y \rangle : r \rrbracket$
 $\implies X = Y$
apply (*unfold quotient-def*, *safe*)
apply (*rule equiv-class-eq*, *assumption*)
apply (*simp* *add*: *UN-equiv-class* [*of A r b*])
done

30.3 Defining Binary Operations upon Equivalence Classes

lemma *congruent2-implies-congruent*:

$\llbracket \text{equiv}(A,r1); \text{congruent2}(r1,r2,b); a \in A \rrbracket \implies \text{congruent}(r2,b(a))$
by (*unfold congruent-def congruent2-def equiv-def refl-def*, *blast*)

lemma *congruent2-implies-congruent-UN*:

$\llbracket \text{equiv}(A1,r1); \text{equiv}(A2,r2); \text{congruent2}(r1,r2,b); a \in A2 \rrbracket \implies$
 $\text{congruent}(r1, \lambda x1. \bigcup_{x2 \in r2^{-1}\{a\}} b(x1,x2))$
apply (*unfold congruent-def*, *safe*)
apply (*frule equiv-type* [*THEN subsetD*], *assumption*)
apply *clarify*
apply (*simp* *add*: *UN-equiv-class congruent2-implies-congruent*)
apply (*unfold congruent2-def equiv-def refl-def*, *blast*)
done

lemma *UN-equiv-class2*:

$$\llbracket \text{equiv}(A1, r1); \text{equiv}(A2, r2); \text{congruent2}(r1, r2, b); a1: A1; a2: A2 \rrbracket$$

$$\implies (\bigcup x1 \in r1 \text{ ``}\{a1\}\text{ ``} \bigcup x2 \in r2 \text{ ``}\{a2\}\text{ ``} . b(x1, x2)) = b(a1, a2)$$
by (*simp add: UN-equiv-class congruent2-implies-congruent congruent2-implies-congruent-UN*)

lemma *UN-equiv-class-type2*:

$$\llbracket \text{equiv}(A, r); b \text{ respects2 } r; X1: A//r; X2: A//r; \bigwedge x1\ x2. \llbracket x1: A; x2: A \rrbracket \implies b(x1, x2) \in B \rrbracket \implies (\bigcup x1 \in X1. \bigcup x2 \in X2. b(x1, x2)) \in B$$
apply (*unfold quotient-def, safe*)
apply (*blast intro: UN-equiv-class-type congruent2-implies-congruent-UN congruent2-implies-congruent quotientI*)
done

lemma *congruent2I*:

$$\llbracket \text{equiv}(A1, r1); \text{equiv}(A2, r2); \bigwedge y\ z\ w. \llbracket w \in A2; \langle y, z \rangle \in r1 \rrbracket \implies b(y, w) = b(z, w); \bigwedge y\ z\ w. \llbracket w \in A1; \langle y, z \rangle \in r2 \rrbracket \implies b(w, y) = b(w, z) \rrbracket \implies \text{congruent2}(r1, r2, b)$$
apply (*unfold congruent2-def equiv-def refl-def, safe*)
apply (*blast intro: trans*)
done

lemma *congruent2-commuteI*:
assumes *equivA*: $\text{equiv}(A, r)$
and *commute*: $\bigwedge y\ z. \llbracket y \in A; z \in A \rrbracket \implies b(y, z) = b(z, y)$
and *congt*: $\bigwedge y\ z\ w. \llbracket w \in A; \langle y, z \rangle: r \rrbracket \implies b(w, y) = b(w, z)$
shows *b respects2 r*
apply (*insert equivA [THEN equiv-type, THEN subsetD]*)
apply (*rule congruent2I [OF equivA equivA]*)
apply (*rule commute [THEN trans]*)
apply (*rule-tac [3] commute [THEN trans, symmetric]*)
apply (*rule-tac [5] sym*)
apply (*blast intro: congt*)
done

lemma *congruent-commuteI*:

$$\llbracket \text{equiv}(A, r); Z \in A//r; \bigwedge w. \llbracket w \in A \rrbracket \implies \text{congruent}(r, \lambda z. b(w, z)); \bigwedge x\ y. \llbracket x \in A; y \in A \rrbracket \implies b(y, x) = b(x, y) \rrbracket \implies \text{congruent}(r, \lambda w. \bigcup z \in Z. b(w, z))$$
apply (*simp (no-asm) add: congruent-def*)
apply (*safe elim!: quotientE*)
apply (*frule equiv-type [THEN subsetD], assumption*)

```

apply (simp add: UN-equiv-class [of A r])
apply (simp add: congruent-def)
done

```

```

end

```

31 The Integers as Equivalence Classes Over Pairs of Natural Numbers

```

theory Int imports EquivClass ArithSimp begin

```

definition

```

intrel :: i where
  intrel ≡ {p ∈ (nat*nat)*(nat*nat).
    ∃ x1 y1 x2 y2. p = <x1,y1>, <x2,y2> > ∧ x1# + y2 = x2# + y1}

```

definition

```

int :: i where
  int ≡ (nat*nat)//intrel

```

definition

```

int-of :: i ⇒ i — coercion from nat to int  (⟨$# -> [80] 80) where
  $# m ≡ intrel “ {<natify(m), 0>}

```

definition

```

intify :: i ⇒ i — coercion from ANYTHING to int where
  intify(m) ≡ if m ∈ int then m else $# 0

```

definition

```

raw-zminus :: i ⇒ i where
  raw-zminus(z) ≡ ⋃ <x,y> ∈ z. intrel “ {<y,x>}

```

definition

```

zminus :: i ⇒ i  (⟨$- -> [80] 80) where
  $- z ≡ raw-zminus (intify(z))

```

definition

```

znegative :: i ⇒ o where
  znegative(z) ≡ ∃ x y. x < y ∧ y ∈ nat ∧ <x,y> ∈ z

```

definition

```

iszero :: i ⇒ o where
  iszero(z) ≡ z = $# 0

```

definition

```

raw-nat-of :: i ⇒ i where
  raw-nat-of(z) ≡ natify (⋃ <x,y> ∈ z. x# - y)

```

definition

$nat\text{-}of :: i \Rightarrow i$ **where**
 $nat\text{-}of(z) \equiv raw\text{-}nat\text{-}of (intify(z))$

definition

$zmagnitude :: i \Rightarrow i$ **where**
 — could be replaced by an absolute value function from int to int?
 $zmagnitude(z) \equiv$
 $THE\ m.\ m \in nat \wedge ((\neg\ znegative(z) \wedge z = \$\# m) \mid$
 $(znegative(z) \wedge \$- z = \$\# m))$

definition

$raw\text{-}zmult :: [i,i] \Rightarrow i$ **where**
 $raw\text{-}zmult(z1, z2) \equiv$
 $\bigcup p1 \in z1.\ \bigcup p2 \in z2.\ split(\lambda x1\ y1.\ split(\lambda x2\ y2.$
 $intrel\{\langle x1\#\#x2\ \#\+ y1\#\#y2,\ x1\#\#y2\ \#\+ y1\#\#x2 \rangle\}, p2), p1)$

definition

$zmult :: [i,i] \Rightarrow i$ (**infixl** $\langle \$* \rangle$ 70) **where**
 $z1\ \$*\ z2 \equiv raw\text{-}zmult (intify(z1), intify(z2))$

definition

$raw\text{-}zadd :: [i,i] \Rightarrow i$ **where**
 $raw\text{-}zadd(z1, z2) \equiv$
 $\bigcup z1 \in z1.\ \bigcup z2 \in z2.\ let\ \langle x1, y1 \rangle = z1;\ \langle x2, y2 \rangle = z2$
 $in\ intrel\{\langle x1\#\+x2,\ y1\#\+y2 \rangle\}$

definition

$zadd :: [i,i] \Rightarrow i$ (**infixl** $\langle \$+ \rangle$ 65) **where**
 $z1\ \$+\ z2 \equiv raw\text{-}zadd (intify(z1), intify(z2))$

definition

$zdiff :: [i,i] \Rightarrow i$ (**infixl** $\langle \$- \rangle$ 65) **where**
 $z1\ \$-\ z2 \equiv z1\ \$+\ zminus(z2)$

definition

$zless :: [i,i] \Rightarrow o$ (**infixl** $\langle \$< \rangle$ 50) **where**
 $z1\ \$<\ z2 \equiv znegative(z1\ \$-\ z2)$

definition

$zle :: [i,i] \Rightarrow o$ (**infixl** $\langle \$\leq \rangle$ 50) **where**
 $z1\ \$\leq\ z2 \equiv z1\ \$<\ z2 \mid intify(z1) = intify(z2)$

declare $quotientE$ [elim!]

31.1 Proving that *intrel* is an equivalence relation

lemma *intrel-iff* [*simp*]:

$\langle \langle x1, y1 \rangle, \langle x2, y2 \rangle \rangle : \text{intrel} \longleftrightarrow$
 $x1 \in \text{nat} \wedge y1 \in \text{nat} \wedge x2 \in \text{nat} \wedge y2 \in \text{nat} \wedge x1 \# + y2 = x2 \# + y1$

by (*simp add: intrel-def*)

lemma *intrelI* [*intro!*]:

$\llbracket x1 \# + y2 = x2 \# + y1; x1 \in \text{nat}; y1 \in \text{nat}; x2 \in \text{nat}; y2 \in \text{nat} \rrbracket$
 $\implies \langle \langle x1, y1 \rangle, \langle x2, y2 \rangle \rangle : \text{intrel}$

by (*simp add: intrel-def*)

lemma *intrelE* [*elim!*]:

$\llbracket p \in \text{intrel};$
 $\wedge x1\ y1\ x2\ y2. \llbracket p = \langle \langle x1, y1 \rangle, \langle x2, y2 \rangle \rangle; x1 \# + y2 = x2 \# + y1;$
 $x1 \in \text{nat}; y1 \in \text{nat}; x2 \in \text{nat}; y2 \in \text{nat} \rrbracket \implies Q \rrbracket$

$\implies Q$

by (*simp add: intrel-def, blast*)

lemma *int-trans-lemma*:

$\llbracket x1 \# + y2 = x2 \# + y1; x2 \# + y3 = x3 \# + y2 \rrbracket \implies x1 \# + y3 = x3 \# + y1$

apply (*rule sym*)

apply (*erule add-left-cancel*)₊

apply (*simp-all (no-asm-simp)*)

done

lemma *equiv-intrel*: *equiv*(*nat*nat*, *intrel*)

apply (*simp add: equiv-def refl-def sym-def trans-def*)

apply (*fast elim!: sym int-trans-lemma*)

done

lemma *image-intrel-int*: $\llbracket m \in \text{nat}; n \in \text{nat} \rrbracket \implies \text{intrel} \text{ `` } \{ \langle m, n \rangle \} \in \text{int}$

by (*simp add: int-def*)

declare *equiv-intrel* [*THEN eq-equiv-class-iff, simp*]

declare *conj-cong* [*cong*]

lemmas *eq-intrelD* = *eq-equiv-class* [*OF - equiv-intrel*]

lemma *int-of-type* [*simp, TC*]: $\$ \# m \in \text{int}$

by (*simp add: int-def quotient-def int-of-def, auto*)

lemma *int-of-eq* [*iff*]: $(\$ \# m = \$ \# n) \longleftrightarrow \text{natify}(m) = \text{natify}(n)$

by (*simp add: int-of-def*)

lemma *int-of-inject*: $\llbracket \$ \# m = \$ \# n; m \in \text{nat}; n \in \text{nat} \rrbracket \implies m = n$

by (*drule int-of-eq [THEN iffD1], auto*)

lemma *intify-in-int* [*iff,TC*]: $\text{intify}(x) \in \text{int}$
by (*simp add: intify-def*)

lemma *intify-ident* [*simp*]: $n \in \text{int} \implies \text{intify}(n) = n$
by (*simp add: intify-def*)

31.2 Collapsing rules: to remove *intify* from arithmetic expressions

lemma *intify-idem* [*simp*]: $\text{intify}(\text{intify}(x)) = \text{intify}(x)$
by *simp*

lemma *int-of-natify* [*simp*]: $\$ \# (\text{natify}(m)) = \$ \# m$
by (*simp add: int-of-def*)

lemma *zminus-intify* [*simp*]: $\$ - (\text{intify}(m)) = \$ - m$
by (*simp add: zminus-def*)

lemma *zadd-intify1* [*simp*]: $\text{intify}(x) \$ + y = x \$ + y$
by (*simp add: zadd-def*)

lemma *zadd-intify2* [*simp*]: $x \$ + \text{intify}(y) = x \$ + y$
by (*simp add: zadd-def*)

lemma *zdiff-intify1* [*simp*]: $\text{intify}(x) \$ - y = x \$ - y$
by (*simp add: zdiff-def*)

lemma *zdiff-intify2* [*simp*]: $x \$ - \text{intify}(y) = x \$ - y$
by (*simp add: zdiff-def*)

lemma *zmult-intify1* [*simp*]: $\text{intify}(x) \$ * y = x \$ * y$
by (*simp add: zmult-def*)

lemma *zmult-intify2* [*simp*]: $x \$ * \text{intify}(y) = x \$ * y$
by (*simp add: zmult-def*)

lemma *zless-intify1* [*simp*]: $\text{intify}(x) \$ < y \iff x \$ < y$

by (simp add: zless-def)

lemma zless-intify2 [simp]: $x \text{ \$} < \text{intify}(y) \longleftrightarrow x \text{ \$} < y$
by (simp add: zless-def)

lemma zle-intify1 [simp]: $\text{intify}(x) \text{ \$} \leq y \longleftrightarrow x \text{ \$} \leq y$
by (simp add: zle-def)

lemma zle-intify2 [simp]: $x \text{ \$} \leq \text{intify}(y) \longleftrightarrow x \text{ \$} \leq y$
by (simp add: zle-def)

31.3 *zminus*: unary negation on *int*

lemma zminus-congruent: $(\lambda(x,y). \text{intrel}\{\{y,x\}\})$ respects *intrel*
by (auto simp add: congruent-def add-ac)

lemma raw-zminus-type: $z \in \text{int} \implies \text{raw-zminus}(z) \in \text{int}$
apply (simp add: int-def raw-zminus-def)
apply (typecheck add: UN-equiv-class-type [OF equiv-intrel zminus-congruent])
done

lemma zminus-type [TC,iff]: $\text{\$-}z \in \text{int}$
by (simp add: zminus-def raw-zminus-type)

lemma raw-zminus-inject:
[[raw-zminus(z) = raw-zminus(w); $z \in \text{int}; w \in \text{int}$]] $\implies z=w$
apply (simp add: int-def raw-zminus-def)
apply (erule UN-equiv-class-inject [OF equiv-intrel zminus-congruent], safe)
apply (auto dest: eq-intrelD simp add: add-ac)
done

lemma zminus-inject-intify [dest!]: $\text{\$-}z = \text{\$-}w \implies \text{intify}(z) = \text{intify}(w)$
apply (simp add: zminus-def)
apply (blast dest!: raw-zminus-inject)
done

lemma zminus-inject: [[$\text{\$-}z = \text{\$-}w; z \in \text{int}; w \in \text{int}$]] $\implies z=w$
by auto

lemma raw-zminus:
[[$x \in \text{nat}; y \in \text{nat}$]] $\implies \text{raw-zminus}(\text{intrel}\{\{x,y\}\}) = \text{intrel}\{\{y,x\}\}$
apply (simp add: raw-zminus-def UN-equiv-class [OF equiv-intrel zminus-congruent])
done

lemma zminus:
[[$x \in \text{nat}; y \in \text{nat}$]]
 $\implies \text{\$-}(\text{intrel}\{\{x,y\}\}) = \text{intrel}\{\{y,x\}\}$
by (simp add: zminus-def raw-zminus image-intrel-int)

lemma *raw-zminus-zminus*: $z \in \text{int} \implies \text{raw-zminus} (\text{raw-zminus}(z)) = z$
by (*auto simp add: int-def raw-zminus*)

lemma *zminus-zminus-intify* [*simp*]: $\$- (\$- z) = \text{intify}(z)$
by (*simp add: zminus-def raw-zminus-type raw-zminus-zminus*)

lemma *zminus-int0* [*simp*]: $\$- (\$ \# 0) = \$ \# 0$
by (*simp add: int-of-def zminus*)

lemma *zminus-zminus*: $z \in \text{int} \implies \$- (\$- z) = z$
by *simp*

31.4 *znegative*: the test for negative integers

lemma *znegative*: $\llbracket x \in \text{nat}; y \in \text{nat} \rrbracket \implies \text{znegative}(\text{intrel}\{\langle x, y \rangle\}) \longleftrightarrow x < y$
apply (*cases x < y*)
apply (*auto simp add: znegative-def not-lt-iff-le*)
apply (*subgoal-tac y #+ x2 < x #+ y2, force*)
apply (*rule add-le-lt-mono, auto*)
done

lemma *not-znegative-int-of* [*iff*]: $\neg \text{znegative}(\$ \# n)$
by (*simp add: znegative int-of-def*)

lemma *znegative-zminus-int-of* [*simp*]: $\text{znegative}(\$- \$ \# \text{succ}(n))$
by (*simp add: znegative int-of-def zminus natify-succ*)

lemma *not-znegative-imp-zero*: $\neg \text{znegative}(\$- \$ \# n) \implies \text{natify}(n) = 0$
by (*simp add: znegative int-of-def zminus Ord-0-lt-iff [THEN iff-sym]*)

31.5 *nat-of*: Coercion of an Integer to a Natural Number

lemma *nat-of-intify* [*simp*]: $\text{nat-of}(\text{intify}(z)) = \text{nat-of}(z)$
by (*simp add: nat-of-def*)

lemma *nat-of-congruent*: $(\lambda x. (\lambda \langle x, y \rangle. x \#- y)(x))$ respects *intrel*
by (*auto simp add: congruent-def split: nat-diff-split*)

lemma *raw-nat-of*:
 $\llbracket x \in \text{nat}; y \in \text{nat} \rrbracket \implies \text{raw-nat-of}(\text{intrel}\{\langle x, y \rangle\}) = x \#- y$
by (*simp add: raw-nat-of-def UN-equiv-class [OF equiv-intrel nat-of-congruent]*)

lemma *raw-nat-of-int-of*: $\text{raw-nat-of}(\$ \# n) = \text{natify}(n)$
by (*simp add: int-of-def raw-nat-of*)

lemma *nat-of-int-of* [*simp*]: $\text{nat-of}(\$ \# n) = \text{natify}(n)$
by (*simp add: raw-nat-of-int-of nat-of-def*)

lemma *raw-nat-of-type*: $\text{raw-nat-of}(z) \in \text{nat}$

by (simp add: raw-nat-of-def)

lemma nat-of-type [iff, TC]: nat-of(z) ∈ nat
by (simp add: nat-of-def raw-nat-of-type)

31.6 zmagnitude: magnitide of an integer, as a natural number

lemma zmagnitude-int-of [simp]: zmagnitude(\$# n) = natify(n)
by (auto simp add: zmagnitude-def int-of-eq)

lemma natify-int-of-eq: natify(x)=n ⇒ \$#x = \$# n
apply (drule sym)
apply (simp (no-asm-simp) add: int-of-eq)
done

lemma zmagnitude-zminus-int-of [simp]: zmagnitude(\$- \$# n) = natify(n)
apply (simp add: zmagnitude-def)
apply (rule the-equality)
apply (auto dest!: not-znegative-imp-zero natify-int-of-eq
iff del: int-of-eq, auto)
done

lemma zmagnitude-type [iff, TC]: zmagnitude(z) ∈ nat
apply (simp add: zmagnitude-def)
apply (rule theI2, auto)
done

lemma not-zneg-int-of:
[[z ∈ int; ¬ znegative(z)] ⇒ ∃ n ∈ nat. z = \$# n
apply (auto simp add: int-def znegative int-of-def not-lt-iff-le)
apply (rename-tac x y)
apply (rule-tac x=#-y in bexI)
apply (auto simp add: add-diff-inverse2)
done

lemma not-zneg-mag [simp]:
[[z ∈ int; ¬ znegative(z)] ⇒ \$# (zmagnitude(z)) = z
by (drule not-zneg-int-of, auto)

lemma zneg-int-of:
[[znegative(z); z ∈ int] ⇒ ∃ n ∈ nat. z = \$- (\$# succ(n))
by (auto simp add: int-def znegative zminus int-of-def dest!: less-imp-succ-add)

lemma zneg-mag [simp]:
[[znegative(z); z ∈ int] ⇒ \$# (zmagnitude(z)) = \$- z
by (drule zneg-int-of, auto)

lemma int-cases: z ∈ int ⇒ ∃ n ∈ nat. z = \$# n | z = \$- (\$# succ(n))

apply (*case-tac znegative* (z))
prefer 2 **apply** (*blast dest: not-zneg-mag sym*)
apply (*blast dest: zneg-int-of*)
done

lemma *not-zneg-raw-nat-of*:
 $\llbracket \neg \text{znegative}(z); z \in \text{int} \rrbracket \implies \$\# (\text{raw-nat-of}(z)) = z$
apply (*drule not-zneg-int-of*)
apply (*auto simp add: raw-nat-of-type raw-nat-of-int-of*)
done

lemma *not-zneg-nat-of-intify*:
 $\neg \text{znegative}(\text{intify}(z)) \implies \$\# (\text{nat-of}(z)) = \text{intify}(z)$
by (*simp (no-asm-simp) add: nat-of-def not-zneg-raw-nat-of*)

lemma *not-zneg-nat-of*: $\llbracket \neg \text{znegative}(z); z \in \text{int} \rrbracket \implies \$\# (\text{nat-of}(z)) = z$
apply (*simp (no-asm-simp) add: not-zneg-nat-of-intify*)
done

lemma *zneg-nat-of [simp]*: $\text{znegative}(\text{intify}(z)) \implies \text{nat-of}(z) = 0$
apply (*subgoal-tac intify(z) \in int*)
apply (*simp add: int-def*)
apply (*auto simp add: znegative nat-of-def raw-nat-of split: nat-diff-split*)
done

31.7 ($\$+$): addition on int

Congruence Property for Addition

lemma *zadd-congruent2*:
 $(\lambda z1 z2. \text{let } \langle x1, y1 \rangle = z1; \langle x2, y2 \rangle = z2$
 $\text{in } \text{intrel}^{\{\langle x1 \# + x2, y1 \# + y2 \rangle\}})$
respects2 intrel
apply (*simp add: congruent2-def*)

apply *safe*
apply (*simp (no-asm-simp) add: add-assoc Let-def*)

apply (*rule-tac m1 = x1a in add-left-commute [THEN ssubst]*)
apply (*rule-tac m1 = x2a in add-left-commute [THEN ssubst]*)
apply (*simp (no-asm-simp) add: add-assoc [symmetric]*)
done

lemma *raw-zadd-type*: $\llbracket z \in \text{int}; w \in \text{int} \rrbracket \implies \text{raw-zadd}(z, w) \in \text{int}$
apply (*simp add: int-def raw-zadd-def*)
apply (*rule UN-equiv-class-type2 [OF equiv-intrel zadd-congruent2], assumption+*)
apply (*simp add: Let-def*)
done

lemma *zadd-type* [*iff,TC*]: $z \ \$+ \ w \in \text{int}$
by (*simp add: zadd-def raw-zadd-type*)

lemma *raw-zadd*:

$\llbracket x1 \in \text{nat}; y1 \in \text{nat}; x2 \in \text{nat}; y2 \in \text{nat} \rrbracket$
 $\implies \text{raw-zadd} (\text{intrel} \{ \langle x1, y1 \rangle \}, \text{intrel} \{ \langle x2, y2 \rangle \}) =$
 $\text{intrel} \{ \langle x1 \# + x2, y1 \# + y2 \rangle \}$

apply (*simp add: raw-zadd-def*)

UN-equiv-class2 [OF equiv-intrel equiv-intrel zadd-congruent2])

apply (*simp add: Let-def*)

done

lemma *zadd*:

$\llbracket x1 \in \text{nat}; y1 \in \text{nat}; x2 \in \text{nat}; y2 \in \text{nat} \rrbracket$
 $\implies (\text{intrel} \{ \langle x1, y1 \rangle \}) \ \$+ \ (\text{intrel} \{ \langle x2, y2 \rangle \}) =$
 $\text{intrel} \{ \langle x1 \# + x2, y1 \# + y2 \rangle \}$

by (*simp add: zadd-def raw-zadd image-intrel-int*)

lemma *raw-zadd-int0*: $z \in \text{int} \implies \text{raw-zadd} (\ \$\#0, z) = z$

by (*auto simp add: int-def int-of-def raw-zadd*)

lemma *zadd-int0-intify* [*simp*]: $\ \$\#0 \ \$+ \ z = \text{intify}(z)$

by (*simp add: zadd-def raw-zadd-int0*)

lemma *zadd-int0*: $z \in \text{int} \implies \ \$\#0 \ \$+ \ z = z$

by *simp*

lemma *raw-zminus-zadd-distrib*:

$\llbracket z \in \text{int}; w \in \text{int} \rrbracket \implies \ \$- \ \text{raw-zadd}(z, w) = \text{raw-zadd}(\ \$- \ z, \ \$- \ w)$

by (*auto simp add: zminus raw-zadd int-def*)

lemma *zminus-zadd-distrib* [*simp*]: $\ \$- \ (z \ \$+ \ w) = \ \$- \ z \ \$+ \ \ \$- \ w$

by (*simp add: zadd-def raw-zminus-zadd-distrib*)

lemma *raw-zadd-commute*:

$\llbracket z \in \text{int}; w \in \text{int} \rrbracket \implies \text{raw-zadd}(z, w) = \text{raw-zadd}(w, z)$

by (*auto simp add: raw-zadd add-ac int-def*)

lemma *zadd-commute*: $z \ \$+ \ w = w \ \$+ \ z$

by (*simp add: zadd-def raw-zadd-commute*)

lemma *raw-zadd-assoc*:

$\llbracket z1: \text{int}; z2: \text{int}; z3: \text{int} \rrbracket$
 $\implies \text{raw-zadd} (\text{raw-zadd}(z1, z2), z3) = \text{raw-zadd}(z1, \text{raw-zadd}(z2, z3))$

by (*auto simp add: int-def raw-zadd add-assoc*)

lemma *zadd-assoc*: $(z1 \ \$+ \ z2) \ \$+ \ z3 = z1 \ \$+ \ (z2 \ \$+ \ z3)$

by (*simp add: zadd-def raw-zadd-type raw-zadd-assoc*)

```

lemma zadd-left-commute: z1$(z2$+z3) = z2$(z1$+z3)
apply (simp add: zadd-assoc [symmetric])
apply (simp add: zadd-commute)
done

```

```

lemmas zadd-ac = zadd-assoc zadd-commute zadd-left-commute

```

```

lemma int-of-add: $# (m #+ n) = ($#m) $+ ($#n)
by (simp add: int-of-def zadd)

```

```

lemma int-succ-int-1: $# succ(m) = $# 1 $+ ($# m)
by (simp add: int-of-add [symmetric] natify-succ)

```

```

lemma int-of-diff:
  [[m∈nat; n ≤ m]] ⇒ $# (m #- n) = ($#m) $- ($#n)
apply (simp add: int-of-def zdiff-def)
apply (frule lt-nat-in-nat)
apply (simp-all add: zadd zminus add-diff-inverse2)
done

```

```

lemma raw-zadd-zminus-inverse: z ∈ int ⇒ raw-zadd (z, $- z) = $#0
by (auto simp add: int-def int-of-def zminus raw-zadd add-commute)

```

```

lemma zadd-zminus-inverse [simp]: z $+ ($- z) = $#0
apply (simp add: zadd-def)
apply (subst zminus-intify [symmetric])
apply (rule intify-in-int [THEN raw-zadd-zminus-inverse])
done

```

```

lemma zadd-zminus-inverse2 [simp]: ($- z) $+ z = $#0
by (simp add: zadd-commute zadd-zminus-inverse)

```

```

lemma zadd-int0-right-intify [simp]: z $+ $#0 = intify(z)
by (rule trans [OF zadd-commute zadd-int0-intify])

```

```

lemma zadd-int0-right: z ∈ int ⇒ z $+ $#0 = z
by simp

```

31.8 (\$*): Integer Multiplication

Congruence property for multiplication

```

lemma zmult-congruent2:
  (λp1 p2. split(λx1 y1. split(λx2 y2.
    intrel“{<x1#*x2 #+ y1#*y2, x1#*y2 #+ y1#*x2>”, p2), p1))
  respects2 intrel
apply (rule equiv-intrel [THEN congruent2-commuteI], auto)

```

apply (*rename-tac* $x\ y$)
apply (*frule-tac* $t = \lambda u. x \# * u$ **in** *sym* [*THEN subst-context*])
apply (*drule-tac* $t = \lambda u. y \# * u$ **in** *subst-context*)
apply (*erule* *add-left-cancel*)
apply (*simp-all* *add: add-mult-distrib-left*)
done

lemma *raw-zmult-type*: $\llbracket z \in \text{int}; w \in \text{int} \rrbracket \implies \text{raw-zmult}(z,w) \in \text{int}$
apply (*simp add: int-def raw-zmult-def*)
apply (*rule UN-equiv-class-type2* [*OF equiv-intrel zmult-congruent2*], *assumption+*)
apply (*simp add: Let-def*)
done

lemma *zmult-type* [*iff,TC*]: $z \# * w \in \text{int}$
by (*simp add: zmult-def raw-zmult-type*)

lemma *raw-zmult*:
 $\llbracket x1 \in \text{nat}; y1 \in \text{nat}; x2 \in \text{nat}; y2 \in \text{nat} \rrbracket$
 $\implies \text{raw-zmult}(\text{intrel}\{\langle x1, y1 \rangle\}, \text{intrel}\{\langle x2, y2 \rangle\}) =$
 $\text{intrel}\{\langle x1 \# * x2 \# + y1 \# * y2, x1 \# * y2 \# + y1 \# * x2 \rangle\}$
by (*simp add: raw-zmult-def*
 UN-equiv-class2 [*OF equiv-intrel equiv-intrel zmult-congruent2*])

lemma *zmult*:
 $\llbracket x1 \in \text{nat}; y1 \in \text{nat}; x2 \in \text{nat}; y2 \in \text{nat} \rrbracket$
 $\implies (\text{intrel}\{\langle x1, y1 \rangle\}) \# * (\text{intrel}\{\langle x2, y2 \rangle\}) =$
 $\text{intrel}\{\langle x1 \# * x2 \# + y1 \# * y2, x1 \# * y2 \# + y1 \# * x2 \rangle\}$
by (*simp add: zmult-def raw-zmult image-intrel-int*)

lemma *raw-zmult-int0*: $z \in \text{int} \implies \text{raw-zmult}(\$ \# 0, z) = \$ \# 0$
by (*auto simp add: int-def int-of-def raw-zmult*)

lemma *zmult-int0* [*simp*]: $\$ \# 0 \# * z = \$ \# 0$
by (*simp add: zmult-def raw-zmult-int0*)

lemma *raw-zmult-int1*: $z \in \text{int} \implies \text{raw-zmult}(\$ \# 1, z) = z$
by (*auto simp add: int-def int-of-def raw-zmult*)

lemma *zmult-int1-intify* [*simp*]: $\$ \# 1 \# * z = \text{intify}(z)$
by (*simp add: zmult-def raw-zmult-int1*)

lemma *zmult-int1*: $z \in \text{int} \implies \$ \# 1 \# * z = z$
by *simp*

lemma *raw-zmult-commute*:
 $\llbracket z \in \text{int}; w \in \text{int} \rrbracket \implies \text{raw-zmult}(z,w) = \text{raw-zmult}(w,z)$
by (*auto simp add: int-def raw-zmult add-ac mult-ac*)

lemma *zmult-commute*: $z \ \$* \ w = w \ \$* \ z$
by (*simp add: zmult-def raw-zmult-commute*)

lemma *raw-zmult-zminus*:
 $\llbracket z \in \text{int}; w \in \text{int} \rrbracket \implies \text{raw-zmult}(\$- z, w) = \$- \text{raw-zmult}(z, w)$
by (*auto simp add: int-def zminus raw-zmult add-ac*)

lemma *zmult-zminus [simp]*: $(\$- z) \ \$* \ w = \$- (z \ \$* \ w)$
apply (*simp add: zmult-def raw-zmult-zminus*)
apply (*subst zminus-intify [symmetric], rule raw-zmult-zminus, auto*)
done

lemma *zmult-zminus-right [simp]*: $w \ \$* \ (\$- z) = \$- (w \ \$* \ z)$
by (*simp add: zmult-commute [of w]*)

lemma *raw-zmult-assoc*:
 $\llbracket z1: \text{int}; z2: \text{int}; z3: \text{int} \rrbracket$
 $\implies \text{raw-zmult}(\text{raw-zmult}(z1, z2), z3) = \text{raw-zmult}(z1, \text{raw-zmult}(z2, z3))$
by (*auto simp add: int-def raw-zmult add-mult-distrib-left add-ac mult-ac*)

lemma *zmult-assoc*: $(z1 \ \$* \ z2) \ \$* \ z3 = z1 \ \$* \ (z2 \ \$* \ z3)$
by (*simp add: zmult-def raw-zmult-type raw-zmult-assoc*)

lemma *zmult-left-commute*: $z1 \ \$* \ (z2 \ \$* \ z3) = z2 \ \$* \ (z1 \ \$* \ z3)$
apply (*simp add: zmult-assoc [symmetric]*)
apply (*simp add: zmult-commute*)
done

lemmas *zmult-ac = zmult-assoc zmult-commute zmult-left-commute*

lemma *raw-zadd-zmult-distrib*:
 $\llbracket z1: \text{int}; z2: \text{int}; w \in \text{int} \rrbracket$
 $\implies \text{raw-zmult}(\text{raw-zadd}(z1, z2), w) =$
 $\text{raw-zadd}(\text{raw-zmult}(z1, w), \text{raw-zmult}(z2, w))$
by (*auto simp add: int-def raw-zadd raw-zmult add-mult-distrib-left add-ac mult-ac*)

lemma *zadd-zmult-distrib*: $(z1 \ \$+ \ z2) \ \$* \ w = (z1 \ \$* \ w) \ \$+ \ (z2 \ \$* \ w)$
by (*simp add: zmult-def zadd-def raw-zadd-type raw-zmult-type raw-zadd-zmult-distrib*)

lemma *zadd-zmult-distrib2*: $w \ \$* \ (z1 \ \$+ \ z2) = (w \ \$* \ z1) \ \$+ \ (w \ \$* \ z2)$
by (*simp add: zmult-commute [of w] zadd-zmult-distrib*)

lemmas *int-typechecks =*
int-of-type zminus-type zmagnitude-type zadd-type zmult-type

lemma *zdiff-type* [*iff,TC*]: $z \text{ \$- } w \in \text{int}$
by (*simp add: zdiff-def*)

lemma *zminus-zdiff-eq* [*simp*]: $\text{\$- } (z \text{ \$- } y) = y \text{ \$- } z$
by (*simp add: zdiff-def zadd-commute*)

lemma *zdiff-zmult-distrib*: $(z1 \text{ \$- } z2) \text{ \$* } w = (z1 \text{ \$* } w) \text{ \$- } (z2 \text{ \$* } w)$
apply (*simp add: zdiff-def*)
apply (*subst zadd-zmult-distrib*)
apply (*simp add: zmult-zminus*)
done

lemma *zdiff-zmult-distrib2*: $w \text{ \$* } (z1 \text{ \$- } z2) = (w \text{ \$* } z1) \text{ \$- } (w \text{ \$* } z2)$
by (*simp add: zmult-commute [of w] zdiff-zmult-distrib*)

lemma *zadd-zdiff-eq*: $x \text{ \$+ } (y \text{ \$- } z) = (x \text{ \$+ } y) \text{ \$- } z$
by (*simp add: zdiff-def zadd-ac*)

lemma *zdiff-zadd-eq*: $(x \text{ \$- } y) \text{ \$+ } z = (x \text{ \$+ } z) \text{ \$- } y$
by (*simp add: zdiff-def zadd-ac*)

