

IMP — A WHILE-language and two semantics

Heiko Loetzbeyer and Robert Sandner

September 11, 2023

Abstract

The formalization of the denotational and operational semantics of a simple while-language together with an equivalence proof between the two semantics. The whole development essentially formalizes/transcribes chapters 2 and 5 of [1]. A much extended version of this development is found in HOL/IMP of the Isabelle distribution.

Contents

| | | |
|----------|--|----------|
| 1 | Arithmetic expressions, boolean expressions, commands | 1 |
| 1.1 | Arithmetic expressions | 1 |
| 1.2 | Boolean expressions | 2 |
| 1.3 | Commands | 3 |
| 1.4 | Misc lemmas | 4 |
| 2 | Denotational semantics of expressions and commands | 4 |
| 2.1 | Definitions | 4 |
| 2.2 | Misc lemmas | 5 |
| 3 | Equivalence | 5 |
| 3.1 | Main theorem | 6 |

1 Arithmetic expressions, boolean expressions, commands

theory *Com* imports *ZF* begin

1.1 Arithmetic expressions

consts

loc :: *i*
aexp :: *i*

datatype \subseteq "univ(loc \cup (nat \rightarrow nat) \cup ((nat \times nat) \rightarrow nat))"
aexp = N ("n \in nat")

```

| X ("x ∈ loc")
| Op1 ("f ∈ nat -> nat", "a ∈ aexp")
| Op2 ("f ∈ (nat × nat) -> nat", "a0 ∈ aexp", "a1 ∈ aexp")

consts evala :: i

abbreviation
evala_syntax :: "[i, i] ⇒ o"      (infixl <-a-> 50)
where "p -a-> n ≡ ⟨p, n⟩ ∈ evala"

inductive
domains "evala" ⊆ "(aexp × (loc -> nat)) × nat"
intros
N:   "⟦n ∈ nat; sigma ∈ loc -> nat⟧ ⇒ <N(n), sigma> -a-> n"
X:   "⟦x ∈ loc; sigma ∈ loc -> nat⟧ ⇒ <X(x), sigma> -a-> sigma ` x"
Op1: "⟦⟨e, sigma⟩ -a-> n; f ∈ nat -> nat⟧ ⇒ <Op1(f, e), sigma> -a-> f ` n"
Op2: "⟦⟨e0, sigma⟩ -a-> n0; ⟨e1, sigma⟩ -a-> n1; f ∈ (nat × nat) -> nat⟧
      ⇒ <Op2(f, e0, e1), sigma> -a-> f ` ⟨n0, n1⟩"
type_intros aexp.intros apply_funtype

```

1.2 Boolean expressions

```

consts bexp :: i

datatype ⊆ "univ(aexp ∪ ((nat × nat) -> bool))"
bexp = true
| false
| ROp ("f ∈ (nat × nat) -> bool", "a0 ∈ aexp", "a1 ∈ aexp")
| noti ("b ∈ bexp")
| andi ("b0 ∈ bexp", "b1 ∈ bexp")      (infixl <andi> 60)
| ori  ("b0 ∈ bexp", "b1 ∈ bexp")      (infixl <ori> 60)

```

```

consts evalb :: i

abbreviation
evalb_syntax :: "[i, i] ⇒ o"      (infixl <-b-> 50)
where "p -b-> b ≡ ⟨p, b⟩ ∈ evalb"

inductive
domains "evalb" ⊆ "(bexp × (loc -> nat)) × bool"
intros
true: "⟦sigma ∈ loc -> nat⟧ ⇒ <true, sigma> -b-> 1"
false: "⟦sigma ∈ loc -> nat⟧ ⇒ <false, sigma> -b-> 0"
ROp:   "⟦⟨a0, sigma⟩ -a-> n0; ⟨a1, sigma⟩ -a-> n1; f ∈ (nat * nat) -> bool⟧
        ⇒ <ROp(f, a0, a1), sigma> -b-> f ` ⟨n0, n1⟩"
noti:  "⟦⟨b, sigma⟩ -b-> w⟧ ⇒ <noti(b), sigma> -b-> not(w)"
andi:  "⟦⟨b0, sigma⟩ -b-> w0; ⟨b1, sigma⟩ -b-> w1⟧
        ⇒ <b0 andi b1, sigma> -b-> (w0 and w1)"

```

```

ori:   " $\llbracket (b_0, \sigma) \dashv\rightarrow w_0; (b_1, \sigma) \dashv\rightarrow w_1 \rrbracket$ 
      \implies \langle b_0 \text{ ori } b_1, \sigma \rangle \dashv\rightarrow (w_0 \text{ or } w_1)""
type_intros bexp.intros
            apply_funtype and_type or_type bool_1I bool_0I not_type
type_elims evala.dom_subset [THEN subsetD, elim_format]