31.9 The "Less Than" Relation

lemma *zless-linear-lemma*:
 $\llbracket z \in \text{int}; w \in \text{int} \rrbracket \implies z \text{ \$< } w \mid z=w \mid w \text{ \$< } z$
apply (*simp add: int-def zless-def znegative-def zdiff-def, auto*)
apply (*simp add: zadd zminus image-iff Bex-def*)
apply (*rule-tac i = xb#+ya and j = xc#+y in Ord-linear-lt*)
apply (*force dest!: spec simp add: add-ac*)
done

lemma *zless-linear*: $z \text{ \$< } w \mid \text{intify}(z)=\text{intify}(w) \mid w \text{ \$< } z$
apply (*cut-tac z = intify (z) and w = intify (w) in zless-linear-lemma*)
apply *auto*
done

lemma *zless-not-refl* [*iff*]: $\neg (z \text{ \$< } z)$
by (*auto simp add: zless-def znegative-def int-of-def zdiff-def*)

lemma *neq-iff-zless*: $\llbracket x \in \text{int}; y \in \text{int} \rrbracket \implies (x \neq y) \longleftrightarrow (x \text{ \$< } y \mid y \text{ \$< } x)$
by (*cut-tac z = x and w = y in zless-linear, auto*)

lemma *zless-imp-intify-neq*: $w \text{ \$< } z \implies \text{intify}(w) \neq \text{intify}(z)$
apply *auto*
apply (*subgoal-tac $\neg (\text{intify } (w) \text{ \$< } \text{intify } (z))$*)
apply (*erule-tac [2] ssubst*)
apply (*simp (no-asm-use)*)

apply *auto*
done

lemma *zless-imp-succ-zadd-lemma:*

$\llbracket w \text{ \$} < z; w \in \text{int}; z \in \text{int} \rrbracket \implies (\exists n \in \text{nat}. z = w \text{ \$} + \text{\#\#}(succ(n)))$
apply (*simp add: zless-def znegative-def zdiff-def int-def*)
apply (*auto dest!: less-imp-succ-add simp add: zadd zminus int-of-def*)
apply (*rule-tac x = k in beXI*)
apply (*erule-tac i=succ (v) for v in add-left-cancel, auto*)
done

lemma *zless-imp-succ-zadd:*

$w \text{ \$} < z \implies (\exists n \in \text{nat}. w \text{ \$} + \text{\#\#}(succ(n)) = \text{intify}(z))$
apply (*subgoal-tac intify (w) \\$ < intify (z)*)
apply (*drule-tac w = intify (w) in zless-imp-succ-zadd-lemma*)
apply *auto*
done

lemma *zless-succ-zadd-lemma:*

$w \in \text{int} \implies w \text{ \$} < w \text{ \$} + \text{\#\#} succ(n)$
apply (*simp add: zless-def znegative-def zdiff-def int-def*)
apply (*auto simp add: zadd zminus int-of-def image-iff*)
apply (*rule-tac x = 0 in exI, auto*)
done

lemma *zless-succ-zadd: w \\$ < w \\$ + \#\# succ(n)*

by (*cut-tac intify-in-int [THEN zless-succ-zadd-lemma], auto*)

lemma *zless-iff-succ-zadd:*

$w \text{ \$} < z \iff (\exists n \in \text{nat}. w \text{ \$} + \text{\#\#}(succ(n)) = \text{intify}(z))$
apply (*rule iffI*)
apply (*erule zless-imp-succ-zadd, auto*)
apply (*rename-tac n*)
apply (*cut-tac w = w and n = n in zless-succ-zadd, auto*)
done

lemma *zless-int-of [simp]: $\llbracket m \in \text{nat}; n \in \text{nat} \rrbracket \implies (\text{\#\#}m \text{ \$} < \text{\#\#}n) \iff (m < n)$*

apply (*simp add: less-iff-succ-add zless-iff-succ-zadd int-of-add [symmetric]*)
apply (*blast intro: sym*)
done

lemma *zless-trans-lemma:*

$\llbracket x \text{ \$} < y; y \text{ \$} < z; x \in \text{int}; y \in \text{int}; z \in \text{int} \rrbracket \implies x \text{ \$} < z$
apply (*simp add: zless-def znegative-def zdiff-def int-def*)
apply (*auto simp add: zadd zminus image-iff*)
apply (*rename-tac x1 x2 y1 y2*)
apply (*rule-tac x = x1 \#+ x2 in exI*)
apply (*rule-tac x = y1 \#+ y2 in exI*)


```

apply (auto simp add: add-lt-mono)
apply (rule sym)
apply hypsubst-thin
apply (erule add-left-cancel)+
apply auto
done

```

```

lemma zless-trans [trans]:  $\llbracket x \mathbb{S} < y; y \mathbb{S} < z \rrbracket \implies x \mathbb{S} < z$ 
apply (subgoal-tac intify (x)  $\mathbb{S} <$  intify (z) )
apply (rule-tac [2]  $y = \text{intify } (y)$  in zless-trans-lemma)
apply auto
done

```

```

lemma zless-not-sym:  $z \mathbb{S} < w \implies \neg (w \mathbb{S} < z)$ 
by (blast dest: zless-trans)

```

```

lemmas zless-asym = zless-not-sym [THEN swap]

```

```

lemma zless-imp-zle:  $z \mathbb{S} < w \implies z \mathbb{S} \leq w$ 
by (simp add: zle-def)

```

```

lemma zle-linear:  $z \mathbb{S} \leq w \mid w \mathbb{S} \leq z$ 
apply (simp add: zle-def)
apply (cut-tac zless-linear, blast)
done

```

31.10 Less Than or Equals

```

lemma zle-refl:  $z \mathbb{S} \leq z$ 
by (simp add: zle-def)

```

```

lemma zle-eq-refl:  $x=y \implies x \mathbb{S} \leq y$ 
by (simp add: zle-refl)

```

```

lemma zle-anti-sym-intify:  $\llbracket x \mathbb{S} \leq y; y \mathbb{S} \leq x \rrbracket \implies \text{intify}(x) = \text{intify}(y)$ 
apply (simp add: zle-def, auto)
apply (blast dest: zless-trans)
done

```

```

lemma zle-anti-sym:  $\llbracket x \mathbb{S} \leq y; y \mathbb{S} \leq x; x \in \text{int}; y \in \text{int} \rrbracket \implies x=y$ 
by (drule zle-anti-sym-intify, auto)

```

```

lemma zle-trans-lemma:
   $\llbracket x \in \text{int}; y \in \text{int}; z \in \text{int}; x \mathbb{S} \leq y; y \mathbb{S} \leq z \rrbracket \implies x \mathbb{S} \leq z$ 
apply (simp add: zle-def, auto)
apply (blast intro: zless-trans)
done

```

lemma *zle-trans* [*trans*]: $\llbracket x \leq y; y \leq z \rrbracket \implies x \leq z$
apply (*subgoal-tac* *intify* ($x \leq \text{intify } z$))
apply (*rule-tac* [2] $y = \text{intify } (y)$ **in** *zle-trans-lemma*)
apply *auto*
done

lemma *zle-zless-trans* [*trans*]: $\llbracket i \leq j; j < k \rrbracket \implies i < k$
apply (*auto simp add: zle-def*)
apply (*blast intro: zless-trans*)
apply (*simp add: zless-def zdiff-def zadd-def*)
done

lemma *zless-zle-trans* [*trans*]: $\llbracket i < j; j \leq k \rrbracket \implies i < k$
apply (*auto simp add: zle-def*)
apply (*blast intro: zless-trans*)
apply (*simp add: zless-def zdiff-def zminus-def*)
done

lemma *not-zless-iff-zle*: $\neg (z < w) \longleftrightarrow (w \leq z)$
apply (*cut-tac* $z = z$ **and** $w = w$ **in** *zless-linear*)
apply (*auto dest: zless-trans simp add: zle-def*)
apply (*auto dest!: zless-imp-intify-neq*)
done

lemma *not-zle-iff-zless*: $\neg (z \leq w) \longleftrightarrow (w < z)$
by (*simp add: not-zless-iff-zle [THEN iff-sym]*)

31.11 More subtraction laws (for *zcompare-rls*)

lemma *zdiff-zdiff-eq*: $(x \$- y) \$- z = x \$- (y \$+ z)$
by (*simp add: zdiff-def zadd-ac*)

lemma *zdiff-zdiff-eq2*: $x \$- (y \$- z) = (x \$+ z) \$- y$
by (*simp add: zdiff-def zadd-ac*)

lemma *zdiff-zless-iff*: $(x \$- y < z) \longleftrightarrow (x < z \$+ y)$
by (*simp add: zless-def zdiff-def zadd-ac*)

lemma *zless-zdiff-iff*: $(x < z \$- y) \longleftrightarrow (x \$+ y < z)$
by (*simp add: zless-def zdiff-def zadd-ac*)

lemma *zdiff-eq-iff*: $\llbracket x \in \text{int}; z \in \text{int} \rrbracket \implies (x \$- y = z) \longleftrightarrow (x = z \$+ y)$
by (*auto simp add: zdiff-def zadd-assoc*)

lemma *eq-zdiff-iff*: $\llbracket x \in \text{int}; z \in \text{int} \rrbracket \implies (x = z \$- y) \longleftrightarrow (x \$+ y = z)$
by (*auto simp add: zdiff-def zadd-assoc*)

lemma *zdiff-zle-iff-lemma*:
 $\llbracket x \in \text{int}; z \in \text{int} \rrbracket \implies (x \$- y \leq z) \longleftrightarrow (x \leq z \$+ y)$

by (auto simp add: zle-def zdiff-eq-iff zdiff-zless-iff)

lemma zdiff-zle-iff: $(x \$ - y \$ \leq z) \longleftrightarrow (x \$ \leq z \$ + y)$
 by (cut-tac zdiff-zle-iff-lemma [OF intify-in-int intify-in-int], simp)

lemma zle-zdiff-iff-lemma:
 $\llbracket x \in \text{int}; z \in \text{int} \rrbracket \implies (x \$ \leq z \$ - y) \longleftrightarrow (x \$ + y \$ \leq z)$
 apply (auto simp add: zle-def zdiff-eq-iff zless-zdiff-iff)
 apply (auto simp add: zdiff-def zadd-assoc)
 done

lemma zle-zdiff-iff: $(x \$ \leq z \$ - y) \longleftrightarrow (x \$ + y \$ \leq z)$
 by (cut-tac zle-zdiff-iff-lemma [OF intify-in-int intify-in-int], simp)

This list of rewrites simplifies (in)equalities by bringing subtractions to the top and then moving negative terms to the other side. Use with *zadd-ac*

lemmas zcompare-rls =
 zdiff-def [symmetric]
 zadd-zdiff-eq zdiff-zadd-eq zdiff-zdiff-eq zdiff-zdiff-eq2
 zdiff-zless-iff zless-zdiff-iff zdiff-zle-iff zle-zdiff-iff
 zdiff-eq-iff eq-zdiff-iff

31.12 Monotonicity and Cancellation Results for Instantiation of the CancelNumerals Simprocs

lemma zadd-left-cancel:
 $\llbracket w \in \text{int}; w' : \text{int} \rrbracket \implies (z \$ + w' = z \$ + w) \longleftrightarrow (w' = w)$
 apply safe
 apply (drule-tac t = $\lambda x. x \$ + (\$ - z)$ in subst-context)
 apply (simp add: zadd-ac)
 done

lemma zadd-left-cancel-intify [simp]:
 $(z \$ + w' = z \$ + w) \longleftrightarrow \text{intify}(w') = \text{intify}(w)$
 apply (rule iff-trans)
 apply (rule-tac [2] zadd-left-cancel, auto)
 done

lemma zadd-right-cancel:
 $\llbracket w \in \text{int}; w' : \text{int} \rrbracket \implies (w' \$ + z = w \$ + z) \longleftrightarrow (w' = w)$
 apply safe
 apply (drule-tac t = $\lambda x. x \$ + (\$ - z)$ in subst-context)
 apply (simp add: zadd-ac)
 done

lemma zadd-right-cancel-intify [simp]:
 $(w' \$ + z = w \$ + z) \longleftrightarrow \text{intify}(w') = \text{intify}(w)$
 apply (rule iff-trans)
 apply (rule-tac [2] zadd-right-cancel, auto)

done

lemma *zadd-right-cancel-zless* [*simp*]: $(w' \$+ z \$< w \$+ z) \longleftrightarrow (w' \$< w)$
by (*simp add: zdiff-zless-iff [THEN iff-sym] zdiff-def zadd-assoc*)

lemma *zadd-left-cancel-zless* [*simp*]: $(z \$+ w' \$< z \$+ w) \longleftrightarrow (w' \$< w)$
by (*simp add: zadd-commute [of z] zadd-right-cancel-zless*)

lemma *zadd-right-cancel-zle* [*simp*]: $(w' \$+ z \$\leq w \$+ z) \longleftrightarrow w' \$\leq w$
by (*simp add: zle-def*)

lemma *zadd-left-cancel-zle* [*simp*]: $(z \$+ w' \$\leq z \$+ w) \longleftrightarrow w' \$\leq w$
by (*simp add: zadd-commute [of z] zadd-right-cancel-zle*)

lemmas *zadd-zless-mono1* = *zadd-right-cancel-zless [THEN iffD2]*

lemmas *zadd-zless-mono2* = *zadd-left-cancel-zless [THEN iffD2]*

lemmas *zadd-zle-mono1* = *zadd-right-cancel-zle [THEN iffD2]*

lemmas *zadd-zle-mono2* = *zadd-left-cancel-zle [THEN iffD2]*

lemma *zadd-zle-mono*: $\llbracket w' \$\leq w; z' \$\leq z \rrbracket \Longrightarrow w' \$+ z' \$\leq w \$+ z$
by (*erule zadd-zle-mono1 [THEN zle-trans], simp*)

lemma *zadd-zless-mono*: $\llbracket w' \$< w; z' \$\leq z \rrbracket \Longrightarrow w' \$+ z' \$< w \$+ z$
by (*erule zadd-zless-mono1 [THEN zless-zle-trans], simp*)

31.13 Comparison laws

lemma *zminus-zless-zminus* [*simp*]: $(\$- x \$< \$- y) \longleftrightarrow (y \$< x)$
by (*simp add: zless-def zdiff-def zadd-ac*)

lemma *zminus-zle-zminus* [*simp*]: $(\$- x \$\leq \$- y) \longleftrightarrow (y \$\leq x)$
by (*simp add: not-zless-iff-zle [THEN iff-sym]*)

31.13.1 More inequality lemmas

lemma *equation-zminus*: $\llbracket x \in \text{int}; y \in \text{int} \rrbracket \Longrightarrow (x = \$- y) \longleftrightarrow (y = \$- x)$
by *auto*

lemma *zminus-equation*: $\llbracket x \in \text{int}; y \in \text{int} \rrbracket \Longrightarrow (\$- x = y) \longleftrightarrow (\$- y = x)$
by *auto*

lemma *equation-zminus-intify*: $(\text{intify}(x) = \$- y) \longleftrightarrow (\text{intify}(y) = \$- x)$

```

apply (cut-tac  $x = \text{intify}(x)$  and  $y = \text{intify}(y)$  in equation-zminus)
apply auto
done

```

```

lemma zminus-equation-intify:  $(\$- x = \text{intify}(y)) \longleftrightarrow (\$- y = \text{intify}(x))$ 
apply (cut-tac  $x = \text{intify}(x)$  and  $y = \text{intify}(y)$  in zminus-equation)
apply auto
done

```

31.13.2 The next several equations are permutative: watch out!

```

lemma zless-zminus:  $(x \$< \$- y) \longleftrightarrow (y \$< \$- x)$ 
by (simp add: zless-def zdiff-def zadd-ac)

```

```

lemma zminus-zless:  $(\$- x \$< y) \longleftrightarrow (\$- y \$< x)$ 
by (simp add: zless-def zdiff-def zadd-ac)

```

```

lemma zle-zminus:  $(x \$\leq \$- y) \longleftrightarrow (y \$\leq \$- x)$ 
by (simp add: not-zless-iff-zle [THEN iff-sym] zminus-zless)

```

```

lemma zminus-zle:  $(\$- x \$\leq y) \longleftrightarrow (\$- y \$\leq x)$ 
by (simp add: not-zless-iff-zle [THEN iff-sym] zless-zminus)

```

end

32 Arithmetic on Binary Integers

```

theory Bin
imports Int Datatype
begin

```

```

consts bin :: i
datatype
  bin = Pls
        | Min
        | Bit ( $w \in \text{bin}, b \in \text{bool}$ )    (infixl  $\langle \text{BIT} \rangle$  90)

```

```

consts
  integ-of ::  $i \Rightarrow i$ 
  NCons    ::  $[i, i] \Rightarrow i$ 
  bin-succ ::  $i \Rightarrow i$ 
  bin-pred ::  $i \Rightarrow i$ 
  bin-minus ::  $i \Rightarrow i$ 
  bin-adder ::  $i \Rightarrow i$ 
  bin-mult  ::  $[i, i] \Rightarrow i$ 

```

```

primrec
  integ-of-Pls: integ-of (Pls)    =  $\$# 0$ 
  integ-of-Min: integ-of (Min)    =  $\$-(\$#1)$ 

```

integ-of-BIT: $\text{integ-of}(w \text{ BIT } b) = \text{\$} \# b \text{\$} + \text{integ-of}(w) \text{\$} + \text{integ-of}(w)$

primrec

NCons-Pls: $NCons(Pls, b) = \text{cond}(b, Pls \text{ BIT } b, Pls)$
NCons-Min: $NCons(Min, b) = \text{cond}(b, Min, Min \text{ BIT } b)$
NCons-BIT: $NCons(w \text{ BIT } c, b) = w \text{ BIT } c \text{ BIT } b$

primrec

bin-succ-Pls: $\text{bin-succ}(Pls) = Pls \text{ BIT } 1$
bin-succ-Min: $\text{bin-succ}(Min) = Pls$
bin-succ-BIT: $\text{bin-succ}(w \text{ BIT } b) = \text{cond}(b, \text{bin-succ}(w) \text{ BIT } 0, NCons(w, 1))$

primrec

bin-pred-Pls: $\text{bin-pred}(Pls) = Min$
bin-pred-Min: $\text{bin-pred}(Min) = Min \text{ BIT } 0$
bin-pred-BIT: $\text{bin-pred}(w \text{ BIT } b) = \text{cond}(b, NCons(w, 0), \text{bin-pred}(w) \text{ BIT } 1)$

primrec

bin-minus-Pls:
 $\text{bin-minus}(Pls) = Pls$
bin-minus-Min:
 $\text{bin-minus}(Min) = Pls \text{ BIT } 1$
bin-minus-BIT:
 $\text{bin-minus}(w \text{ BIT } b) = \text{cond}(b, \text{bin-pred}(NCons(\text{bin-minus}(w), 0)), \text{bin-minus}(w) \text{ BIT } 0)$

primrec

bin-adder-Pls:
 $\text{bin-adder}(Pls) = (\lambda w \in \text{bin. } w)$
bin-adder-Min:
 $\text{bin-adder}(Min) = (\lambda w \in \text{bin. } \text{bin-pred}(w))$
bin-adder-BIT:
 $\text{bin-adder}(v \text{ BIT } x) =$
 $(\lambda w \in \text{bin.}$
 $\text{bin-case}(v \text{ BIT } x, \text{bin-pred}(v \text{ BIT } x),$
 $\lambda w y. NCons(\text{bin-adder}(v) \text{ ' cond}(x \text{ and } y, \text{bin-succ}(w), w),$
 $x \text{ xor } y),$
 $w))$

definition

bin-add :: $[i, i] \Rightarrow i$ **where**
 $\text{bin-add}(v, w) \equiv \text{bin-adder}(v) \text{ ' } w$

primrec

bin-mult-Pls:
 $\text{bin-mult}(Pls, w) = Pls$
bin-mult-Min:
 $\text{bin-mult}(Min, w) = \text{bin-minus}(w)$
bin-mult-BIT:
 $\text{bin-mult}(v \text{ BIT } b, w) = \text{cond}(b, \text{bin-add}(NCons(\text{bin-mult}(v, w), 0), w), NCons(\text{bin-mult}(v, w), 0))$

syntax

-Int0 :: i ($\langle \# ' 0 \rangle$)
-Int1 :: i ($\langle \# ' 1 \rangle$)
-Int2 :: i ($\langle \# ' 2 \rangle$)
-Neg-Int1 :: i ($\langle \# - ' 1 \rangle$)
-Neg-Int2 :: i ($\langle \# - ' 2 \rangle$)

translations

$\#0 \Rightarrow \text{CONST integ-of}(\text{CONST } Pls)$
 $\#1 \Rightarrow \text{CONST integ-of}(\text{CONST } Pls \text{ BIT } 1)$
 $\#2 \Rightarrow \text{CONST integ-of}(\text{CONST } Pls \text{ BIT } 1 \text{ BIT } 0)$
 $\#-1 \Rightarrow \text{CONST integ-of}(\text{CONST } Min)$
 $\#-2 \Rightarrow \text{CONST integ-of}(\text{CONST } Min \text{ BIT } 0)$

syntax

-Int :: $\text{num-token} \Rightarrow i$ ($\langle \# \rightarrow 1000 \rangle$)
-Neg-Int :: $\text{num-token} \Rightarrow i$ ($\langle \# \rightarrow - 1000 \rangle$)

ML-file $\langle \text{Tools/numeral-syntax.ML} \rangle$

declare *bin.intros* [*simp*, *TC*]

lemma *NCons-Pls-0*: $NCons(Pls, 0) = Pls$
by *simp*

lemma *NCons-Pls-1*: $NCons(Pls, 1) = Pls \text{ BIT } 1$
by *simp*

lemma *NCons-Min-0*: $NCons(Min, 0) = Min \text{ BIT } 0$
by *simp*

lemma *NCons-Min-1*: $NCons(Min, 1) = Min$
by *simp*

lemma *NCons-BIT*: $NCons(w \text{ BIT } x, b) = w \text{ BIT } x \text{ BIT } b$
by (*simp add: bin.case-eqns*)

lemmas *NCons-simps* [*simp*] =
 $NCons-Pls-0 \ NCons-Pls-1 \ NCons-Min-0 \ NCons-Min-1 \ NCons-BIT$

lemma *integ-of-type* [TC]: $w \in \text{bin} \implies \text{integ-of}(w) \in \text{int}$
apply (*induct-tac* *w*)
apply (*simp-all* *add: bool-into-nat*)
done

lemma *NCons-type* [TC]: $\llbracket w \in \text{bin}; b \in \text{bool} \rrbracket \implies \text{NCons}(w,b) \in \text{bin}$
by (*induct-tac* *w*, *auto*)

lemma *bin-succ-type* [TC]: $w \in \text{bin} \implies \text{bin-succ}(w) \in \text{bin}$
by (*induct-tac* *w*, *auto*)

lemma *bin-pred-type* [TC]: $w \in \text{bin} \implies \text{bin-pred}(w) \in \text{bin}$
by (*induct-tac* *w*, *auto*)

lemma *bin-minus-type* [TC]: $w \in \text{bin} \implies \text{bin-minus}(w) \in \text{bin}$
by (*induct-tac* *w*, *auto*)

lemma *bin-add-type* [*rule-format*]:
 $v \in \text{bin} \implies \forall w \in \text{bin}. \text{bin-add}(v,w) \in \text{bin}$
unfolding *bin-add-def*
apply (*induct-tac* *v*)
apply (*rule-tac* [3] *ballI*)
apply (*rename-tac* [3] *w'*)
apply (*induct-tac* [3] *w'*)
apply (*simp-all* *add: NCons-type*)
done

declare *bin-add-type* [TC]

lemma *bin-mult-type* [TC]: $\llbracket v \in \text{bin}; w \in \text{bin} \rrbracket \implies \text{bin-mult}(v,w) \in \text{bin}$
by (*induct-tac* *v*, *auto*)

32.0.1 The Carry and Borrow Functions, *bin-succ* and *bin-pred*

lemma *integ-of-NCons* [*simp*]:
 $\llbracket w \in \text{bin}; b \in \text{bool} \rrbracket \implies \text{integ-of}(\text{NCons}(w,b)) = \text{integ-of}(w \text{ BIT } b)$
apply (*erule* *bin.cases*)
apply (*auto elim!: boolE*)
done

lemma *integ-of-succ* [*simp*]:
 $w \in \text{bin} \implies \text{integ-of}(\text{bin-succ}(w)) = \text{\$#1 } \text{\$+ } \text{integ-of}(w)$
apply (*erule* *bin.induct*)
apply (*auto simp add: zadd-ac elim!: boolE*)
done

lemma *integ-of-pred* [*simp*]:
 $w \in \text{bin} \implies \text{integ-of}(\text{bin-pred}(w)) = \$- (\$ \# 1) \$+ \text{integ-of}(w)$
apply (*erule bin.induct*)
apply (*auto simp add: zadd-ac elim!: boolE*)
done

32.0.2 *bin-minus*: Unary Negation of Binary Integers

lemma *integ-of-minus*: $w \in \text{bin} \implies \text{integ-of}(\text{bin-minus}(w)) = \$- \text{integ-of}(w)$
apply (*erule bin.induct*)
apply (*auto simp add: zadd-ac zminus-zadd-distrib elim!: boolE*)
done

32.0.3 *bin-add*: Binary Addition

lemma *bin-add-Pls* [*simp*]: $w \in \text{bin} \implies \text{bin-add}(\text{Pls}, w) = w$
by (*unfold bin-add-def, simp*)

lemma *bin-add-Pls-right*: $w \in \text{bin} \implies \text{bin-add}(w, \text{Pls}) = w$
unfolding *bin-add-def*
apply (*erule bin.induct, auto*)
done

lemma *bin-add-Min* [*simp*]: $w \in \text{bin} \implies \text{bin-add}(\text{Min}, w) = \text{bin-pred}(w)$
by (*unfold bin-add-def, simp*)

lemma *bin-add-Min-right*: $w \in \text{bin} \implies \text{bin-add}(w, \text{Min}) = \text{bin-pred}(w)$
unfolding *bin-add-def*
apply (*erule bin.induct, auto*)
done

lemma *bin-add-BIT-Pls* [*simp*]: $\text{bin-add}(v \text{ BIT } x, \text{Pls}) = v \text{ BIT } x$
by (*unfold bin-add-def, simp*)

lemma *bin-add-BIT-Min* [*simp*]: $\text{bin-add}(v \text{ BIT } x, \text{Min}) = \text{bin-pred}(v \text{ BIT } x)$
by (*unfold bin-add-def, simp*)

lemma *bin-add-BIT-BIT* [*simp*]:
 $\llbracket w \in \text{bin}; y \in \text{bool} \rrbracket$
 $\implies \text{bin-add}(v \text{ BIT } x, w \text{ BIT } y) =$
 $\text{NCons}(\text{bin-add}(v, \text{cond}(x \text{ and } y, \text{bin-succ}(w), w)), x \text{ xor } y)$
by (*unfold bin-add-def, simp*)

lemma *integ-of-add* [*rule-format*]:
 $v \in \text{bin} \implies$
 $\forall w \in \text{bin}. \text{integ-of}(\text{bin-add}(v, w)) = \text{integ-of}(v) \$+ \text{integ-of}(w)$
apply (*erule bin.induct, simp, simp*)
apply (*rule ballI*)
apply (*induct-tac wa*)

apply (*auto simp add: zadd-ac elim!: boolE*)
done

lemma *diff-integ-of-eq*:
 $\llbracket v \in \text{bin}; w \in \text{bin} \rrbracket$
 $\implies \text{integ-of}(v) \$- \text{integ-of}(w) = \text{integ-of}(\text{bin-add}(v, \text{bin-minus}(w)))$
unfolding *zdiff-def*
apply (*simp add: integ-of-add integ-of-minus*)
done

32.0.4 *bin-mult*: Binary Multiplication

lemma *integ-of-mult*:
 $\llbracket v \in \text{bin}; w \in \text{bin} \rrbracket$
 $\implies \text{integ-of}(\text{bin-mult}(v,w)) = \text{integ-of}(v) \$* \text{integ-of}(w)$
apply (*induct-tac v, simp*)
apply (*simp add: integ-of-minus*)
apply (*auto simp add: zadd-ac integ-of-add zadd-zmult-distrib elim!: boolE*)
done

32.1 Computations

lemma *bin-succ-1*: $\text{bin-succ}(w \text{ BIT } 1) = \text{bin-succ}(w) \text{ BIT } 0$
by *simp*

lemma *bin-succ-0*: $\text{bin-succ}(w \text{ BIT } 0) = \text{NCons}(w,1)$
by *simp*

lemma *bin-pred-1*: $\text{bin-pred}(w \text{ BIT } 1) = \text{NCons}(w,0)$
by *simp*

lemma *bin-pred-0*: $\text{bin-pred}(w \text{ BIT } 0) = \text{bin-pred}(w) \text{ BIT } 1$
by *simp*

lemma *bin-minus-1*: $\text{bin-minus}(w \text{ BIT } 1) = \text{bin-pred}(\text{NCons}(\text{bin-minus}(w), 0))$
by *simp*

lemma *bin-minus-0*: $\text{bin-minus}(w \text{ BIT } 0) = \text{bin-minus}(w) \text{ BIT } 0$
by *simp*

lemma *bin-add-BIT-11*: $w \in \text{bin} \implies \text{bin-add}(v \text{ BIT } 1, w \text{ BIT } 1) =$
 $\text{NCons}(\text{bin-add}(v, \text{bin-succ}(w)), 0)$
by *simp*

lemma *bin-add-BIT-10*: $w \in \text{bin} \implies \text{bin-add}(v \text{ BIT } 1, w \text{ BIT } 0) =$