```

1.3 Commands

```

consts com :: i
datatype com =
  skip                                     (<skip> [])
  / assignment ("x ∈ loc", "a ∈ aexp")       (infixl <:=> 60)
  / semicolon ("c0 ∈ com", "c1 ∈ com")        (<_; _> [60, 60] 10)
  / while ("b ∈ bexp", "c ∈ com")           (<while _ do _> 60)
  / "if" ("b ∈ bexp", "c0 ∈ com", "c1 ∈ com")  (<if _ then _ else _> 60)

consts evalc :: i

abbreviation
evalc_syntax :: "[i, i] ⇒ o"    (infixl <-c-> 50)
where "p -c-> s ≡ ⟨p, s⟩ ∈ evalc"

inductive
domains "evalc" ⊆ "(com × (loc → nat)) × (loc → nat)"
intros
  skip:   " $\llbracket \sigma \in loc \rightarrow nat \rrbracket \implies \langle \text{skip}, \sigma \rangle \dashv\rightarrow \sigma$ "
  assign:  " $\llbracket m \in nat; x \in loc; \langle a, \sigma \rangle \dashv\rightarrow m \rrbracket$ 
          \implies \langle x := a, \sigma \rangle \dashv\rightarrow \sigma(x := m)"
  semi:    " $\llbracket \langle c_0, \sigma \rangle \dashv\rightarrow \sigma_2; \langle c_1, \sigma \rangle \dashv\rightarrow \sigma_1 \rrbracket$ 
          \implies \langle c_0; c_1, \sigma \rangle \dashv\rightarrow \sigma_1"
  if1:     " $\llbracket b \in bexp; c_1 \in com; \sigma \in loc \rightarrow nat;$ 
             $\langle b, \sigma \rangle \dashv\rightarrow 1; \langle c_0, \sigma \rangle \dashv\rightarrow \sigma_1 \rrbracket$ 
          \implies \langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \dashv\rightarrow \sigma_1"
  if0:     " $\llbracket b \in bexp; c_0 \in com; \sigma \in loc \rightarrow nat;$ 
             $\langle b, \sigma \rangle \dashv\rightarrow 0; \langle c_1, \sigma \rangle \dashv\rightarrow \sigma_1 \rrbracket$ 
          \implies \langle \text{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \dashv\rightarrow \sigma_1"
  while0:   " $\llbracket c \in com; \langle b, \sigma \rangle \dashv\rightarrow 0 \rrbracket$ 
          \implies \langle \text{while } b \text{ do } c, \sigma \rangle \dashv\rightarrow \sigma"
  while1:   " $\llbracket c \in com; \langle b, \sigma \rangle \dashv\rightarrow 1; \langle c, \sigma \rangle \dashv\rightarrow \sigma_2;$ 
             $\langle \text{while } b \text{ do } c, \sigma_2 \rangle \dashv\rightarrow \sigma_1 \rrbracket$ 
          \implies \langle \text{while } b \text{ do } c, \sigma \rangle \dashv\rightarrow \sigma_1"

type_intros com.intros update_type

```

```

type_elims evala.dom_subset [THEN subsetD, elim_format]
evalb.dom_subset [THEN subsetD, elim_format]

1.4 Misc lemmas

lemmas evala_1 [simp] = evala.dom_subset [THEN subsetD, THEN SigmaD1, THEN SigmaD1]
and evala_2 [simp] = evala.dom_subset [THEN subsetD, THEN SigmaD1, THEN SigmaD2]
and evala_3 [simp] = evala.dom_subset [THEN subsetD, THEN SigmaD2]

lemmas evalb_1 [simp] = evalb.dom_subset [THEN subsetD, THEN SigmaD1, THEN SigmaD1]
and evalb_2 [simp] = evalb.dom_subset [THEN subsetD, THEN SigmaD1, THEN SigmaD2]
and evalb_3 [simp] = evalb.dom_subset [THEN subsetD, THEN SigmaD2]

lemmas evalc_1 [simp] = evalc.dom_subset [THEN subsetD, THEN SigmaD1, THEN SigmaD1]
and evalc_2 [simp] = evalc.dom_subset [THEN subsetD, THEN SigmaD1, THEN SigmaD2]
and evalc_3 [simp] = evalc.dom_subset [THEN subsetD, THEN SigmaD2]

inductive_cases
evala_N_E [elim!]: "<N(n), sigma> -a-> i"
and evala_X_E [elim!]: "<X(x), sigma> -a-> i"
and evala_Op1_E [elim!]: "<Op1(f, e), sigma> -a-> i"
and evala_Op2_E [elim!]: "<Op2(f, a1, a2), sigma> -a-> i"

end

```

2 Denotational semantics of expressions and commands

```
theory Denotation imports Com begin
```

2.1 Definitions

```

consts
A      :: "i ⇒ i ⇒ i"
B      :: "i ⇒ i ⇒ i"
C      :: "i ⇒ i"

definition
Gamma :: "[i, i, i] ⇒ i"  (<Γ>) where
"Γ(b, cden) ≡
(λphi. {io ∈ (phi 0 cden). B(b, fst(io))=1} ∪
{io ∈ id(loc->nat). B(b, fst(io))=0})"

primrec
"A(N(n), sigma) = n"
"A(X(x), sigma) = sigma ` x"
"A(Op1(f, a), sigma) = f ` A(a, sigma)"
"A(Op2(f, a0, a1), sigma) = f ` <A(a0, sigma), A(a1, sigma)>"

primrec

```

```

"B(true, sigma) = 1"
"B(false, sigma) = 0"
"B(ROp(f,a0,a1), sigma) = f`<A(a0,sigma),A(a1,sigma)>" 
"B(noti(b), sigma) = not(B(b,sigma))"
"B(b0 andi b1, sigma) = B(b0,sigma) and B(b1,sigma)"
"B(b0 ori b1, sigma) = B(b0,sigma) or B(b1,sigma)"

primrec
  "C(skip) = id(loc->nat)"
  "C(x := a) =
    {io ∈ (loc->nat) × (loc->nat). snd(io) = fst(io)(x := A(a,fst(io)))}"
  "C(c0; c1) = C(c1) ∘ C(c0)"
  "C(if b then c0 else c1) =
    {io ∈ C(c0). B(b,fst(io)) = 1} ∪ {io ∈ C(c1). B(b,fst(io)) = 0}"
  "C(while b do c) = lfp((loc->nat) × (loc->nat), Γ(b,C(c)))"

2.2 Misc lemmas

lemma A_type [TC]: "[[a ∈ aexp; sigma ∈ loc->nat]] ⇒ A(a,sigma) ∈ nat"
  ⟨proof⟩

lemma B_type [TC]: "[[b ∈ bexp; sigma ∈ loc->nat]] ⇒ B(b,sigma) ∈ bool"
  ⟨proof⟩

lemma C_subset: "c ∈ com ⇒ C(c) ⊆ (loc->nat) × (loc->nat)"
  ⟨proof⟩

lemma C_type_D [dest]:
  "[[⟨x,y⟩ ∈ C(c); c ∈ com]] ⇒ x ∈ loc->nat ∧ y ∈ loc->nat"
  ⟨proof⟩

lemma C_type_fst [dest]: "[[x ∈ C(c); c ∈ com]] ⇒ fst(x) ∈ loc->nat"
  ⟨proof⟩

lemma Gamma_bnd_mono:
  "cden ⊆ (loc->nat) × (loc->nat)
   ⇒ bnd_mono ((loc->nat) × (loc->nat), Γ(b,cden))"
  ⟨proof⟩

end

```

3 Equivalence

```

theory Equiv imports Denotation Com begin

lemma aexp_iff [rule_format]:
  "[[a ∈ aexp; sigma: loc -> nat]]
   ⇒ ∀n. ⟨a,sigma⟩ -a-> n ↔ A(a,sigma) = n"
  ⟨proof⟩

```

```

declare aexp_iff [THEN iffD1, simp]
    aexp_iff [THEN iffD2, intro!]

inductive_cases [elim!]:
  " $\langle \text{true}, \sigma \rangle \dashv\rightarrow x$ "
  " $\langle \text{false}, \sigma \rangle \dashv\rightarrow x$ "
  " $\langle ROp(f, a_0, a_1), \sigma \rangle \dashv\rightarrow x$ "
  " $\langle \text{not}i(b), \sigma \rangle \dashv\rightarrow x$ "
  " $\langle b_0 \text{ and } b_1, \sigma \rangle \dashv\rightarrow x$ "
  " $\langle b_0 \text{ or } b_1, \sigma \rangle \dashv\rightarrow x$ "

lemma bexp_iff [rule_format]:
  " $\llbracket b \in \text{bexp}; \sigma : \text{loc} \rightarrow \text{nat} \rrbracket$ 
   \implies \forall w. \langle b, \sigma \rangle \dashv\rightarrow w \longleftrightarrow B(b, \sigma) = w"
  ⟨proof⟩

declare bexp_iff [THEN iffD1, simp]
    bexp_iff [THEN iffD2, intro!]

lemma com1: " $\langle c, \sigma \rangle \dashv\rightarrow \sigma' \implies \langle \sigma, \sigma' \rangle \in C(c)$ "
  ⟨proof⟩

declare B_type [intro!] A_type [intro!]
declare evalc.intros [intro]

lemma com2 [rule_format]: "c \in \text{com} \implies \forall x \in C(c). \langle c, \text{fst}(x) \rangle \dashv\rightarrow \text{snd}(x)"
  ⟨proof⟩

```

3.1 Main theorem

```

theorem com_equivalence:
  " $c \in \text{com} \implies C(c) = \{io \in (\text{loc} \rightarrow \text{nat}) \times (\text{loc} \rightarrow \text{nat}). \langle c, \text{fst}(io) \rangle \dashv\rightarrow \text{snd}(io)\}$ "
  ⟨proof⟩

end

```

References

- [1] Glynn Winskel. *The Formal Semantics of Programming Languages*. 1993.