$NCons(bin-add(v,w), 1)$

by *simp*

lemma *bin-add-BIT-0*: $\llbracket w \in bin; y \in bool \rrbracket$
 $\implies bin-add(v BIT 0, w BIT y) = NCons(bin-add(v,w), y)$

by *simp*

lemma *bin-mult-1*: $bin-mult(v BIT 1, w) = bin-add(NCons(bin-mult(v,w),0), w)$

by *simp*

lemma *bin-mult-0*: $bin-mult(v BIT 0, w) = NCons(bin-mult(v,w),0)$

by *simp*

lemma *int-of-0*: $\$ \# 0 = \# 0$

by *simp*

lemma *int-of-succ*: $\$ \# succ(n) = \# 1 \$ + \$ \# n$

by (*simp add: int-of-add [symmetric] natify-succ*)

lemma *zminus-0 [simp]*: $\$ - \# 0 = \# 0$

by *simp*

lemma *zadd-0-intify [simp]*: $\# 0 \$ + z = intify(z)$

by *simp*

lemma *zadd-0-right-intify [simp]*: $z \$ + \# 0 = intify(z)$

by *simp*

lemma *zmult-1-intify [simp]*: $\# 1 \$ * z = intify(z)$

by *simp*

lemma *zmult-1-right-intify [simp]*: $z \$ * \# 1 = intify(z)$

by (*subst zmult-commute, simp*)

lemma *zmult-0 [simp]*: $\# 0 \$ * z = \# 0$

by *simp*

lemma *zmult-0-right [simp]*: $z \$ * \# 0 = \# 0$

by (*subst zmult-commute, simp*)

lemma *zmult-minus1 [simp]*: $\# -1 \$ * z = \$ - z$

by (*simp add: zcompare-rls*)

lemma *zmult-minus1-right [simp]*: $z \$ * \# -1 = \$ - z$

apply (*subst zmult-commute*)
apply (*rule zmult-minus1*)
done

32.2 Simplification Rules for Comparison of Binary Numbers

Thanks to Norbert Voelker

lemma *eq-integ-of-eq*:

$$\llbracket v \in \text{bin}; w \in \text{bin} \rrbracket$$

$$\implies ((\text{integ-of}(v) = \text{integ-of}(w)) \longleftrightarrow$$

$$\text{iszero}(\text{integ-of}(\text{bin-add}(v, \text{bin-minus}(w))))$$
unfolding *iszero-def*
apply (*simp add: zcompare-rls integ-of-add integ-of-minus*)
done

lemma *iszero-integ-of-Pls*: $\text{iszero}(\text{integ-of}(Pls))$
by (*unfold iszero-def, simp*)

lemma *nonzero-integ-of-Min*: $\neg \text{iszero}(\text{integ-of}(Min))$
unfolding *iszero-def*
apply (*simp add: zminus-equation*)
done

lemma *iszero-integ-of-BIT*:

$$\llbracket w \in \text{bin}; x \in \text{bool} \rrbracket$$

$$\implies \text{iszero}(\text{integ-of}(w \text{ BIT } x)) \longleftrightarrow (x=0 \wedge \text{iszero}(\text{integ-of}(w)))$$
apply (*unfold iszero-def, simp*)
apply (*subgoal-tac integ-of(w) \in int*)
apply *typecheck*
apply (*drule int-cases*)
apply (*safe elim!: boolE*)
apply (*simp-all (asm-lr) add: zcompare-rls zminus-zadd-distrib [symmetric]*
 $\text{int-of-add [symmetric]}$)
done

lemma *iszero-integ-of-0*:
 $w \in \text{bin} \implies \text{iszero}(\text{integ-of}(w \text{ BIT } 0)) \longleftrightarrow \text{iszero}(\text{integ-of}(w))$
by (*simp only: iszero-integ-of-BIT, blast*)

lemma *iszero-integ-of-1*: $w \in \text{bin} \implies \neg \text{iszero}(\text{integ-of}(w \text{ BIT } 1))$
by (*simp only: iszero-integ-of-BIT, blast*)

lemma *less-integ-of-eq-neg*:

```

    [[v ∈ bin; w ∈ bin]]
    ⇒ integ-of(v) $< integ-of(w)
    ↔ znegative (integ-of (bin-add (v, bin-minus(w))))
  unfolding zless-def zdiff-def
  apply (simp add: integ-of-minus integ-of-add)
done

```

```

lemma not-neg-integ-of-Pls: ¬ znegative (integ-of(Pls))
by simp

```

```

lemma neg-integ-of-Min: znegative (integ-of(Min))
by simp

```

```

lemma neg-integ-of-BIT:
  [[w ∈ bin; x ∈ bool]]
  ⇒ znegative (integ-of (w BIT x)) ↔ znegative (integ-of(w))
  apply simp
  apply (subgoal-tac integ-of (w) ∈ int)
  apply typecheck
  apply (drule int-cases)
  apply (auto elim!: boolE simp add: int-of-add [symmetric] zcompare-rls)
  apply (simp-all add: zminus-zadd-distrib [symmetric] zdiff-def
    int-of-add [symmetric])
  apply (subgoal-tac $#1 $- $# succ (succ (n #+ n)) = $- $# succ (n #+ n) )
  apply (simp add: zdiff-def)
  apply (simp add: equation-zminus int-of-diff [symmetric])
done

```

```

lemma le-integ-of-eq-not-less:
  (integ-of(x) $≤ (integ-of(w))) ↔ ¬ (integ-of(w) $< (integ-of(x)))
  by (simp add: not-zless-iff-zle [THEN iff-sym])

```

```

declare bin-succ-BIT [simp del]
        bin-pred-BIT [simp del]
        bin-minus-BIT [simp del]
        NCons-Pls [simp del]
        NCons-Min [simp del]
        bin-adder-BIT [simp del]
        bin-mult-BIT [simp del]

```

```

declare integ-of-Pls [simp del] integ-of-Min [simp del] integ-of-BIT [simp del]

```

```

lemmas bin-arith-extra-simps =

```

integ-of-add [*symmetric*]
integ-of-minus [*symmetric*]
integ-of-mult [*symmetric*]
bin-succ-1 *bin-succ-0*
bin-pred-1 *bin-pred-0*
bin-minus-1 *bin-minus-0*
bin-add-Pls-right *bin-add-Min-right*
bin-add-BIT-0 *bin-add-BIT-10* *bin-add-BIT-11*
diff-integ-of-eq
bin-mult-1 *bin-mult-0* *NCons-simps*

lemmas *bin-arith-simps* =
bin-pred-Pls *bin-pred-Min*
bin-succ-Pls *bin-succ-Min*
bin-add-Pls *bin-add-Min*
bin-minus-Pls *bin-minus-Min*
bin-mult-Pls *bin-mult-Min*
bin-arith-extra-simps

lemmas *bin-rel-simps* =
eq-integ-of-eq *iszero-integ-of-Pls* *nonzero-integ-of-Min*
iszero-integ-of-0 *iszero-integ-of-1*
less-integ-of-eq-neg
not-neg-integ-of-Pls *neg-integ-of-Min* *neg-integ-of-BIT*
le-integ-of-eq-not-less

declare *bin-arith-simps* [*simp*]
declare *bin-rel-simps* [*simp*]

lemma *add-integ-of-left* [*simp*]:
 $\llbracket v \in \text{bin}; w \in \text{bin} \rrbracket$
 $\implies \text{integ-of}(v) \$+ (\text{integ-of}(w) \$+ z) = (\text{integ-of}(\text{bin-add}(v,w)) \$+ z)$
by (*simp add: zadd-assoc* [*symmetric*])

lemma *mult-integ-of-left* [*simp*]:
 $\llbracket v \in \text{bin}; w \in \text{bin} \rrbracket$
 $\implies \text{integ-of}(v) \$* (\text{integ-of}(w) \$* z) = (\text{integ-of}(\text{bin-mult}(v,w)) \$* z)$
by (*simp add: zmult-assoc* [*symmetric*])

lemma *add-integ-of-diff1* [*simp*]:
 $\llbracket v \in \text{bin}; w \in \text{bin} \rrbracket$
 $\implies \text{integ-of}(v) \$+ (\text{integ-of}(w) \$- c) = \text{integ-of}(\text{bin-add}(v,w)) \$- (c)$
unfolding *zdiff-def*

apply (*rule add-integ-of-left, auto*)
done

lemma *add-integ-of-diff2* [*simp*]:
 $\llbracket v \in \text{bin}; w \in \text{bin} \rrbracket$
 $\implies \text{integ-of}(v) \$+ (c \$- \text{integ-of}(w)) =$
 $\text{integ-of}(\text{bin-add}(v, \text{bin-minus}(w))) \$+ (c)$
apply (*subst diff-integ-of-eq [symmetric]*)
apply (*simp-all add: zdiff-def zadd-ac*)
done

declare *int-of-0* [*simp*] *int-of-succ* [*simp*]

lemma *zdiff0* [*simp*]: $\#0 \$- x = \$-x$
by (*simp add: zdiff-def*)

lemma *zdiff0-right* [*simp*]: $x \$- \#0 = \text{intify}(x)$
by (*simp add: zdiff-def*)

lemma *zdiff-self* [*simp*]: $x \$- x = \#0$
by (*simp add: zdiff-def*)

lemma *znegative-iff-zless-0*: $k \in \text{int} \implies \text{znegative}(k) \longleftrightarrow k \$< \#0$
by (*simp add: zless-def*)

lemma *zero-zless-imp-znegative-zminus*: $\llbracket \#0 \$< k; k \in \text{int} \rrbracket \implies \text{znegative}(\$-k)$
by (*simp add: zless-def*)

lemma *zero-zle-int-of* [*simp*]: $\#0 \$\leq \$\# n$
by (*simp add: not-zless-iff-zle [THEN iff-sym] znegative-iff-zless-0 [THEN iff-sym]*)

lemma *nat-of-0* [*simp*]: $\text{nat-of}(\#0) = 0$
by (*simp only: natify-0 int-of-0 [symmetric] nat-of-int-of*)

lemma *nat-le-int0-lemma*: $\llbracket z \$\leq \$\#0; z \in \text{int} \rrbracket \implies \text{nat-of}(z) = 0$
by (*auto simp add: znegative-iff-zless-0 [THEN iff-sym] zle-def zneg-nat-of*)

lemma *nat-le-int0*: $z \$\leq \$\#0 \implies \text{nat-of}(z) = 0$
apply (*subgoal-tac nat-of (intify (z)) = 0*)
apply (*rule-tac [2] nat-le-int0-lemma, auto*)
done

lemma *int-of-eq-0-imp-natify-eq-0*: $\$ \# n = \#0 \implies \text{natify}(n) = 0$
by (*rule not-znegative-imp-zero, auto*)

lemma *nat-of-zminus-int-of*: $\text{nat-of}(\$- \$\# n) = 0$

by (*simp add: nat-of-def int-of-def raw-nat-of zminus image-intrel-int*)

lemma *int-of-nat-of*: $\#0 \ \$\le z \implies \#\ \text{nat-of}(z) = \text{intify}(z)$

apply (*rule not-zneg-nat-of-intify*)

apply (*simp add: znegative-iff-zless-0 not-zless-iff-zle*)

done

declare *int-of-nat-of* [*simp*] *nat-of-zminus-int-of* [*simp*]

lemma *int-of-nat-of-if*: $\#\ \text{nat-of}(z) = (\text{if } \#0 \ \$\le z \text{ then } \text{intify}(z) \text{ else } \#0)$

by (*simp add: int-of-nat-of znegative-iff-zless-0 not-zle-iff-zless*)

lemma *zless-nat-iff-int-zless*: $\llbracket m \in \text{nat}; z \in \text{int} \rrbracket \implies (m < \text{nat-of}(z)) \longleftrightarrow (\#\ m \ \$< z)$

apply (*case-tac znegative (z)*)

apply (*erule-tac [2] not-zneg-nat-of [THEN subst]*)

apply (*auto dest: zless-trans dest!: zero-zle-int-of [THEN zle-zless-trans]*
simp add: znegative-iff-zless-0)

done

lemma *zless-nat-conj-lemma*: $\#\ 0 \ \$< z \implies (\text{nat-of}(w) < \text{nat-of}(z)) \longleftrightarrow (w \ \$< z)$

apply (*rule iff-trans*)

apply (*rule zless-int-of [THEN iff-sym]*)

apply (*auto simp add: int-of-nat-of-if simp del: zless-int-of*)

apply (*auto elim: zless-asym simp add: not-zle-iff-zless*)

apply (*blast intro: zless-zle-trans*)

done

lemma *zless-nat-conj*: $(\text{nat-of}(w) < \text{nat-of}(z)) \longleftrightarrow (\#\ 0 \ \$< z \wedge w \ \$< z)$

apply (*case-tac \#\ 0 \ \\$< z*)

apply (*auto simp add: zless-nat-conj-lemma nat-le-int0 not-zless-iff-zle*)

done

lemma *integ-of-minus-reorient* [*simp*]:

$(\text{integ-of}(w) = \ \$- x) \longleftrightarrow (\ \$- x = \text{integ-of}(w))$

by *auto*

lemma *integ-of-add-reorient* [*simp*]:

$(\text{integ-of}(w) = x \ \$+ y) \longleftrightarrow (x \ \$+ y = \text{integ-of}(w))$

by *auto*

lemma *integ-of-diff-reorient* [*simp*]:

$(\text{integ-of}(w) = x \$- y) \longleftrightarrow (x \$- y = \text{integ-of}(w))$
by *auto*

lemma *integ-of-mult-reorient* [*simp*]:
 $(\text{integ-of}(w) = x \$* y) \longleftrightarrow (x \$* y = \text{integ-of}(w))$
by *auto*

lemmas [*simp*] =
zminus-equation [**where** $y = \text{integ-of}(w)$]
equation-zminus [**where** $x = \text{integ-of}(w)$]
for w

lemmas [*iff*] =
zminus-zless [**where** $y = \text{integ-of}(w)$]
zless-zminus [**where** $x = \text{integ-of}(w)$]
for w

lemmas [*iff*] =
zminus-zle [**where** $y = \text{integ-of}(w)$]
zle-zminus [**where** $x = \text{integ-of}(w)$]
for w

lemmas [*simp*] =
Let-def [**where** $s = \text{integ-of}(w)$] **for** w

lemma *zless-iff-zdiff-zless-0*: $(x \$< y) \longleftrightarrow (x \$-y \$< \#0)$
by (*simp add: zcompare-rls*)

lemma *eq-iff-zdiff-eq-0*: $\llbracket x \in \text{int}; y \in \text{int} \rrbracket \implies (x = y) \longleftrightarrow (x \$-y = \#0)$
by (*simp add: zcompare-rls*)

lemma *zle-iff-zdiff-zle-0*: $(x \$\leq y) \longleftrightarrow (x \$-y \$\leq \#0)$
by (*simp add: zcompare-rls*)

lemma *left-zadd-zmult-distrib*: $i \$*u \$+ (j \$*u \$+ k) = (i \$+j) \$*u \$+ k$
by (*simp add: zadd-zmult-distrib zadd-ac*)

lemma *eq-add-iff1*: $(i * u \ \$+ \ m = j * u \ \$+ \ n) \longleftrightarrow ((i - j) * u \ \$+ \ m = \text{intify}(n))$
apply (*simp add: zdiff-def zadd-zmult-distrib*)
apply (*simp add: zcompare-rls*)
apply (*simp add: zadd-ac*)
done

lemma *eq-add-iff2*: $(i * u \ \$+ \ m = j * u \ \$+ \ n) \longleftrightarrow (\text{intify}(m) = (j - i) * u \ \$+ \ n)$
apply (*simp add: zdiff-def zadd-zmult-distrib*)
apply (*simp add: zcompare-rls*)
apply (*simp add: zadd-ac*)
done

context *fixes n :: i*
begin

lemmas *rel-iff-rel-0-rls* =
zless-iff-zdiff-zless-0 [**where** $y = u \ \$+ \ v$]
eq-iff-zdiff-eq-0 [**where** $y = u \ \$+ \ v$]
zle-iff-zdiff-zle-0 [**where** $y = u \ \$+ \ v$]
zless-iff-zdiff-zless-0 [**where** $y = n$]
eq-iff-zdiff-eq-0 [**where** $y = n$]
zle-iff-zdiff-zle-0 [**where** $y = n$]
for $u \ v$

lemma *less-add-iff1*: $(i * u \ \$+ \ m \ \$< \ j * u \ \$+ \ n) \longleftrightarrow ((i - j) * u \ \$+ \ m \ \$< \ n)$
apply (*simp add: zdiff-def zadd-zmult-distrib zadd-ac rel-iff-rel-0-rls*)
done

lemma *less-add-iff2*: $(i * u \ \$+ \ m \ \$< \ j * u \ \$+ \ n) \longleftrightarrow (m \ \$< \ (j - i) * u \ \$+ \ n)$
apply (*simp add: zdiff-def zadd-zmult-distrib zadd-ac rel-iff-rel-0-rls*)
done

end

lemma *le-add-iff1*: $(i * u \ \$+ \ m \ \$\leq \ j * u \ \$+ \ n) \longleftrightarrow ((i - j) * u \ \$+ \ m \ \$\leq \ n)$
apply (*simp add: zdiff-def zadd-zmult-distrib*)
apply (*simp add: zcompare-rls*)
apply (*simp add: zadd-ac*)
done

lemma *le-add-iff2*: $(i * u \ \$+ \ m \ \$\leq \ j * u \ \$+ \ n) \longleftrightarrow (m \ \$\leq \ (j - i) * u \ \$+ \ n)$
apply (*simp add: zdiff-def zadd-zmult-distrib*)
apply (*simp add: zcompare-rls*)
apply (*simp add: zadd-ac*)
done

ML-file $\langle \text{int-arith.ML} \rangle$

32.3 examples:

combine-numerals-prod (products of separate literals)

lemma #5 $x * #3 = y$ **apply simp oops**

schematic-goal $y2 * ?x42 = y * y2$ **apply simp oops**

lemma $oo : int \implies l * (l * #2) * oo = oo$ **apply simp oops**

lemma #9 $x * y = x * #23 * z$ **apply simp oops**

lemma $y * x = x * z$ **apply simp oops**

lemma $x : int \implies x * y * z = x * z$ **apply simp oops**

lemma $x : int \implies y * (z * x) = z * x$ **apply simp oops**

lemma $z : int \implies x * y * z = (z * y) * (x * w)$ **apply simp oops**

lemma $z : int \implies x * y * z = (z * y) * (y * x * w)$ **apply simp oops**

lemma #3 $x * y \leq x * #2 * z$ **apply simp oops**

lemma $y * x \leq x * z$ **apply simp oops**

lemma $x * y * z \leq x * z$ **apply simp oops**

lemma $y * (z * x) < z * x$ **apply simp oops**

lemma $x * y * z < (z * y) * (x * w)$ **apply simp oops**

lemma $x * y * z < (z * y) * (y * x * w)$ **apply simp oops**

lemma $l * #2 * #2 * #2 * (l * #2) * (oo * #2) = uu$ **apply simp oops**

lemma $u : int \implies #2 * u = u$ **apply simp oops**

lemma $(i * j * #12 * k) * #15 = y$ **apply simp oops**

lemma $(i * j * #12 * k) * #5 = y$ **apply simp oops**

lemma $y * b < b$ **apply simp oops**

lemma $y * (#3 * b * c) < b * #2 * c$ **apply simp oops**

lemma $(#2 * x * (u * v) * y) * v * #3 * u = w$ **apply simp oops**

lemma $(#2 * x * u * v * (u * v) * #4 * y) * v * u * #4 = w$ **apply simp oops**

lemma $(#2 * x * u * v * (u * v) * #4 * y) * v * u = w$ **apply simp oops**

lemma $u * v * (x * u * v * (u * v) * #4 * y) = w$ **apply simp oops**

lemma $(i * j * #12 * k) = u * #15 * y$ **apply simp oops**

lemma $(i * j * #2 * #12 * k) = j * #5 * y$ **apply simp oops**

lemma $#2 * y * #3 * z * #6 * w * #2 * y * #3 * z * #2 * u = #2 * y' * #3 * z' * #6 * w' * #2 * y' * #3 * z' * u * vv$ **apply simp oops**

lemma $a * -(b * c) * b = d$ **apply simp oops**

lemma $a + -(b+c) - b = d$ **apply simp oops**

negative numerals

lemma $(i + j + #-2 + k) - (u + #5 + y) = zz$ **apply simp oops**

lemma $(i + j + #-3 + k) < u + #5 + y$ **apply simp oops**

lemma $(i + j + #3 + k) < u + #-6 + y$ **apply simp oops**

lemma $(i + j + #-12 + k) - #15 = y$ **apply simp oops**

lemma $(i + j + #12 + k) - #-15 = y$ **apply simp oops**

lemma $(i + j + #-12 + k) - #-15 = y$ **apply simp oops**

Multiplying separated numerals

lemma $#6 * (#x * #2) = uu$ **apply simp oops**

lemma $#4 * (#x * #x) * (#2 * #x) = uu$ **apply simp oops**

end

33 The Division Operators Div and Mod

theory *IntDiv*

imports *Bin OrderArith*

begin

definition

quorem :: $[i, i] \Rightarrow o$ **where**

quorem $\equiv \lambda\langle a, b \rangle \langle q, r \rangle.$

$a = b * q + r \wedge$

$(#0 < b \wedge #0 \leq r \wedge r < b \mid \neg(#0 < b) \wedge b < r \wedge r \leq #0)$

definition

adjust :: $[i, i] \Rightarrow i$ **where**

adjust(b) $\equiv \lambda\langle q, r \rangle.$ if $#0 \leq r - b$ then $\langle #2 * q + #1, r - b \rangle$

else $\langle #2 * q, r \rangle$

definition

posDivAlg :: $i \Rightarrow i$ **where**

posDivAlg(ab) \equiv

wfrec(*measure*(*int*int*, $\lambda\langle a, b \rangle.$ *nat-of* ($a - b + #1$)),

$ab,$

$\lambda\langle a, b \rangle f.$ if $a < b \mid b \leq #0$ then $\langle #0, a \rangle$

else *adjust*($b, f \text{ ` } <a, #2 * b >$)

definition

$negDivAlg :: i \Rightarrow i$ **where**

$negDivAlg(ab) \equiv$
 $wfrec(measure(int*int, \lambda\langle a,b \rangle. nat-of (\$- a \$- b)),$
 $ab,$
 $\lambda\langle a,b \rangle f. \text{if } (\#0 \leq a\$+b \mid b\leq\#0) \text{ then } \langle\#-1, a\$+b\rangle$
 $\text{else } adjust(b, f \text{ ` } \langle a, \#2\$*b \rangle))$

definition

$negateSnd :: i \Rightarrow i$ **where**

$negateSnd \equiv \lambda\langle q,r \rangle. \langle q, \$-r \rangle$

definition

$divAlg :: i \Rightarrow i$ **where**

$divAlg \equiv$
 $\lambda\langle a,b \rangle. \text{if } \#0 \leq a \text{ then}$
 $\text{if } \#0 \leq b \text{ then } posDivAlg (\langle a,b \rangle)$
 $\text{else if } a=\#0 \text{ then } \langle\#0, \#0\rangle$
 $\text{else } negateSnd (negDivAlg (\langle \$-a, \$-b \rangle))$
 else
 $\text{if } \#0 \leq b \text{ then } negDivAlg (\langle a,b \rangle)$
 $\text{else } negateSnd (posDivAlg (\langle \$-a, \$-b \rangle))$

definition

$zdiv :: [i,i] \Rightarrow i$ **(infixl <zdiv> 70) where**
 $a \text{ zdiv } b \equiv fst (divAlg (\langle intify(a), intify(b) \rangle))$

definition

$zmod :: [i,i] \Rightarrow i$ **(infixl <zmod> 70) where**
 $a \text{ zmod } b \equiv snd (divAlg (\langle intify(a), intify(b) \rangle))$

lemma $zspos-add-zspos-imp-zspos: [\#0 \leq x; \#0 \leq y] \Longrightarrow \#0 \leq x \$+ y$
apply (rule-tac $y = y$ **in** $zless-trans$)
apply (rule-tac [2] $zdiff-zless-iff$ [THEN $iffD1$])
apply *auto*
done

lemma $zpos-add-zpos-imp-zpos: [\#0 \leq x; \#0 \leq y] \Longrightarrow \#0 \leq x \$+ y$
apply (rule-tac $y = y$ **in** $zle-trans$)
apply (rule-tac [2] $zdiff-zle-iff$ [THEN $iffD1$])
apply *auto*
done

lemma *zneg-add-zneg-imp-zneg*: $\llbracket x \text{ \$} < \#0; y \text{ \$} < \#0 \rrbracket \implies x \text{ \$} + y \text{ \$} < \#0$
apply (*rule-tac* $y = y$ **in** *zless-trans*)
apply (*rule* *zless-zdiff-iff* [*THEN iffD1*])
apply *auto*
done

lemma *zneg-or-0-add-zneg-or-0-imp-zneg-or-0*:
 $\llbracket x \text{ \$} \leq \#0; y \text{ \$} \leq \#0 \rrbracket \implies x \text{ \$} + y \text{ \$} \leq \#0$
apply (*rule-tac* $y = y$ **in** *zle-trans*)
apply (*rule* *zle-zdiff-iff* [*THEN iffD1*])
apply *auto*
done

lemma *zero-lt-zmagnitude*: $\llbracket \#0 \text{ \$} < k; k \in \text{int} \rrbracket \implies 0 < \text{zmagnitude}(k)$
apply (*drule* *zero-zless-imp-znegative-zminus*)
apply (*drule-tac* [2] *zneg-int-of*)
apply (*auto simp add: zminus-equation* [of k])
apply (*subgoal-tac* $0 < \text{zmagnitude} (\text{\$} \# \text{ succ } (n))$)
apply *simp*
apply (*simp only: zmagnitude-int-of*)
apply *simp*
done

lemma *zless-add-succ-iff*:
 $(w \text{ \$} < z \text{ \$} + \text{\$} \# \text{ succ}(m)) \longleftrightarrow (w \text{ \$} < z \text{ \$} + \text{\$} \# m \mid \text{intify}(w) = z \text{ \$} + \text{\$} \# m)$
apply (*auto simp add: zless-iff-succ-zadd zadd-assoc int-of-add* [*symmetric*])
apply (*rule-tac* [3] $x = 0$ **in** *bexI*)
apply (*cut-tac* $m = m$ **in** *int-succ-int-1*)
apply (*cut-tac* $m = n$ **in** *int-succ-int-1*)
apply *simp*
apply (*erule* *natE*)
apply *auto*
apply (*rule-tac* $x = \text{succ } (n)$ **in** *bexI*)
apply *auto*
done

lemma *zadd-succ-lemma*:
 $z \in \text{int} \implies (w \text{ \$} + \text{\$} \# \text{ succ}(m) \text{ \$} \leq z) \longleftrightarrow (w \text{ \$} + \text{\$} \# m \text{ \$} < z)$
apply (*simp only: not-zless-iff-zle* [*THEN iff-sym*] *zless-add-succ-iff*)
apply (*auto intro: zle-anti-sym elim: zless-asm*
simp add: zless-imp-zle not-zless-iff-zle)
done

lemma *zadd-succ-zle-iff*: $(w \text{ \$} + \text{\$} \# \text{ succ}(m) \text{ \$} \leq z) \longleftrightarrow (w \text{ \$} + \text{\$} \# m \text{ \$} < z)$

```

apply (cut-tac  $z = \text{intify } (z)$  in zadd-succ-lemma)
apply auto
done

```

```

lemma zless-add1-iff-zle:  $(w \leq z + \#1) \longleftrightarrow (w \leq z)$ 
apply (subgoal-tac  $\#1 = \#1$ )
apply (simp only: zless-add-succ-iff zle-def)
apply auto
done

```

```

lemma add1-zle-iff:  $(w + \#1 \leq z) \longleftrightarrow (w \leq z)$ 
apply (subgoal-tac  $\#1 = \#1$ )
apply (simp only: zadd-succ-zle-iff)
apply auto
done

```

```

lemma add1-left-zle-iff:  $(\#1 + w \leq z) \longleftrightarrow (w \leq z)$ 
apply (subst zadd-commute)
apply (rule add1-zle-iff)
done

```

```

lemma zmult-mono-lemma:  $k \in \text{nat} \implies i \leq j \implies i * \#k \leq j * \#k$ 
apply (induct-tac  $k$ )
prefer 2 apply (subst int-succ-int-1)
apply (simp-all (no-asm-simp) add: zadd-zmult-distrib2 zadd-zle-mono)
done

```

```

lemma zmult-zle-mono1:  $\llbracket i \leq j; \#0 \leq k \rrbracket \implies i * k \leq j * k$ 
apply (subgoal-tac  $i * \text{intify } (k) \leq j * \text{intify } (k)$ )
apply (simp (no-asm-use))
apply (rule-tac  $b = \text{intify } (k)$  in not-zneg-mag [THEN subst])
apply (rule-tac [3] zmult-mono-lemma)
apply auto
apply (simp add: znegative-iff-zless-0 not-zless-iff-zle [THEN iff-sym])
done

```

```

lemma zmult-zle-mono1-neg:  $\llbracket i \leq j; k \leq \#0 \rrbracket \implies j * k \leq i * k$ 
apply (rule zminus-zle-zminus [THEN iffD1])
apply (simp del: zmult-zminus-right
add: zmult-zminus-right [symmetric] zmult-zle-mono1 zle-zminus)
done

```

```

lemma zmult-zle-mono2:  $\llbracket i \leq j; \#0 \leq k \rrbracket \implies k * i \leq k * j$ 
apply (drule zmult-zle-mono1)

```

apply (*simp-all add: zmult-commute*)
done

lemma *zmult-zle-mono2-neg*: $\llbracket i \leq j; k \leq \#0 \rrbracket \implies k * j \leq k * i$
apply (*drule zmult-zle-mono1-neg*)
apply (*simp-all add: zmult-commute*)
done

lemma *zmult-zle-mono*:
 $\llbracket i \leq j; k \leq l; \#0 \leq k; \#0 \leq l \rrbracket \implies i * k \leq j * l$
apply (*erule zmult-zle-mono1 [THEN zle-trans]*)
apply *assumption*
apply (*erule zmult-zle-mono2*)
apply *assumption*
done

lemma *zmult-zless-mono2-lemma* [*rule-format*]:
 $\llbracket i < j; k \in \text{nat} \rrbracket \implies 0 < k \longrightarrow \#k * i < \#k * j$
apply (*induct-tac k*)
prefer 2
apply (*subst int-succ-int-1*)
apply (*erule natE*)
apply (*simp-all add: zadd-zmult-distrib zadd-zless-mono zle-def*)
apply (*frule nat-0-le*)
apply (*subgoal-tac i \$+ (i \$+ \$# xa \$* i) \$< j \$+ (j \$+ \$# xa \$* j))*)
apply (*simp (no-asm-use)*)
apply (*rule zadd-zless-mono*)
apply (*simp-all (no-asm-simp) add: zle-def*)
done

lemma *zmult-zless-mono2*: $\llbracket i < j; \#0 < k \rrbracket \implies k * i < k * j$
apply (*subgoal-tac intify (k) \$* i \$< intify (k) \$* j*)
apply (*simp (no-asm-use)*)
apply (*rule-tac b = intify (k) in not-zneg-mag [THEN subst]*)
apply (*rule-tac [3] zmult-zless-mono2-lemma*)
apply *auto*
apply (*simp add: znegative-iff-zless-0*)
apply (*drule zless-trans, assumption*)
apply (*auto simp add: zero-lt-zmagnitude*)
done

lemma *zmult-zless-mono1*: $\llbracket i < j; \#0 < k \rrbracket \implies i * k < j * k$
apply (*drule zmult-zless-mono2*)
apply (*simp-all add: zmult-commute*)
done

lemma *zmult-zless-mono*:

$\llbracket i \ $< \ j; \ k \ \$< \ l; \ \#0 \ \$< \ j; \ \#0 \ \$< \ k \rrbracket \implies i \ \$*k \ \$< \ j \ \$*l$
apply (*erule* *zmult-zless-mono1* [*THEN* *zless-trans*])
apply *assumption*
apply (*erule* *zmult-zless-mono2*)
apply *assumption*
done

lemma *zmult-zless-mono1-neg*: $\llbracket i \ \$< \ j; \ k \ \$< \ \#0 \rrbracket \implies j \ \$*k \ \$< \ i \ \$*k$

apply (*rule* *zminus-zless-zminus* [*THEN* *iffD1*])
apply (*simp* *del*: *zmult-zminus-right*
 add: *zmult-zminus-right* [*symmetric*] *zmult-zless-mono1* *zless-zminus*)
done

lemma *zmult-zless-mono2-neg*: $\llbracket i \ \$< \ j; \ k \ \$< \ \#0 \rrbracket \implies k \ \$*j \ \$< \ k \ \$*i$

apply (*rule* *zminus-zless-zminus* [*THEN* *iffD1*])
apply (*simp* *del*: *zmult-zminus*
 add: *zmult-zminus* [*symmetric*] *zmult-zless-mono2* *zless-zminus*)
done

lemma *zmult-eq-lemma*:

$\llbracket m \in \text{int}; \ n \in \text{int} \rrbracket \implies (m = \#0 \mid n = \#0) \longleftrightarrow (m \ \$*n = \#0)$
apply (*case-tac* *m* $\$< \ \#0$)
apply (*auto* *simp* *add*: *not-zless-iff-zle* *zle-def* *neq-iff-zless*)
apply (*force* *dest*: *zmult-zless-mono1-neg* *zmult-zless-mono1*)
done

lemma *zmult-eq-0-iff* [*iff*]: $(m \ \$*n = \#0) \longleftrightarrow (\text{intify}(m) = \#0 \mid \text{intify}(n) = \#0)$

apply (*simp* *add*: *zmult-eq-lemma*)
done

lemma *zmult-zless-lemma*:

$\llbracket k \in \text{int}; \ m \in \text{int}; \ n \in \text{int} \rrbracket$
 $\implies (m \ \$*k \ \$< \ n \ \$*k) \longleftrightarrow ((\#0 \ \$< \ k \ \wedge \ m \ \$< \ n) \mid (k \ \$< \ \#0 \ \wedge \ n \ \$< \ m))$
apply (*case-tac* *k* $= \ \#0$)
apply (*auto* *simp* *add*: *neq-iff-zless* *zmult-zless-mono1* *zmult-zless-mono1-neg*)
apply (*auto* *simp* *add*: *not-zless-iff-zle*
 not-zle-iff-zless [*THEN* *iff-sym*, *of* *m \ \\$*k*]
 not-zle-iff-zless [*THEN* *iff-sym*, *of* *m*])
apply (*auto* *elim*: *notE*
 simp *add*: *zless-imp-zle* *zmult-zle-mono1* *zmult-zle-mono1-neg*)

done

lemma *zmult-zless-cancel2*:

$$(m\$*k \$< n\$*k) \longleftrightarrow ((\#0 \$< k \wedge m\$<n) \mid (k \$< \#0 \wedge n\$<m))$$

apply (*cut-tac* $k = \text{intify } (k)$ **and** $m = \text{intify } (m)$ **and** $n = \text{intify } (n)$
in *zmult-zless-lemma*)

apply *auto*

done

lemma *zmult-zless-cancel1*:

$$(k\$*m \$< k\$*n) \longleftrightarrow ((\#0 \$< k \wedge m\$<n) \mid (k \$< \#0 \wedge n\$<m))$$

by (*simp add: zmult-commute [of k] zmult-zless-cancel2*)

lemma *zmult-zle-cancel2*:

$$(m\$*k \$\leq n\$*k) \longleftrightarrow ((\#0 \$< k \longrightarrow m\$ \leq n) \wedge (k \$< \#0 \longrightarrow n\$ \leq m))$$

by (*auto simp add: not-zless-iff-zle [THEN iff-sym] zmult-zless-cancel2*)

lemma *zmult-zle-cancel1*:

$$(k\$*m \$\leq k\$*n) \longleftrightarrow ((\#0 \$< k \longrightarrow m\$ \leq n) \wedge (k \$< \#0 \longrightarrow n\$ \leq m))$$

by (*auto simp add: not-zless-iff-zle [THEN iff-sym] zmult-zless-cancel1*)

lemma *int-eq-iff-zle*: $\llbracket m \in \text{int}; n \in \text{int} \rrbracket \implies m=n \longleftrightarrow (m \$ \leq n \wedge n \$ \leq m)$

apply (*blast intro: zle-refl zle-anti-sym*)

done

lemma *zmult-cancel2-lemma*:

$$\llbracket k \in \text{int}; m \in \text{int}; n \in \text{int} \rrbracket \implies (m\$*k = n\$*k) \longleftrightarrow (k=\#0 \mid m=n)$$

apply (*simp add: int-eq-iff-zle [of m\\$*k] int-eq-iff-zle [of m]*)

apply (*auto simp add: zmult-zle-cancel2 neq-iff-zless*)

done

lemma *zmult-cancel2 [simp]*:

$$(m\$*k = n\$*k) \longleftrightarrow (\text{intify}(k) = \#0 \mid \text{intify}(m) = \text{intify}(n))$$

apply (*rule iff-trans*)

apply (*rule-tac [2] zmult-cancel2-lemma*)

apply *auto*

done

lemma *zmult-cancel1 [simp]*:

$$(k\$*m = k\$*n) \longleftrightarrow (\text{intify}(k) = \#0 \mid \text{intify}(m) = \text{intify}(n))$$

by (*simp add: zmult-commute [of k] zmult-cancel2*)

33.1 Uniqueness and monotonicity of quotients and remainders

lemma *unique-quotient-lemma*:

$$\llbracket b\$*q' \$+ r' \$\leq b\$*q \$+ r; \#0 \$\leq r'; \#0 \$< b; r \$< b \rrbracket$$

$$\implies q' \$\leq q$$

apply (*subgoal-tac* $r' \$+ b \$* (q' \$-q) \$\leq r$)

```

prefer 2 apply (simp add: zdiff-zmult-distrib2 zadd-ac zcompare-rls)
apply (subgoal-tac #0 $< b $* (#1 $+ q $- q') )
prefer 2
apply (erule zle-zless-trans)
apply (simp add: zdiff-zmult-distrib2 zadd-zmult-distrib2 zadd-ac zcompare-rls)
apply (erule zle-zless-trans)
apply simp
apply (subgoal-tac b $* q' $< b $* (#1 $+ q))
prefer 2
apply (simp add: zdiff-zmult-distrib2 zadd-zmult-distrib2 zadd-ac zcompare-rls)
apply (auto elim: zless-asm)
      simp add: zmult-zless-cancel1 zless-add1-iff-zle zadd-ac zcompare-rls)
done

```

lemma *unique-quotient-lemma-neg*:

```

[[b$q' $+ r' $≤ b$q $+ r; r $≤ #0; b $< #0; b $< r]]
  ⇒ q $≤ q'
apply (rule-tac b = $-b and r = $-r' and r' = $-r
      in unique-quotient-lemma)
apply (auto simp del: zminus-zadd-distrib
      simp add: zminus-zadd-distrib [symmetric] zle-zminus zless-zminus)
done

```

lemma *unique-quotient*:

```

[[quorem ((a,b), (q,r)); quorem ((a,b), (q',r'))]; b ∈ int; b ≠ #0;
  q ∈ int; q' ∈ int]] ⇒ q = q'
apply (simp add: split-ifs quorem-def neq-iff-zless)
apply safe
apply simp-all
apply (blast intro: zle-anti-sym
      dest: zle-eq-refl [THEN unique-quotient-lemma]
      zle-eq-refl [THEN unique-quotient-lemma-neg] sym)+
done

```

lemma *unique-remainder*:

```

[[quorem ((a,b), (q,r)); quorem ((a,b), (q',r'))]; b ∈ int; b ≠ #0;
  q ∈ int; q' ∈ int;
  r ∈ int; r' ∈ int]] ⇒ r = r'
apply (subgoal-tac q = q')
prefer 2 apply (blast intro: unique-quotient)
apply (simp add: quorem-def)
done

```

33.2 Correctness of posDivAlg, the Division Algorithm for $a \geq 0$ and $b > 0$

lemma *adjust-eq* [simp]:

```

adjust(b, (q,r)) = (let diff = r$b in

```

```

      if #0 $≤ diff then <#2$*q $+ #1,diff>
      else <#2$*q,r>)
by (simp add: Let-def adjust-def)

lemma posDivAlg-termination:
  [[#0 $< b; ¬ a $< b]]
  ⇒ nat-of(a $- #2 $* b $+ #1) < nat-of(a $- b $+ #1)
apply (simp (no-asm) add: zless-nat-conj)
apply (simp add: not-zless-iff-zle zless-add1-iff-zle zcompare-rls)
done

lemmas posDivAlg-unfold = def-wfrec [OF posDivAlg-def wf-measure]

lemma posDivAlg-eqn:
  [[#0 $< b; a ∈ int; b ∈ int]] ⇒
  posDivAlg(⟨a,b⟩) =
  (if a$<b then <#0,a> else adjust(b, posDivAlg (<a, #2$*b>)))
apply (rule posDivAlg-unfold [THEN trans])
apply (simp add: vimage-iff not-zless-iff-zle [THEN iff-sym])
apply (blast intro: posDivAlg-termination)
done

lemma posDivAlg-induct-lemma [rule-format]:
  assumes prem:
    ∧a b. [[a ∈ int; b ∈ int;
    ¬ (a $< b | b $≤ #0) → P(<a, #2 $* b>)] ⇒ P(⟨a,b⟩)
  shows ⟨u,v⟩ ∈ int*int ⇒ P(⟨u,v⟩)
using wf-measure [where A = int*int and f = λ⟨a,b⟩.nat-of (a $- b $+ #1)]
proof (induct ⟨u,v⟩ arbitrary: u v rule: wf-induct)
  case (step x)
  hence uv: u ∈ int v ∈ int by auto
  thus ?case
  apply (rule prem)
  apply (rule impI)
  apply (rule step)
  apply (auto simp add: step uv not-zle-iff-zless posDivAlg-termination)
done
qed

lemma posDivAlg-induct [consumes 2]:
  assumes u-int: u ∈ int
  and v-int: v ∈ int
  and ih: ∧a b. [[a ∈ int; b ∈ int;
  ¬ (a $< b | b $≤ #0) → P(a, #2 $* b)] ⇒ P(a,b)
  shows P(u,v)
apply (subgoal-tac (λ(x,y). P (x,y)) ((u,v)))
apply simp

```

```

apply (rule posDivAlg-induct-lemma)
apply (simp (no-asm-use))
apply (rule ih)
apply (auto simp add: u-int v-int)
done

```

```

lemma intify-eq-0-iff-zle: intify(m) = #0  $\longleftrightarrow$  (m  $\leq$  #0  $\wedge$  #0  $\leq$  m)
by (simp add: int-eq-iff-zle)

```

33.3 Some convenient biconditionals for products of signs

```

lemma zmult-pos: [#0  $\$<$  i; #0  $\$<$  j]  $\implies$  #0  $\$<$  i  $\$*$  j
by (drule zmult-zless-mono1, auto)

```

```

lemma zmult-neg: [i  $\$<$  #0; j  $\$<$  #0]  $\implies$  #0  $\$<$  i  $\$*$  j
by (drule zmult-zless-mono1-neg, auto)

```

```

lemma zmult-pos-neg: [#0  $\$<$  i; j  $\$<$  #0]  $\implies$  i  $\$*$  j  $\$<$  #0
by (drule zmult-zless-mono1-neg, auto)

```

lemma int-0-less-lemma:

```

  [[x  $\in$  int; y  $\in$  int]
    $\implies$  (#0  $\$<$  x  $\$*$  y)  $\longleftrightarrow$  (#0  $\$<$  x  $\wedge$  #0  $\$<$  y | x  $\$<$  #0  $\wedge$  y  $\$<$  #0)
apply (auto simp add: zle-def not-zless-iff-zle zmult-pos zmult-neg)
apply (rule ccontr)
apply (rule-tac [2] ccontr)
apply (auto simp add: zle-def not-zless-iff-zle)
apply (erule-tac P = #0  $\$<$  x  $\$*$  y in rev-mp)
apply (erule-tac [2] P = #0  $\$<$  x  $\$*$  y in rev-mp)
apply (drule zmult-pos-neg, assumption)
prefer 2
apply (drule zmult-pos-neg, assumption)
apply (auto dest: zless-not-sym simp add: zmult-commute)
done

```

lemma int-0-less-mult-iff:

```

  (#0  $\$<$  x  $\$*$  y)  $\longleftrightarrow$  (#0  $\$<$  x  $\wedge$  #0  $\$<$  y | x  $\$<$  #0  $\wedge$  y  $\$<$  #0)
apply (cut-tac x = intify (x) and y = intify (y) in int-0-less-lemma)
apply auto
done

```

lemma int-0-le-lemma:

```

  [[x  $\in$  int; y  $\in$  int]
    $\implies$  (#0  $\leq$  x  $\$*$  y)  $\longleftrightarrow$  (#0  $\leq$  x  $\wedge$  #0  $\leq$  y | x  $\leq$  #0  $\wedge$  y  $\leq$  #0)
by (auto simp add: zle-def not-zless-iff-zle int-0-less-mult-iff)

```

lemma *int-0-le-mult-iff*:
 $(\#0 \leq x * y) \longleftrightarrow ((\#0 \leq x \wedge \#0 \leq y) \mid (x \leq \#0 \wedge y \leq \#0))$
apply (*cut-tac* $x = \text{intify } (x)$ **and** $y = \text{intify } (y)$ **in** *int-0-le-lemma*)
apply *auto*
done

lemma *zmult-less-0-iff*:
 $(x * y < \#0) \longleftrightarrow (\#0 < x \wedge y < \#0 \mid x < \#0 \wedge \#0 < y)$
apply (*auto simp add: int-0-le-mult-iff not-zle-iff-zless [THEN iff-sym]*)
apply (*auto dest: zless-not-sym simp add: not-zle-iff-zless*)
done

lemma *zmult-le-0-iff*:
 $(x * y \leq \#0) \longleftrightarrow (\#0 \leq x \wedge y \leq \#0 \mid x \leq \#0 \wedge \#0 \leq y)$
by (*auto dest: zless-not-sym*
simp add: int-0-less-mult-iff not-zless-iff-zle [THEN iff-sym])

lemma *posDivAlg-type* [*rule-format*]:
 $\llbracket a \in \text{int}; b \in \text{int} \rrbracket \Longrightarrow \text{posDivAlg}(\langle a, b \rangle) \in \text{int} * \text{int}$
apply (*rule-tac* $u = a$ **and** $v = b$ **in** *posDivAlg-induct*)
apply *assumption+*
apply (*case-tac* $\#0 < ba$)
apply (*simp add: posDivAlg-eqn adjust-def integ-of-type*
split: split-if-asm)
apply *clarify*
apply (*simp add: int-0-less-mult-iff not-zle-iff-zless*)
apply (*simp add: not-zless-iff-zle*)
apply (*subst posDivAlg-unfold*)
apply *simp*
done

lemma *posDivAlg-correct* [*rule-format*]:
 $\llbracket a \in \text{int}; b \in \text{int} \rrbracket$
 $\Longrightarrow \#0 \leq a \longrightarrow \#0 < b \longrightarrow \text{quorem } (\langle a, b \rangle, \text{posDivAlg}(\langle a, b \rangle))$
apply (*rule-tac* $u = a$ **and** $v = b$ **in** *posDivAlg-induct*)
apply *auto*
apply (*simp-all add: quorem-def*)

base case: $a < b$

apply (*simp add: posDivAlg-eqn*)
apply (*simp add: not-zless-iff-zle [THEN iff-sym]*)
apply (*simp add: int-0-less-mult-iff*)

main argument

apply (*subst posDivAlg-eqn*)

```

apply (simp-all (no-asm-simp))
apply (erule splitE)
apply (rule posDivAlg-type)
apply (simp-all add: int-0-less-mult-iff)
apply (auto simp add: zadd-zmult-distrib2 Let-def)

```

now just linear arithmetic

```

apply (simp add: not-zle-iff-zless zdiff-zless-iff)
done

```

33.4 Correctness of negDivAlg, the division algorithm for $a < 0$ and $b > 0$

lemma *negDivAlg-termination*:

```

[[#0 $< b; a $+ b $< #0]]
  => nat-of($- a $- #2 $* b) < nat-of($- a $- b)

```

```

apply (simp (no-asm) add: zless-nat-conj)
apply (simp add: zcompare-rls not-zle-iff-zless zless-zdiff-iff [THEN iff-sym]
  zless-zminus)

```

done

lemmas *negDivAlg-unfold = def-wfrec* [OF *negDivAlg-def wf-measure*]

lemma *negDivAlg-eqn*:

```

[[#0 $< b; a ∈ int; b ∈ int]] =>
  negDivAlg(<a,b>) =
  (if #0 $≤ a$b then <#-1,a$b>
   else adjust(b, negDivAlg (<a, #2$b>)))

```

```

apply (rule negDivAlg-unfold [THEN trans])
apply (simp (no-asm-simp) add: vimage-iff not-zless-iff-zle [THEN iff-sym])
apply (blast intro: negDivAlg-termination)
done

```

lemma *negDivAlg-induct-lemma* [rule-format]:

assumes *prem*:

```

  ∧ a b. [[a ∈ int; b ∈ int;
    ¬ (#0 $≤ a $+ b | b $≤ #0) → P(<a, #2 $* b>)]
  => P(<a,b>)

```

shows $\langle u,v \rangle \in \text{int} * \text{int} \implies P(\langle u,v \rangle)$

using *wf-measure* [where $A = \text{int} * \text{int}$ and $f = \lambda \langle a,b \rangle. \text{nat-of } (\$- a \$- b)$]

proof (*induct* $\langle u,v \rangle$ arbitrary: $u v$ rule: *wf-induct*)

case (*step x*)

hence $uv: u \in \text{int } v \in \text{int}$ **by** *auto*

thus ?*case*

apply (*rule prem*)

apply (*rule impI*)

apply (*rule step*)

apply (*auto simp add: step uv not-zle-iff-zless negDivAlg-termination*)

done

qed

lemma *negDivAlg-induct* [*consumes 2*]:

assumes *u-int*: $u \in \text{int}$
and *v-int*: $v \in \text{int}$
and *ih*: $\bigwedge a b. \llbracket a \in \text{int}; b \in \text{int};$
 $\neg (\#0 \ \$ \leq a \ \$ + b \mid b \ \$ \leq \#0) \longrightarrow P(a, \#2 \ \$ * b) \rrbracket$
 $\implies P(a, b)$
shows $P(u, v)$
apply (*subgoal-tac* $(\lambda \langle x, y \rangle. P(x, y)) (\langle u, v \rangle)$)
apply *simp*
apply (*rule negDivAlg-induct-lemma*)
apply (*simp* (*no-asm-use*))
apply (*rule ih*)
apply (*auto simp add: u-int v-int*)
done

lemma *negDivAlg-type*:

$\llbracket a \in \text{int}; b \in \text{int} \rrbracket \implies \text{negDivAlg}(\langle a, b \rangle) \in \text{int} * \text{int}$
apply (*rule-tac* $u = a$ **and** $v = b$ **in** *negDivAlg-induct*)
apply *assumption+*
apply (*case-tac* $\#0 \ \$ < ba$)
apply (*simp add: negDivAlg-eqn adjust-def integ-of-type*
split: split-if-asm)
apply *clarify*
apply (*simp add: int-0-less-mult-iff not-zle-iff-zless*)
apply (*simp add: not-zless-iff-zle*)
apply (*subst negDivAlg-unfold*)
apply *simp*
done

lemma *negDivAlg-correct* [*rule-format*]:

$\llbracket a \in \text{int}; b \in \text{int} \rrbracket$
 $\implies a \ \$ < \#0 \longrightarrow \#0 \ \$ < b \longrightarrow \text{quorem}(\langle a, b \rangle, \text{negDivAlg}(\langle a, b \rangle))$
apply (*rule-tac* $u = a$ **and** $v = b$ **in** *negDivAlg-induct*)
apply *auto*
apply (*simp-all add: quorem-def*)

base case: $0 \ \$ \leq a \ \$ + b$

apply (*simp add: negDivAlg-eqn*)
apply (*simp add: not-zless-iff-zle [THEN iff-sym]*)
apply (*simp add: int-0-less-mult-iff*)

main argument

apply (*subst negDivAlg-eqn*)


```

apply (simp-all (no-asm-simp))
apply (erule splitE)
apply (rule negDivAlg-type)
apply (simp-all add: int-0-less-mult-iff)
apply (auto simp add: zadd-zmult-distrib2 Let-def)

```

now just linear arithmetic

```

apply (simp add: not-zle-iff-zless zdiff-zless-iff)
done

```

33.5 Existence shown by proving the division algorithm to be correct

```

lemma quorem-0:  $\llbracket b \neq \#0; b \in \text{int} \rrbracket \implies \text{quorem} (\langle \#0, b \rangle, \langle \#0, \#0 \rangle)$ 
by (force simp add: quorem-def neg-iff-zless)

```

```

lemma posDivAlg-zero-divisor:  $\text{posDivAlg}(\langle a, \#0 \rangle) = \langle \#0, a \rangle$ 
apply (subst posDivAlg-unfold)
apply simp
done

```

```

lemma posDivAlg-0 [simp]:  $\text{posDivAlg}(\langle \#0, b \rangle) = \langle \#0, \#0 \rangle$ 
apply (subst posDivAlg-unfold)
apply (simp add: not-zle-iff-zless)
done

```

```

lemma linear-arith-lemma:  $\neg (\#0 \leq \#-1 \ \$+ b) \implies (b \leq \#0)$ 
apply (simp add: not-zle-iff-zless)
apply (drule zminus-zless-zminus [THEN iffD2])
apply (simp add: zadd-commute zless-add1-iff-zle zle-zminus)
done

```

```

lemma negDivAlg-minus1 [simp]:  $\text{negDivAlg}(\langle \#-1, b \rangle) = \langle \#-1, b \ \$- \#1 \rangle$ 
apply (subst negDivAlg-unfold)
apply (simp add: linear-arith-lemma integ-of-type vimage-iff)
done

```

```

lemma negateSnd-eq [simp]:  $\text{negateSnd}(\langle q, r \rangle) = \langle q, \ \$-r \rangle$ 
unfolding negateSnd-def
apply auto
done

```

```

lemma negateSnd-type:  $qr \in \text{int} * \text{int} \implies \text{negateSnd}(qr) \in \text{int} * \text{int}$ 
unfolding negateSnd-def
apply auto
done

```

lemma *quorem-neg*:
 $\llbracket \text{quorem} (\langle \$-a, \$-b \rangle, qr); a \in \text{int}; b \in \text{int}; qr \in \text{int} * \text{int} \rrbracket$
 $\implies \text{quorem} (\langle a, b \rangle, \text{negateSnd}(qr))$
apply *clarify*
apply (*auto elim: zless-asym simp add: quorem-def zless-zminus*)

linear arithmetic from here on

apply (*simp-all add: zminus-equation [of a] zminus-zless*)
apply (*cut-tac [2] z = b and w = #0 in zless-linear*)
apply (*cut-tac [1] z = b and w = #0 in zless-linear*)
apply *auto*
apply (*blast dest: zle-zless-trans*)
done

lemma *divAlg-correct*:
 $\llbracket b \neq \#0; a \in \text{int}; b \in \text{int} \rrbracket \implies \text{quorem} (\langle a, b \rangle, \text{divAlg}(\langle a, b \rangle))$
apply (*auto simp add: quorem-0 divAlg-def*)
apply (*safe intro!: quorem-neg posDivAlg-correct negDivAlg-correct*
posDivAlg-type negDivAlg-type)
apply (*auto simp add: quorem-def neq-iff-zless*)

linear arithmetic from here on

apply (*auto simp add: zle-def*)
done

lemma *divAlg-type*: $\llbracket a \in \text{int}; b \in \text{int} \rrbracket \implies \text{divAlg}(\langle a, b \rangle) \in \text{int} * \text{int}$
apply (*auto simp add: divAlg-def*)
apply (*auto simp add: posDivAlg-type negDivAlg-type negateSnd-type*)
done

lemma *zdiv-intify1* [*simp*]: $\text{intify}(x) \text{zdiv } y = x \text{zdiv } y$
by (*simp add: zdiv-def*)

lemma *zdiv-intify2* [*simp*]: $x \text{zdiv } \text{intify}(y) = x \text{zdiv } y$
by (*simp add: zdiv-def*)

lemma *zdiv-type* [*iff, TC*]: $z \text{zdiv } w \in \text{int}$
unfolding *zdiv-def*
apply (*blast intro: fst-type divAlg-type*)
done

lemma *zmod-intify1* [*simp*]: $\text{intify}(x) \text{zmod } y = x \text{zmod } y$
by (*simp add: zmod-def*)

lemma *zmod-intify2* [*simp*]: $x \text{zmod } \text{intify}(y) = x \text{zmod } y$
by (*simp add: zmod-def*)

lemma *zmod-type* [*iff,TC*]: $z \text{ zmod } w \in \text{int}$
unfolding *zmod-def*
apply (*rule snd-type*)
apply (*blast intro: divAlg-type*)
done

lemma *DIVISION-BY-ZERO-ZDIV*: $a \text{ zdiv } \#0 = \#0$
by (*simp add: zdiv-def divAlg-def posDivAlg-zero-divisor*)

lemma *DIVISION-BY-ZERO-ZMOD*: $a \text{ zmod } \#0 = \text{intify}(a)$
by (*simp add: zmod-def divAlg-def posDivAlg-zero-divisor*)

lemma *raw-zmod-zdiv-equality*:
 $\llbracket a \in \text{int}; b \in \text{int} \rrbracket \implies a = b \text{ \$* } (a \text{ zdiv } b) \text{ \$+ } (a \text{ zmod } b)$
apply (*case-tac b = \#0*)
apply (*simp add: DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD*)
apply (*cut-tac a = a and b = b in divAlg-correct*)
apply (*auto simp add: quorem-def zdiv-def zmod-def split-def*)
done

lemma *zmod-zdiv-equality*: $\text{intify}(a) = b \text{ \$* } (a \text{ zdiv } b) \text{ \$+ } (a \text{ zmod } b)$
apply (*rule trans*)
apply (*rule-tac b = intify (b) in raw-zmod-zdiv-equality*)
apply *auto*
done

lemma *pos-mod*: $\#0 \text{ \$< } b \implies \#0 \text{ \$\leq } a \text{ zmod } b \wedge a \text{ zmod } b \text{ \$< } b$
apply (*cut-tac a = intify (a) and b = intify (b) in divAlg-correct*)
apply (*auto simp add: intify-eq-0-iff-zle quorem-def zmod-def split-def*)
apply (*blast dest: zle-zless-trans*)
done

lemmas *pos-mod-sign* = *pos-mod* [*THEN conjunct1*]
and *pos-mod-bound* = *pos-mod* [*THEN conjunct2*]

lemma *neg-mod*: $b \text{ \$< } \#0 \implies a \text{ zmod } b \text{ \$\leq } \#0 \wedge b \text{ \$< } a \text{ zmod } b$
apply (*cut-tac a = intify (a) and b = intify (b) in divAlg-correct*)
apply (*auto simp add: intify-eq-0-iff-zle quorem-def zmod-def split-def*)
apply (*blast dest: zle-zless-trans*)
apply (*blast dest: zless-trans*)
done

lemmas *neg-mod-sign* = *neg-mod* [*THEN conjunct1*]
and *neg-mod-bound* = *neg-mod* [*THEN conjunct2*]

lemma *quorem-div-mod*:
 $\llbracket b \neq \#0; a \in \text{int}; b \in \text{int} \rrbracket$
 $\implies \text{quorem}(\langle a, b \rangle, \langle a \text{ zdiv } b, a \text{ zmod } b \rangle)$
apply (*cut-tac a = a and b = b in zmod-zdiv-equality*)
apply (*auto simp add: quorem-def neg-iff-zless pos-mod-sign pos-mod-bound*
neg-mod-sign neg-mod-bound)
done

lemma *quorem-div*:
 $\llbracket \text{quorem}(\langle a, b \rangle, \langle q, r \rangle); b \neq \#0; a \in \text{int}; b \in \text{int}; q \in \text{int} \rrbracket$
 $\implies a \text{ zdiv } b = q$
by (*blast intro: quorem-div-mod [THEN unique-quotient]*)

lemma *quorem-mod*:
 $\llbracket \text{quorem}(\langle a, b \rangle, \langle q, r \rangle); b \neq \#0; a \in \text{int}; b \in \text{int}; q \in \text{int}; r \in \text{int} \rrbracket$
 $\implies a \text{ zmod } b = r$
by (*blast intro: quorem-div-mod [THEN unique-remainder]*)

lemma *zdiv-pos-pos-trivial-raw*:
 $\llbracket a \in \text{int}; b \in \text{int}; \#0 \leq a; a < b \rrbracket \implies a \text{ zdiv } b = \#0$
apply (*rule quorem-div*)
apply (*auto simp add: quorem-def*)

apply (*blast dest: zle-zless-trans*)
done

lemma *zdiv-pos-pos-trivial*: $\llbracket \#0 \leq a; a < b \rrbracket \implies a \text{ zdiv } b = \#0$
apply (*cut-tac a = intify (a) and b = intify (b)*
in zdiv-pos-pos-trivial-raw)
apply *auto*
done

lemma *zdiv-neg-neg-trivial-raw*:
 $\llbracket a \in \text{int}; b \in \text{int}; a \leq \#0; b < a \rrbracket \implies a \text{ zdiv } b = \#0$
apply (*rule-tac r = a in quorem-div*)
apply (*auto simp add: quorem-def*)

apply (*blast dest: zle-zless-trans zless-trans*)
done

lemma *zdiv-neg-neg-trivial*: $\llbracket a \leq \#0; b < a \rrbracket \implies a \text{ zdiv } b = \#0$
apply (*cut-tac a = intify (a) and b = intify (b)*)

in *zdiv-neg-neg-trivial-raw*)
apply *auto*
done

lemma *zadd-le-0-lemma*: $\llbracket a \text{ \$ } + b \text{ \$ } \leq \#0; \#0 \text{ \$ } < a; \#0 \text{ \$ } < b \rrbracket \implies \text{False}$
apply (*drule-tac* $z' = \#0$ **and** $z = b$ **in** *zadd-zless-mono*)
apply (*auto simp add: zle-def*)
apply (*blast dest: zless-trans*)
done

lemma *zdiv-pos-neg-trivial-raw*:
 $\llbracket a \in \text{int}; b \in \text{int}; \#0 \text{ \$ } < a; a \text{ \$ } + b \text{ \$ } \leq \#0 \rrbracket \implies a \text{ zdiv } b = \#-1$
apply (*rule-tac* $r = a \text{ \$ } + b$ **in** *quorem-div*)
apply (*auto simp add: quorem-def*)

apply (*blast dest: zadd-le-0-lemma zle-zless-trans*)+
done

lemma *zdiv-pos-neg-trivial*: $\llbracket \#0 \text{ \$ } < a; a \text{ \$ } + b \text{ \$ } \leq \#0 \rrbracket \implies a \text{ zdiv } b = \#-1$
apply (*cut-tac* $a = \text{intify } (a)$ **and** $b = \text{intify } (b)$)
in *zdiv-pos-neg-trivial-raw*)
apply *auto*
done

lemma *zmod-pos-pos-trivial-raw*:
 $\llbracket a \in \text{int}; b \in \text{int}; \#0 \text{ \$ } \leq a; a \text{ \$ } < b \rrbracket \implies a \text{ zmod } b = a$
apply (*rule-tac* $q = \#0$ **in** *quorem-mod*)
apply (*auto simp add: quorem-def*)

apply (*blast dest: zle-zless-trans*)+
done

lemma *zmod-pos-pos-trivial*: $\llbracket \#0 \text{ \$ } \leq a; a \text{ \$ } < b \rrbracket \implies a \text{ zmod } b = \text{intify}(a)$
apply (*cut-tac* $a = \text{intify } (a)$ **and** $b = \text{intify } (b)$)
in *zmod-pos-pos-trivial-raw*)
apply *auto*
done

lemma *zmod-neg-neg-trivial-raw*:
 $\llbracket a \in \text{int}; b \in \text{int}; a \text{ \$ } \leq \#0; b \text{ \$ } < a \rrbracket \implies a \text{ zmod } b = a$
apply (*rule-tac* $q = \#0$ **in** *quorem-mod*)
apply (*auto simp add: quorem-def*)

apply (*blast dest: zle-zless-trans zless-trans*)+
done

lemma *zmod-neg-neg-trivial*: $\llbracket a \leq \#0; b < a \rrbracket \implies a \text{ zmod } b = \text{intify}(a)$
apply (*cut-tac* $a = \text{intify } (a)$ **and** $b = \text{intify } (b)$
 in *zmod-neg-neg-trivial-raw*)
apply *auto*
done

lemma *zmod-pos-neg-trivial-raw*:
 $\llbracket a \in \text{int}; b \in \text{int}; \#0 < a; a+b \leq \#0 \rrbracket \implies a \text{ zmod } b = a+b$
apply (*rule-tac* $q = \#-1$ **in** *quorem-mod*)
apply (*auto simp add: quorem-def*)

apply (*blast dest: zadd-le-0-lemma zle-zless-trans*)
done

lemma *zmod-pos-neg-trivial*: $\llbracket \#0 < a; a+b \leq \#0 \rrbracket \implies a \text{ zmod } b = a+b$
apply (*cut-tac* $a = \text{intify } (a)$ **and** $b = \text{intify } (b)$
 in *zmod-pos-neg-trivial-raw*)
apply *auto*
done

lemma *zdiv-zminus-zminus-raw*:
 $\llbracket a \in \text{int}; b \in \text{int} \rrbracket \implies (\$-a) \text{ zdiv } (\$-b) = a \text{ zdiv } b$
apply (*case-tac* $b = \#0$)
 apply (*simp add: DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD*)
 apply (*subst quorem-div-mod [THEN quorem-neg, simplified, THEN quorem-div]*)
apply *auto*
done

lemma *zdiv-zminus-zminus [simp]*: $(\$-a) \text{ zdiv } (\$-b) = a \text{ zdiv } b$
apply (*cut-tac* $a = \text{intify } (a)$ **and** $b = \text{intify } (b)$ **in** *zdiv-zminus-zminus-raw*)
apply *auto*
done

lemma *zmod-zminus-zminus-raw*:
 $\llbracket a \in \text{int}; b \in \text{int} \rrbracket \implies (\$-a) \text{ zmod } (\$-b) = \$- (a \text{ zmod } b)$
apply (*case-tac* $b = \#0$)
 apply (*simp add: DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD*)
 apply (*subst quorem-div-mod [THEN quorem-neg, simplified, THEN quorem-mod]*)
apply *auto*
done

lemma *zmod-zminus-zminus [simp]*: $(\$-a) \text{ zmod } (\$-b) = \$- (a \text{ zmod } b)$
apply (*cut-tac* $a = \text{intify } (a)$ **and** $b = \text{intify } (b)$ **in** *zmod-zminus-zminus-raw*)

apply auto
done

33.6 division of a number by itself

lemma *self-quotient-aux1*: $\llbracket \#0 \ \$< \ a; \ a = r \ \$+ \ a\$*q; \ r \ \$< \ a \rrbracket \implies \#1 \ \$\leq \ q$
 apply (subgoal-tac #0 \\$< a\\$*q)
 apply (cut-tac w = #0 and z = q in add1-zle-iff)
 apply (simp add: int-0-less-mult-iff)
 apply (blast dest: zless-trans)

apply (drule-tac t = $\lambda x. x \ \$- \ r$ in subst-context)
 apply (drule sym)
 apply (simp add: zcompare-rls)
 done

lemma *self-quotient-aux2*: $\llbracket \#0 \ \$< \ a; \ a = r \ \$+ \ a\$*q; \ \#0 \ \$\leq \ r \rrbracket \implies q \ \$\leq \ \#1$
 apply (subgoal-tac #0 \\$\leq a\\$* (#1\\$-q))
 apply (simp add: int-0-le-mult-iff zcompare-rls)
 apply (blast dest: zle-zless-trans)
 apply (simp add: zdiff-zmult-distrib2)
 apply (drule-tac t = $\lambda x. x \ \$- \ a \ \$* \ q$ in subst-context)
 apply (simp add: zcompare-rls)
 done

lemma *self-quotient*:

$\llbracket \text{quorem}(\langle a, a \rangle, \langle q, r \rangle); \ a \in \text{int}; \ q \in \text{int}; \ a \neq \#0 \rrbracket \implies q = \#1$
 apply (simp add: split-ifs quorem-def neq-iff-zless)
 apply (rule zle-anti-sym)
 apply safe
 apply auto
 prefer 4 apply (blast dest: zless-trans)
 apply (blast dest: zless-trans)
 apply (rule-tac [3] a = $\$-a$ and r = $\$-r$ in self-quotient-aux1)
 apply (rule-tac a = $\$-a$ and r = $\$-r$ in self-quotient-aux2)
 apply (rule-tac [6] zminus-equation [THEN iffD1])
 apply (rule-tac [2] zminus-equation [THEN iffD1])
 apply (force intro: self-quotient-aux1 self-quotient-aux2
 simp add: zadd-commute zmult-zminus)+
 done

lemma *self-remainder*:

$\llbracket \text{quorem}(\langle a, a \rangle, \langle q, r \rangle); \ a \in \text{int}; \ q \in \text{int}; \ r \in \text{int}; \ a \neq \#0 \rrbracket \implies r = \#0$
 apply (frule self-quotient)
 apply (auto simp add: quorem-def)
 done

lemma *zdiv-self-raw*: $\llbracket a \neq \#0; \ a \in \text{int} \rrbracket \implies a \ \text{zdiv} \ a = \#1$
 apply (blast intro: quorem-div-mod [THEN self-quotient])

done

lemma *zdiv-self* [*simp*]: $\text{intify}(a) \neq \#0 \implies a \text{ zdiv } a = \#1$
apply (*drule* *zdiv-self-raw*)
apply *auto*
done

lemma *zmod-self-raw*: $a \in \text{int} \implies a \text{ zmod } a = \#0$
apply (*case-tac* $a = \#0$)
apply (*simp* *add*: *DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD*)
apply (*blast* *intro*: *quorem-div-mod* [*THEN* *self-remainder*])
done

lemma *zmod-self* [*simp*]: $a \text{ zmod } a = \#0$
apply (*cut-tac* $a = \text{intify } (a)$ **in** *zmod-self-raw*)
apply *auto*
done

33.7 Computation of division and remainder

lemma *zdiv-zero* [*simp*]: $\#0 \text{ zdiv } b = \#0$
by (*simp* *add*: *zdiv-def* *divAlg-def*)

lemma *zdiv-eq-minus1*: $\#0 \ \$< b \implies \#-1 \text{ zdiv } b = \#-1$
by (*simp* (*no-asm-simp*) *add*: *zdiv-def* *divAlg-def*)

lemma *zmod-zero* [*simp*]: $\#0 \text{ zmod } b = \#0$
by (*simp* *add*: *zmod-def* *divAlg-def*)

lemma *zdiv-minus1*: $\#0 \ \$< b \implies \#-1 \text{ zdiv } b = \#-1$
by (*simp* *add*: *zdiv-def* *divAlg-def*)

lemma *zmod-minus1*: $\#0 \ \$< b \implies \#-1 \text{ zmod } b = b \ \$- \#1$
by (*simp* *add*: *zmod-def* *divAlg-def*)

lemma *zdiv-pos-pos*: $\llbracket \#0 \ \$< a; \#0 \ \$\leq b \rrbracket$
 $\implies a \text{ zdiv } b = \text{fst } (\text{posDivAlg}(<\text{intify}(a), \text{intify}(b)>))$
apply (*simp* (*no-asm-simp*) *add*: *zdiv-def* *divAlg-def*)
apply (*auto* *simp* *add*: *zle-def*)
done

lemma *zmod-pos-pos*:
 $\llbracket \#0 \ \$< a; \#0 \ \$\leq b \rrbracket$
 $\implies a \text{ zmod } b = \text{snd } (\text{posDivAlg}(<\text{intify}(a), \text{intify}(b)>))$
apply (*simp* (*no-asm-simp*) *add*: *zmod-def* *divAlg-def*)
apply (*auto* *simp* *add*: *zle-def*)

done

lemma *zdiv-neg-pos*:

$\llbracket a \neq 0; \neq 0 \neq b \rrbracket$

$\implies a \text{ zdiv } b = \text{fst } (\text{negDivAlg}(\langle \text{intify}(a), \text{intify}(b) \rangle))$

apply (*simp* (*no-asm-simp*) *add*: *zdiv-def divAlg-def*)

apply (*blast dest*: *zle-zless-trans*)

done

lemma *zmod-neg-pos*:

$\llbracket a \neq 0; \neq 0 \neq b \rrbracket$

$\implies a \text{ zmod } b = \text{snd } (\text{negDivAlg}(\langle \text{intify}(a), \text{intify}(b) \rangle))$

apply (*simp* (*no-asm-simp*) *add*: *zmod-def divAlg-def*)

apply (*blast dest*: *zle-zless-trans*)

done

lemma *zdiv-pos-neg*:

$\llbracket \neq 0 \neq a; b \neq 0 \rrbracket$

$\implies a \text{ zdiv } b = \text{fst } (\text{negateSnd}(\text{negDivAlg}(\langle \text{\$-}a, \text{\$-}b \rangle)))$

apply (*simp* (*no-asm-simp*) *add*: *zdiv-def divAlg-def intify-eq-0-iff-zle*)

apply *auto*

apply (*blast dest*: *zle-zless-trans*)**+**

apply (*blast dest*: *zless-trans*)

apply (*blast intro*: *zless-imp-zle*)

done

lemma *zmod-pos-neg*:

$\llbracket \neq 0 \neq a; b \neq 0 \rrbracket$

$\implies a \text{ zmod } b = \text{snd } (\text{negateSnd}(\text{negDivAlg}(\langle \text{\$-}a, \text{\$-}b \rangle)))$

apply (*simp* (*no-asm-simp*) *add*: *zmod-def divAlg-def intify-eq-0-iff-zle*)

apply *auto*

apply (*blast dest*: *zle-zless-trans*)**+**

apply (*blast dest*: *zless-trans*)

apply (*blast intro*: *zless-imp-zle*)

done

lemma *zdiv-neg-neg*:

$\llbracket a \neq 0; b \leq \neq 0 \rrbracket$

$\implies a \text{ zdiv } b = \text{fst } (\text{negateSnd}(\text{posDivAlg}(\langle \text{\$-}a, \text{\$-}b \rangle)))$

apply (*simp* (*no-asm-simp*) *add*: *zdiv-def divAlg-def*)

apply *auto*

apply (*blast dest*!: *zle-zless-trans*)**+**

done

```

lemma zmod-neg-neg:
  [[ $a \leq 0$ ;  $b \leq 0$ ]]
   $\implies a \text{ zmod } b = \text{snd} (\text{negateSnd}(\text{posDivAlg}(\langle \$-a, \$-b \rangle)))$ 
apply (simp (no-asm-simp) add: zmod-def divAlg-def)
apply auto
apply (blast dest!: zle-zless-trans)
done

declare zdiv-pos-pos [of integ-of ( $v$ ) integ-of ( $w$ ), simp] for  $v w$ 
declare zdiv-neg-pos [of integ-of ( $v$ ) integ-of ( $w$ ), simp] for  $v w$ 
declare zdiv-pos-neg [of integ-of ( $v$ ) integ-of ( $w$ ), simp] for  $v w$ 
declare zdiv-neg-neg [of integ-of ( $v$ ) integ-of ( $w$ ), simp] for  $v w$ 
declare zmod-pos-pos [of integ-of ( $v$ ) integ-of ( $w$ ), simp] for  $v w$ 
declare zmod-neg-pos [of integ-of ( $v$ ) integ-of ( $w$ ), simp] for  $v w$ 
declare zmod-pos-neg [of integ-of ( $v$ ) integ-of ( $w$ ), simp] for  $v w$ 
declare zmod-neg-neg [of integ-of ( $v$ ) integ-of ( $w$ ), simp] for  $v w$ 
declare posDivAlg-eqn [of concl: integ-of ( $v$ ) integ-of ( $w$ ), simp] for  $v w$ 
declare negDivAlg-eqn [of concl: integ-of ( $v$ ) integ-of ( $w$ ), simp] for  $v w$ 

```

```

lemma zmod-1 [simp]:  $a \text{ zmod } \#1 = \#0$ 
apply (cut-tac  $a = a$  and  $b = \#1$  in pos-mod-sign)
apply (cut-tac [2]  $a = a$  and  $b = \#1$  in pos-mod-bound)
apply auto

```

```

apply (drule add1-zle-iff [THEN iffD2])
apply (rule zle-anti-sym)
apply auto
done

```

```

lemma zdiv-1 [simp]:  $a \text{ zdiv } \#1 = \text{intify}(a)$ 
apply (cut-tac  $a = a$  and  $b = \#1$  in zmod-zdiv-equality)
apply auto
done

```

```

lemma zmod-minus1-right [simp]:  $a \text{ zmod } \#-1 = \#0$ 
apply (cut-tac  $a = a$  and  $b = \#-1$  in neg-mod-sign)
apply (cut-tac [2]  $a = a$  and  $b = \#-1$  in neg-mod-bound)
apply auto

```

```

apply (drule add1-zle-iff [THEN iffD2])
apply (rule zle-anti-sym)
apply auto
done

```

```

lemma zdiv-minus1-right-raw:  $a \in \text{int} \implies a \text{ zdiv } \#-1 = \$-a$ 

```

apply (*cut-tac* $a = a$ **and** $b = \#-1$ **in** *zmod-zdiv-equality*)
apply *auto*
apply (*rule equation-zminus* [*THEN iffD2*])
apply *auto*
done

lemma *zdiv-minus1-right*: $a \text{ zdiv } \#-1 = \$-a$
apply (*cut-tac* $a = \text{intify } (a)$ **in** *zdiv-minus1-right-raw*)
apply *auto*
done
declare *zdiv-minus1-right* [*simp*]

33.8 Monotonicity in the first argument (divisor)

lemma *zdiv-mono1*: $\llbracket a \leq a'; \#0 \leq b \rrbracket \implies a \text{ zdiv } b \leq a' \text{ zdiv } b$
apply (*cut-tac* $a = a$ **and** $b = b$ **in** *zmod-zdiv-equality*)
apply (*cut-tac* $a = a'$ **and** $b = b$ **in** *zmod-zdiv-equality*)
apply (*rule unique-quotient-lemma*)
apply (*erule subst*)
apply (*erule subst*)
apply (*simp-all* (*no-asm-simp*) *add: pos-mod-sign pos-mod-bound*)
done

lemma *zdiv-mono1-neg*: $\llbracket a \leq a'; b \leq \#0 \rrbracket \implies a' \text{ zdiv } b \leq a \text{ zdiv } b$
apply (*cut-tac* $a = a$ **and** $b = b$ **in** *zmod-zdiv-equality*)
apply (*cut-tac* $a = a'$ **and** $b = b$ **in** *zmod-zdiv-equality*)
apply (*rule unique-quotient-lemma-neg*)
apply (*erule subst*)
apply (*erule subst*)
apply (*simp-all* (*no-asm-simp*) *add: neg-mod-sign neg-mod-bound*)
done

33.9 Monotonicity in the second argument (dividend)

lemma *q-pos-lemma*:
 $\llbracket \#0 \leq b' * q' + r'; r' \leq b'; \#0 \leq b \rrbracket \implies \#0 \leq q'$
apply (*subgoal-tac* $\#0 \leq b' * (q' + \#1)$)
apply (*simp* *add: int-0-less-mult-iff*)
apply (*blast* *dest: zless-trans intro: zless-add1-iff-zle* [*THEN iffD1*])
apply (*simp* *add: zadd-zmult-distrib2*)
apply (*erule zle-zless-trans*)
apply (*erule zadd-zless-mono2*)
done

lemma *zdiv-mono2-lemma*:
 $\llbracket b * q + r = b' * q' + r'; \#0 \leq b' * q' + r';$
 $r' \leq b'; \#0 \leq r; \#0 \leq b'; b' \leq b \rrbracket$
 $\implies q \leq q'$
apply (*frule* *q-pos-lemma*, *assumption+*)
apply (*subgoal-tac* $b * q \leq b * (q' + \#1)$)

```

apply (simp add: zmult-zless-cancel1)
apply (force dest: zless-add1-iff-zle [THEN iffD1] zless-trans zless-zle-trans)
apply (subgoal-tac b$*q = r' $- r $+ b'$*q')
prefer 2 apply (simp add: zcompare-rls)
apply (simp (no-asm-simp) add: zadd-zmult-distrib2)
apply (subst zadd-commute [of b $* q'], rule zadd-zless-mono)
prefer 2 apply (blast intro: zmult-zle-mono1)
apply (subgoal-tac r' $+ #0 $< b $+ r)
apply (simp add: zcompare-rls)
apply (rule zadd-zless-mono)
apply auto
apply (blast dest: zless-zle-trans)
done

```

lemma *zdiv-mono2-raw*:

```

  [[#0 $≤ a; #0 $< b'; b' $≤ b; a ∈ int]]
  ⇒ a zdiv b $≤ a zdiv b'
apply (subgoal-tac #0 $< b)
prefer 2 apply (blast dest: zless-zle-trans)
apply (cut-tac a = a and b = b in zmod-zdiv-equality)
apply (cut-tac a = a and b = b' in zmod-zdiv-equality)
apply (rule zdiv-mono2-lemma)
apply (erule subst)
apply (erule subst)
apply (simp-all add: pos-mod-sign pos-mod-bound)
done

```

lemma *zdiv-mono2*:

```

  [[#0 $≤ a; #0 $< b'; b' $≤ b]]
  ⇒ a zdiv b $≤ a zdiv b'
apply (cut-tac a = intify (a) in zdiv-mono2-raw)
apply auto
done

```

lemma *q-neg-lemma*:

```

  [[b'$*q' $+ r' $< #0; #0 $≤ r'; #0 $< b']] ⇒ q' $< #0
apply (subgoal-tac b'$*q' $< #0)
prefer 2 apply (force intro: zle-zless-trans)
apply (simp add: zmult-less-0-iff)
apply (blast dest: zless-trans)
done

```

lemma *zdiv-mono2-neg-lemma*:

```

  [[b'$*q' $+ r = b'$*q' $+ r'; b'$*q' $+ r' $< #0;
   r $< b; #0 $≤ r'; #0 $< b'; b' $≤ b]]
  ⇒ q' $≤ q

```

```

apply (subgoal-tac #0 $< b)
  prefer 2 apply (blast dest: zless-zle-trans)
apply (frule q-neg-lemma, assumption+)
apply (subgoal-tac b$*q' $< b$* (q $+ #1))
  apply (simp add: zmult-zless-cancel1)
  apply (blast dest: zless-trans zless-add1-iff-zle [THEN iffD1])
apply (simp (no-asm-simp) add: zadd-zmult-distrib2)
apply (subgoal-tac b$*q' $\le b'$*q')
  prefer 2
  apply (simp add: zmult-zle-cancel2)
  apply (blast dest: zless-trans)
apply (subgoal-tac b'$*q' $+ r $< b $+ (b$*q $+ r))
  prefer 2
  apply (erule ssubst)
  apply simp
  apply (drule-tac w' = r and z' = #0 in zadd-zless-mono)
  apply (assumption)
  apply simp
apply (simp (no-asm-use) add: zadd-commute)
apply (rule zle-zless-trans)
  prefer 2 apply (assumption)
apply (simp (no-asm-simp) add: zmult-zle-cancel2)
apply (blast dest: zless-trans)
done

```

```

lemma zdiv-mono2-neg-raw:
   $\llbracket a \ $< \#0; \#0 \ $< b'; b' \ $\le b; a \in \text{int} \rrbracket$ 
   $\implies a \ \text{zdiv} \ b' \ \$\le a \ \text{zdiv} \ b$ 
apply (subgoal-tac #0 $< b)
  prefer 2 apply (blast dest: zless-zle-trans)
apply (cut-tac a = a and b = b in zmod-zdiv-equality)
apply (cut-tac a = a and b = b' in zmod-zdiv-equality)
apply (rule zdiv-mono2-neg-lemma)
apply (erule subst)
apply (erule subst)
apply (simp-all add: pos-mod-sign pos-mod-bound)
done

```

```

lemma zdiv-mono2-neg:  $\llbracket a \ $< \#0; \#0 \ $< b'; b' \ $\le b \rrbracket$ 
   $\implies a \ \text{zdiv} \ b' \ \$\le a \ \text{zdiv} \ b$ 
apply (cut-tac a = intify (a) in zdiv-mono2-neg-raw)
apply auto
done

```

33.10 More algebraic laws for zdiv and zmod

```

lemma zmult1-lemma:
   $\llbracket \text{quorem}(\langle b, c \rangle, \langle q, r \rangle); c \in \text{int}; c \neq \#0 \rrbracket$ 
   $\implies \text{quorem}(\langle a\$*b, c \rangle, \langle a\$*q \ \$+ (a\$*r) \ \text{zdiv} \ c, (a\$*r) \ \text{zmod} \ c \rangle)$ 

```

apply (*auto simp add: split-ifs quorem-def neq-iff-zless zadd-zmult-distrib2
pos-mod-sign pos-mod-bound neg-mod-sign neg-mod-bound*)
apply (*auto intro: raw-zmod-zdiv-equality*)
done

lemma *zdiv-zmult1-eq-raw*:
 $\llbracket b \in \text{int}; c \in \text{int} \rrbracket$
 $\implies (a\$*b) \text{ zdiv } c = a\$*(b \text{ zdiv } c) \$+ a\$*(b \text{ zmod } c) \text{ zdiv } c$
apply (*case-tac c = #0*)
apply (*simp add: DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD*)
apply (*rule quorem-div-mod [THEN zmult1-lemma, THEN quorem-div]*)
apply *auto*
done

lemma *zdiv-zmult1-eq*: $(a\$*b) \text{ zdiv } c = a\$*(b \text{ zdiv } c) \$+ a\$*(b \text{ zmod } c) \text{ zdiv } c$
apply (*cut-tac b = intify (b) and c = intify (c) in zdiv-zmult1-eq-raw*)
apply *auto*
done

lemma *zmod-zmult1-eq-raw*:
 $\llbracket b \in \text{int}; c \in \text{int} \rrbracket \implies (a\$*b) \text{ zmod } c = a\$*(b \text{ zmod } c) \text{ zmod } c$
apply (*case-tac c = #0*)
apply (*simp add: DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD*)
apply (*rule quorem-div-mod [THEN zmult1-lemma, THEN quorem-mod]*)
apply *auto*
done

lemma *zmod-zmult1-eq*: $(a\$*b) \text{ zmod } c = a\$*(b \text{ zmod } c) \text{ zmod } c$
apply (*cut-tac b = intify (b) and c = intify (c) in zmod-zmult1-eq-raw*)
apply *auto*
done

lemma *zmod-zmult1-eq'*: $(a\$*b) \text{ zmod } c = ((a \text{ zmod } c) \$* b) \text{ zmod } c$
apply (*rule trans*)
apply (*rule-tac b = (b \\$* a) zmod c in trans*)
apply (*rule-tac [2] zmod-zmult1-eq*)
apply (*simp-all (no-asm) add: zmult-commute*)
done

lemma *zmod-zmult-distrib*: $(a\$*b) \text{ zmod } c = ((a \text{ zmod } c) \$* (b \text{ zmod } c)) \text{ zmod } c$
apply (*rule zmod-zmult1-eq' [THEN trans]*)
apply (*rule zmod-zmult1-eq*)
done

lemma *zdiv-zmult-self1* [*simp*]: $\text{intify}(b) \neq \#0 \implies (a\$*b) \text{ zdiv } b = \text{intify}(a)$
by (*simp add: zdiv-zmult1-eq*)

lemma *zdiv-zmult-self2* [*simp*]: $\text{intify}(b) \neq \#0 \implies (b\$*a) \text{ zdiv } b = \text{intify}(a)$
by (*simp add: zmult-commute*)

lemma *zmod-zmult-self1* [*simp*]: $(a\$*b) \text{ zmod } b = \#0$
by (*simp add: zmod-zmult1-eq*)

lemma *zmod-zmult-self2* [*simp*]: $(b\$*a) \text{ zmod } b = \#0$
by (*simp add: zmult-commute zmod-zmult1-eq*)

lemma *zadd1-lemma*:

[[*quorem*($\langle a,c \rangle$, $\langle aq,ar \rangle$); *quorem*($\langle b,c \rangle$, $\langle bq,br \rangle$);
 $c \in \text{int}; c \neq \#0$]]
 $\implies \text{quorem}(\langle a\$+b, c \rangle, \langle aq \$+ bq \$+ (ar\$+br) \text{ zdiv } c, (ar\$+br) \text{ zmod } c \rangle)$
apply (*auto simp add: split-ifs quorem-def neg-iff-zless zadd-zmult-distrib2*
pos-mod-sign pos-mod-bound neg-mod-sign neg-mod-bound)
apply (*auto intro: raw-zmod-zdiv-equality*)
done

lemma *zdiv-zadd1-eq-raw*:

[[$a \in \text{int}; b \in \text{int}; c \in \text{int}$]] \implies
 $(a\$+b) \text{ zdiv } c = a \text{ zdiv } c \$+ b \text{ zdiv } c \$+ ((a \text{ zmod } c \$+ b \text{ zmod } c) \text{ zdiv } c)$
apply (*case-tac c = #0*)
apply (*simp add: DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD*)
apply (*blast intro: zadd1-lemma [OF quorem-div-mod quorem-div-mod,*
THEN quorem-div])
done

lemma *zdiv-zadd1-eq*:

$(a\$+b) \text{ zdiv } c = a \text{ zdiv } c \$+ b \text{ zdiv } c \$+ ((a \text{ zmod } c \$+ b \text{ zmod } c) \text{ zdiv } c)$
apply (*cut-tac a = intify (a) and b = intify (b) and c = intify (c)*
in zdiv-zadd1-eq-raw)
apply *auto*
done

lemma *zmod-zadd1-eq-raw*:

[[$a \in \text{int}; b \in \text{int}; c \in \text{int}$]]
 $\implies (a\$+b) \text{ zmod } c = (a \text{ zmod } c \$+ b \text{ zmod } c) \text{ zmod } c$
apply (*case-tac c = #0*)
apply (*simp add: DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD*)
apply (*blast intro: zadd1-lemma [OF quorem-div-mod quorem-div-mod,*
THEN quorem-mod])
done

lemma *zmod-zadd1-eq*: $(a\$+b) \text{ zmod } c = (a \text{ zmod } c \$+ b \text{ zmod } c) \text{ zmod } c$

apply (*cut-tac a = intify (a) and b = intify (b) and c = intify (c)*
in zmod-zadd1-eq-raw)
apply *auto*

done

lemma *zmod-div-trivial-raw*:

$\llbracket a \in \text{int}; b \in \text{int} \rrbracket \implies (a \text{ zmod } b) \text{ zdiv } b = \#0$

apply (*case-tac* $b = \#0$)

apply (*simp add: DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD*)

apply (*auto simp add: neg-iff-zless pos-mod-sign pos-mod-bound*

zdiv-pos-pos-trivial neg-mod-sign neg-mod-bound zdiv-neg-neg-trivial)

done

lemma *zmod-div-trivial [simp]*: $(a \text{ zmod } b) \text{ zdiv } b = \#0$

apply (*cut-tac* $a = \text{intify } (a)$ **and** $b = \text{intify } (b)$ **in** *zmod-div-trivial-raw*)

apply *auto*

done

lemma *zmod-mod-trivial-raw*:

$\llbracket a \in \text{int}; b \in \text{int} \rrbracket \implies (a \text{ zmod } b) \text{ zmod } b = a \text{ zmod } b$

apply (*case-tac* $b = \#0$)

apply (*simp add: DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD*)

apply (*auto simp add: neg-iff-zless pos-mod-sign pos-mod-bound*

zmod-pos-pos-trivial neg-mod-sign neg-mod-bound zmod-neg-neg-trivial)

done

lemma *zmod-mod-trivial [simp]*: $(a \text{ zmod } b) \text{ zmod } b = a \text{ zmod } b$

apply (*cut-tac* $a = \text{intify } (a)$ **and** $b = \text{intify } (b)$ **in** *zmod-mod-trivial-raw*)

apply *auto*

done

lemma *zmod-zadd-left-eq*: $(a\$+b) \text{ zmod } c = ((a \text{ zmod } c) \$+ b) \text{ zmod } c$

apply (*rule trans [symmetric]*)

apply (*rule zmod-zadd1-eq*)

apply (*simp (no-asm)*)

apply (*rule zmod-zadd1-eq [symmetric]*)

done

lemma *zmod-zadd-right-eq*: $(a\$+b) \text{ zmod } c = (a \$+ (b \text{ zmod } c)) \text{ zmod } c$

apply (*rule trans [symmetric]*)

apply (*rule zmod-zadd1-eq*)

apply (*simp (no-asm)*)

apply (*rule zmod-zadd1-eq [symmetric]*)

done

lemma *zdiv-zadd-self1 [simp]*:

$\text{intify}(a) \neq \#0 \implies (a\$+b) \text{ zdiv } a = b \text{ zdiv } a \$+ \#1$

by (*simp (no-asm-simp) add: zdiv-zadd1-eq*)

lemma *zdiv-zadd-self2 [simp]*:

$\text{intify}(a) \neq \#0 \implies (b\$+a) \text{ zdiv } a = b \text{ zdiv } a \$+ \#1$

by (simp (no-asm-simp) add: zdiv-zadd1-eq)

lemma zmod-zadd-self1 [simp]: (a\$+b) zmod a = b zmod a
apply (case-tac a = #0)
apply (simp add: DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD)
apply (simp (no-asm-simp) add: zmod-zadd1-eq)
done

lemma zmod-zadd-self2 [simp]: (b\$a) zmod a = b zmod a
apply (case-tac a = #0)
apply (simp add: DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD)
apply (simp (no-asm-simp) add: zmod-zadd1-eq)
done

33.11 proving a zdiv (b*c) = (a zdiv b) zdiv c

lemma zdiv-zmult2-aux1:
[[#0 \$< c; b \$< r; r \$≤ #0]] ==> b\$*c \$< b\$*(q zmod c) \$+ r
apply (subgoal-tac b \$* (c \$- q zmod c) \$< r \$* #1)
apply (simp add: zdiff-zmult-distrib2 zadd-commute zcompare-rls)
apply (rule zle-zless-trans)
apply (erule-tac [2] zmult-zless-mono1)
apply (rule zmult-zle-mono2-neg)
apply (auto simp add: zcompare-rls zadd-commute add1-zle-iff pos-mod-bound)
apply (blast intro: zless-imp-zle dest: zless-zle-trans)
done

lemma zdiv-zmult2-aux2:
[[#0 \$< c; b \$< r; r \$≤ #0]] ==> b \$* (q zmod c) \$+ r \$≤ #0
apply (subgoal-tac b \$* (q zmod c) \$≤ #0)
prefer 2
apply (simp add: zmult-le-0-iff pos-mod-sign)
apply (blast intro: zless-imp-zle dest: zless-zle-trans)

apply (drule zadd-zle-mono)
apply assumption
apply (simp add: zadd-commute)
done

lemma zdiv-zmult2-aux3:
[[#0 \$< c; #0 \$≤ r; r \$< b]] ==> #0 \$≤ b \$* (q zmod c) \$+ r
apply (subgoal-tac #0 \$≤ b \$* (q zmod c))
prefer 2
apply (simp add: int-0-le-mult-iff pos-mod-sign)
apply (blast intro: zless-imp-zle dest: zle-zless-trans)

apply (drule zadd-zle-mono)
apply assumption
apply (simp add: zadd-commute)

done

lemma *zdiv-zmult2-aux4*:

$\llbracket \#0 \ \$< c; \#0 \ \$\leq r; r \ \$< b \rrbracket \implies b \ \$* (q \ zmod \ c) \ \$+ r \ \$< b \ \$* \ c$
apply (*subgoal-tac* $r \ \$* \ \#1 \ \$< b \ \$* (c \ \$- q \ zmod \ c)$)
apply (*simp add*: *zdiff-zmult-distrib2 zadd-commute zcompare-rls*)
apply (*rule zless-zle-trans*)
apply (*erule zmult-zless-mono1*)
apply (*rule-tac* [2] *zmult-zle-mono2*)
apply (*auto simp add*: *zcompare-rls zadd-commute add1-zle-iff pos-mod-bound*)
apply (*blast intro*: *zless-imp-zle dest*: *zle-zless-trans*)
done

lemma *zdiv-zmult2-lemma*:

$\llbracket quorem (\langle a, b \rangle, \langle q, r \rangle); a \in int; b \in int; b \neq \#0; \#0 \ \$< c \rrbracket$
 $\implies quorem (\langle a, b \ \$* \ c \rangle, \langle q \ zdiv \ c, b \ \$* (q \ zmod \ c) \ \$+ \ r \rangle)$
apply (*auto simp add*: *zmult-ac zmod-zdiv-equality [symmetric] quorem-def*
neq-iff-zless int-0-less-mult-iff
zadd-zmult-distrib2 [symmetric] zdiv-zmult2-aux1 zdiv-zmult2-aux2
zdiv-zmult2-aux3 zdiv-zmult2-aux4)
apply (*blast dest*: *zless-trans*)
done

lemma *zdiv-zmult2-eq-raw*:

$\llbracket \#0 \ \$< c; a \in int; b \in int \rrbracket \implies a \ zdiv (b \ \$* \ c) = (a \ zdiv \ b) \ zdiv \ c$
apply (*case-tac* $b = \#0$)
apply (*simp add*: *DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD*)
apply (*rule quorem-div-mod [THEN zdiv-zmult2-lemma, THEN quorem-div]*)
apply (*auto simp add*: *intify-eq-0-iff-zle*)
apply (*blast dest*: *zle-zless-trans*)
done

lemma *zdiv-zmult2-eq*: $\#0 \ \$< c \implies a \ zdiv (b \ \$* \ c) = (a \ zdiv \ b) \ zdiv \ c$

apply (*cut-tac* $a = intify \ (a) \ \mathbf{and} \ b = intify \ (b) \ \mathbf{in} \ zdiv-zmult2-eq-raw$)
apply *auto*
done

lemma *zmod-zmult2-eq-raw*:

$\llbracket \#0 \ \$< c; a \in int; b \in int \rrbracket$
 $\implies a \ zmod (b \ \$* \ c) = b \ \$* (a \ zdiv \ b \ zmod \ c) \ \$+ a \ zmod \ b$
apply (*case-tac* $b = \#0$)
apply (*simp add*: *DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD*)
apply (*rule quorem-div-mod [THEN zdiv-zmult2-lemma, THEN quorem-mod]*)
apply (*auto simp add*: *intify-eq-0-iff-zle*)
apply (*blast dest*: *zle-zless-trans*)
done

lemma *zmod-zmult2-eq*:

$\#0 \ \$< c \implies a \ zmod (b \ \$* \ c) = b \ \$* (a \ zdiv \ b \ zmod \ c) \ \$+ a \ zmod \ b$

apply (*cut-tac* $a = \text{intify}(a)$ **and** $b = \text{intify}(b)$ **in** *zmod-zmult2-eq-raw*)
apply *auto*
done

33.12 Cancellation of common factors in "zdiv"

lemma *zdiv-zmult-zmult1-aux1*:
 $\llbracket \#0 \ \$ < b; \text{intify}(c) \neq \#0 \rrbracket \implies (c\$*a) \text{zdiv} (c\$*b) = a \text{zdiv} b$
apply (*subst zdiv-zmult2-eq*)
apply *auto*
done

lemma *zdiv-zmult-zmult1-aux2*:
 $\llbracket b \ \$ < \#0; \text{intify}(c) \neq \#0 \rrbracket \implies (c\$*a) \text{zdiv} (c\$*b) = a \text{zdiv} b$
apply (*subgoal-tac* ($c \ \$ * (\$-a) \text{zdiv} (c \ \$ * (\$-b)) = (\$-a) \text{zdiv} (\$-b)$)
apply (*rule-tac* [2] *zdiv-zmult-zmult1-aux1*)
apply *auto*
done

lemma *zdiv-zmult-zmult1-raw*:
 $\llbracket \text{intify}(c) \neq \#0; b \in \text{int} \rrbracket \implies (c\$*a) \text{zdiv} (c\$*b) = a \text{zdiv} b$
apply (*case-tac* $b = \#0$)
apply (*simp add: DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD*)
apply (*auto simp add: neq-iff-zless* [of b])
 $\text{zdiv-zmult-zmult1-aux1} \text{zdiv-zmult-zmult1-aux2}$
done

lemma *zdiv-zmult-zmult1*: $\text{intify}(c) \neq \#0 \implies (c\$*a) \text{zdiv} (c\$*b) = a \text{zdiv} b$
apply (*cut-tac* $b = \text{intify}(b)$ **in** *zdiv-zmult-zmult1-raw*)
apply *auto*
done

lemma *zdiv-zmult-zmult2*: $\text{intify}(c) \neq \#0 \implies (a\$*c) \text{zdiv} (b\$*c) = a \text{zdiv} b$
apply (*drule zdiv-zmult-zmult1*)
apply (*auto simp add: zmult-commute*)
done

33.13 Distribution of factors over "zmod"

lemma *zmod-zmult-zmult1-aux1*:
 $\llbracket \#0 \ \$ < b; \text{intify}(c) \neq \#0 \rrbracket$
 $\implies (c\$*a) \text{zmod} (c\$*b) = c \ \$ * (a \ \text{zmod} \ b)$
apply (*subst zmod-zmult2-eq*)
apply *auto*
done

lemma *zmod-zmult-zmult1-aux2*:
 $\llbracket b \ \$ < \#0; \text{intify}(c) \neq \#0 \rrbracket$
 $\implies (c\$*a) \text{zmod} (c\$*b) = c \ \$ * (a \ \text{zmod} \ b)$
apply (*subgoal-tac* ($c \ \$ * (\$-a) \ \text{zmod} (c \ \$ * (\$-b)) = c \ \$ * ((\$-a) \ \text{zmod} (\$-b))$)

```

apply (rule-tac [2] zmod-zmult-zmult1-aux1)
apply auto
done

```

```

lemma zmod-zmult-zmult1-raw:
   $\llbracket b \in \text{int}; c \in \text{int} \rrbracket \implies (c\$*a) \text{ zmod } (c\$*b) = c \$* (a \text{ zmod } b)$ 
apply (case-tac b = #0)
apply (simp add: DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD)
apply (case-tac c = #0)
apply (simp add: DIVISION-BY-ZERO-ZDIV DIVISION-BY-ZERO-ZMOD)
apply (auto simp add: neq-iff-zless [of b]
  zmod-zmult-zmult1-aux1 zmod-zmult-zmult1-aux2)
done

```

```

lemma zmod-zmult-zmult1:  $(c\$*a) \text{ zmod } (c\$*b) = c \$* (a \text{ zmod } b)$ 
apply (cut-tac b = intify (b) and c = intify (c) in zmod-zmult-zmult1-raw)
apply auto
done

```

```

lemma zmod-zmult-zmult2:  $(a\$*c) \text{ zmod } (b\$*c) = (a \text{ zmod } b) \$* c$ 
apply (cut-tac c = c in zmod-zmult-zmult1)
apply (auto simp add: zmult-commute)
done

```

```

lemma zdiv-neg-pos-less0:  $\llbracket a \$< \#0; \#0 \$< b \rrbracket \implies a \text{ zdiv } b \$< \#0$ 
apply (subgoal-tac a zdiv b  $\leq \#-1$ )
apply (erule zle-zless-trans)
apply (simp (no-asm))
apply (rule zle-trans)
apply (rule-tac a' =  $\#-1$  in zdiv-mono1)
apply (rule zless-add1-iff-zle [THEN iffD1])
apply (simp (no-asm))
apply (auto simp add: zdiv-minus1)
done

```

```

lemma zdiv-nonneg-neg-le0:  $\llbracket \#0 \$\leq a; b \$< \#0 \rrbracket \implies a \text{ zdiv } b \$\leq \#0$ 
apply (drule zdiv-mono1-neg)
apply auto
done

```

```

lemma pos-imp-zdiv-nonneg-iff:  $\#0 \$< b \implies (\#0 \$\leq a \text{ zdiv } b) \longleftrightarrow (\#0 \$\leq a)$ 
apply auto
apply (drule-tac [2] zdiv-mono1)
apply (auto simp add: neq-iff-zless)
apply (simp (no-asm-use) add: not-zless-iff-zle [THEN iff-sym])
apply (blast intro: zdiv-neg-pos-less0)

```

done

lemma *neg-imp-zdiv-nonneg-iff*: $b \leq \#0 \implies (\#0 \leq a \text{ zdiv } b) \longleftrightarrow (a \leq \#0)$
apply (*subst zdiv-zminus-zminus [symmetric]*)
apply (*rule iff-trans*)
apply (*rule pos-imp-zdiv-nonneg-iff*)
apply *auto*
done

lemma *pos-imp-zdiv-neg-iff*: $\#0 \leq b \implies (a \text{ zdiv } b \leq \#0) \longleftrightarrow (a \leq \#0)$
apply (*simp (no-asm-simp) add: not-zle-iff-zless [THEN iff-sym]*)
apply (*erule pos-imp-zdiv-nonneg-iff*)
done

lemma *neg-imp-zdiv-neg-iff*: $b \leq \#0 \implies (a \text{ zdiv } b \leq \#0) \longleftrightarrow (\#0 \leq a)$
apply (*simp (no-asm-simp) add: not-zle-iff-zless [THEN iff-sym]*)
apply (*erule neg-imp-zdiv-nonneg-iff*)
done

end

34 Cardinal Arithmetic Without the Axiom of Choice

theory *CardinalArith* **imports** *Cardinal OrderArith ArithSimp Finite* **begin**

definition

InfCard :: $i \Rightarrow o$ **where**
 $InfCard(i) \equiv Card(i) \wedge nat \leq i$

definition

cmult :: $[i, i] \Rightarrow i$ (**infixl** $\langle \otimes \rangle$ 70) **where**
 $i \otimes j \equiv |i * j|$

definition

cadd :: $[i, i] \Rightarrow i$ (**infixl** $\langle \oplus \rangle$ 65) **where**
 $i \oplus j \equiv |i + j|$

definition

csquare-rel :: $i \Rightarrow i$ **where**
 $csquare-rel(K) \equiv$
 $rvimage(K * K,$
 $lam \langle x, y \rangle : K * K. \langle x \cup y, x, y \rangle,$
 $rmult(K, Memrel(K), K * K, rmult(K, Memrel(K), K, Memrel(K))))$

definition

jump-cardinal :: $i \Rightarrow i$ **where**

— This definition is more complex than Kunen's but it more easily proved to be a cardinal

$\text{jump-cardinal}(K) \equiv \bigcup X \in \text{Pow}(K). \{z. r \in \text{Pow}(K * K), \text{well-ord}(X, r) \wedge z = \text{ordertype}(X, r)\}$

definition

csucc :: $i \Rightarrow i$ **where**

— needed because *jump-cardinal*(K) might not be the successor of K

$\text{csucc}(K) \equiv \mu L. \text{Card}(L) \wedge K < L$

lemma *Card-Union* [*simp,intro,TC*]:

assumes $A: \bigwedge x. x \in A \Rightarrow \text{Card}(x)$ **shows** $\text{Card}(\bigcup(A))$

proof (*rule CardI*)

show $\text{Ord}(\bigcup A)$ **using** A

by (*simp add: Card-is-Ord*)

next

fix j

assume $j: j < \bigcup A$

hence $\exists c \in A. j < c \wedge \text{Card}(c)$ **using** A

by (*auto simp add: lt-def intro: Card-is-Ord*)

then obtain c **where** $c: c \in A \ j < c \ \text{Card}(c)$

by *blast*

hence $jls: j \prec c$

by (*simp add: lt-Card-imp-lesspoll*)

{ **assume** $eqp: j \approx \bigcup A$

have $c \lesssim \bigcup A$ **using** c

by (*blast intro: subset-imp-lepoll*)

also have $\dots \approx j$ **by** (*rule eqpoll-sym [OF eqp]*)

also have $\dots \prec c$ **by** (*rule jls*)

finally have $c \prec c$.

hence *False*

by *auto*

} **thus** $\neg j \approx \bigcup A$ **by** *blast*

qed

lemma *Card-UN*: $(\bigwedge x. x \in A \Rightarrow \text{Card}(K(x))) \Rightarrow \text{Card}(\bigcup_{x \in A} K(x))$

by *blast*

lemma *Card-OUN* [*simp,intro,TC*]:

$(\bigwedge x. x \in A \Rightarrow \text{Card}(K(x))) \Rightarrow \text{Card}(\bigcup_{x < A} K(x))$

by (*auto simp add: OUnion-def Card-0*)

lemma *in-Card-imp-lesspoll*: $\llbracket \text{Card}(K); b \in K \rrbracket \Rightarrow b \prec K$

unfolding *lesspoll-def*

apply (*simp add: Card-iff-initial*)

apply (*fast intro!: le-imp-lepoll ltI leI*)

done

34.1 Cardinal addition

Note: Could omit proving the algebraic laws for cardinal addition and multiplication. On finite cardinals these operations coincide with addition and multiplication of natural numbers; on infinite cardinals they coincide with union (maximum). Either way we get most laws for free.

34.1.1 Cardinal addition is commutative

lemma *sum-commute-epoll*: $A+B \approx B+A$
proof (*unfold epoll-def, rule exI*)
 show $(\lambda z \in A+B. \text{case}(\text{Inr}, \text{Inl}, z)) \in \text{bij}(A+B, B+A)$
 by (*auto intro: lam-bijective [where d = case(Inr, Inl)]*)
qed

lemma *cadd-commute*: $i \oplus j = j \oplus i$
 unfolding *cadd-def*
apply (*rule sum-commute-epoll [THEN cardinal-cong]*)
done

34.1.2 Cardinal addition is associative

lemma *sum-assoc-epoll*: $(A+B)+C \approx A+(B+C)$
 unfolding *epoll-def*
apply (*rule exI*)
apply (*rule sum-assoc-bij*)
done

Unconditional version requires AC

lemma *well-ord-cadd-assoc*:
 assumes $i: \text{well-ord}(i, ri)$ **and** $j: \text{well-ord}(j, rj)$ **and** $k: \text{well-ord}(k, rk)$
 shows $(i \oplus j) \oplus k = i \oplus (j \oplus k)$
proof (*unfold cadd-def, rule cardinal-cong*)
 have $|i + j| + k \approx (i + j) + k$
 by (*blast intro: sum-epoll-cong well-ord-cardinal-epoll epoll-refl well-ord-radd i j*)
 also have $\dots \approx i + (j + k)$
 by (*rule sum-assoc-epoll*)
 also have $\dots \approx i + |j + k|$
 by (*blast intro: sum-epoll-cong well-ord-cardinal-epoll epoll-refl well-ord-radd j k epoll-sym*)
 finally show $|i + j| + k \approx i + |j + k|$.
qed

34.1.3 0 is the identity for addition

lemma *sum-0-epoll*: $0+A \approx A$
 unfolding *epoll-def*
apply (*rule exI*)

apply (*rule bij-0-sum*)
done

lemma *cadd-0* [*simp*]: $\text{Card}(K) \implies 0 \oplus K = K$
unfolding *cadd-def*
apply (*simp add: sum-0-epoll* [*THEN cardinal-cong*] *Card-cardinal-eq*)
done

34.1.4 Addition by another cardinal

lemma *sum-lepoll-self*: $A \lesssim A+B$
proof (*unfold lepoll-def, rule exI*)
show $(\lambda x \in A. \text{Inl}(x)) \in \text{inj}(A, A+B)$
by (*simp add: inj-def*)
qed

lemma *cadd-le-self*:
assumes $K: \text{Card}(K)$ **and** $L: \text{Ord}(L)$ **shows** $K \leq (K \oplus L)$
proof (*unfold cadd-def*)
have $K \leq |K|$
by (*rule Card-cardinal-le* [*OF K*])
moreover have $|K| \leq |K+L|$ **using** $K L$
by (*blast intro: well-ord-lepoll-imp-cardinal-le sum-lepoll-self*
well-ord-radd well-ord-Memrel Card-is-Ord)
ultimately show $K \leq |K+L|$
by (*blast intro: le-trans*)
qed

34.1.5 Monotonicity of addition

lemma *sum-lepoll-mono*:
 $\llbracket A \lesssim C; B \lesssim D \rrbracket \implies A+B \lesssim C+D$
unfolding *lepoll-def*
apply (*elim exE*)
apply (*rule-tac* $x = \lambda z \in A+B. \text{case}(\lambda w. \text{Inl}(f'w), \lambda y. \text{Inr}(fa'y), z)$ **in** *exI*)
apply (*rule-tac* $d = \text{case}(\lambda w. \text{Inl}(\text{converse}(f)'w), \lambda y. \text{Inr}(\text{converse}(fa)'y))$
in *lam-injective*)
apply (*typecheck add: inj-is-fun, auto*)
done

lemma *cadd-le-mono*:
 $\llbracket K' \leq K; L' \leq L \rrbracket \implies (K' \oplus L') \leq (K \oplus L)$
unfolding *cadd-def*
apply (*safe dest!: le-subset-iff* [*THEN iffD1*])
apply (*rule well-ord-lepoll-imp-cardinal-le*)
apply (*blast intro: well-ord-radd well-ord-Memrel*)
apply (*blast intro: sum-lepoll-mono subset-imp-lepoll*)
done

34.1.6 Addition of finite cardinals is "ordinary" addition

lemma *sum-succ-epoll*: $\text{succ}(A)+B \approx \text{succ}(A+B)$
unfolding *epoll-def*
apply (*rule exI*)
apply (*rule-tac c = λz. if z=Inl (A) then A+B else z*
and d = λz. if z=A+B then Inl (A) else z in lam-bijective)
apply *simp-all*
apply (*blast dest: sym [THEN eq-imp-not-mem] elim: mem-irrefl*)
done

lemma *cadd-succ-lemma*:
assumes *Ord(m) Ord(n)* **shows** $\text{succ}(m) \oplus n = |\text{succ}(m \oplus n)|$
proof (*unfold cadd-def*)
have [*intro*]: $m + n \approx |m + n|$ **using** *assms*
by (*blast intro: epoll-sym well-ord-cardinal-epoll well-ord-radd well-ord-Memrel*)

have $|\text{succ}(m) + n| = |\text{succ}(m + n)|$
by (*rule sum-succ-epoll [THEN cardinal-cong]*)
also have $\dots = |\text{succ}(|m + n|)|$
by (*blast intro: succ-epoll-cong cardinal-cong*)
finally show $|\text{succ}(m) + n| = |\text{succ}(|m + n|)|$.
qed

lemma *nat-cadd-eg-add*:
assumes $m: m \in \text{nat}$ **and** [*simp*]: $n \in \text{nat}$ **shows** $m \oplus n = m \# + n$
using *m*
proof (*induct m*)
case 0 thus ?*case* **by** (*simp add: nat-into-Card cadd-0*)
next
case (*succ m*) **thus** ?*case* **by** (*simp add: cadd-succ-lemma nat-into-Card Card-cardinal-eg*)
qed

34.2 Cardinal multiplication

34.2.1 Cardinal multiplication is commutative

lemma *prod-commute-epoll*: $A*B \approx B*A$
unfolding *epoll-def*
apply (*rule exI*)
apply (*rule-tac c = λ⟨x,y⟩.⟨y,x⟩ and d = λ⟨x,y⟩.⟨y,x⟩ in lam-bijective,*
auto)
done

lemma *cmult-commute*: $i \otimes j = j \otimes i$
unfolding *cmult-def*
apply (*rule prod-commute-epoll [THEN cardinal-cong]*)
done

34.2.2 Cardinal multiplication is associative

lemma *prod-assoc-epoll*: $(A*B)*C \approx A*(B*C)$
unfolding *epoll-def*
apply (*rule exI*)
apply (*rule prod-assoc-bij*)
done

Unconditional version requires AC

lemma *well-ord-cmult-assoc*:
assumes *i*: *well-ord*(*i*,*ri*) **and** *j*: *well-ord*(*j*,*rj*) **and** *k*: *well-ord*(*k*,*rk*)
shows $(i \otimes j) \otimes k = i \otimes (j \otimes k)$
proof (*unfold cmult-def, rule cardinal-cong*)
have $|i * j| * k \approx (i * j) * k$
by (*blast intro: prod-epoll-cong well-ord-cardinal-epoll eqpoll-refl well-ord-rmult i j*)
also have $\dots \approx i * (j * k)$
by (*rule prod-assoc-epoll*)
also have $\dots \approx i * |j * k|$
by (*blast intro: prod-epoll-cong well-ord-cardinal-epoll eqpoll-refl well-ord-rmult j k eqpoll-sym*)
finally show $|i * j| * k \approx i * |j * k|$.
qed

34.2.3 Cardinal multiplication distributes over addition

lemma *sum-prod-distrib-epoll*: $(A+B)*C \approx (A*C)+(B*C)$
unfolding *epoll-def*
apply (*rule exI*)
apply (*rule sum-prod-distrib-bij*)
done

lemma *well-ord-cadd-cmult-distrib*:
assumes *i*: *well-ord*(*i*,*ri*) **and** *j*: *well-ord*(*j*,*rj*) **and** *k*: *well-ord*(*k*,*rk*)
shows $(i \oplus j) \otimes k = (i \otimes k) \oplus (j \otimes k)$
proof (*unfold cadd-def cmult-def, rule cardinal-cong*)
have $|i + j| * k \approx (i + j) * k$
by (*blast intro: prod-epoll-cong well-ord-cardinal-epoll eqpoll-refl well-ord-radd i j*)
also have $\dots \approx i * k + j * k$
by (*rule sum-prod-distrib-epoll*)
also have $\dots \approx |i * k| + |j * k|$
by (*blast intro: sum-epoll-cong well-ord-cardinal-epoll well-ord-rmult i j k eqpoll-sym*)
finally show $|i + j| * k \approx |i * k| + |j * k|$.
qed

34.2.4 Multiplication by 0 yields 0

lemma *prod-0-epoll*: $0*A \approx 0$

unfolding *eqpoll-def*
apply (*rule exI*)
apply (*rule lam-bijective, safe*)
done

lemma *cmult-0* [*simp*]: $0 \otimes i = 0$
by (*simp add: cmult-def prod-0-epoll [THEN cardinal-cong]*)

34.2.5 1 is the identity for multiplication

lemma *prod-singleton-epoll*: $\{x\} * A \approx A$
unfolding *eqpoll-def*
apply (*rule exI*)
apply (*rule singleton-prod-bij [THEN bij-converse-bij]*)
done

lemma *cmult-1* [*simp*]: $\text{Card}(K) \implies 1 \otimes K = K$
unfolding *cmult-def succ-def*
apply (*simp add: prod-singleton-epoll [THEN cardinal-cong] Card-cardinal-eq*)
done

34.3 Some inequalities for multiplication

lemma *prod-square-lepoll*: $A \lesssim A * A$
unfolding *lepoll-def inj-def*
apply (*rule-tac x = $\lambda x \in A. \langle x, x \rangle$ in exI, simp*)
done

lemma *cmult-square-le*: $\text{Card}(K) \implies K \leq K \otimes K$
unfolding *cmult-def*
apply (*rule le-trans*)
apply (*rule-tac [2] well-ord-lepoll-imp-cardinal-le*)
apply (*rule-tac [3] prod-square-lepoll*)
apply (*simp add: le-refl Card-is-Ord Card-cardinal-eq*)
apply (*blast intro: well-ord-rmult well-ord-Memrel Card-is-Ord*)
done

34.3.1 Multiplication by a non-zero cardinal

lemma *prod-lepoll-self*: $b \in B \implies A \lesssim A * B$
unfolding *lepoll-def inj-def*
apply (*rule-tac x = $\lambda x \in A. \langle x, b \rangle$ in exI, simp*)
done

lemma *cmult-le-self*:
 $\llbracket \text{Card}(K); \text{Ord}(L); 0 < L \rrbracket \implies K \leq (K \otimes L)$
unfolding *cmult-def*
apply (*rule le-trans [OF Card-cardinal-le well-ord-lepoll-imp-cardinal-le]*)

```

apply assumption
apply (blast intro: well-ord-rmult well-ord-Memrel Card-is-Ord)
apply (blast intro: prod-lepoll-self ltD)
done

```

34.3.2 Monotonicity of multiplication

```

lemma prod-lepoll-mono:
   $\llbracket A \lesssim C; B \lesssim D \rrbracket \implies A * B \lesssim C * D$ 
  unfolding lepoll-def
apply (elim exE)
apply (rule-tac x = lam <w,y>:A*B. <f'w, fa'y> in exI)
apply (rule-tac d = λ<w,y>. <converse (f) 'w, converse (fa) 'y>
  in lam-injective)
apply (typecheck add: inj-is-fun, auto)
done

```

```

lemma cmult-le-mono:
   $\llbracket K' \leq K; L' \leq L \rrbracket \implies (K' \otimes L') \leq (K \otimes L)$ 
  unfolding cmult-def
apply (safe dest!: le-subset-iff [THEN iffD1])
apply (rule well-ord-lepoll-imp-cardinal-le)
apply (blast intro: well-ord-rmult well-ord-Memrel)
apply (blast intro: prod-lepoll-mono subset-imp-lepoll)
done

```

34.4 Multiplication of finite cardinals is "ordinary" multiplication

```

lemma prod-succ-epoll:  $\text{succ}(A) * B \approx B + A * B$ 
  unfolding epoll-def
apply (rule exI)
apply (rule-tac c = λ<x,y>. if x=A then Inl (y) else Inr (<x,y>)
  and d = case (λy. <A,y>, λz. z) in lam-bijective)
apply safe
apply (simp-all add: succI2 if-type mem-imp-not-eq)
done

```

```

lemma cmult-succ-lemma:
   $\llbracket \text{Ord}(m); \text{Ord}(n) \rrbracket \implies \text{succ}(m) \otimes n = n \oplus (m \otimes n)$ 
  unfolding cmult-def cadd-def
apply (rule prod-succ-epoll [THEN cardinal-cong, THEN trans])
apply (rule cardinal-cong [symmetric])
apply (rule sum-epoll-cong [OF epoll-reft well-ord-cardinal-epoll])
apply (blast intro: well-ord-rmult well-ord-Memrel)
done

```

```

lemma nat-cmult-eq-mult:  $\llbracket m \in \text{nat}; n \in \text{nat} \rrbracket \implies m \otimes n = m \# * n$ 
apply (induct-tac m)

```

apply (*simp-all add: cmult-succ-lemma nat-cadd-eq-add*)
done

lemma *cmult-2*: $\text{Card}(n) \implies 2 \otimes n = n \oplus n$
by (*simp add: cmult-succ-lemma Card-is-Ord cadd-commute [of - 0]*)

lemma *sum-lepoll-prod*:
assumes $C: 2 \lesssim C$ **shows** $B+B \lesssim C*B$
proof –
have $B+B \lesssim 2*B$
by (*simp add: sum-eq-2-times*)
also have $\dots \lesssim C*B$
by (*blast intro: prod-lepoll-mono lepoll-refl C*)
finally show $B+B \lesssim C*B$.
qed

lemma *lepoll-imp-sum-lepoll-prod*: $\llbracket A \lesssim B; 2 \lesssim A \rrbracket \implies A+B \lesssim A*B$
by (*blast intro: sum-lepoll-mono sum-lepoll-prod lepoll-trans lepoll-refl*)

34.5 Infinite Cardinals are Limit Ordinals

lemma *nat-cons-lepoll*: $\text{nat} \lesssim A \implies \text{cons}(u,A) \lesssim A$
unfolding *lepoll-def*
apply (*erule exE*)
apply (*rule-tac x =*
 $\lambda z \in \text{cons}(u,A).$
 $\text{if } z=u \text{ then } f'0$
 $\text{else if } z \in \text{range}(f) \text{ then } f'\text{succ}(\text{converse}(f) 'z) \text{ else } z$
in *exI*)
apply (*rule-tac d =*
 $\lambda y. \text{if } y \in \text{range}(f) \text{ then } \text{nat-case}(u, \lambda z. f'z, \text{converse}(f) 'y)$
 $\text{else } y$
in *lam-injective*)
apply (*fast intro!: if-type apply-type intro: inj-is-fun inj-converse-fun*)
apply (*simp add: inj-is-fun [THEN apply-rangeI]*
 $\text{inj-converse-fun [THEN apply-rangeI]}$
 $\text{inj-converse-fun [THEN apply-funtype]}$)
done

lemma *nat-cons-epoll*: $\text{nat} \lesssim A \implies \text{cons}(u,A) \approx A$
apply (*erule nat-cons-lepoll [THEN eqpollI]*)
apply (*rule subset-consI [THEN subset-imp-lepoll]*)
done

lemma *nat-succ-epoll*: $\text{nat} \subseteq A \implies \text{succ}(A) \approx A$
unfolding *succ-def*
apply (*erule subset-imp-lepoll [THEN nat-cons-epoll]*)
done

```

lemma InfCard-nat: InfCard(nat)
  unfolding InfCard-def
apply (blast intro: Card-nat le-refl Card-is-Ord)
done

```

```

lemma InfCard-is-Card: InfCard( $K$ )  $\implies$  Card( $K$ )
  unfolding InfCard-def
apply (erule conjunct1)
done

```

```

lemma InfCard-Un:
   $\llbracket \text{InfCard}(K); \text{Card}(L) \rrbracket \implies \text{InfCard}(K \cup L)$ 
  unfolding InfCard-def
apply (simp add: Card-Un Un-upper1-le [THEN [2] le-trans] Card-is-Ord)
done

```

```

lemma InfCard-is-Limit: InfCard( $K$ )  $\implies$  Limit( $K$ )
  unfolding InfCard-def
apply (erule conjE)
apply (frule Card-is-Ord)
apply (rule ltI [THEN non-succ-LimitI])
apply (erule le-imp-subset [THEN subsetD])
apply (safe dest!: Limit-nat [THEN Limit-le-succD])
  unfolding Card-def
apply (drule trans)
apply (erule le-imp-subset [THEN nat-succ-epoll, THEN cardinal-cong])
apply (erule Ord-cardinal-le [THEN lt-trans2, THEN lt-irrefl])
apply (rule le-eqI, assumption)
apply (rule Ord-cardinal)
done

```

```

lemma ordermap-epoll-pred:
   $\llbracket \text{well-ord}(A,r); x \in A \rrbracket \implies \text{ordermap}(A,r) 'x \approx \text{Order.pred}(A,x,r)$ 
  unfolding epoll-def
apply (rule exI)
apply (simp add: ordermap-eq-image well-ord-is-wf)
apply (erule ordermap-bij [THEN bij-is-inj, THEN restrict-bij,
  THEN bij-converse-bij])
apply (rule pred-subset)
done

```

34.5.1 Establishing the well-ordering

lemma *well-ord-csquare*:

assumes K : $\text{Ord}(K)$ **shows** $\text{well-ord}(K*K, \text{csquare-rel}(K))$

proof (*unfold csquare-rel-def, rule well-ord-rvimage*)

show $(\lambda \langle x, y \rangle \in K \times K. \langle x \cup y, x, y \rangle) \in \text{inj}(K \times K, K \times K \times K)$ **using** K

by (*force simp add: inj-def intro: lam-type Un-least-lt [THEN ltD] ltI*)

next

show $\text{well-ord}(K \times K \times K, \text{rmult}(K, \text{Memrel}(K), K \times K, \text{rmult}(K, \text{Memrel}(K), K, \text{Memrel}(K))))$

using K **by** (*blast intro: well-ord-rmult well-ord-Memrel*)

qed

34.5.2 Characterising initial segments of the well-ordering

lemma *csquareD*:

$\llbracket \langle x, y \rangle, \langle z, z \rangle \in \text{csquare-rel}(K); x < K; y < K; z < K \rrbracket \implies x \leq z \wedge y \leq z$

unfolding *csquare-rel-def*

apply (*erule rev-mp*)

apply (*elim ltE*)

apply (*simp add: rvimage-iff Un-absorb Un-least-mem-iff ltD*)

apply (*safe elim!: mem-irrefl intro!: Un-upper1-le Un-upper2-le*)

apply (*simp-all add: lt-def succI2*)

done

lemma *pred-csquare-subset*:

$z < K \implies \text{Order.pred}(K*K, \langle z, z \rangle, \text{csquare-rel}(K)) \subseteq \text{succ}(z)*\text{succ}(z)$

unfolding *Order.pred-def*

apply (*safe del: SigmaI dest!: csquareD*)

apply (*unfold lt-def, auto*)

done

lemma *csquare-ltI*:

$\llbracket x < z; y < z; z < K \rrbracket \implies \langle x, y \rangle, \langle z, z \rangle \in \text{csquare-rel}(K)$

unfolding *csquare-rel-def*

apply (*subgoal-tac x < K \wedge y < K*)

prefer 2 **apply** (*blast intro: lt-trans*)

apply (*elim ltE*)

apply (*simp add: rvimage-iff Un-absorb Un-least-mem-iff ltD*)

done

lemma *csquare-or-eqI*:

$\llbracket x \leq z; y \leq z; z < K \rrbracket \implies \langle x, y \rangle, \langle z, z \rangle \in \text{csquare-rel}(K) \mid x = z \wedge y = z$

unfolding *csquare-rel-def*

apply (*subgoal-tac x < K \wedge y < K*)

prefer 2 **apply** (*blast intro: lt-trans1*)

apply (*elim ltE*)

apply (*simp add: rvimage-iff Un-absorb Un-least-mem-iff ltD*)

apply (*elim succE*)

apply (*simp-all add: subset-Un-iff [THEN iff-sym]*
subset-Un-iff2 [THEN iff-sym] OrdmemD)
done

34.5.3 The cardinality of initial segments

lemma *ordermap-z-lt*:

$\llbracket \text{Limit}(K); x < K; y < K; z = \text{succ}(x \cup y) \rrbracket \implies$
 $\text{ordermap}(K * K, \text{csquare-rel}(K)) \text{ ' } \langle x, y \rangle <$
 $\text{ordermap}(K * K, \text{csquare-rel}(K)) \text{ ' } \langle z, z \rangle$

apply (*subgoal-tac z < K \wedge well-ord (K * K, csquare-rel (K))*)

prefer 2 **apply** (*blast intro!: Un-least-lt Limit-has-succ*

Limit-is-Ord [THEN well-ord-csquare], clarify)

apply (*rule csquare-ltI [THEN ordermap-mono, THEN ltI]*)

apply (*erule-tac [4] well-ord-is-wf*)

apply (*blast intro!: Un-upper1-le Un-upper2-le Ord-ordermap elim!: ltE*) +

done

Kunen: "each $\langle x, y \rangle \in K \times K$ has no more than $z \times z$ predecessors..." (page 29)

lemma *ordermap-csquare-le*:

assumes *K: Limit(K) and x: x < K and y: y < K*

defines $z \equiv \text{succ}(x \cup y)$

shows $|\text{ordermap}(K \times K, \text{csquare-rel}(K)) \text{ ' } \langle x, y \rangle| \leq |\text{succ}(z)| \otimes |\text{succ}(z)|$

proof (*unfold cmult-def, rule well-ord-lepoll-imp-cardinal-le*)

show $\text{well-ord}(|\text{succ}(z)| \times |\text{succ}(z)|,$

$\text{rmult}(|\text{succ}(z)|, \text{Memrel}(|\text{succ}(z)|), |\text{succ}(z)|, \text{Memrel}(|\text{succ}(z)|)))$

by (*blast intro: Ord-cardinal well-ord-Memrel well-ord-rmult*)

next

have $zK: z < K$ **using** *x y K z-def*

by (*blast intro: Un-least-lt Limit-has-succ*)

hence $oz: \text{Ord}(z)$ **by** (*elim ltE*)

have $\text{ordermap}(K \times K, \text{csquare-rel}(K)) \text{ ' } \langle x, y \rangle \lesssim \text{ordermap}(K \times K, \text{csquare-rel}(K))$
 $\text{ ' } \langle z, z \rangle$

using *z-def*

by (*blast intro: ordermap-z-lt leI le-imp-lepoll K x y*)

also have $\dots \approx \text{Order.pred}(K \times K, \langle z, z \rangle, \text{csquare-rel}(K))$

proof (*rule ordermap-epoll-pred*)

show $\text{well-ord}(K \times K, \text{csquare-rel}(K))$ **using** *K*

by (*rule Limit-is-Ord [THEN well-ord-csquare]*)

next

show $\langle z, z \rangle \in K \times K$ **using** *zK*

by (*blast intro: ltD*)

qed

also have $\dots \lesssim \text{succ}(z) \times \text{succ}(z)$ **using** *zK*

by (*rule pred-csquare-subset [THEN subset-imp-lepoll]*)

also have $\dots \approx |\text{succ}(z)| \times |\text{succ}(z)|$ **using** *oz*

by (*blast intro: prod-epoll-cong Ord-succ Ord-cardinal-epoll eqpoll-sym*)

finally show $\text{ordermap}(K \times K, \text{csquare-rel}(K)) \text{ ' } \langle x, y \rangle \lesssim |\text{succ}(z)| \times |\text{succ}(z)| .$

qed

Kunen: "... so the order type is $\leq K$ "

lemma *ordertype-csquare-le*:

assumes $IK: \text{InfCard}(K)$ **and** $eq: \bigwedge y. y \in K \implies \text{InfCard}(y) \implies y \otimes y = y$
shows $\text{ordertype}(K * K, \text{csquare-rel}(K)) \leq K$

proof –

have $CK: \text{Card}(K)$ **using** IK **by** (*rule InfCard-is-Card*)

hence $OK: \text{Ord}(K)$ **by** (*rule Card-is-Ord*)

moreover have $\text{Ord}(\text{ordertype}(K \times K, \text{csquare-rel}(K)))$ **using** OK
by (*rule well-ord-csquare [THEN Ord-ordertype]*)

ultimately show *?thesis*

proof (*rule all-lt-imp-le*)

fix i

assume $i: i < \text{ordertype}(K \times K, \text{csquare-rel}(K))$

hence $Oi: \text{Ord}(i)$ **by** (*elim ltE*)

obtain $x y$ **where** $x: x \in K$ **and** $y: y \in K$

and $ieq: i = \text{ordermap}(K \times K, \text{csquare-rel}(K)) \text{ ‘ } \langle x, y \rangle$

using i **by** (*auto simp add: ordertype-unfold elim: ltE*)

hence $xy: \text{Ord}(x) \text{ Ord}(y) x < K y < K$ **using** OK

by (*blast intro: Ord-in-Ord ltI*)+

hence $ou: \text{Ord}(x \cup y)$

by (*simp add: Ord-Un*)

show $i < K$

proof (*rule Card-lt-imp-lt [OF - Oi CK]*)

have $|i| \leq |\text{succ}(\text{succ}(x \cup y))| \otimes |\text{succ}(\text{succ}(x \cup y))|$ **using** $IK xy$

by (*auto simp add: ieq intro: InfCard-is-Limit [THEN ordermap-csquare-le]*)

moreover have $|\text{succ}(\text{succ}(x \cup y))| \otimes |\text{succ}(\text{succ}(x \cup y))| < K$

proof (*cases rule: Ord-linear2 [OF ou Ord-nat]*)

assume $x \cup y < \text{nat}$

hence $|\text{succ}(\text{succ}(x \cup y))| \otimes |\text{succ}(\text{succ}(x \cup y))| \in \text{nat}$

by (*simp add: lt-def nat-cmult-eq-mult nat-succI mult-type
nat-into-Card [THEN Card-cardinal-eq] Ord-nat*)

also have $\dots \subseteq K$ **using** IK

by (*simp add: InfCard-def le-imp-subset*)

finally show $|\text{succ}(\text{succ}(x \cup y))| \otimes |\text{succ}(\text{succ}(x \cup y))| < K$

by (*simp add: ltI OK*)

next

assume $\text{nat}xy: \text{nat} \leq x \cup y$

hence $\text{seq}: |\text{succ}(\text{succ}(x \cup y))| = |x \cup y|$ **using** xy

by (*simp add: le-imp-subset nat-succ-eqpoll [THEN cardinal-cong]*)

le-succ-iff)

also have $\dots < K$ **using** xy

by (*simp add: Un-least-lt Ord-cardinal-le [THEN lt-trans1]*)

finally have $|\text{succ}(\text{succ}(x \cup y))| < K$.

moreover have $\text{InfCard}(|\text{succ}(\text{succ}(x \cup y))|)$ **using** $xy \text{ nat}xy$

by (*simp add: seq InfCard-def Card-cardinal nat-le-cardinal*)

ultimately show *?thesis* **by** (*simp add: eq ltD*)

qed

```

      ultimately show  $|i| < K$  by (blast intro: lt-trans1)
    qed
  qed
qed

lemma InfCard-csquare-eq:
  assumes IK: InfCard(K) shows  $K \otimes K = K$ 
proof -
  have OK: Ord(K) using IK by (simp add: Card-is-Ord InfCard-is-Card)
  show  $K \otimes K = K$  using OK IK
  proof (induct rule: trans-induct)
    case (step i)
    show  $i \otimes i = i$ 
    proof (rule le-anti-sym)
      have  $|i \times i| = |\text{ordertype}(i \times i, \text{csquare-rel}(i))|$ 
        by (rule cardinal-cong,
            simp add: step.hyps well-ord-csquare [THEN ordermap-bij, THEN bij-imp-epoll])
      hence  $i \otimes i \leq \text{ordertype}(i \times i, \text{csquare-rel}(i))$ 
        by (simp add: step.hyps cmult-def Ord-cardinal-le well-ord-csquare [THEN
            Ord-ordertype])
      moreover
      have  $\text{ordertype}(i \times i, \text{csquare-rel}(i)) \leq i$  using step
        by (simp add: ordertype-csquare-le)
      ultimately show  $i \otimes i \leq i$  by (rule le-trans)
    next
      show  $i \leq i \otimes i$  using step
        by (blast intro: cmult-square-le InfCard-is-Card)
    qed
  qed
qed

```

```

lemma well-ord-InfCard-square-eq:
  assumes r: well-ord(A,r) and I: InfCard(|A|) shows  $A \times A \approx A$ 
proof -
  have  $A \times A \approx |A| \times |A|$ 
    by (blast intro: prod-epoll-cong well-ord-cardinal-epoll epoll-sym r)
  also have  $\dots \approx A$ 
    proof (rule well-ord-cardinal-eqE [OF - r])
      show well-ord(|A|  $\times$  |A|, rmult(|A|, Memrel(|A|)), |A|, Memrel(|A|))
        by (blast intro: Ord-cardinal well-ord-rmult well-ord-Memrel r)
    next
      show  $||A| \times |A|| = |A|$  using InfCard-csquare-eq I
        by (simp add: cmult-def)
    qed
  finally show ?thesis .
qed

```

lemma *InfCard-square-eqpoll*: $\text{InfCard}(K) \implies K \times K \approx K$
apply (*rule well-ord-InfCard-square-eq*)
apply (*erule InfCard-is-Card [THEN Card-is-Ord, THEN well-ord-Memrel]*)
apply (*simp add: InfCard-is-Card [THEN Card-cardinal-eq]*)
done

lemma *Inf-Card-is-InfCard*: $\llbracket \text{Card}(i); \neg \text{Finite}(i) \rrbracket \implies \text{InfCard}(i)$
by (*simp add: InfCard-def Card-is-Ord [THEN nat-le-infinite-Ord]*)

34.5.4 Toward's Kunen's Corollary 10.13 (1)

lemma *InfCard-le-cmult-eq*: $\llbracket \text{InfCard}(K); L \leq K; 0 < L \rrbracket \implies K \otimes L = K$
apply (*rule le-anti-sym*)
prefer 2
apply (*erule ltE, blast intro: cmult-le-self InfCard-is-Card*)
apply (*frule InfCard-is-Card [THEN Card-is-Ord, THEN le-refl]*)
apply (*rule cmult-le-mono [THEN le-trans], assumption+*)
apply (*simp add: InfCard-csquare-eq*)
done

lemma *InfCard-cmult-eq*: $\llbracket \text{InfCard}(K); \text{InfCard}(L) \rrbracket \implies K \otimes L = K \cup L$
apply (*rule-tac i = K and j = L in Ord-linear-le*)
apply (*typecheck add: InfCard-is-Card Card-is-Ord*)
apply (*rule cmult-commute [THEN ssubst]*)
apply (*rule Un-commute [THEN ssubst]*)
apply (*simp-all add: InfCard-is-Limit [THEN Limit-has-0] InfCard-le-cmult-eq subset-Un-iff2 [THEN iffD1] le-imp-subset*)
done

lemma *InfCard-cdouble-eq*: $\text{InfCard}(K) \implies K \oplus K = K$
apply (*simp add: cmult-2 [symmetric] InfCard-is-Card cmult-commute*)
apply (*simp add: InfCard-le-cmult-eq InfCard-is-Limit Limit-has-0 Limit-has-succ*)
done

lemma *InfCard-le-cadd-eq*: $\llbracket \text{InfCard}(K); L \leq K \rrbracket \implies K \oplus L = K$
apply (*rule le-anti-sym*)
prefer 2
apply (*erule ltE, blast intro: cadd-le-self InfCard-is-Card*)
apply (*frule InfCard-is-Card [THEN Card-is-Ord, THEN le-refl]*)
apply (*rule cadd-le-mono [THEN le-trans], assumption+*)
apply (*simp add: InfCard-cdouble-eq*)
done

lemma *InfCard-cadd-eq*: $\llbracket \text{InfCard}(K); \text{InfCard}(L) \rrbracket \implies K \oplus L = K \cup L$
apply (*rule-tac i = K and j = L in Ord-linear-le*)
apply (*typecheck add: InfCard-is-Card Card-is-Ord*)
apply (*rule cadd-commute [THEN ssubst]*)

apply (rule *Un-commute* [THEN *ssubst*])
apply (*simp-all add: InfCard-le-cadd-eq subset-Un-iff2* [THEN *iffD1*] *le-imp-subset*)
done

34.6 For Every Cardinal Number There Exists A Greater One

This result is Kunen's Theorem 10.16, which would be trivial using AC

lemma *Ord-jump-cardinal: Ord(jump-cardinal(K))*
unfolding *jump-cardinal-def*
apply (rule *Ord-is-Transset* [THEN [2] *OrdI*])
prefer 2 **apply** (*blast intro!: Ord-ordertype*)
unfolding *Transset-def*
apply (*safe del: subsetI*)
apply (*simp add: ordertype-pred-unfold, safe*)
apply (rule *UN-I*)
apply (rule-tac [2] *ReplaceI*)
prefer 4 **apply** (*blast intro: well-ord-subset elim!: predE*) +
done

lemma *jump-cardinal-iff:*
 $i \in \text{jump-cardinal}(K) \longleftrightarrow$
 $(\exists r X. r \subseteq K * K \wedge X \subseteq K \wedge \text{well-ord}(X, r) \wedge i = \text{ordertype}(X, r))$
unfolding *jump-cardinal-def*
apply (*blast del: subsetI*)
done

lemma *K-lt-jump-cardinal: Ord(K) \implies K < jump-cardinal(K)*
apply (rule *Ord-jump-cardinal* [THEN [2] *ltI*])
apply (rule *jump-cardinal-iff* [THEN *iffD2*])
apply (rule-tac *x=Memrel(K)* **in** *exI*)
apply (rule-tac *x=K* **in** *exI*)
apply (*simp add: ordertype-Memrel well-ord-Memrel*)
apply (*simp add: Memrel-def subset-iff*)
done

lemma *Card-jump-cardinal-lemma:*
 $\llbracket \text{well-ord}(X, r); r \subseteq K * K; X \subseteq K;$
 $f \in \text{bij}(\text{ordertype}(X, r), \text{jump-cardinal}(K)) \rrbracket$
 $\implies \text{jump-cardinal}(K) \in \text{jump-cardinal}(K)$
apply (*subgoal-tac f O ordermap (X, r) \in bij (X, jump-cardinal (K))*)
prefer 2 **apply** (*blast intro: comp-bij ordermap-bij*)
apply (rule *jump-cardinal-iff* [THEN *iffD2*])
apply (*intro exI conjI*)
apply (rule *subset-trans* [OF *rvimage-type Sigma-mono*], *assumption+*)
apply (erule *bij-is-inj* [THEN *well-ord-rvimage*])

```

apply (rule Ord-jump-cardinal [THEN well-ord-Memrel])
apply (simp add: well-ord-Memrel [THEN [2] bij-ordertype-vimage]
        ordertype-Memrel Ord-jump-cardinal)
done

```

```

lemma Card-jump-cardinal:  $\text{Card}(\text{jump-cardinal}(K))$ 
apply (rule Ord-jump-cardinal [THEN CardI])
  unfolding eqpoll-def
apply (safe dest!: ltD jump-cardinal-iff [THEN iffD1])
apply (blast intro: Card-jump-cardinal-lemma [THEN mem-irrefl])
done

```

34.7 Basic Properties of Successor Cardinals

```

lemma csucc-basic:  $\text{Ord}(K) \implies \text{Card}(\text{csucc}(K)) \wedge K < \text{csucc}(K)$ 
  unfolding csucc-def
apply (rule LeastI)
apply (blast intro: Card-jump-cardinal K-lt-jump-cardinal Ord-jump-cardinal)
done

```

```

lemmas Card-csucc = csucc-basic [THEN conjunct1]

```

```

lemmas lt-csucc = csucc-basic [THEN conjunct2]

```

```

lemma Ord-0-lt-csucc:  $\text{Ord}(K) \implies 0 < \text{csucc}(K)$ 
by (blast intro: Ord-0-le lt-csucc lt-trans1)

```

```

lemma csucc-le:  $\llbracket \text{Card}(L); K < L \rrbracket \implies \text{csucc}(K) \leq L$ 
  unfolding csucc-def
apply (rule Least-le)
apply (blast intro: Card-is-Ord)
done

```

```

lemma lt-csucc-iff:  $\llbracket \text{Ord}(i); \text{Card}(K) \rrbracket \implies i < \text{csucc}(K) \longleftrightarrow |i| \leq K$ 
apply (rule iffI)
apply (rule-tac [2] Card-lt-imp-lt)
apply (erule-tac [2] lt-trans1)
apply (simp-all add: lt-csucc Card-csucc Card-is-Ord)
apply (rule notI [THEN not-lt-imp-le])
apply (rule Card-cardinal [THEN csucc-le, THEN lt-trans1, THEN lt-irrefl], assumption)
apply (rule Ord-cardinal-le [THEN lt-trans1])
apply (simp-all add: Ord-cardinal Card-is-Ord)
done

```

```

lemma Card-lt-csucc-iff:
   $\llbracket \text{Card}(K'); \text{Card}(K) \rrbracket \implies K' < \text{csucc}(K) \longleftrightarrow K' \leq K$ 
by (simp add: lt-csucc-iff Card-cardinal-eq Card-is-Ord)

```

lemma *InfCard-csucc*: $\text{InfCard}(K) \implies \text{InfCard}(\text{csucc}(K))$
by (*simp add: InfCard-def Card-csucc Card-is-Ord*
lt-csucc [THEN leI, THEN [2] le-trans])

34.7.1 Removing elements from a finite set decreases its cardinality

lemma *Finite-imp-cardinal-cons* [*simp*]:
assumes *FA*: *Finite*(*A*) **and** *a*: $a \notin A$ **shows** $|\text{cons}(a, A)| = \text{succ}(|A|)$
proof –
{ **fix** *X*
have $\text{Finite}(X) \implies a \notin X \implies \text{cons}(a, X) \lesssim X \implies \text{False}$
proof (*induct X rule: Finite-induct*)
case 0 **thus** *False* **by** (*simp add: lepoll-0-iff*)
next
case (*cons x Y*)
hence $\text{cons}(x, \text{cons}(a, Y)) \lesssim \text{cons}(x, Y)$ **by** (*simp add: cons-commute*)
hence $\text{cons}(a, Y) \lesssim Y$ **using** *cons* **by** (*blast dest: cons-lepoll-consD*)
thus *False* **using** *cons* **by** *auto*
qed
}
hence [*simp*]: $\neg \text{cons}(a, A) \lesssim A$ **using** *a FA* **by** *auto*
have [*simp*]: $|A| \approx A$ **using** *Finite-imp-well-ord [OF FA]*
by (*blast intro: well-ord-cardinal-epoll*)
have (μ *i*. $i \approx \text{cons}(a, A) = \text{succ}(|A|)$)
proof (*rule Least-equality [OF - - notI]*)
show $\text{succ}(|A|) \approx \text{cons}(a, A)$
by (*simp add: succ-def cons-epoll-cong mem-not-refl a*)
next
show $\text{Ord}(\text{succ}(|A|))$ **by** *simp*
next
fix *i*
assume *i*: $i \leq |A|$ $i \approx \text{cons}(a, A)$
have $\text{cons}(a, A) \approx i$ **by** (*rule eqpoll-sym*) (*rule i*)
also **have** $\dots \lesssim |A|$ **by** (*rule le-imp-lepoll*) (*rule i*)
also **have** $\dots \approx A$ **by** *simp*
finally **have** $\text{cons}(a, A) \lesssim A$.
thus *False* **by** *simp*
qed
thus *?thesis* **by** (*simp add: cardinal-def*)
qed

lemma *Finite-imp-succ-cardinal-Diff*:
 $\llbracket \text{Finite}(A); a \in A \rrbracket \implies \text{succ}(|A - \{a\}|) = |A|$
apply (*rule-tac b = A in cons-Diff [THEN subst], assumption*)
apply (*simp add: Finite-imp-cardinal-cons Diff-subset [THEN subset-Finite]*)
apply (*simp add: cons-Diff*)
done

lemma *Finite-imp-cardinal-Diff*: $\llbracket \text{Finite}(A); a \in A \rrbracket \implies |A - \{a\}| < |A|$
apply (*rule succ-leE*)
apply (*simp add: Finite-imp-succ-cardinal-Diff*)
done

lemma *Finite-cardinal-in-nat* [*simp*]: $\text{Finite}(A) \implies |A| \in \text{nat}$
proof (*induct rule: Finite-induct*)
 case 0 thus ?case by (*simp add: cardinal-0*)
next
 case (*cons x A*) **thus ?case by** (*simp add: Finite-imp-cardinal-cons*)
qed

lemma *card-Un-Int*:
 $\llbracket \text{Finite}(A); \text{Finite}(B) \rrbracket \implies |A| \# + |B| = |A \cup B| \# + |A \cap B|$
apply (*erule Finite-induct, simp*)
apply (*simp add: Finite-Int cons-absorb Un-cons Int-cons-left*)
done

lemma *card-Un-disjoint*:
 $\llbracket \text{Finite}(A); \text{Finite}(B); A \cap B = 0 \rrbracket \implies |A \cup B| = |A| \# + |B|$
by (*simp add: Finite-Un card-Un-Int*)

lemma *card-partition*:
assumes *FC*: $\text{Finite}(C)$
shows
 $\text{Finite}(\bigcup C) \implies$
 $(\forall c \in C. |c| = k) \implies$
 $(\forall c1 \in C. \forall c2 \in C. c1 \neq c2 \longrightarrow c1 \cap c2 = 0) \implies$
 $k \# * |C| = |\bigcup C|$

using *FC*
proof (*induct rule: Finite-induct*)
 case 0 thus ?case by *simp*
next
 case (*cons x B*)
 hence $x \cap \bigcup B = 0$ **by** *auto*
 thus ?case using *cons*
 by (*auto simp add: card-Un-disjoint*)
qed

34.7.2 Theorems by Krzysztof Grabczewski, proofs by lcp

lemmas *nat-implies-well-ord = nat-into-Ord* [*THEN well-ord-Memrel*]

lemma *nat-sum-eqpoll-sum*:
assumes *m*: $m \in \text{nat}$ **and** *n*: $n \in \text{nat}$ **shows** $m + n \approx m \# + n$
proof –
 have $m + n \approx |m+n|$ **using** *m n*
 by (*blast intro: nat-implies-well-ord well-ord-radd well-ord-cardinal-eqpoll eqpoll-sym*)

also have ... = m #+ n using m n
 by (simp add: nat-cadd-eq-add [symmetric] cadd-def)
 finally show ?thesis .
 qed

lemma *Ord-subset-natD* [rule-format]: $Ord(i) \implies i \subseteq nat \implies i \in nat \mid i = nat$
proof (induct i rule: trans-induct3)
 case 0 thus ?case by auto
 next
 case (succ i) thus ?case by auto
 next
 case (limit l) thus ?case
 by (blast dest: nat-le-Limit le-imp-subset)
 qed

lemma *Ord-nat-subset-into-Card*: $\llbracket Ord(i); i \subseteq nat \rrbracket \implies Card(i)$
 by (blast dest: Ord-subset-natD intro: Card-nat nat-into-Card)
 end

35 Main ZF Theory: Everything Except AC

theory *ZF* imports *List IntDiv CardinalArith* begin

35.1 Iteration of the function F

consts *iterates* :: $[i \Rightarrow i, i, i] \Rightarrow i \quad (\langle (-)^\wedge (-) \rangle) [60, 1000, 1000] 60$

primrec

$$F^\wedge 0 (x) = x$$

$$F^\wedge (succ(n)) (x) = F(F^\wedge n (x))$$

definition

iterates-omega :: $[i \Rightarrow i, i] \Rightarrow i \quad (\langle (-)^\omega \rangle) [60, 1000] 60$ **where**
 $F^\omega (x) \equiv \bigcup_{n \in nat}. F^\wedge n (x)$

lemma *iterates-triv*:

$$\llbracket n \in nat; F(x) = x \rrbracket \implies F^\wedge n (x) = x$$

by (induct n rule: nat-induct, simp-all)

lemma *iterates-type* [TC]:

$$\llbracket n \in nat; a \in A; \bigwedge x. x \in A \implies F(x) \in A \rrbracket$$

$$\implies F^\wedge n (a) \in A$$

by (induct n rule: nat-induct, simp-all)

lemma *iterates-omega-triv*:

$$F(x) = x \implies F^\omega (x) = x$$

by (simp add: iterates-omega-def iterates-triv)

lemma *Ord-iterates* [simp]:

$$\llbracket n \in \text{nat}; \bigwedge i. \text{Ord}(i) \implies \text{Ord}(F(i)); \text{Ord}(x) \rrbracket$$

$$\implies \text{Ord}(F^{\wedge n}(x))$$
by (*induct n rule: nat-induct, simp-all*)

lemma *iterates-commute*: $n \in \text{nat} \implies F(F^{\wedge n}(x)) = F^{\wedge n}(F(x))$
by (*induct-tac n, simp-all*)

35.2 Transfinite Recursion

Transfinite recursion for definitions based on the three cases of ordinals

definition

transrec3 :: $[i, i, [i, i] \Rightarrow i, [i, i] \Rightarrow i] \Rightarrow i$ **where**
 $\text{transrec3}(k, a, b, c) \equiv$
 $\text{transrec}(k, \lambda x r.$
 if $x=0$ *then* a
 else if $\text{Limit}(x)$ *then* $c(x, \lambda y \in x. r'y)$
 else $b(\text{Arith.pred}(x), r' \text{Arith.pred}(x))$)

lemma *transrec3-0* [simp]: $\text{transrec3}(0, a, b, c) = a$
by (*rule transrec3-def [THEN def-transrec, THEN trans], simp*)

lemma *transrec3-succ* [simp]:
 $\text{transrec3}(\text{succ}(i), a, b, c) = b(i, \text{transrec3}(i, a, b, c))$
by (*rule transrec3-def [THEN def-transrec, THEN trans], simp*)

lemma *transrec3-Limit*:
 $\text{Limit}(i) \implies$
 $\text{transrec3}(i, a, b, c) = c(i, \lambda j \in i. \text{transrec3}(j, a, b, c))$
by (*rule transrec3-def [THEN def-transrec, THEN trans], force*)

declaration $\langle \text{fn } - \Rightarrow$
 $\text{Simplifier.map-ss } (\text{Simplifier.set-mksimps } (\text{fn } \text{txt} \Rightarrow$
 $\text{map mk-eq o Ord-atomize o Variable.gen-all } \text{txt}))$
 \rangle

end

36 The Axiom of Choice

theory *AC* **imports** *ZF* **begin**

This definition comes from Halmos (1960), page 59.

axiomatization **where**

AC: $\llbracket a \in A; \bigwedge x. x \in A \implies (\exists y. y \in B(x)) \rrbracket \implies \exists z. z \in \text{Pi}(A, B)$

lemma *AC-Pi*: $\llbracket \bigwedge x. x \in A \implies (\exists y. y \in B(x)) \rrbracket \implies \exists z. z \in Pi(A,B)$
apply (*case-tac A=0*)
apply (*simp add: Pi-empty1*)

apply (*blast intro: AC*)
done

lemma *AC-ball-Pi*: $\forall x \in A. \exists y. y \in B(x) \implies \exists y. y \in Pi(A,B)$
apply (*rule AC-Pi*)
apply (*erule bspec, assumption*)
done

lemma *AC-Pi-Pow*: $\exists f. f \in (\prod X \in Pow(C) - \{0\}. X)$
apply (*rule-tac B1 = $\lambda x. x$ in AC-Pi [THEN exE]*)
apply (*erule-tac [2] exI, blast*)
done

lemma *AC-func*:
 $\llbracket \bigwedge x. x \in A \implies (\exists y. y \in x) \rrbracket \implies \exists f \in A \rightarrow \bigcup(A). \forall x \in A. f'x \in x$
apply (*rule-tac B1 = $\lambda x. x$ in AC-Pi [THEN exE]*)
prefer 2 apply (*blast dest: apply-type intro: Pi-type, blast*)
done

lemma *non-empty-family*: $\llbracket 0 \notin A; x \in A \rrbracket \implies \exists y. y \in x$
by (*subgoal-tac $x \neq 0$, blast+*)

lemma *AC-func0*: $0 \notin A \implies \exists f \in A \rightarrow \bigcup(A). \forall x \in A. f'x \in x$
apply (*rule AC-func*)
apply (*simp-all add: non-empty-family*)
done

lemma *AC-func-Pow*: $\exists f \in (Pow(C) - \{0\}) \rightarrow C. \forall x \in Pow(C) - \{0\}. f'x \in x$
apply (*rule AC-func0 [THEN bexE]*)
apply (*rule-tac [2] bexI*)
prefer 2 apply *assumption*
apply (*erule-tac [2] fun-weaken-type, blast+*)
done

lemma *AC-Pi0*: $0 \notin A \implies \exists f. f \in (\prod x \in A. x)$
apply (*rule AC-Pi*)
apply (*simp-all add: non-empty-family*)
done

end

37 Zorn's Lemma

theory *Zorn imports OrderArith AC Inductive begin*

Based upon the unpublished article “Towards the Mechanization of the Proofs of Some Classical Theorems of Set Theory,” by Abrial and Laffitte.

definition

$Subset-rel :: i \Rightarrow i$ **where**
 $Subset-rel(A) \equiv \{z \in A * A . \exists x y. z = \langle x, y \rangle \wedge x \leq y \wedge x \neq y\}$

definition

$chain :: i \Rightarrow i$ **where**
 $chain(A) \equiv \{F \in Pow(A). \forall X \in F. \forall Y \in F. X \leq Y \mid Y \leq X\}$

definition

$super :: [i, i] \Rightarrow i$ **where**
 $super(A, c) \equiv \{d \in chain(A). c \leq d \wedge c \neq d\}$

definition

$maxchain :: i \Rightarrow i$ **where**
 $maxchain(A) \equiv \{c \in chain(A). super(A, c) = 0\}$

definition

$increasing :: i \Rightarrow i$ **where**
 $increasing(A) \equiv \{f \in Pow(A) \rightarrow Pow(A). \forall x. x \leq A \rightarrow x \leq f'x\}$

Lemma for the inductive definition below

lemma *Union-in-Pow*: $Y \in Pow(Pow(A)) \implies \bigcup(Y) \in Pow(A)$
by *blast*

We could make the inductive definition conditional on $next \in increasing(S)$ but instead we make this a side-condition of an introduction rule. Thus the induction rule lets us assume that condition! Many inductive proofs are therefore unconditional.

consts

$TFin :: [i, i] \Rightarrow i$

inductive

domains $TFin(S, next) \subseteq Pow(S)$

intros

$nextI$: $\llbracket x \in TFin(S, next); next \in increasing(S) \rrbracket$
 $\implies next'x \in TFin(S, next)$

$Pow-UnionI$: $Y \in Pow(TFin(S, next)) \implies \bigcup(Y) \in TFin(S, next)$

monos

$Pow-mono$

con-defs

$increasing-def$

type-intros

$CollectD1$ [THEN apply-funtype] *Union-in-Pow*

37.1 Mathematical Preamble

lemma *Union-lemma0*: $(\forall x \in C. x \leq A \mid B \leq x) \implies \bigcup(C) \leq A \mid B \leq \bigcup(C)$

by *blast*

lemma *Inter-lemma0*:

$\llbracket c \in C; \forall x \in C. A \leq x \mid x \leq B \rrbracket \implies A \subseteq \bigcap(C) \mid \bigcap(C) \subseteq B$

by *blast*

37.2 The Transfinite Construction

lemma *increasingD1*: $f \in \text{increasing}(A) \implies f \in \text{Pow}(A) \rightarrow \text{Pow}(A)$

unfolding *increasing-def*

apply (*erule CollectD1*)

done

lemma *increasingD2*: $\llbracket f \in \text{increasing}(A); x \leq A \rrbracket \implies x \subseteq f'x$

by (*unfold increasing-def, blast*)

lemmas *TFin-UnionI = PowI [THEN TFin.Pow-UnionI]*

lemmas *TFin-is-subset = TFin.dom-subset [THEN subsetD, THEN PowD]*

Structural induction on *TFin(S, next)*

lemma *TFin-induct*:

$\llbracket n \in \text{TFin}(S, \text{next});$

$\bigwedge x. \llbracket x \in \text{TFin}(S, \text{next}); P(x); \text{next} \in \text{increasing}(S) \rrbracket \implies P(\text{next}'x);$

$\bigwedge Y. \llbracket Y \subseteq \text{TFin}(S, \text{next}); \forall y \in Y. P(y) \rrbracket \implies P(\bigcup(Y))$

$\rrbracket \implies P(n)$

by (*erule TFin.induct, blast+*)

37.3 Some Properties of the Transfinite Construction

lemmas *increasing-trans = subset-trans [OF - increasingD2,*
OF - - TFin-is-subset]

Lemma 1 of section 3.1

lemma *TFin-linear-lemma1*:

$\llbracket n \in \text{TFin}(S, \text{next}); m \in \text{TFin}(S, \text{next});$

$\forall x \in \text{TFin}(S, \text{next}). x \leq m \longrightarrow x = m \mid \text{next}'x \leq m \rrbracket$

$\implies n \leq m \mid \text{next}'m \leq n$

apply (*erule TFin-induct*)

apply (*erule-tac [2] Union-lemma0*)

apply (*blast dest: increasing-trans*)

done

Lemma 2 of section 3.2. Interesting in its own right! Requires *next* \in *increasing(S)* in the second induction step.

lemma *TFin-linear-lemma2*:

$\llbracket m \in \text{TFin}(S, \text{next}); \text{next} \in \text{increasing}(S) \rrbracket$

$\implies \forall n \in \text{TFin}(S, \text{next}). n \leq m \longrightarrow n = m \mid \text{next}'n \subseteq m$

apply (*erule TFin-induct*)
apply (*rule impI [THEN ballI]*)
 case split using *TFin-linear-lemma1*
apply (*rule-tac n1 = n and m1 = x in TFin-linear-lemma1 [THEN disjE]*,
assumption+)
apply (*blast del: subsetI*
intro: increasing-trans subsetI, blast)

second induction step

apply (*rule impI [THEN ballI]*)
apply (*rule Union-lemma0 [THEN disjE]*)
apply (*erule-tac [3] disjI2*)
prefer 2 **apply** *blast*
apply (*rule ballI*)
apply (*erule bspec, assumption*)
apply (*erule subsetD, assumption*)
apply (*rule-tac n1 = n and m1 = x in TFin-linear-lemma1 [THEN disjE]*,
assumption+, blast)
apply (*erule increasingD2 [THEN subset-trans, THEN disjI1]*)
apply (*blast dest: TFin-is-subset+*)
done

a more convenient form for Lemma 2

lemma *TFin-subsetD*:

$$\llbracket n \leq m; m \in TFin(S, next); n \in TFin(S, next); next \in increasing(S) \rrbracket$$

$$\implies n = m \mid next'n \subseteq m$$
by (*blast dest: TFin-linear-lemma2 [rule-format]*)

Consequences from section 3.3 – Property 3.2, the ordering is total

lemma *TFin-subset-linear*:

$$\llbracket m \in TFin(S, next); n \in TFin(S, next); next \in increasing(S) \rrbracket$$

$$\implies n \subseteq m \mid m <= n$$
apply (*rule disjE*)
apply (*rule TFin-linear-lemma1 [OF - -TFin-linear-lemma2]*)
apply (*assumption+, erule disjI2*)
apply (*blast del: subsetI*
intro: subsetI increasingD2 [THEN subset-trans] TFin-is-subset)
done

Lemma 3 of section 3.3

lemma *equal-next-upper*:

$$\llbracket n \in TFin(S, next); m \in TFin(S, next); m = next'm \rrbracket \implies n \subseteq m$$
apply (*erule TFin-induct*)
apply (*erule TFin-subsetD*)
apply (*assumption+, force, blast*)
done

Property 3.3 of section 3.3

lemma *equal-next-Union*:

$$\llbracket m \in TFin(S, next); next \in increasing(S) \rrbracket$$

$$\implies m = next' m \leftrightarrow m = \bigcup (TFin(S, next))$$
apply (*rule iffI*)
apply (*rule Union-upper [THEN equalityI]*)
apply (*rule-tac [2] equal-next-upper [THEN Union-least]*)
apply (*assumption+*)
apply (*erule ssubst*)
apply (*rule increasingD2 [THEN equalityI], assumption*)
apply (*blast del: subsetI*
intro: subsetI TFin-UnionI TFin.nextI TFin-is-subset)
done

37.4 Hausdorff's Theorem: Every Set Contains a Maximal Chain

NOTE: We assume the partial ordering is \subseteq , the subset relation!

* Defining the "next" operation for Hausdorff's Theorem *

lemma *chain-subset-Pow*: $chain(A) \subseteq Pow(A)$
unfolding *chain-def*
apply (*rule Collect-subset*)
done

lemma *super-subset-chain*: $super(A, c) \subseteq chain(A)$
unfolding *super-def*
apply (*rule Collect-subset*)
done

lemma *maxchain-subset-chain*: $maxchain(A) \subseteq chain(A)$
unfolding *maxchain-def*
apply (*rule Collect-subset*)
done

lemma *choice-super*:

$$\llbracket ch \in (\prod X \in Pow(chain(S)) - \{0\}. X); X \in chain(S); X \notin maxchain(S) \rrbracket$$

$$\implies ch ' super(S, X) \in super(S, X)$$
apply (*erule apply-type*)
apply (*unfold super-def maxchain-def, blast*)
done

lemma *choice-not-equals*:

$$\llbracket ch \in (\prod X \in Pow(chain(S)) - \{0\}. X); X \in chain(S); X \notin maxchain(S) \rrbracket$$

$$\implies ch ' super(S, X) \neq X$$
apply (*rule notI*)
apply (*erule choice-super, assumption, assumption*)
apply (*simp add: super-def*)
done

This justifies Definition 4.4

lemma *Hausdorff-next-exists*:

$$ch \in (\prod X \in Pow(chain(S)) - \{0\}. X) \implies$$

$$\exists next \in increasing(S). \forall X \in Pow(S).$$

$$next'X = if(X \in chain(S) - maxchain(S), ch'super(S,X), X)$$

apply (*rule-tac* $x = \lambda X \in Pow(S).$

if $X \in chain(S) - maxchain(S)$ *then* $ch' super(S, X)$ *else* X

in *beXI*)

apply *force*

unfolding *increasing-def*

apply (*rule* *CollectI*)

apply (*rule* *lam-type*)

apply (*simp* (*no-asm-simp*))

apply (*blast* *dest: super-subset-chain* [*THEN* *subsetD*]

chain-subset-Pow [*THEN* *subsetD*] *choice-super*)

Now, verify that it increases

apply (*simp* (*no-asm-simp*) *add: Pow-iff subset-refl*)

apply *safe*

apply (*drule* *choice-super*)

apply (*assumption+*)

apply (*simp* *add: super-def, blast*)

done

Lemma 4

lemma *TFin-chain-lemma4*:

$$\llbracket c \in TFin(S, next);$$

$$ch \in (\prod X \in Pow(chain(S)) - \{0\}. X);$$

$$next \in increasing(S);$$

$$\forall X \in Pow(S). next'X =$$

$$if(X \in chain(S) - maxchain(S), ch'super(S,X), X) \rrbracket$$

$$\implies c \in chain(S)$$

apply (*erule* *TFin-induct*)

apply (*simp* (*no-asm-simp*) *add: chain-subset-Pow* [*THEN* *subsetD, THEN PowD*]

choice-super [*THEN* *super-subset-chain* [*THEN* *subsetD*]])

unfolding *chain-def*

apply (*rule* *CollectI, blast, safe*)

apply (*rule-tac* $m1=B$ **and** $n1=Ba$ **in** *TFin-subset-linear* [*THEN* *disjE*], *fast+*)

Blast-tac's *slow*

done

theorem *Hausdorff*: $\exists c. c \in maxchain(S)$

apply (*rule* *AC-Pi-Pow* [*THEN* *exE*])

apply (*rule* *Hausdorff-next-exists* [*THEN* *bexE*], *assumption*)

apply (*rename-tac* *ch next*)

apply (*subgoal-tac* $\bigcup (TFin(S, next)) \in chain(S)$)

prefer 2

apply (*blast* *intro!*: *TFin-chain-lemma4* *subset-refl* [*THEN* *TFin-UnionI*])

```

apply (rule-tac  $x = \bigcup (TFin (S,next))$  in  $exI$ )
apply (rule classical)
apply (subgoal-tac next ' Union( $TFin (S,next)$ ) =  $\bigcup (TFin (S,next))$ )
apply (rule-tac [2] equal-next-Union [THEN iffD2, symmetric])
apply (rule-tac [2] subset-refl [THEN TFin-UnionI])
prefer 2 apply assumption
apply (rule-tac [2] refl)
apply (simp add: subset-refl [THEN TFin-UnionI,
      THEN TFin.dom-subset [THEN subsetD, THEN PowD]])
apply (erule choice-not-equals [THEN notE])
apply (assumption+)
done

```

37.5 Zorn's Lemma: If All Chains in S Have Upper Bounds In S, then S contains a Maximal Element

Used in the proof of Zorn's Lemma

lemma chain-extend:

```

   $\llbracket c \in chain(A); z \in A; \forall x \in c. x \leq z \rrbracket \implies cons(z,c) \in chain(A)$ 
by (unfold chain-def, blast)

```

lemma Zorn: $\forall c \in chain(S). \bigcup (c) \in S \implies \exists y \in S. \forall z \in S. y \leq z \longrightarrow y=z$

```

apply (rule Hausdorff [THEN exE])
apply (simp add: maxchain-def)
apply (rename-tac c)
apply (rule-tac  $x = \bigcup (c)$  in  $beXI$ )
prefer 2 apply blast
apply safe
apply (rename-tac z)
apply (rule classical)
apply (subgoal-tac  $cons (z,c) \in super (S,c)$ )
apply (blast elim: equalityE)
apply (unfold super-def, safe)
apply (fast elim: chain-extend)
apply (fast elim: equalityE)
done

```

Alternative version of Zorn's Lemma

theorem Zorn2:

```

   $\forall c \in chain(S). \exists y \in S. \forall x \in c. x \subseteq y \implies \exists y \in S. \forall z \in S. y \leq z \longrightarrow y=z$ 
apply (cut-tac Hausdorff maxchain-subset-chain)
apply (erule exE)
apply (erule subsetD, assumption)
apply (erule bspec, assumption, erule beXE)
apply (rule-tac  $x = y$  in  $beXI$ )
  prefer 2 apply assumption
apply clarify
apply rule apply assumption

```



```

apply rule
apply (rule ccontr)
apply (frule-tac z=z in chain-extend)
  apply (assumption, blast)
  unfolding maxchain-def super-def
apply (blast elim!: equalityCE)
done

```

37.6 Zermelo's Theorem: Every Set can be Well-Ordered

Lemma 5

```

lemma TFin-well-lemma5:
   $\llbracket n \in TFin(S, next); Z \subseteq TFin(S, next); z:Z; \neg \bigcap(Z) \in Z \rrbracket$ 
   $\implies \forall m \in Z. n \subseteq m$ 
apply (erule TFin-induct)
prefer 2 apply blast

```

second induction step is easy

```

apply (rule ballI)
apply (rule bspec [THEN TFin-subsetD, THEN disjE], auto)
apply (subgoal-tac  $m = \bigcap(Z)$  )
apply blast+
done

```

Well-ordering of $TFin(S, next)$

```

lemma well-ord-TFin-lemma:  $\llbracket Z \subseteq TFin(S, next); z \in Z \rrbracket \implies \bigcap(Z) \in Z$ 
apply (rule classical)
apply (subgoal-tac  $Z = \{\bigcup(TFin(S, next))\}$ )
apply (simp (no-asm-simp) add: Inter-singleton)
apply (erule equal-singleton)
apply (rule Union-upper [THEN equalityI])
apply (rule-tac [2] subset-refl [THEN TFin-UnionI, THEN TFin-well-lemma5,
  THEN bspec], blast+)
done

```

This theorem just packages the previous result

```

lemma well-ord-TFin:
   $next \in increasing(S)$ 
   $\implies well\text{-ord}(TFin(S, next), Subset\text{-rel}(TFin(S, next)))$ 
apply (rule well-ordI)
  unfolding Subset-rel-def linear-def

```

Prove the well-foundedness goal

```

apply (rule wf-onI)
apply (frule well-ord-TFin-lemma, assumption)
apply (drule-tac  $x = \bigcap(Z)$  in bspec, assumption)
apply blast

```

Now prove the linearity goal

apply (*intro ballI*)
apply (*case-tac x=y*)
apply *blast*

The $x \neq y$ case remains

apply (*rule-tac n1=x and m1=y in TFin-subset-linear [THEN disjE]*,
assumption+, blast+)
done

* Defining the "next" operation for Zermelo's Theorem *

lemma *choice-Diff*:

$\llbracket ch \in (\prod X \in Pow(S) - \{0\}. X); X \subseteq S; X \neq S \rrbracket \implies ch '(S-X) \in S-X$

apply (*erule apply-type*)
apply (*blast elim!: equalityE*)
done

This justifies Definition 6.1

lemma *Zermelo-next-exists*:

$ch \in (\prod X \in Pow(S) - \{0\}. X) \implies$
 $\exists next \in increasing(S). \forall X \in Pow(S).$
 $next'X = (if X=S then S else cons(ch'(S-X), X))$

apply (*rule-tac x= $\lambda X \in Pow(S)$. if X=S then S else cons(ch'(S-X), X)*
in becI)
apply *force*
unfolding *increasing-def*
apply (*rule CollectI*)
apply (*rule lam-type*)

Type checking is surprisingly hard!

apply (*simp (no-asm-simp) add: Pow-iff cons-subset-iff subset-refl*)
apply (*blast intro!: choice-Diff [THEN DiffD1]*)

Verify that it increases

apply (*intro allI impI*)
apply (*simp add: Pow-iff subset-consI subset-refl*)
done

The construction of the injection

lemma *choice-imp-injection*:

$\llbracket ch \in (\prod X \in Pow(S) - \{0\}. X);$
 $next \in increasing(S);$
 $\forall X \in Pow(S). next'X = if(X=S, S, cons(ch'(S-X), X)) \rrbracket$
 $\implies (\lambda x \in S. \bigcup (\{y \in TFin(S, next). x \notin y\}))$
 $\in inj(S, TFin(S, next) - \{S\})$

apply (*rule-tac d = λy . ch'(S-y) in lam-injective*)
apply (*rule DiffI*)
apply (*rule Collect-subset [THEN TFin-UnionI]*)
apply (*blast intro!: Collect-subset [THEN TFin-UnionI] elim: equalityE*)

```

apply (subgoal-tac  $x \notin \bigcup(\{y \in TFin(S, next) . x \notin y\})$ )
prefer 2 apply (blast elim: equalityE)
apply (subgoal-tac  $\bigcup(\{y \in TFin(S, next) . x \notin y\}) \neq S$ )
prefer 2 apply (blast elim: equalityE)

```

For proving $x \in next \cup \dots$. Abrial and Laffitte's justification appears to be faulty.

```

apply (subgoal-tac  $\neg next \text{ ' } Union(\{y \in TFin(S, next) . x \notin y\})$ 
       $\subseteq \bigcup(\{y \in TFin(S, next) . x \notin y\})$ )
prefer 2
apply (simp del: Union-iff
      add: Collect-subset [THEN TFin-UnionI, THEN TFin-is-subset]
      Pow-iff cons-subset-iff subset-refl choice-Diff [THEN DiffD2])
apply (subgoal-tac  $x \in next \text{ ' } Union(\{y \in TFin(S, next) . x \notin y\})$ )
prefer 2
apply (blast intro!: Collect-subset [THEN TFin-UnionI] TFin.nextI)

```

End of the lemmas!

```

apply (simp add: Collect-subset [THEN TFin-UnionI, THEN TFin-is-subset])
done

```

The wellordering theorem

```

theorem AC-well-ord:  $\exists r. well\_ord(S, r)$ 
apply (rule AC-Pi-Pow [THEN exE])
apply (rule Zermelo-next-exists [THEN bexE], assumption)
apply (rule exI)
apply (rule well-ord-rvimage)
apply (erule-tac [2] well-ord-TFin)
apply (rule choice-imp-injection [THEN inj-weaken-type], blast+)
done

```

37.7 Zorn's Lemma for Partial Orders

Reimported from HOL by Clemens Ballarin.

```

definition Chain ::  $i \Rightarrow i$  where
  Chain(r) =  $\{A \in Pow(field(r)). \forall a \in A. \forall b \in A. \langle a, b \rangle \in r \mid \langle b, a \rangle \in r\}$ 

```

```

lemma mono-Chain:
   $r \subseteq s \implies Chain(r) \subseteq Chain(s)$ 
unfolding Chain-def
by blast

```

```

theorem Zorn-po:
assumes po: Partial-order(r)
and u:  $\forall C \in Chain(r). \exists u \in field(r). \forall a \in C. \langle a, u \rangle \in r$ 
shows  $\exists m \in field(r). \forall a \in field(r). \langle m, a \rangle \in r \longrightarrow a = m$ 
proof -
have Preorder(r) using po by (simp add: partial-order-on-def)
— Mirror r in the set of subsets below (wrt r) elements of A (?).

```

```

let ?B =  $\lambda x \in \text{field}(r). r - \{x\}$  let ?S = ?B “ field(r)
have  $\forall C \in \text{chain}(?S). \exists U \in ?S. \forall A \in C. A \subseteq U$ 
proof (clarsimp simp: chain-def Subset-rel-def bex-image-simp)
  fix C
  assume 1:  $C \subseteq ?S$  and 2:  $\forall A \in C. \forall B \in C. A \subseteq B \mid B \subseteq A$ 
  let ?A =  $\{x \in \text{field}(r). \exists M \in C. M = ?B'x\}$ 
  have  $C = ?B$  “ ?A using 1
  apply (auto simp: image-def)
  apply rule
  apply rule
  apply (drule subsetD) apply assumption
  apply (erule CollectE)
  apply rule apply assumption
  apply (erule bexE)
  apply rule prefer 2 apply assumption
  apply rule
  apply (erule lamE) apply simp
  apply assumption

  apply (thin-tac  $C \subseteq X$  for X)
  apply (fast elim: lamE)
  done
have ?A  $\in \text{Chain}(r)$ 
proof (simp add: Chain-def subsetI, intro conjI ballI impI)
  fix a b
  assume  $a \in \text{field}(r)$   $r - \{a\} \in C$   $b \in \text{field}(r)$   $r - \{b\} \in C$ 
  hence  $r - \{a\} \subseteq r - \{b\} \mid r - \{b\} \subseteq r - \{a\}$  using 2 by auto
  then show  $\langle a, b \rangle \in r \mid \langle b, a \rangle \in r$ 
    using  $\langle \text{Preorder}(r) \rangle \langle a \in \text{field}(r) \rangle \langle b \in \text{field}(r) \rangle$ 
    by (simp add: subset-vimage1-vimage1-iff)
qed
then obtain u where  $uA: u \in \text{field}(r) \forall a \in ?A. \langle a, u \rangle \in r$ 
  using u
  apply auto
  apply (drule bspec) apply assumption
  apply auto
  done
have  $\forall A \in C. A \subseteq r - \{u\}$ 
proof (auto intro!: vimageI)
  fix a B
  assume aB:  $B \in C$   $a \in B$ 
  with 1 obtain x where  $x \in \text{field}(r)$   $B = r - \{x\}$ 
  apply -
  apply (drule subsetD) apply assumption
  apply (erule imageE)
  apply (erule lamE)
  apply simp
  done
then show  $\langle a, u \rangle \in r$  using uA aB  $\langle \text{Preorder}(r) \rangle$ 

```

```

    by (auto simp: preorder-on-def refl-def) (blast dest: trans-onD)+
  qed
  then show  $\exists U \in \text{field}(r). \forall A \in C. A \subseteq r - \{U\}$ 
    using  $\langle u \in \text{field}(r) \rangle ..$ 
  qed
  from Zorn2 [OF this]
  obtain  $m B$  where  $m \in \text{field}(r) B = r - \{m\}$ 
     $\forall x \in \text{field}(r). B \subseteq r - \{x\} \longrightarrow B = r - \{x\}$ 
    by (auto elim!: lamE simp: ball-image-simp)
  then have  $\forall a \in \text{field}(r). \langle m, a \rangle \in r \longrightarrow a = m$ 
    using po  $\langle \text{Preorder}(r) \rangle \langle m \in \text{field}(r) \rangle$ 
    by (auto simp: subset-vimage1-vimage1-iff Partial-order-eq-vimage1-vimage1-iff)
  then show ?thesis using  $\langle m \in \text{field}(r) \rangle$  by blast
qed

end

```

38 Cardinal Arithmetic Using AC

theory *Cardinal-AC* imports *CardinalArith* Zorn begin

38.1 Strengthened Forms of Existing Theorems on Cardinals

```

lemma cardinal-epoll:  $|A| \approx A$ 
apply (rule AC-well-ord [THEN exE])
apply (erule well-ord-cardinal-epoll)
done

```

The theorem $||A|| = |A|$

```

lemmas cardinal-idem = cardinal-epoll [THEN cardinal-cong, simp]

```

```

lemma cardinal-eqE:  $|X| = |Y| \Longrightarrow X \approx Y$ 
apply (rule AC-well-ord [THEN exE])
apply (rule AC-well-ord [THEN exE])
apply (rule well-ord-cardinal-eqE, assumption+)
done

```

```

lemma cardinal-epoll-iff:  $|X| = |Y| \longleftrightarrow X \approx Y$ 
by (blast intro: cardinal-cong cardinal-eqE)

```

```

lemma cardinal-disjoint-Un:
   $[|A|=|B|; |C|=|D|; A \cap C = 0; B \cap D = 0]$ 
   $\Longrightarrow |A \cup C| = |B \cup D|$ 
by (simp add: cardinal-epoll-iff epoll-disjoint-Un)

```

```

lemma lepoll-imp-cardinal-le:  $A \lesssim B \Longrightarrow |A| \leq |B|$ 
apply (rule AC-well-ord [THEN exE])
apply (erule well-ord-lepoll-imp-cardinal-le, assumption)
done

```

lemma *cadd-assoc*: $(i \oplus j) \oplus k = i \oplus (j \oplus k)$
apply (rule *AC-well-ord* [THEN *exE*])
apply (rule *AC-well-ord* [THEN *exE*])
apply (rule *AC-well-ord* [THEN *exE*])
apply (rule *well-ord-cadd-assoc*, *assumption+*)
done

lemma *cmult-assoc*: $(i \otimes j) \otimes k = i \otimes (j \otimes k)$
apply (rule *AC-well-ord* [THEN *exE*])
apply (rule *AC-well-ord* [THEN *exE*])
apply (rule *AC-well-ord* [THEN *exE*])
apply (rule *well-ord-cmult-assoc*, *assumption+*)
done

lemma *cadd-cmult-distrib*: $(i \oplus j) \otimes k = (i \otimes k) \oplus (j \otimes k)$
apply (rule *AC-well-ord* [THEN *exE*])
apply (rule *AC-well-ord* [THEN *exE*])
apply (rule *AC-well-ord* [THEN *exE*])
apply (rule *well-ord-cadd-cmult-distrib*, *assumption+*)
done

lemma *InfCard-square-eq*: $\text{InfCard}(|A|) \implies A * A \approx A$
apply (rule *AC-well-ord* [THEN *exE*])
apply (rule *well-ord-InfCard-square-eq*, *assumption*)
done

38.2 The relationship between cardinality and le-pollence

lemma *Card-le-imp-lepoll*:
assumes $|A| \leq |B|$ **shows** $A \lesssim B$
proof –
have $A \approx |A|$
by (rule *cardinal-epoll* [THEN *epoll-sym*])
also have $\dots \lesssim |B|$
by (rule *le-imp-subset* [THEN *subset-imp-lepoll*]) (rule *assms*)
also have $\dots \approx B$
by (rule *cardinal-epoll*)
finally show *?thesis* .
qed

lemma *le-Card-iff*: $\text{Card}(K) \implies |A| \leq K \longleftrightarrow A \lesssim K$
apply (erule *Card-cardinal-eq* [THEN *subst*], rule *iffI*,
erule *Card-le-imp-lepoll*)
apply (erule *lepoll-imp-cardinal-le*)
done

lemma *cardinal-0-iff-0* [*simp*]: $|A| = 0 \longleftrightarrow A = 0$
apply *auto*

apply (*drule cardinal-0* [THEN *ssubst*])
apply (*blast intro: eqpoll-0-iff* [THEN *iffD1*] *cardinal-reqpoll-iff* [THEN *iffD1*])
done

lemma *cardinal-lt-iff-lesspoll*:
assumes i : *Ord*(i) **shows** $i < |A| \longleftrightarrow i \prec A$
proof
assume $i < |A|$
hence $i \prec |A|$
by (*blast intro: lt-Card-imp-lesspoll Card-cardinal*)
also have $\dots \approx A$
by (*rule cardinal-reqpoll*)
finally show $i \prec A$.

next
assume $i \prec A$
also have $\dots \approx |A|$
by (*blast intro: cardinal-reqpoll eqpoll-sym*)
finally have $i < |A|$.
thus $i < |A|$ **using** i
by (*force intro: cardinal-lt-imp-lt lesspoll-cardinal-lt*)
qed

lemma *cardinal-le-imp-lepoll*: $i \leq |A| \implies i \lesssim A$
by (*blast intro: lt-Ord Card-le-imp-lepoll Ord-cardinal-le le-trans*)

38.3 Other Applications of AC

lemma *surj-implies-inj*:
assumes f : $f \in \text{surj}(X, Y)$ **shows** $\exists g. g \in \text{inj}(Y, X)$
proof –
from f *AC-Pi* [of $Y \lambda y. f - \{y\}$]
obtain z **where** $z \in (\prod_{y \in Y} f - \{y\})$
by (*auto simp add: surj-def*) (*fast dest: apply-Pair*)
show *?thesis*
proof
show $z \in \text{inj}(Y, X)$ **using** z *surj-is-fun* [OF f]
by (*blast dest: apply-type Pi-memberD*
intro: apply-equality Pi-type f-imp-injective)
qed
qed

Kunen's Lemma 10.20

lemma *surj-implies-cardinal-le*:
assumes f : $f \in \text{surj}(X, Y)$ **shows** $|Y| \leq |X|$
proof (*rule lepoll-imp-cardinal-le*)
from f [THEN *surj-implies-inj*] **obtain** g **where** $g \in \text{inj}(Y, X)$..
thus $Y \lesssim X$
by (*auto simp add: lepoll-def*)
qed

Kunen's Lemma 10.21

lemma *cardinal-UN-le*:

assumes $K: \text{InfCard}(K)$

shows $(\bigwedge i. i \in K \implies |X(i)| \leq K) \implies |\bigcup i \in K. X(i)| \leq K$

proof (*simp add: K InfCard-is-Card le-Card-iff*)

have [*intro*]: $\text{Ord}(K)$ **by** (*blast intro: InfCard-is-Card Card-is-Ord K*)

assume $\bigwedge i. i \in K \implies X(i) \lesssim K$

hence $\bigwedge i. i \in K \implies \exists f. f \in \text{inj}(X(i), K)$ **by** (*simp add: lepoll-def*)

with AC-Pi obtain f **where** $f: f \in (\prod i \in K. \text{inj}(X(i), K))$

by force

{ fix z

assume $z: z \in (\bigcup i \in K. X(i))$

then obtain i **where** $i: i \in K \text{ Ord}(i) z \in X(i)$

by (*blast intro: Ord-in-Ord [of K]*)

hence $(\mu i. z \in X(i)) \leq i$ **by** (*fast intro: Least-le*)

hence $(\mu i. z \in X(i)) < K$ **by** (*best intro: lt-trans1 ltI i*)

hence $(\mu i. z \in X(i)) \in K$ **and** $z \in X(\mu i. z \in X(i))$

by (*auto intro: LeastI ltD i*)

} note $\text{mems} = \text{this}$

have $(\bigcup i \in K. X(i)) \lesssim K \times K$

proof (*unfold lepoll-def*)

show $\exists f. f \in \text{inj}(\bigcup \text{RepFun}(K, X), K \times K)$

apply (*rule exI*)

apply (*rule-tac c = $\lambda z. (\mu i. z \in X(i), f' (\mu i. z \in X(i)) ' z)$*)

and $d = \lambda \langle i, j \rangle. \text{converse } (f' i) ' j$ **in** *lam-injective*)

apply (*force intro: f inj-is-fun mems apply-type Perm.left-inverse*)

done

qed

also have $\dots \approx K$

by (*simp add: K InfCard-square-eq InfCard-is-Card Card-cardinal-eq*)

finally show $(\bigcup i \in K. X(i)) \lesssim K$.

qed

The same again, using *csucc*

lemma *cardinal-UN-lt-csucc*:

$\llbracket \text{InfCard}(K); \bigwedge i. i \in K \implies |X(i)| < \text{csucc}(K) \rrbracket$

$\implies |\bigcup i \in K. X(i)| < \text{csucc}(K)$

by (*simp add: Card-lt-csucc-iff cardinal-UN-le InfCard-is-Card Card-cardinal*)

The same again, for a union of ordinals. In use, $j(i)$ is a bit like $\text{rank}(i)$, the least ordinal j such that $i: \text{Vfrom}(A, j)$.

lemma *cardinal-UN-Ord-lt-csucc*:

$\llbracket \text{InfCard}(K); \bigwedge i. i \in K \implies j(i) < \text{csucc}(K) \rrbracket$

$\implies (\bigcup i \in K. j(i)) < \text{csucc}(K)$

apply (*rule cardinal-UN-lt-csucc [THEN Card-lt-imp-lt], assumption*)

apply (*blast intro: Ord-cardinal-le [THEN lt-trans1] elim: ltE*)

apply (*blast intro!: Ord-UN elim: ltE*)

apply (*erule InfCard-is-Card [THEN Card-is-Ord, THEN Card-csucc]*)

done

38.4 The Main Result for Infinite-Branching Datatypes

As above, but the index set need not be a cardinal. Work backwards along the injection from W into K , given that $W \neq 0$.

lemma *inj-UN-subset*:

assumes $f: f \in \text{inj}(A,B)$ **and** $a: a \in A$

shows $(\bigcup_{x \in A}. C(x)) \subseteq (\bigcup_{y \in B}. C(\text{if } y \in \text{range}(f) \text{ then } \text{converse}(f) \text{ ' } y \text{ else } a))$

proof (*rule UN-least*)

fix x

assume $x: x \in A$

hence $fx: f \text{ ' } x \in B$ **by** (*blast intro: f inj-is-fun [THEN apply-type]*)

have $C(x) \subseteq C(\text{if } f \text{ ' } x \in \text{range}(f) \text{ then } \text{converse}(f) \text{ ' } (f \text{ ' } x) \text{ else } a)$

using fx **by** (*simp add: inj-is-fun [THEN apply-rangeI]*)

also have $\dots \subseteq (\bigcup_{y \in B}. C(\text{if } y \in \text{range}(f) \text{ then } \text{converse}(f) \text{ ' } y \text{ else } a))$

by (*rule UN-upper [OF fx]*)

finally show $C(x) \subseteq (\bigcup_{y \in B}. C(\text{if } y \in \text{range}(f) \text{ then } \text{converse}(f) \text{ ' } y \text{ else } a))$.

qed

theorem *le-UN-Ord-lt-csucc*:

assumes $IK: \text{InfCard}(K)$ **and** $WK: |W| \leq K$ **and** $j: \bigwedge w. w \in W \implies j(w) < \text{csucc}(K)$

shows $(\bigcup_{w \in W}. j(w)) < \text{csucc}(K)$

proof –

have $CK: \text{Card}(K)$

by (*simp add: InfCard-is-Card IK*)

then obtain f **where** $f: f \in \text{inj}(W, K)$ **using** WK

by(*auto simp add: le-Card-iff lepoll-def*)

have $OU: \text{Ord}(\bigcup_{w \in W}. j(w))$ **using** j

by (*blast elim: ltE*)

note *lt-subset-trans [OF - - OU, trans]*

show *?thesis*

proof (*cases W=0*)

case *True* — solve the easy 0 case

thus *?thesis* **by** (*simp add: CK Card-is-Ord Card-csucc Ord-0-lt-csucc*)

next

case *False*

then obtain x **where** $x: x \in W$ **by** *blast*

have $(\bigcup_{x \in W}. j(x)) \subseteq (\bigcup_{k \in K}. j(\text{if } k \in \text{range}(f) \text{ then } \text{converse}(f) \text{ ' } k \text{ else } x))$

by (*rule inj-UN-subset [OF f x]*)

also have $\dots < \text{csucc}(K)$ **using** IK

proof (*rule cardinal-UN-Ord-lt-csucc*)

fix k

assume $k \in K$

thus $j(\text{if } k \in \text{range}(f) \text{ then } \text{converse}(f) \text{ ' } k \text{ else } x) < \text{csucc}(K)$ **using** $f x j$

by (*simp add: inj-converse-fun [THEN apply-type]*)

qed

finally show *?thesis* .

qed

qed

end

39 Infinite-Branching Datatype Definitions

theory *InfDatatype* **imports** *Datatype Univ Finite Cardinal-AC* **begin**

lemmas *fun-Limit-VfromE* =

Limit-VfromE [*OF apply-funtype InfCard-csucc* [*THEN InfCard-is-Limit*]]

lemma *fun-Vcsucc-lemma*:

assumes $f: f \in D \rightarrow Vfrom(A, csucc(K))$ **and** $DK: |D| \leq K$ **and** $ICK: InfCard(K)$

shows $\exists j. f \in D \rightarrow Vfrom(A, j) \wedge j < csucc(K)$

proof (*rule exI, rule conjI*)

show $f \in D \rightarrow Vfrom(A, \bigcup z \in D. \mu i. f'z \in Vfrom(A, i))$

proof (*rule Pi-type* [*OF f*])

fix d

assume $d: d \in D$

show $f' d \in Vfrom(A, \bigcup z \in D. \mu i. f' z \in Vfrom(A, i))$

proof (*rule fun-Limit-VfromE* [*OF f d ICK*])

fix x

assume $x < csucc(K)$ $f' d \in Vfrom(A, x)$

hence $f' d \in Vfrom(A, \mu i. f' d \in Vfrom(A, i))$ **using** d

by (*fast elim: LeastI ltE*)

also have $\dots \subseteq Vfrom(A, \bigcup z \in D. \mu i. f' z \in Vfrom(A, i))$

by (*rule Vfrom-mono*) (*auto intro: d*)

finally show $f' d \in Vfrom(A, \bigcup z \in D. \mu i. f' z \in Vfrom(A, i))$.

qed

qed

next

show $(\bigcup d \in D. \mu i. f' d \in Vfrom(A, i)) < csucc(K)$

proof (*rule le-UN-Ord-lt-csucc* [*OF ICK DK*])

fix d

assume $d: d \in D$

show $(\mu i. f' d \in Vfrom(A, i)) < csucc(K)$

proof (*rule fun-Limit-VfromE* [*OF f d ICK*])

fix x

assume $x < csucc(K)$ $f' d \in Vfrom(A, x)$

thus $(\mu i. f' d \in Vfrom(A, i)) < csucc(K)$

by (*blast intro: Least-le lt-trans1 lt-Ord*)

qed

qed

qed

lemma *subset-Vcsucc*:

$\llbracket D \subseteq Vfrom(A, csucc(K)); |D| \leq K; InfCard(K) \rrbracket$

$\implies \exists j. D \subseteq Vfrom(A, j) \wedge j < csucc(K)$

by (simp add: subset-iff-id fun-Vcsucc-lemma)

lemma fun-Vcsucc:

$\llbracket |D| \leq K; \text{InfCard}(K); D \subseteq \text{Vfrom}(A, \text{csucc}(K)) \rrbracket \implies$
 $D \rightarrow \text{Vfrom}(A, \text{csucc}(K)) \subseteq \text{Vfrom}(A, \text{csucc}(K))$

apply (safe dest!: fun-Vcsucc-lemma subset-Vcsucc)

apply (rule Vfrom [THEN ssubst])

apply (drule fun-is-rel)

apply (rule-tac a1 = succ (succ (j \cup ja)) in UN-I [THEN UnI2])

apply (blast intro: ltD InfCard-csucc InfCard-is-Limit Limit-has-succ
Un-least-lt)

apply (erule subset-trans [THEN PowI])

apply (fast intro: Pair-in-Vfrom Vfrom-UnI1 Vfrom-UnI2)

done

lemma fun-in-Vcsucc:

$\llbracket f: D \rightarrow \text{Vfrom}(A, \text{csucc}(K)); |D| \leq K; \text{InfCard}(K);$
 $D \subseteq \text{Vfrom}(A, \text{csucc}(K)) \rrbracket$
 $\implies f: \text{Vfrom}(A, \text{csucc}(K))$

by (blast intro: fun-Vcsucc [THEN subsetD])

Remove \subseteq from the rule above

lemmas fun-in-Vcsucc' = fun-in-Vcsucc [OF - - - subsetI]

lemma Card-fun-Vcsucc:

$\text{InfCard}(K) \implies K \rightarrow \text{Vfrom}(A, \text{csucc}(K)) \subseteq \text{Vfrom}(A, \text{csucc}(K))$

apply (frule InfCard-is-Card [THEN Card-is-Ord])

apply (blast del: subsetI

intro: fun-Vcsucc Ord-cardinal-le i-subset-Vfrom

lt-csucc [THEN leI, THEN le-imp-subset, THEN subset-trans])

done

lemma Card-fun-in-Vcsucc:

$\llbracket f: K \rightarrow \text{Vfrom}(A, \text{csucc}(K)); \text{InfCard}(K) \rrbracket \implies f: \text{Vfrom}(A, \text{csucc}(K))$

by (blast intro: Card-fun-Vcsucc [THEN subsetD])

lemma Limit-csucc: $\text{InfCard}(K) \implies \text{Limit}(\text{csucc}(K))$

by (erule InfCard-csucc [THEN InfCard-is-Limit])

lemmas Pair-in-Vcsucc = Pair-in-VLimit [OF - - Limit-csucc]

lemmas Inl-in-Vcsucc = Inl-in-VLimit [OF - Limit-csucc]

lemmas Inr-in-Vcsucc = Inr-in-VLimit [OF - Limit-csucc]

lemmas zero-in-Vcsucc = Limit-csucc [THEN zero-in-VLimit]

lemmas nat-into-Vcsucc = nat-into-VLimit [OF - Limit-csucc]

```

lemmas InfCard-nat-Un-cardinal = InfCard-Un [OF InfCard-nat Card-cardinal]

lemmas le-nat-Un-cardinal =
  Un-upper2-le [OF Ord-nat Card-cardinal [THEN Card-is-Ord]]

lemmas UN-upper-cardinal = UN-upper [THEN subset-imp-lepoll, THEN lep-
oll-imp-cardinal-le]

lemmas Data-Arg-intros =
  SigmaI InlI InrI
  Pair-in-univ Inl-in-univ Inr-in-univ
  zero-in-univ A-into-univ nat-into-univ UnCI

lemmas inf-datatype-intros =
  InfCard-nat InfCard-nat-Un-cardinal
  Pair-in-Vcsucc Inl-in-Vcsucc Inr-in-Vcsucc
  zero-in-Vcsucc A-into-Vfrom nat-into-Vcsucc
  Card-fun-in-Vcsucc fun-in-Vcsucc' UN-I

end
theory ZFC imports ZF InfDatatype
begin

end

```